

The Kondo Effect

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Autumn-School on Correlated Electrons 2012

1. Introduction

- a. History: resistance minimum
- b. Anderson model

2. Renormalization Group

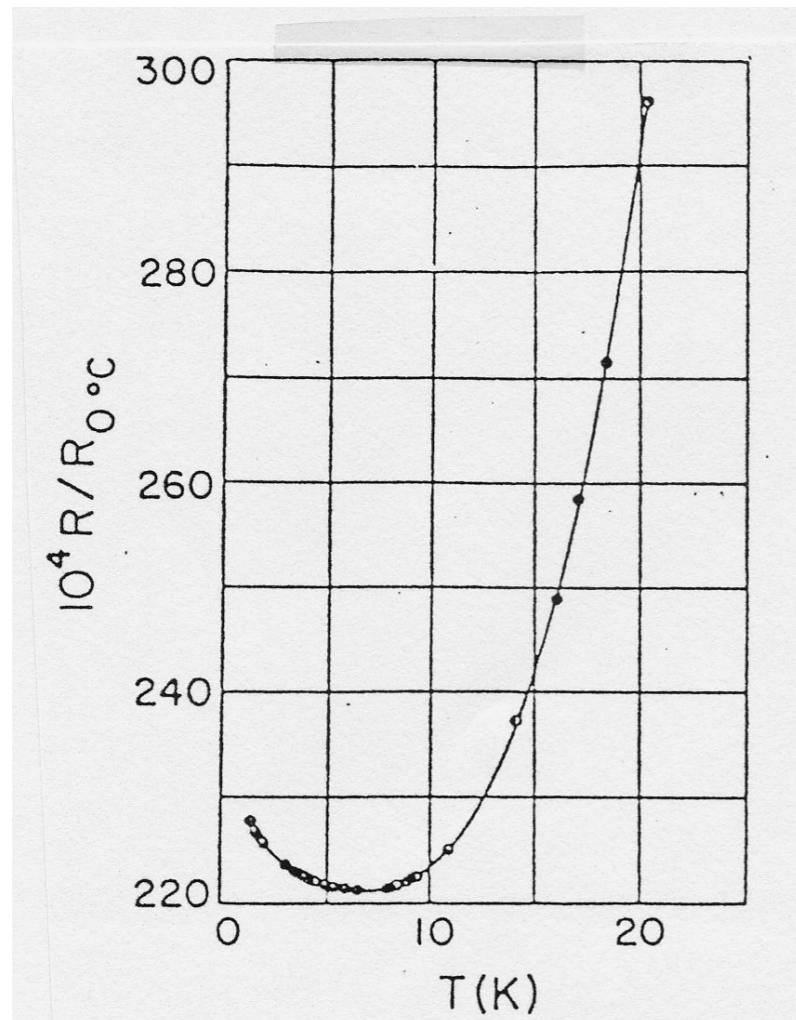
- a. Poor man's scaling
- b. NRG-> see Ralf Bulla'
- c. exotic Kondo effects in metal

3. Kondo effect in Lattice systems

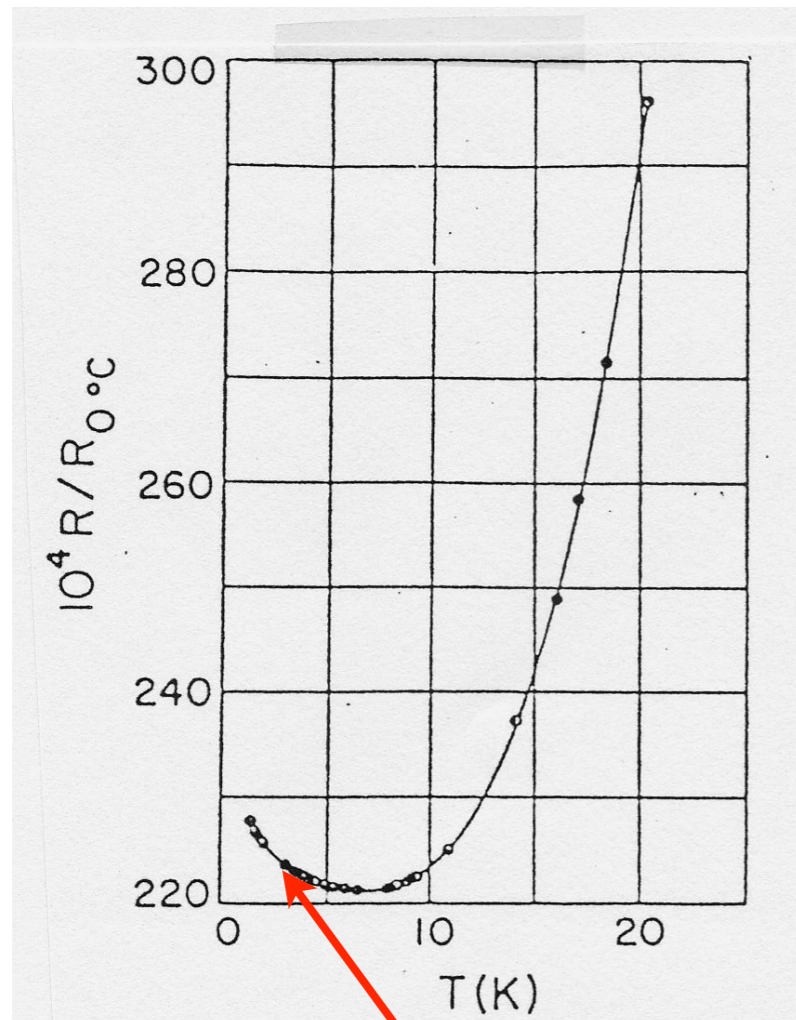
- a. Heavy Fermion materials
- b. Dynamical mean field theory
- c. impurity solver

4. Kondo effect in nano-device

- a. Kondo effect in single-electron transistors
- b. Charge Kondo effect

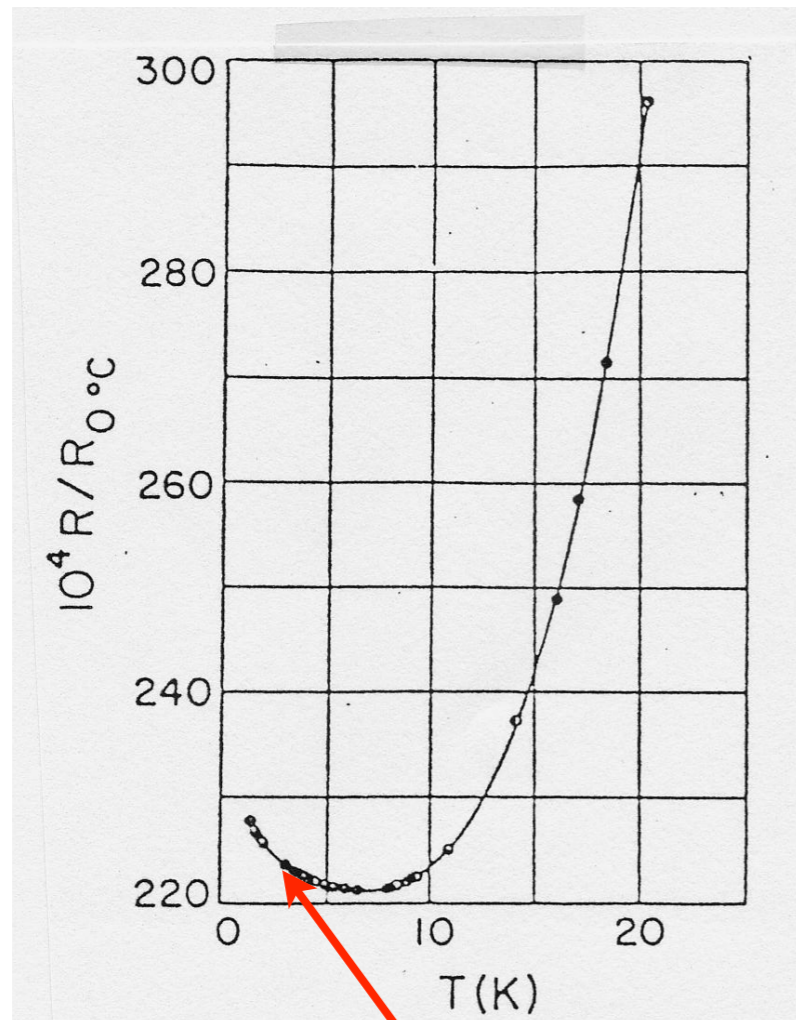


de Haas, van der Berg 1936



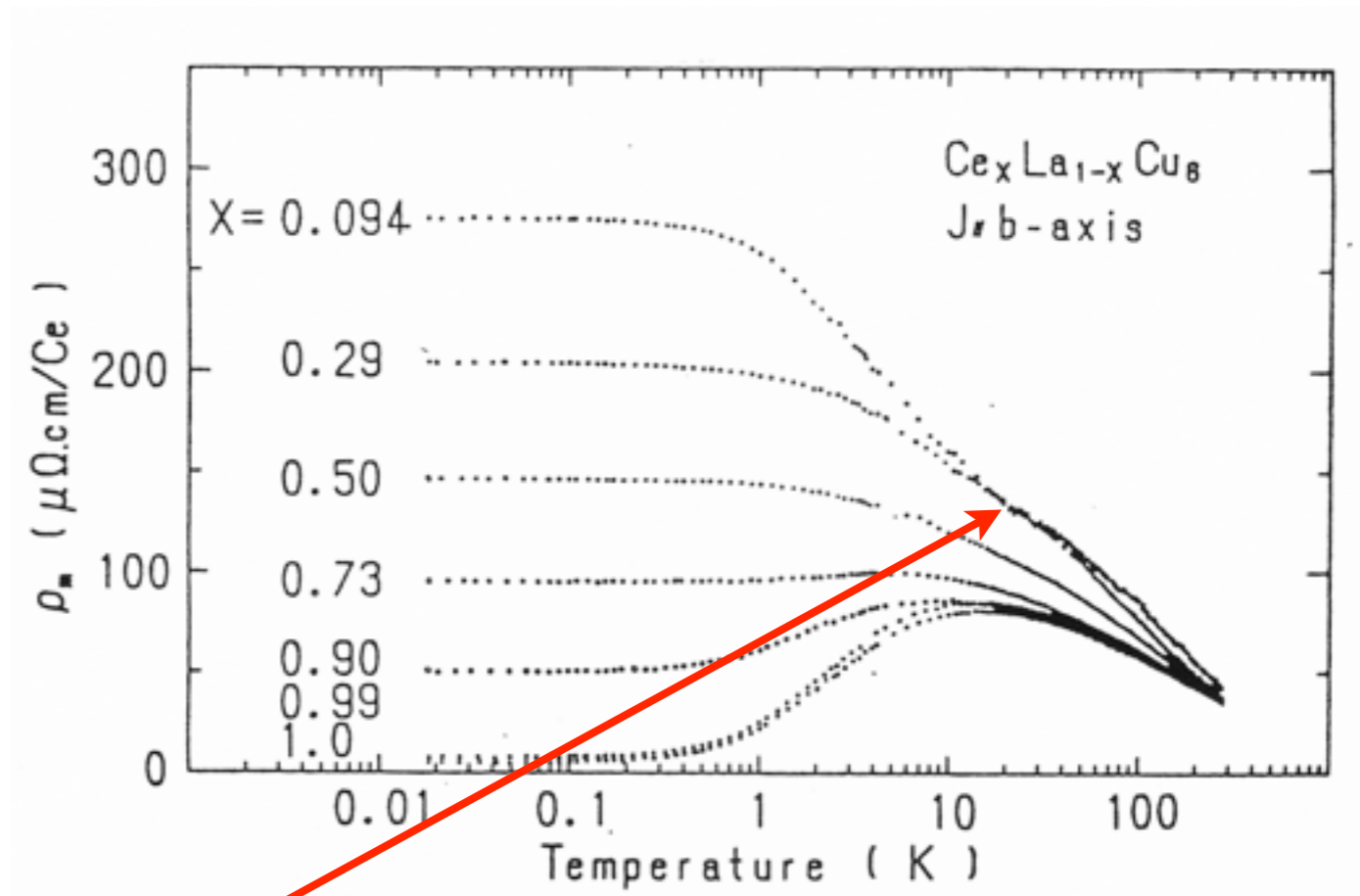
de Haas, van der Berg 1936

increase: $\propto \log(T)$

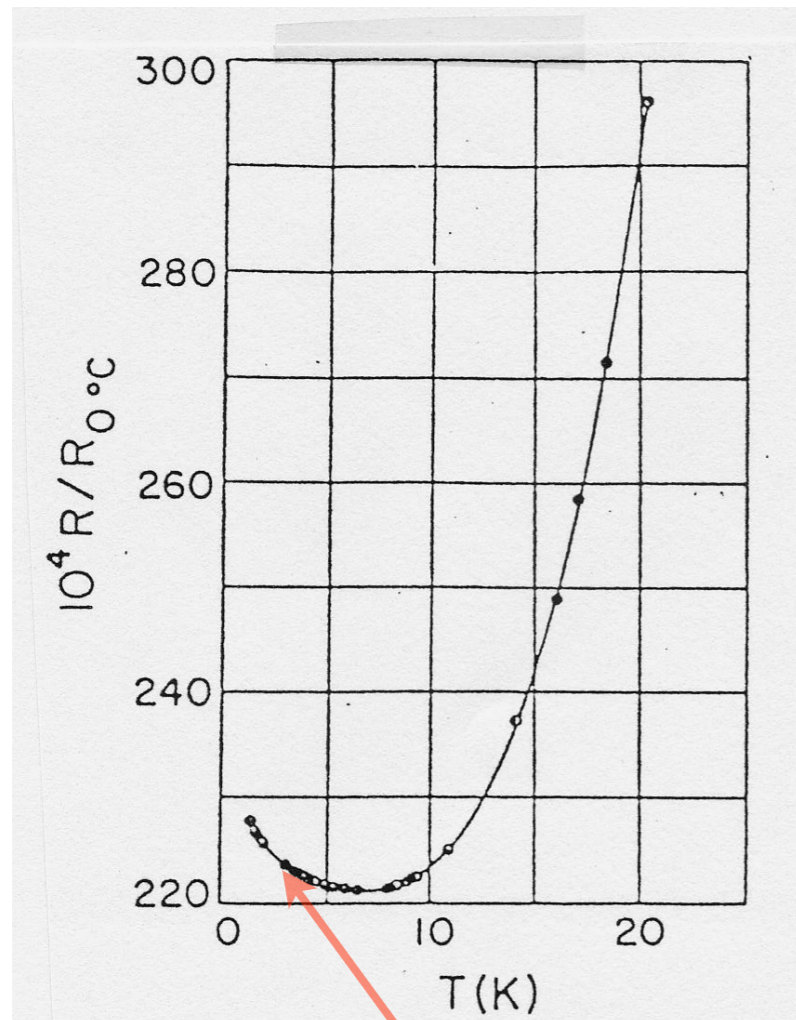


de Haas, van der Berg 1936

increase: $\propto \log(T)$

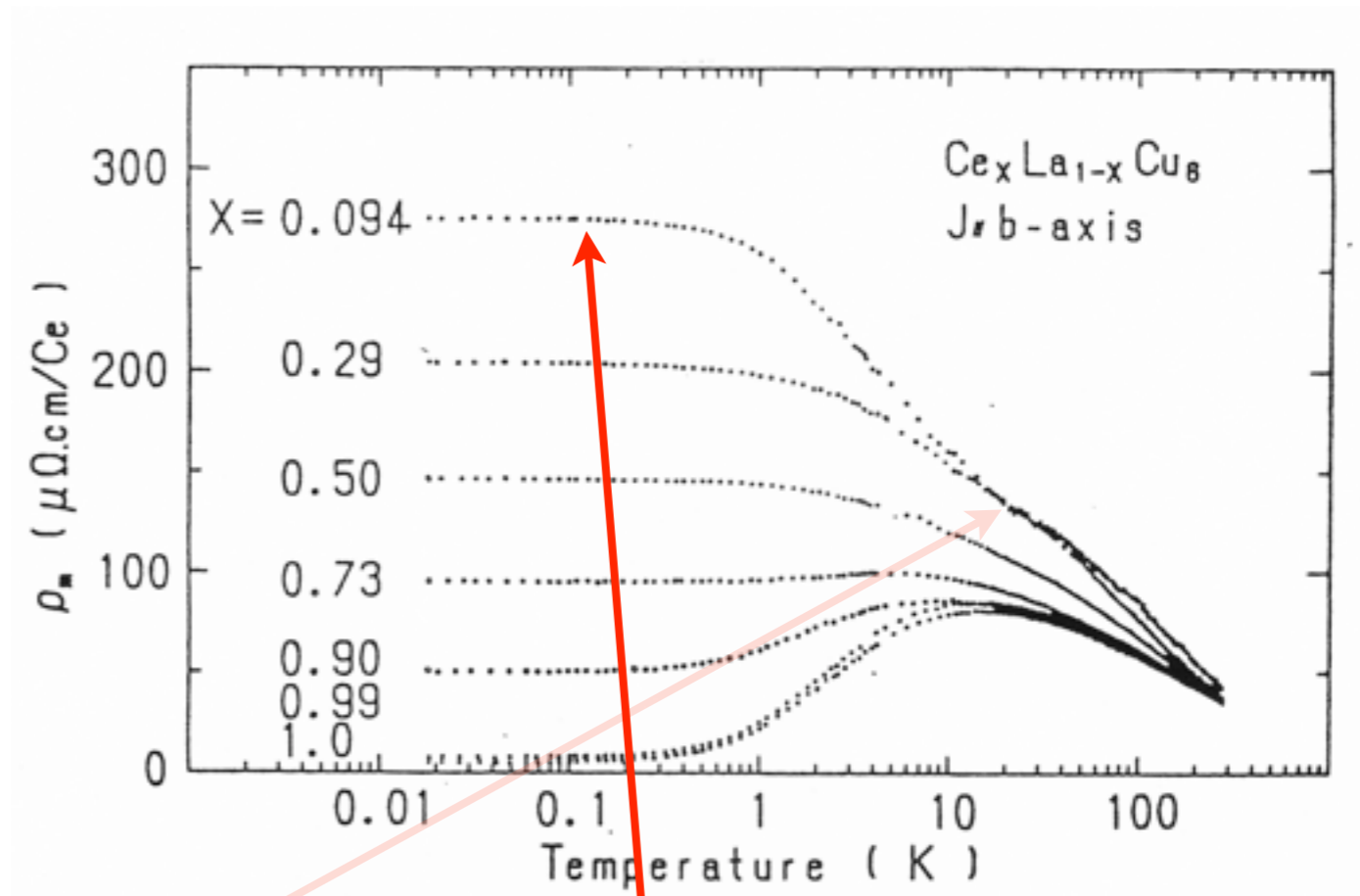


Onuki et al 1987



de Haas, van der Berg 1936

increase: $\propto \log(T)$

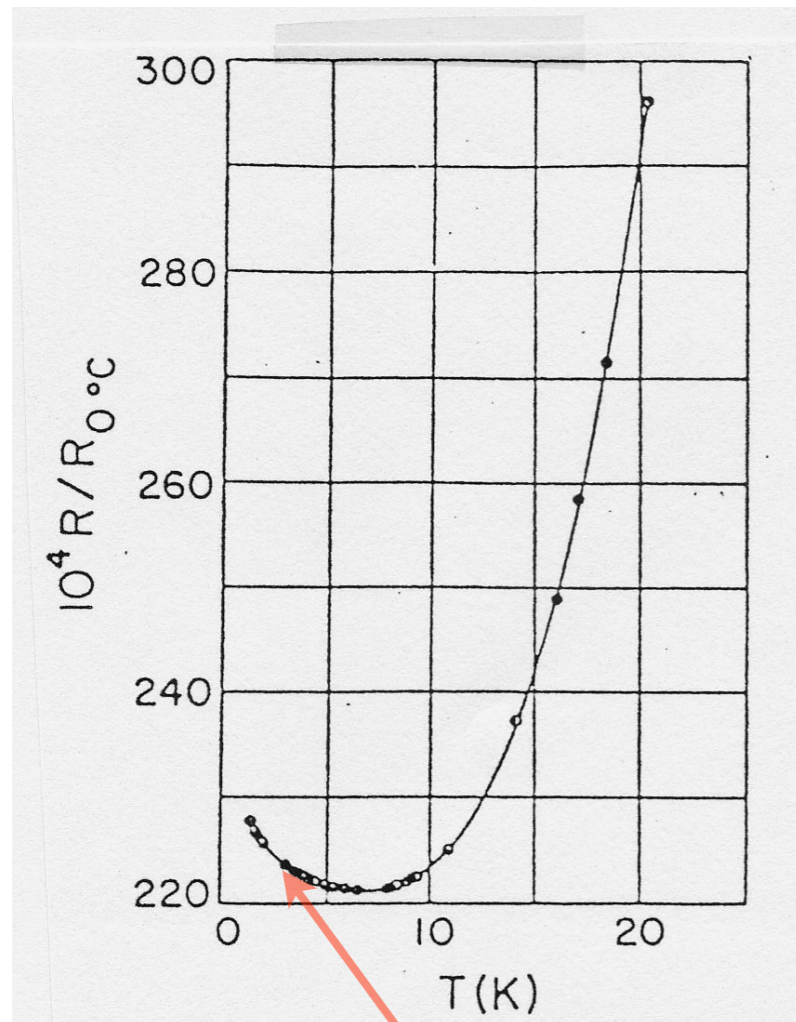


Onuki et al 1987

but saturation for $T < T_k$

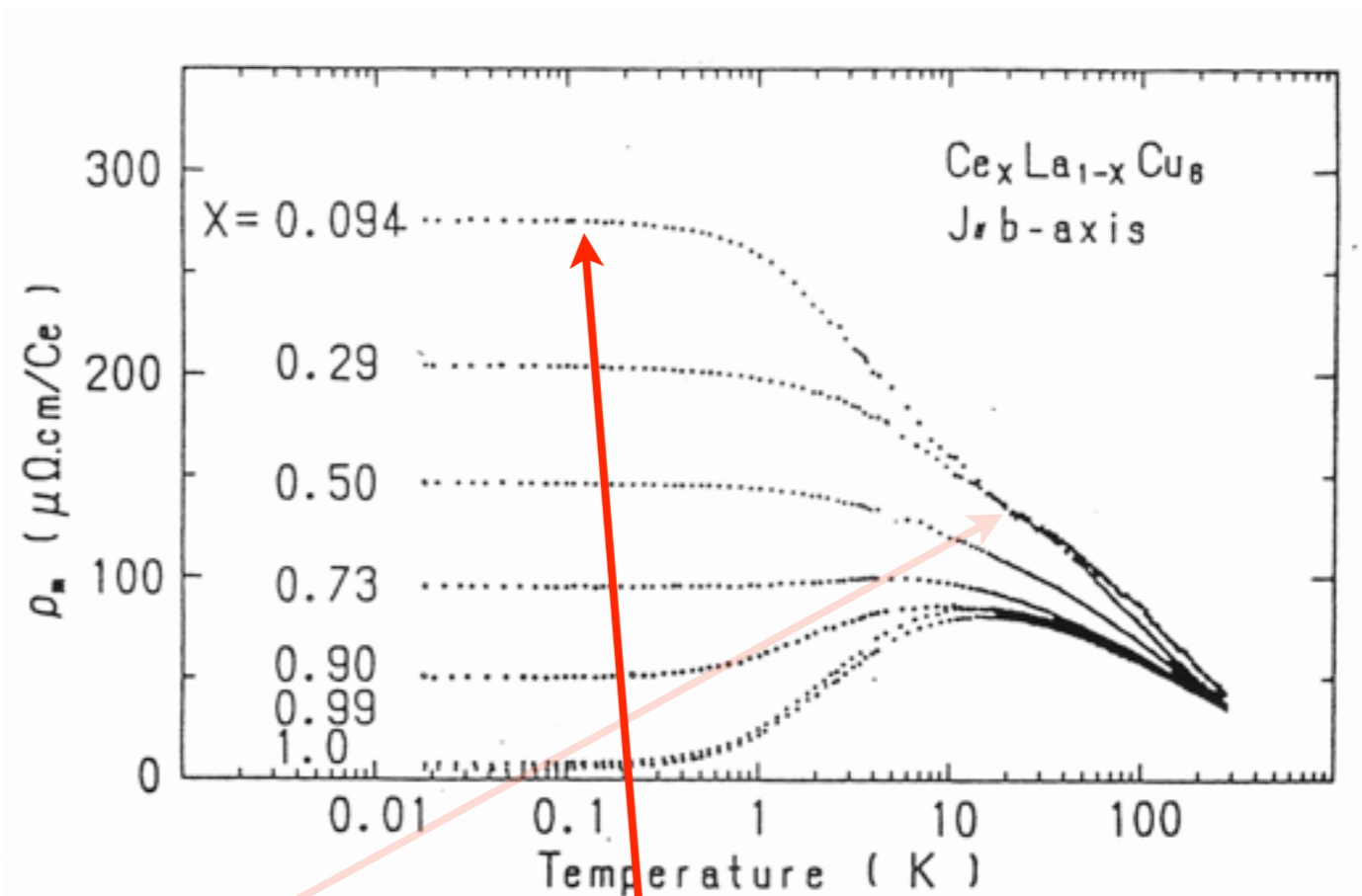


Jun Kondo



de Haas, van der Berg 1936

increase: $\propto \log(T)$



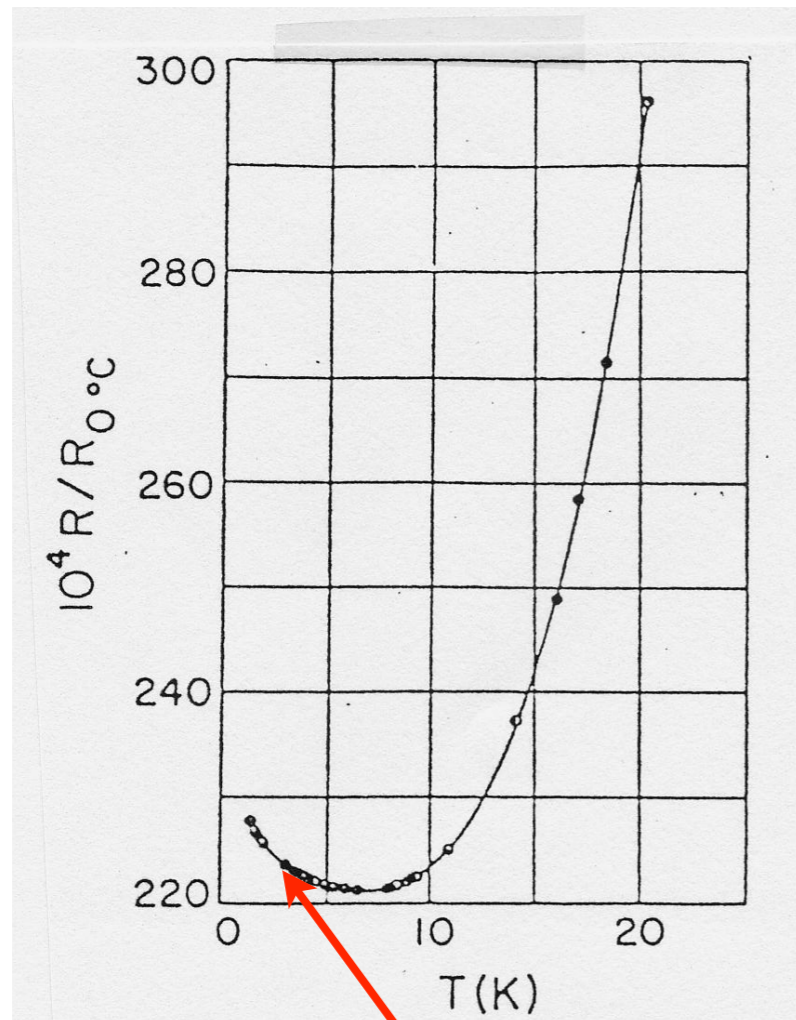
Onuki et al 1987

but saturation for $T < T_K$

Jun Kondo(1964) magnetic scattering: $H_K = J\vec{S}_{loc}\vec{S}_{band}$

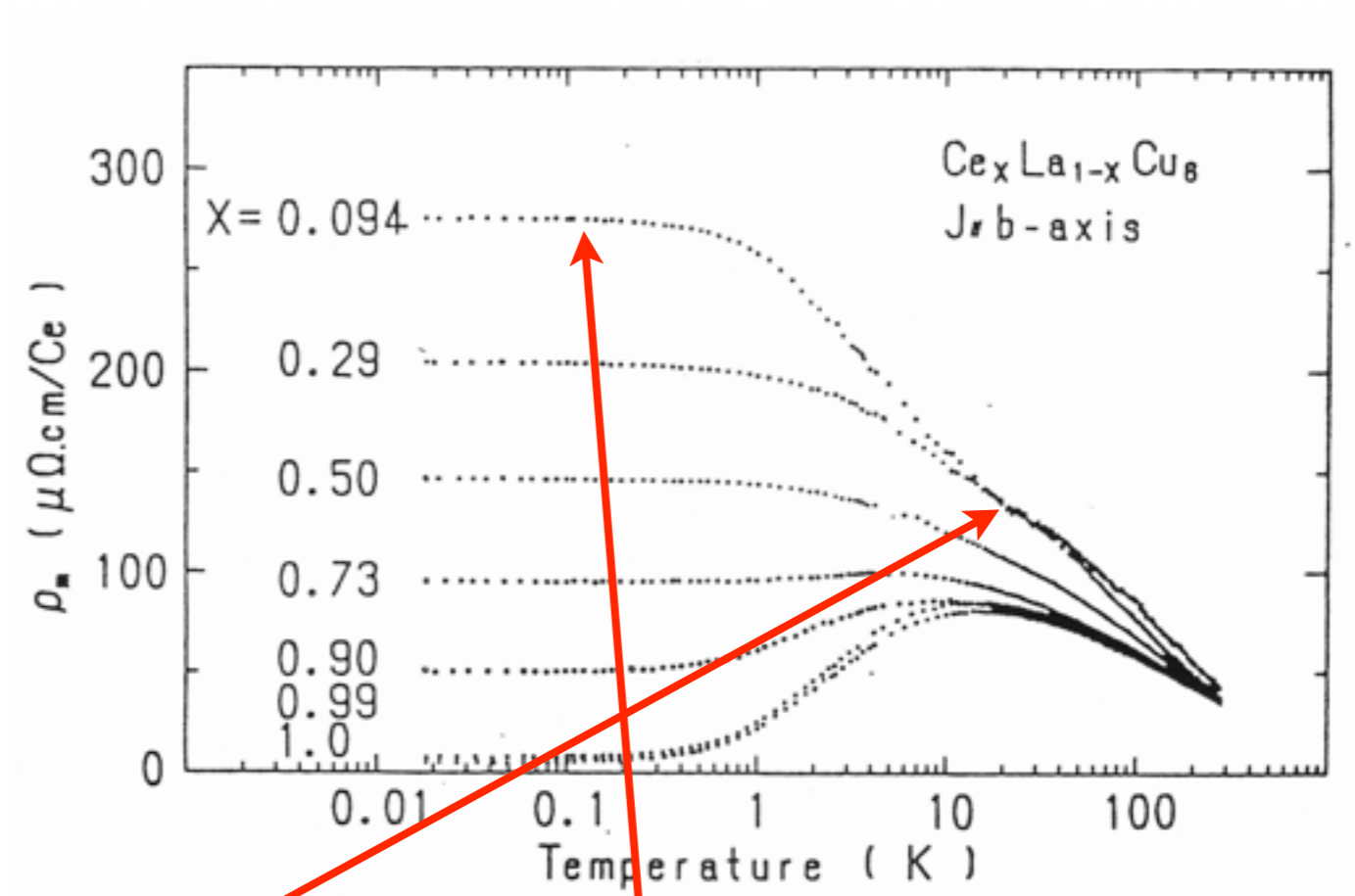


Jun Kondo



de Haas, van der Berg 1936

increase: $\propto \log(T)$

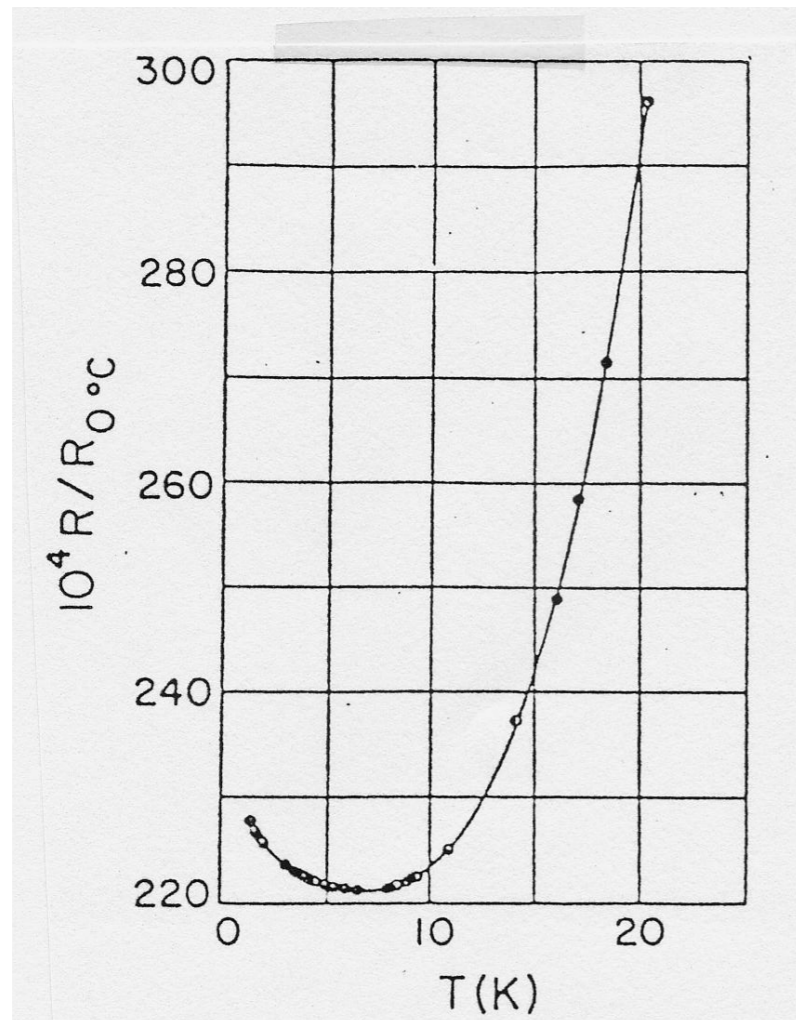


Onuki et al 1987

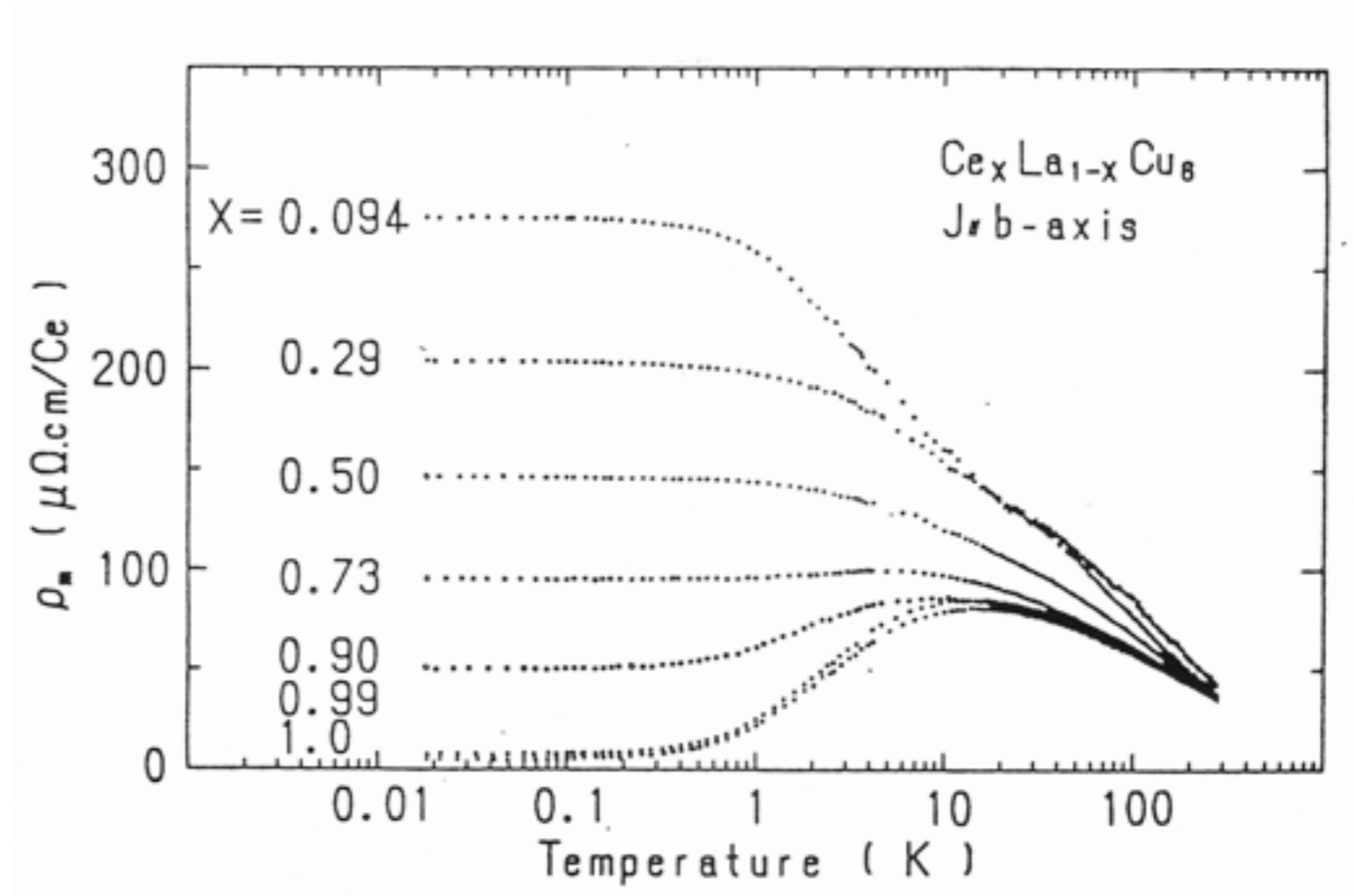
but saturation for $T < T_k$



Jun Kondo



de Haas, van der Berg 1936



Onuki et al 1987

increase: $\propto \log(T)$

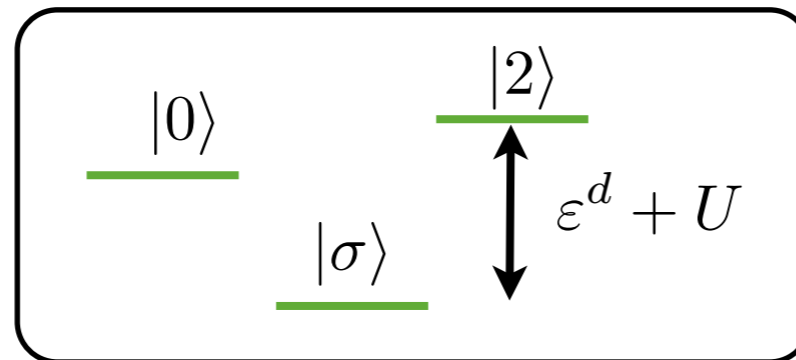
but saturation for $T < T_K$

$$\rho_{imp} = \frac{3\pi m J^2 S(S+1)}{2e^2 \hbar \varepsilon_F} \left[1 - J\rho(\varepsilon_F) \ln\left(\frac{k_B T}{D}\right) + O(J^2) \right]$$

Single level:
$$H_{imp} = \sum_{\sigma} \varepsilon^d d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow} n_{\downarrow}$$

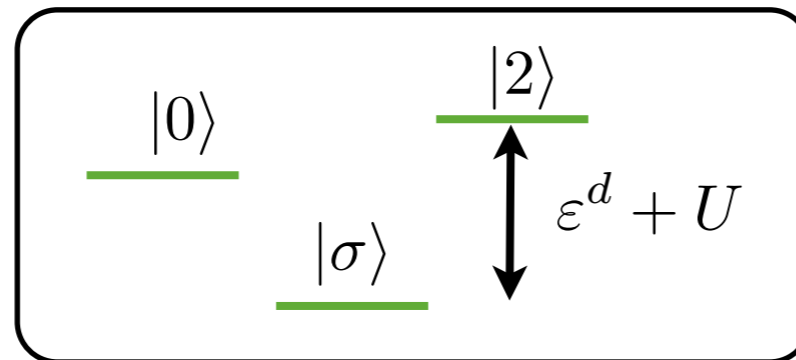
Single level:
$$H_{imp} = \sum_{\sigma} \varepsilon^d d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow} n_{\downarrow}$$

Eigenstates:



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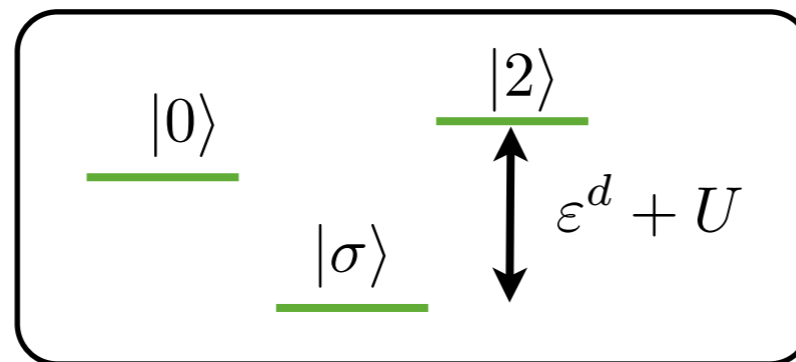
Eigenstates:



local moment formation: $T < U$

Single level:
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Eigenstates:

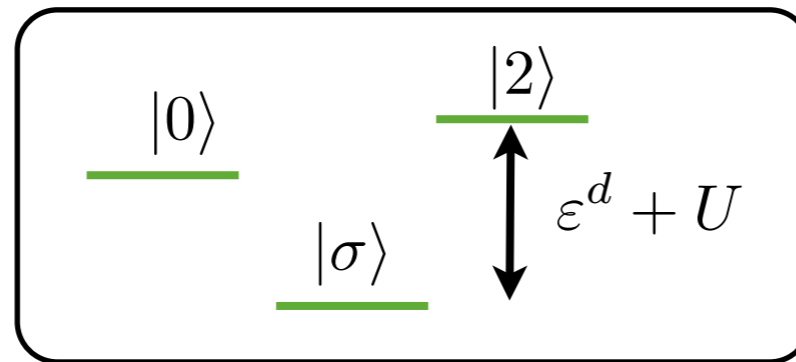


local moment formation: $T < U$

charge fluctuations:

Single level:
$$H_{imp} = \sum_{\sigma} \epsilon^d d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow} n_{\downarrow}$$

Eigenstates:



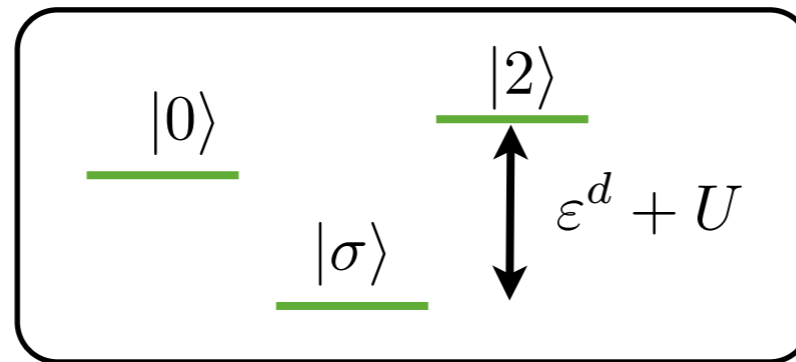
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Eigenstates:



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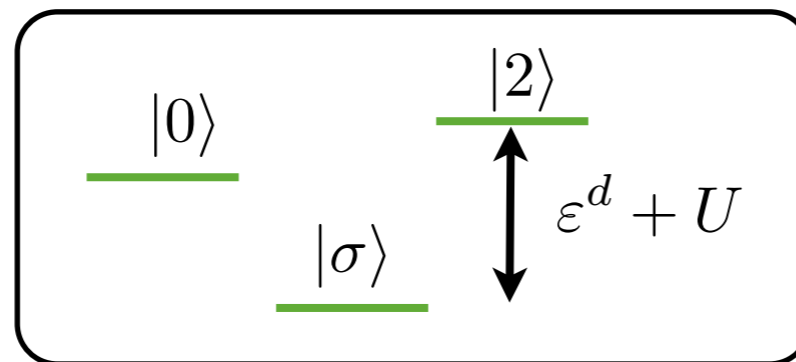


hybridization:

$$H_{hyp} = \sum_{\sigma k} V(\sigma k) \left(d_{\sigma}^{\dagger} c_{k\sigma} + c_{k\sigma}^{\dagger} d_{\sigma} \right)$$

Single level:
$$H_{imp} = \sum_{\sigma} \epsilon^d d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow} n_{\downarrow}$$

Eigenstates:

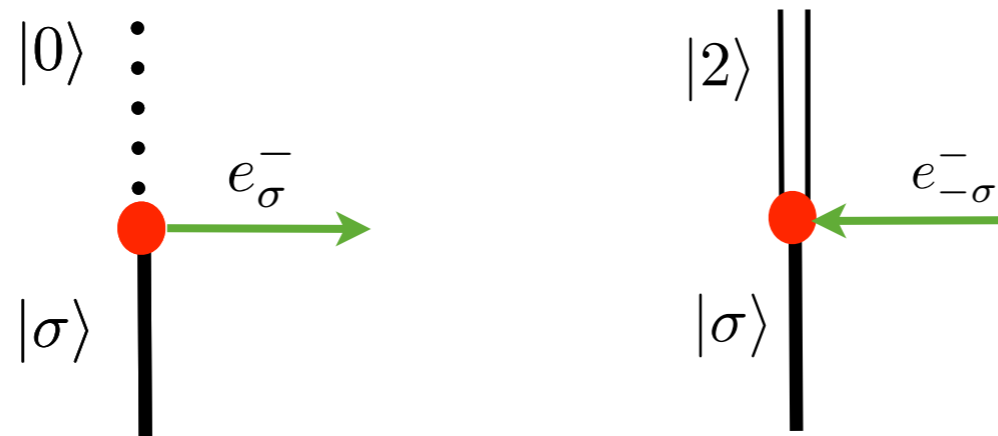


local moment formation: $T < U$
model related to the Kondo model

charge fluctuations:



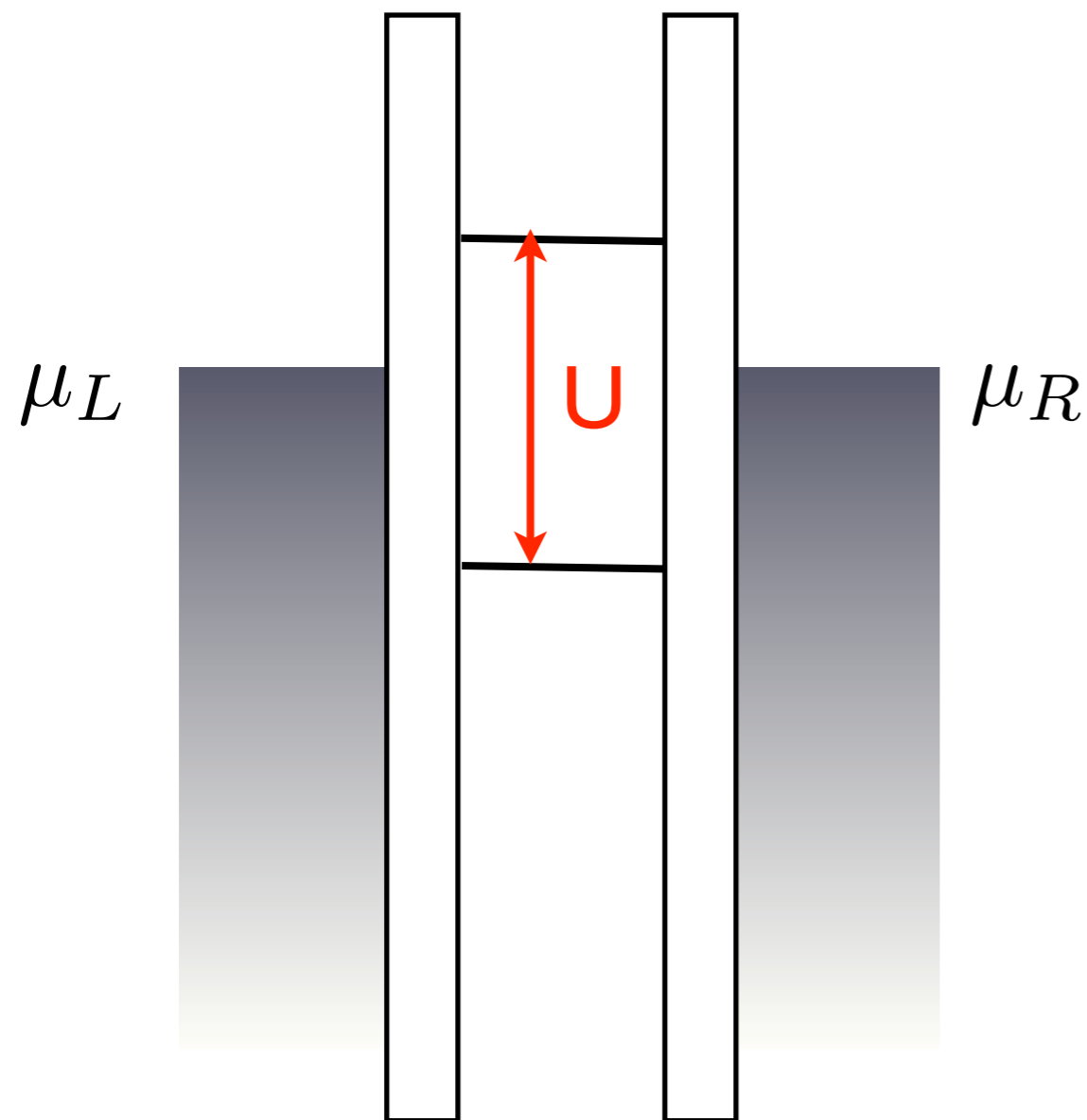
hybridization:



$$H = \sum_{\sigma} E_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow}^d n_{\downarrow}^d$$

$$|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |2\rangle$$

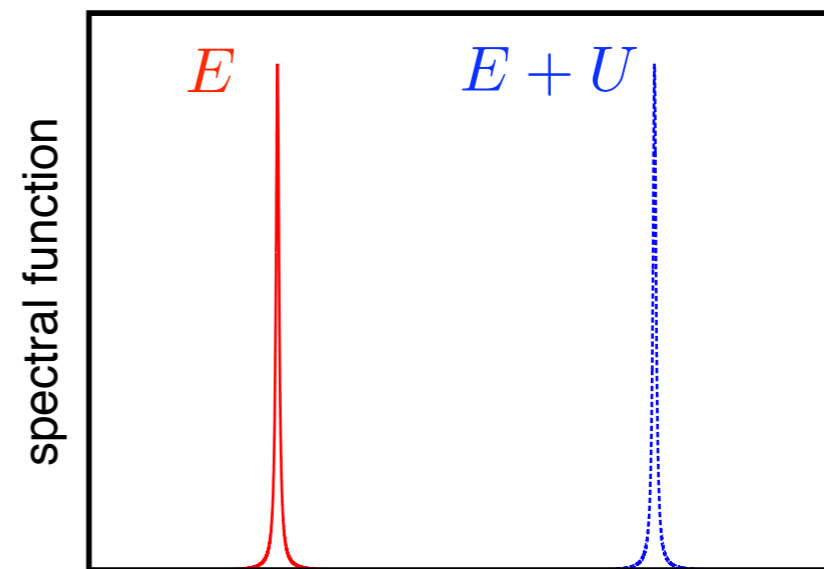
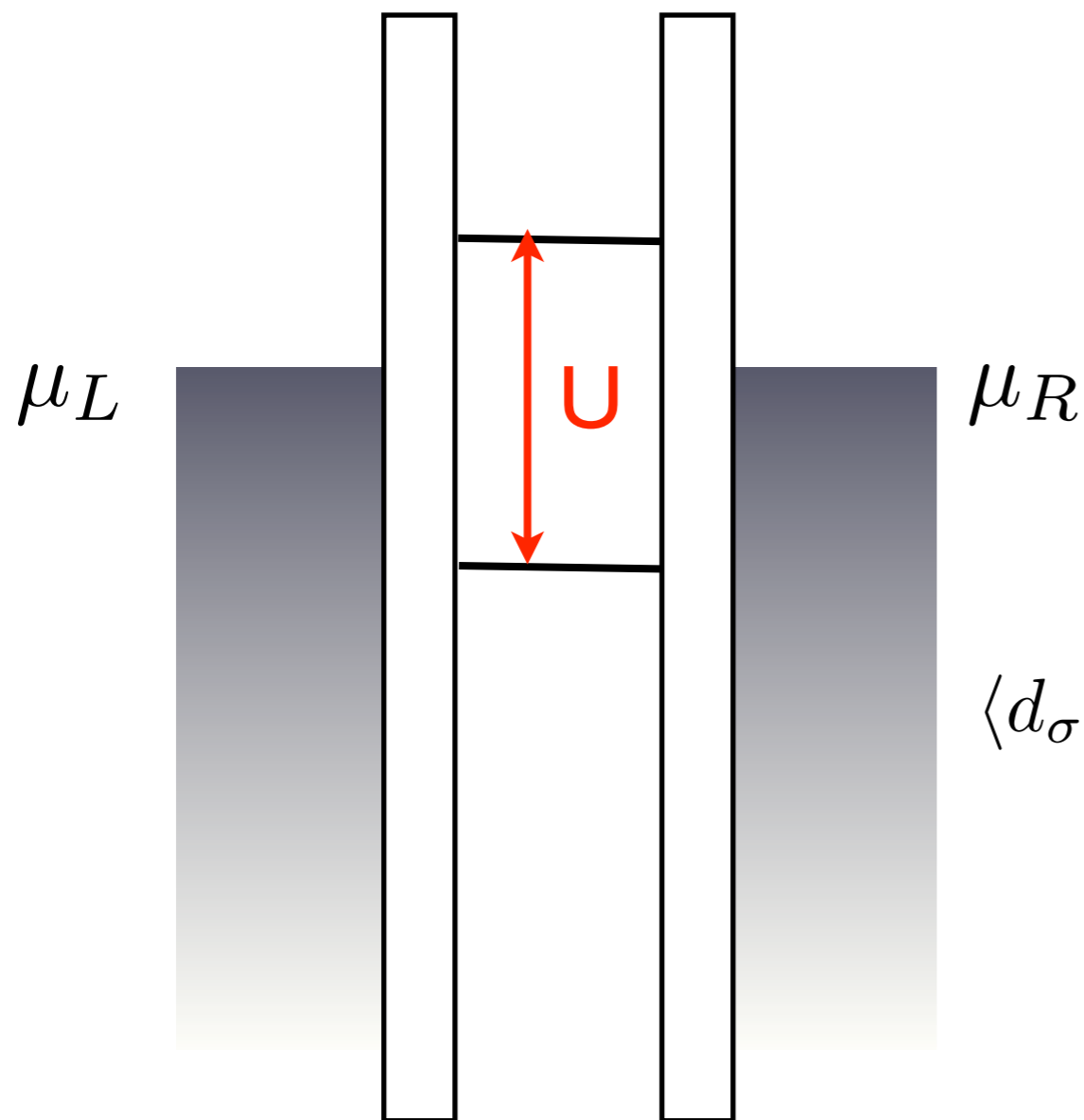
$$|0\rangle, E_{\uparrow}, E_{\downarrow}, 2E + U$$



$$H = \sum_{\sigma} E_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow}^d n_{\downarrow}^d$$

$$|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |2\rangle$$

$$|0\rangle, E_{\uparrow}, E_{\downarrow}, 2E + U$$



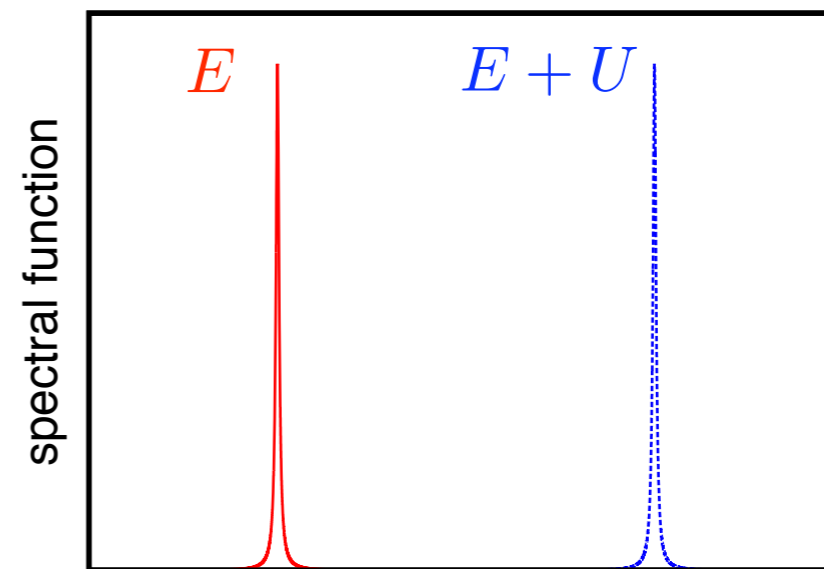
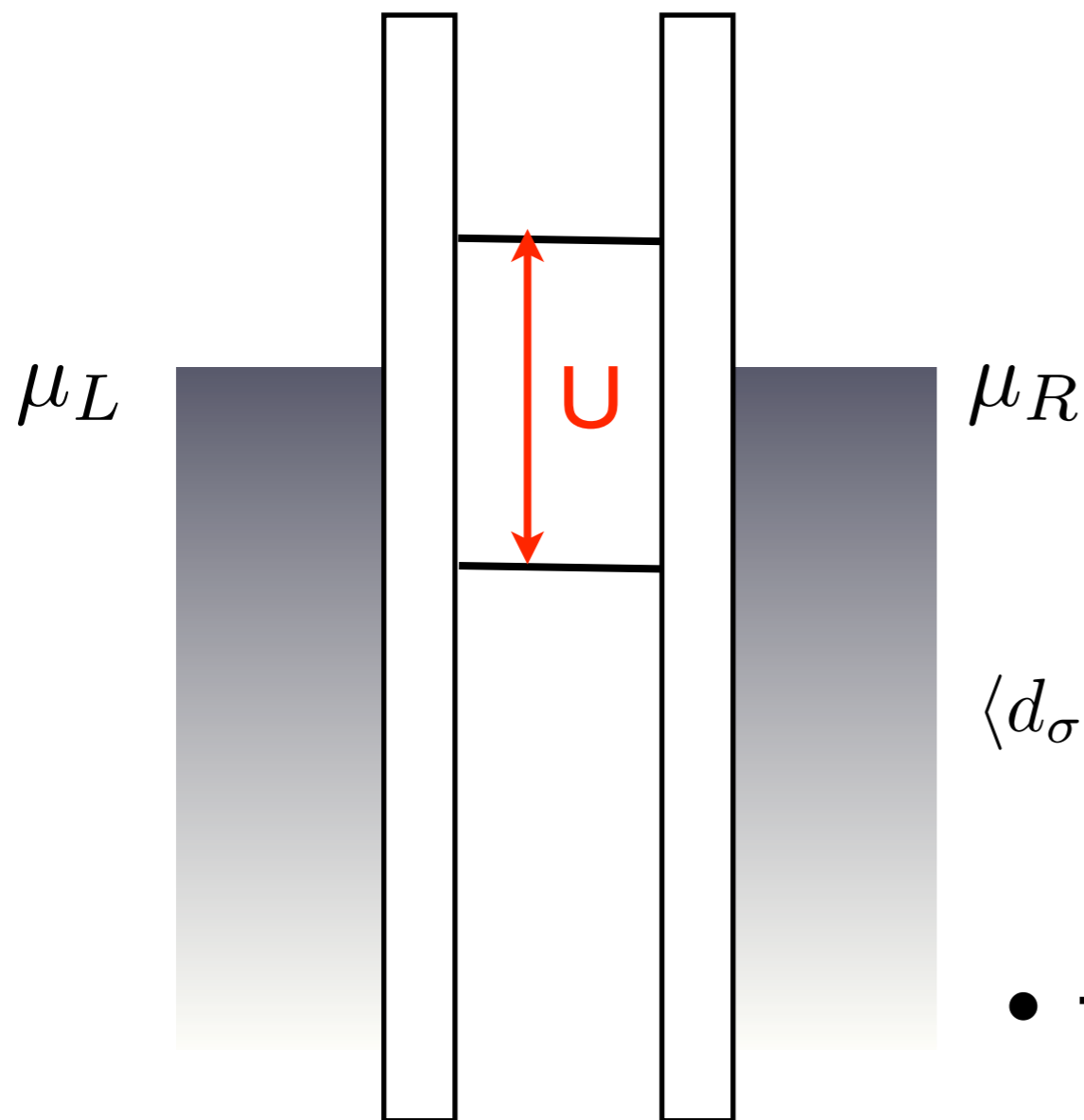
$$\langle d_{\sigma} | d_{\sigma}^{\dagger} \rangle(z) = \frac{1 - n_{-\sigma}}{z - E_{\sigma}} + \frac{n_{-\sigma}}{z - (E_{\sigma} + U)}$$

Spektralfunktion

$$H = \sum_{\sigma} E_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow}^d n_{\downarrow}^d$$

$$|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |2\rangle$$

$$|0\rangle, E_{\uparrow}, E_{\downarrow}, 2E + U$$



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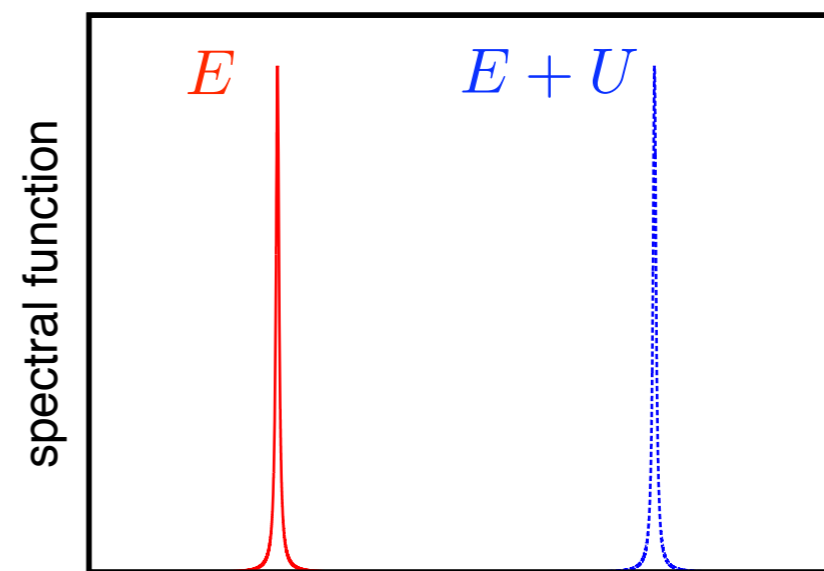
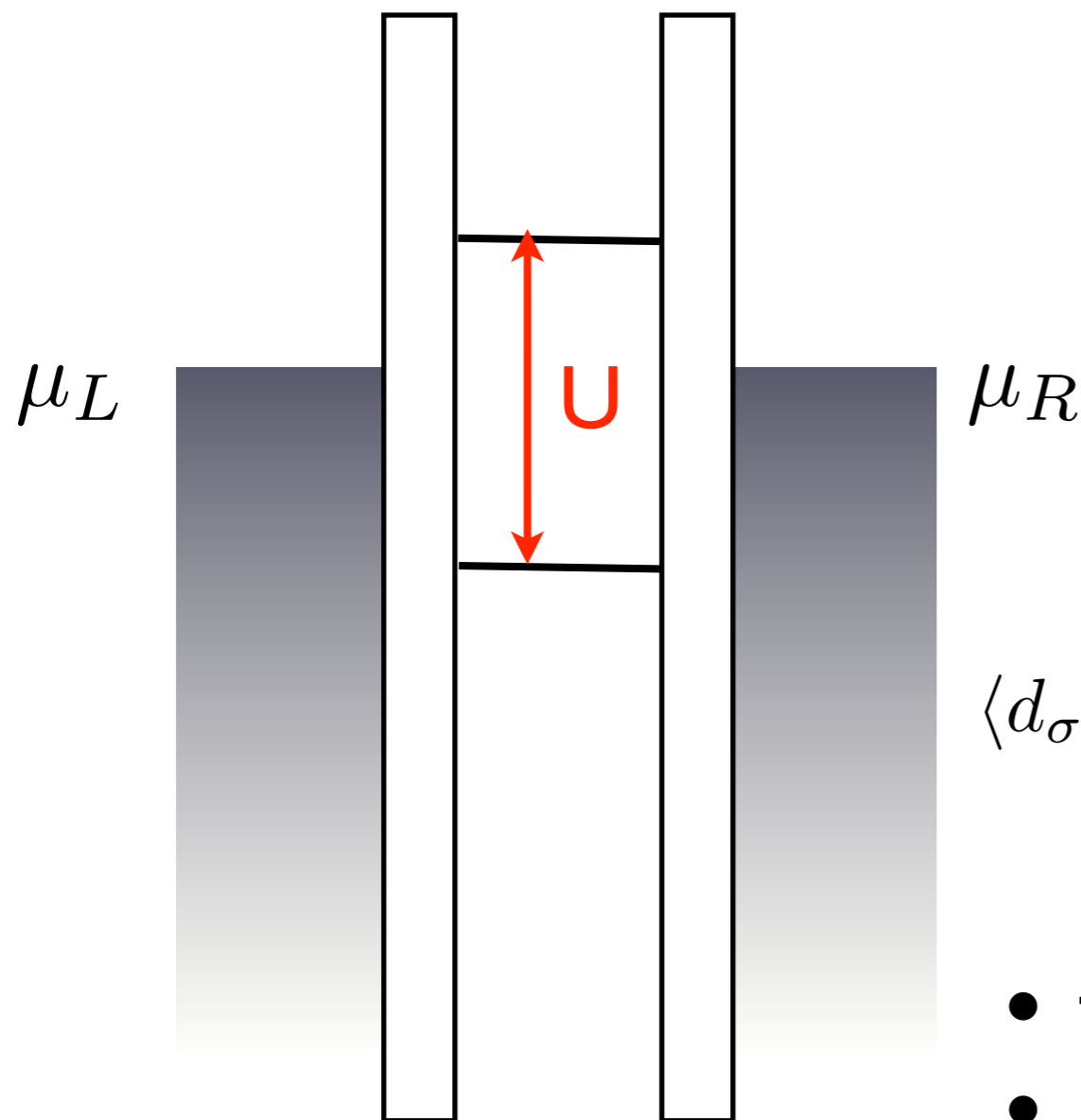
Spektralfunktion

- fractal weights for n=1

$$H = \sum_{\sigma} E_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U n_{\uparrow}^d n_{\downarrow}^d$$

$$|0\rangle, |\uparrow\rangle, |\downarrow\rangle, |2\rangle$$

$$|0\rangle, E_{\uparrow}, E_{\downarrow}, 2E + U$$



$$\langle d_{\sigma} | d_{\sigma}^{\dagger} \rangle(z) = \frac{1 - n_{-\sigma}}{z - E_{\sigma}} + \frac{n_{-\sigma}}{z - (E_{\sigma} + U)}$$

Spektralfunktion

- fractal weights for n=1
- no single-particle descriptions



spin, charge and orbital fluctuations

$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

see R. Eder's lecture



spin, charge and orbital fluctuations

$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

U includes Hund's rule couplings

see R. Eder's lecture



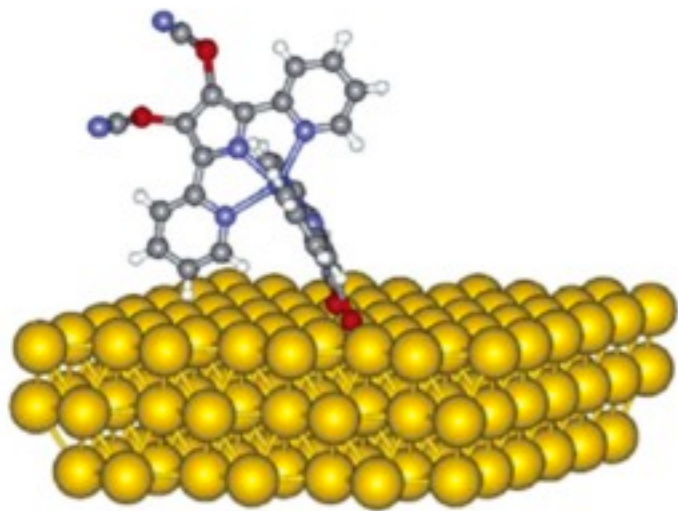
spin, charge and orbital fluctuations

$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

U includes Hund's rule couplings see R. Eder's lecture

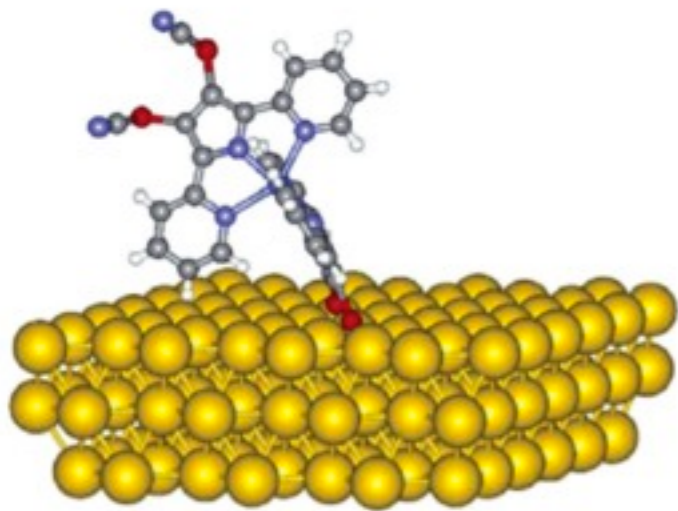
$$H_{hyp} = \sum_{i\sigma k, \nu} V_{i, \nu}(\sigma k) \left(d_{i\sigma}^\dagger c_{k\nu\sigma} + c_{k\nu\sigma}^\dagger d_{i\sigma} \right)$$

$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

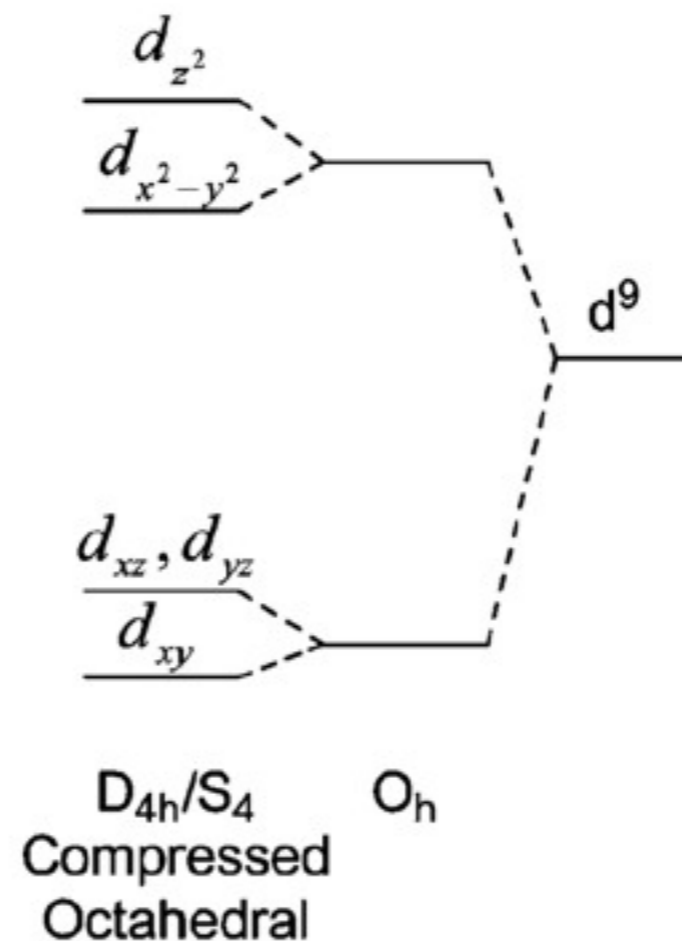


transition metal
complex on a surface

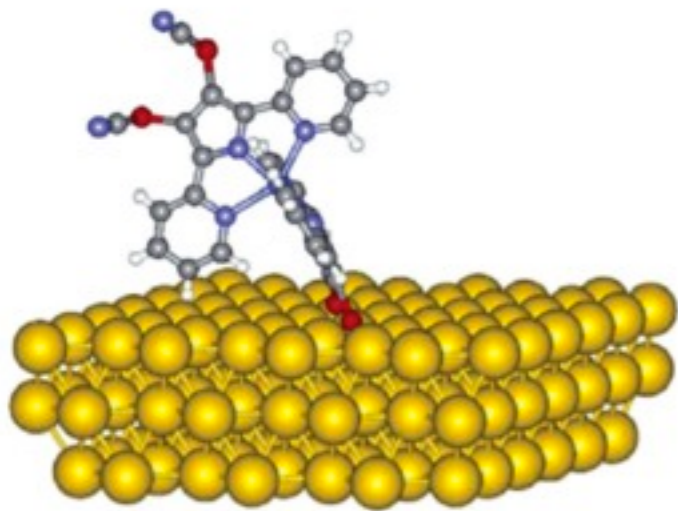
$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$



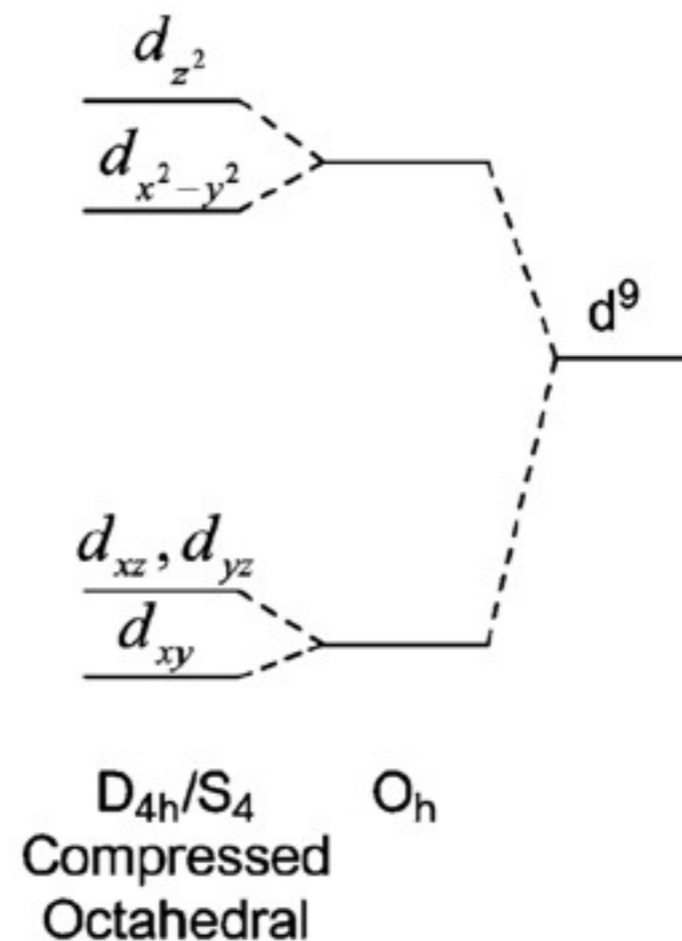
transition metal complex on a surface



$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

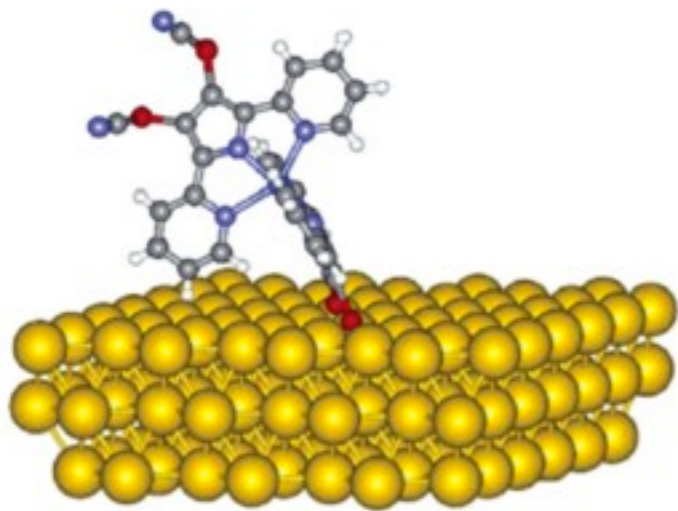


transition metal complex on a surface

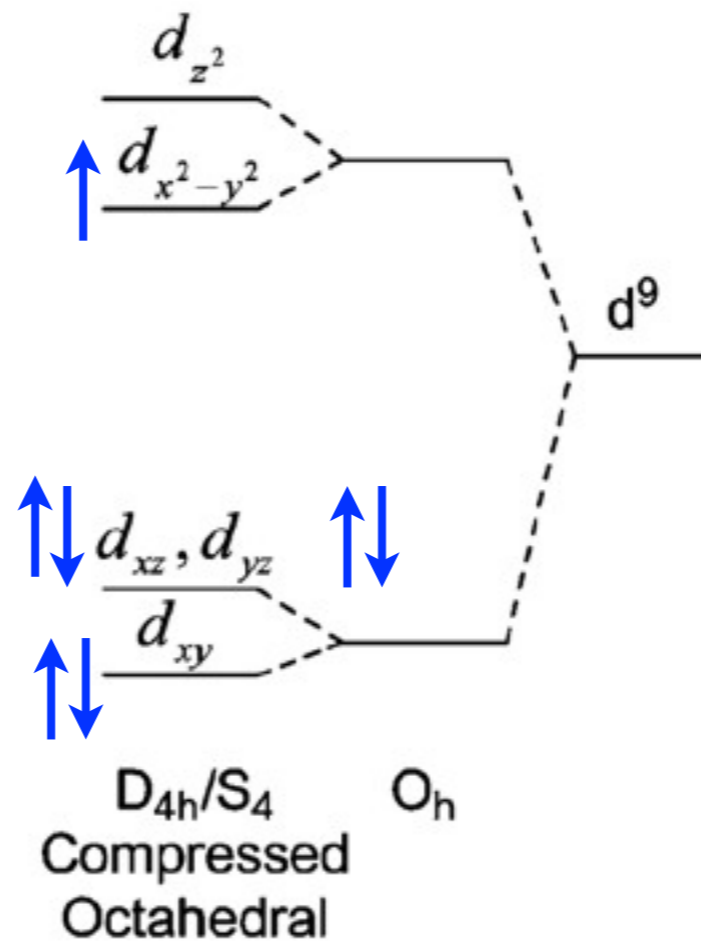


Co^{2+} low spin
 $S=1/2$

$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$

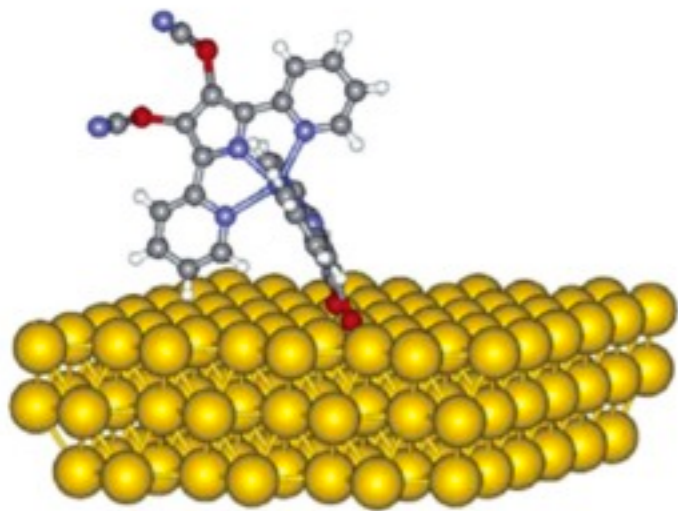


transition metal complex on a surface

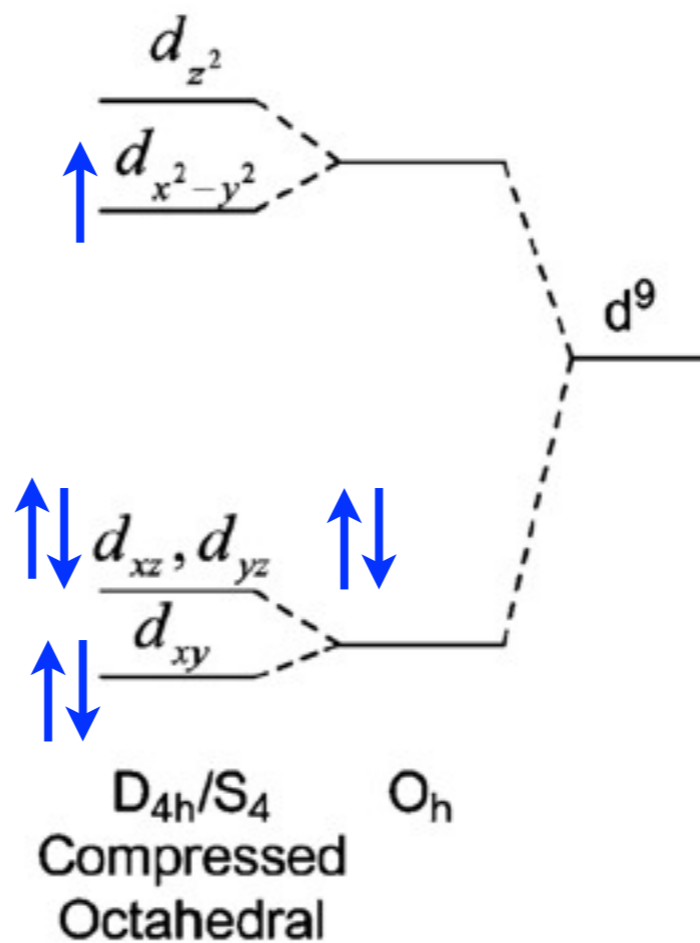


Co^{2+} low spin
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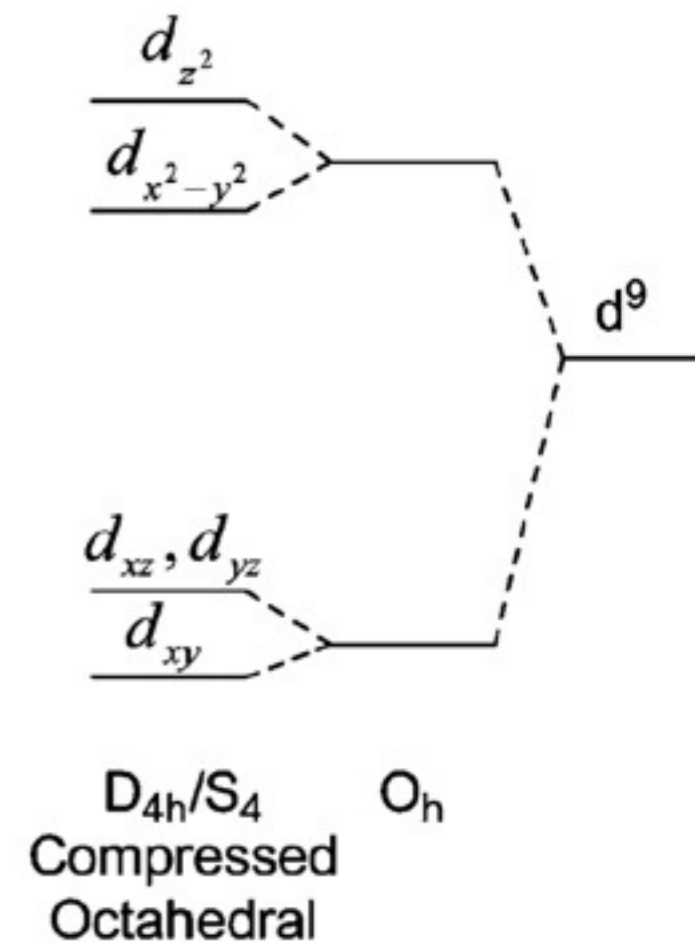
$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$



transition metal complex on a surface

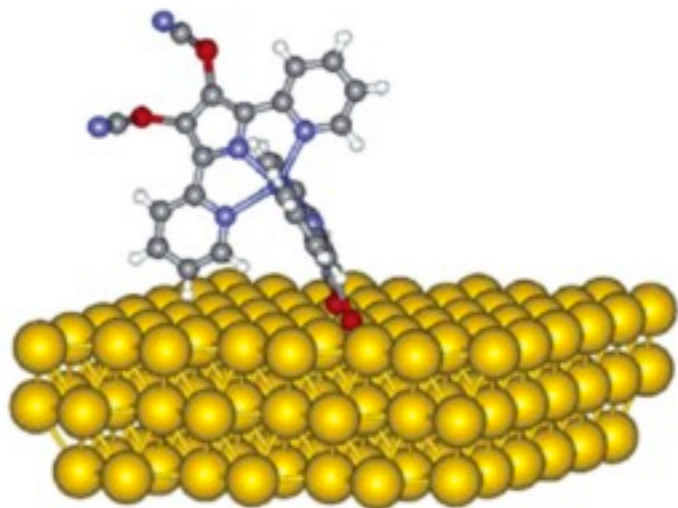


Co^{2+} low spin
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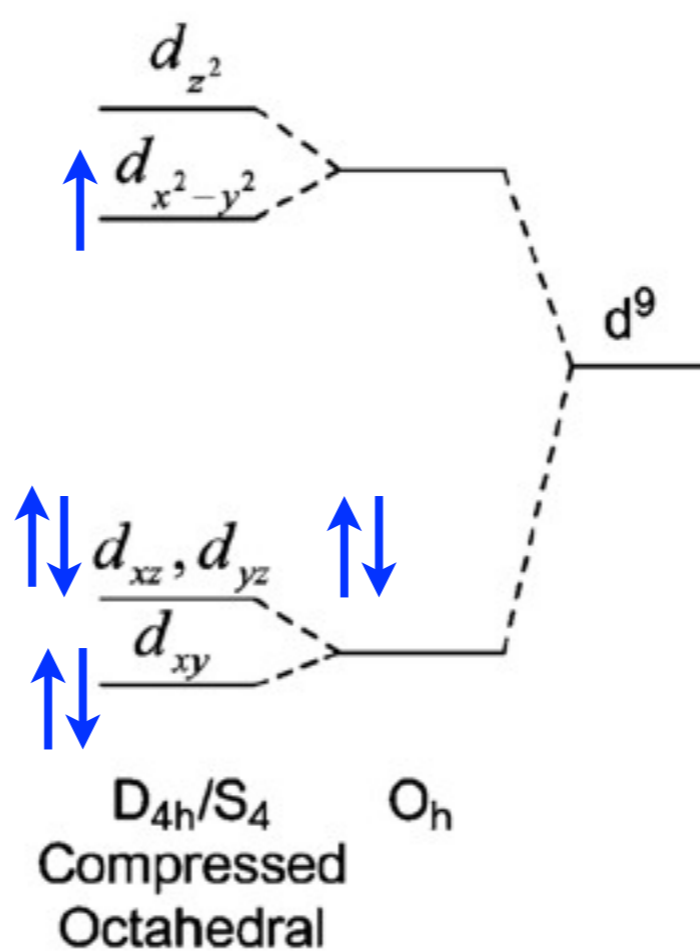


high spin
 $S=3/2$

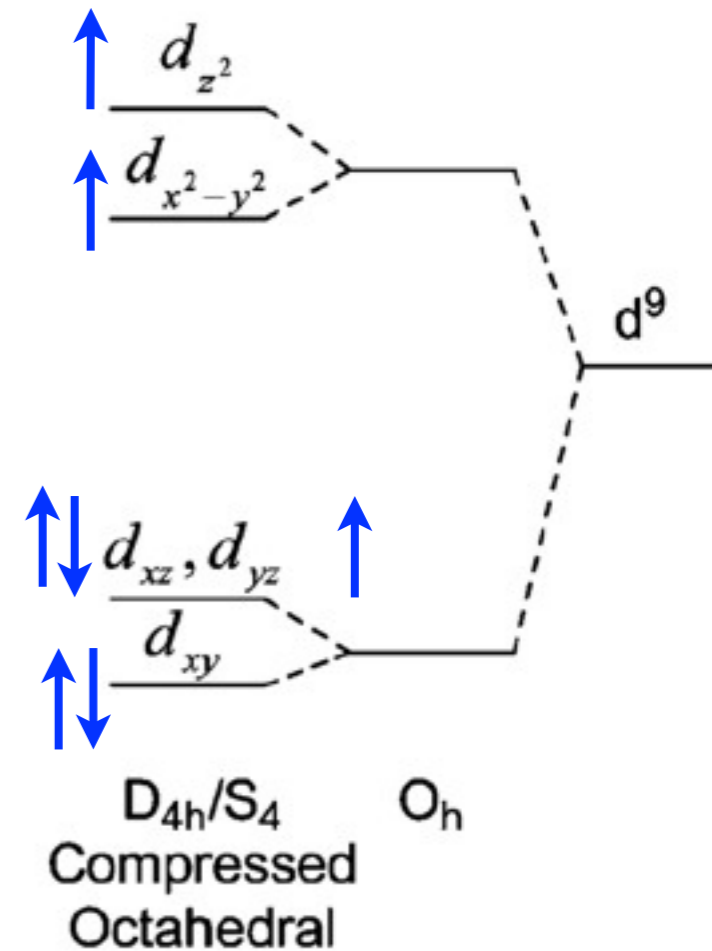
$$H_{imp} = \sum_{i\sigma} \varepsilon_i^d n_{i\sigma}^d + \sum_{\substack{\sigma\sigma' \\ mnpq}} U_{mnpq} d_{n\sigma}^\dagger d_{m\sigma'}^\dagger d_{p\sigma'} d_{n\sigma}$$



transition metal complex on a surface



Co^{2+} low spin
 $S=1/2$



high spin
 $S=3/2$

Renormalization Group

three steps of renormalization:

three steps of renormalization:

1. elimination of high energy modes

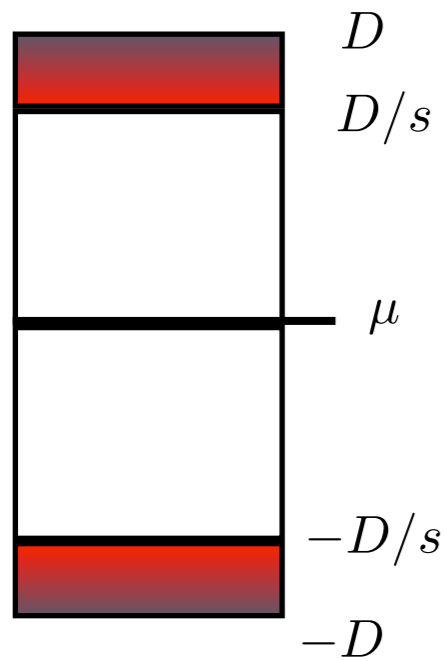
three steps of renormalization:

1. elimination of high energy modes
2. rescaling of all parameters

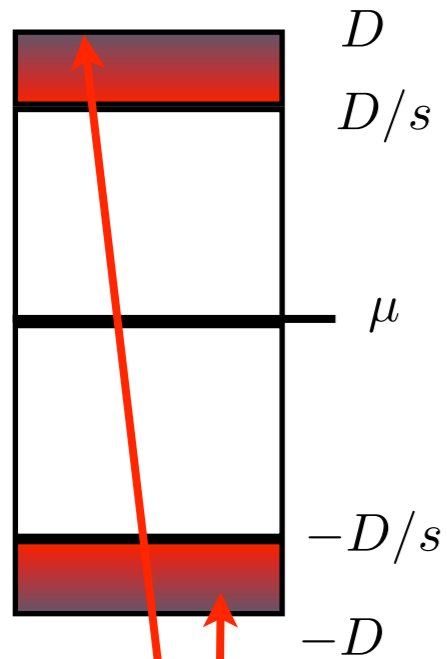
three steps of renormalization:

1. elimination of high energy modes
2. rescaling of all parameters
3. rescaling of the quantum fields

single particle energies

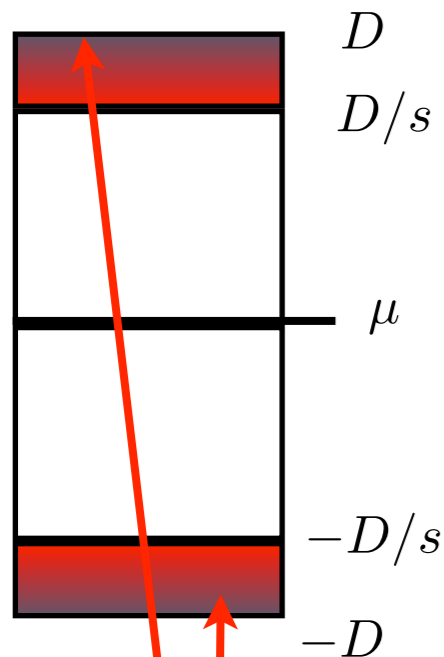


single particle energies



high energy mode elimination

single particle energies

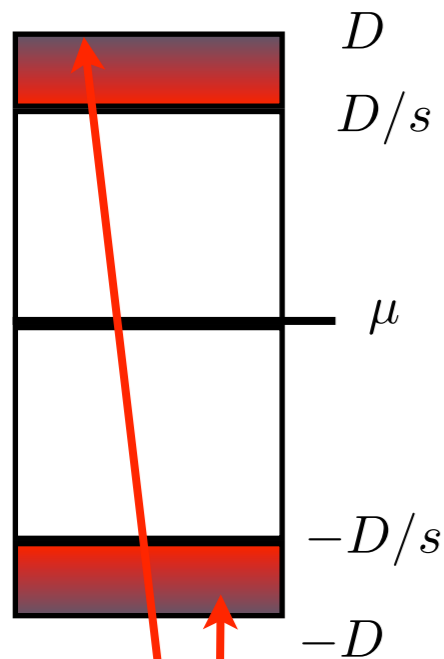


I. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x + \left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x$$

high energy mode elimination

single particle energies

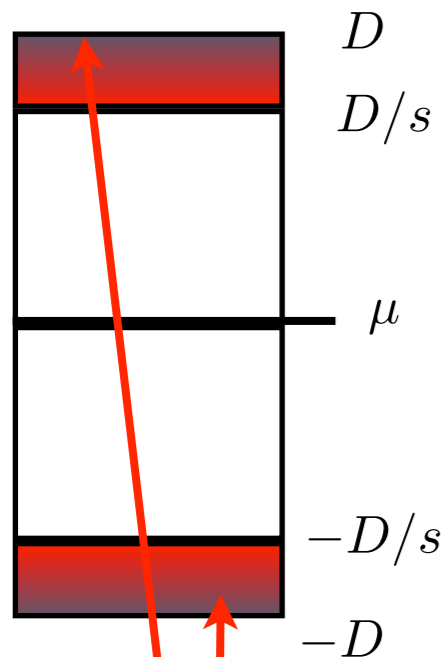


I. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x$$

high energy mode elimination

single particle energies



I. mode elimination

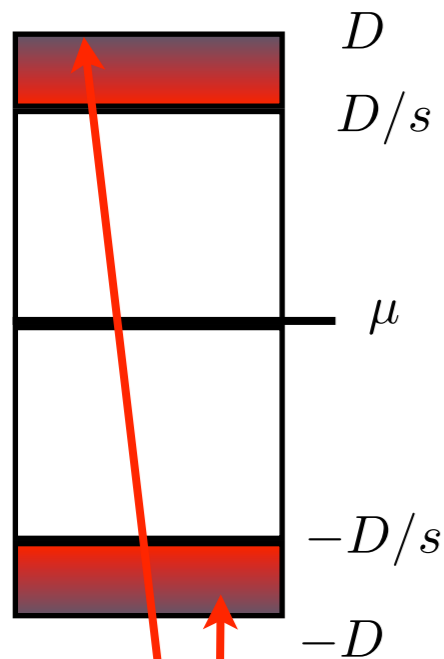
$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \underbrace{\left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x}_{\text{discarded}}$$

kept

discarded

high energy mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \underbrace{\left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x}_{\text{discarded}}$$

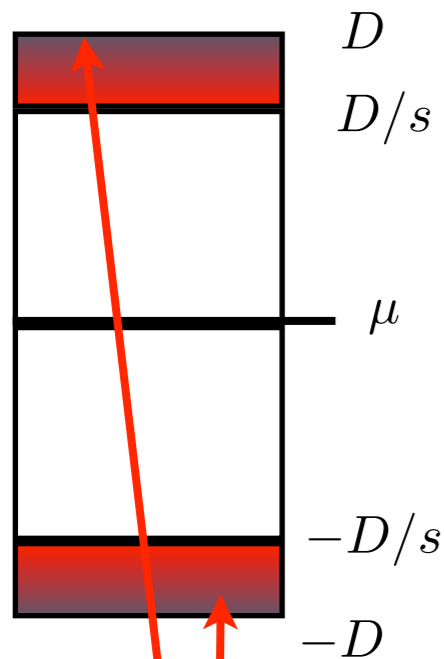
kept

discarded

2. rescaling of the parameters: $x \rightarrow x' = sx$

high energy mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \underbrace{\left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x}_{\text{discarded}}$$

kept

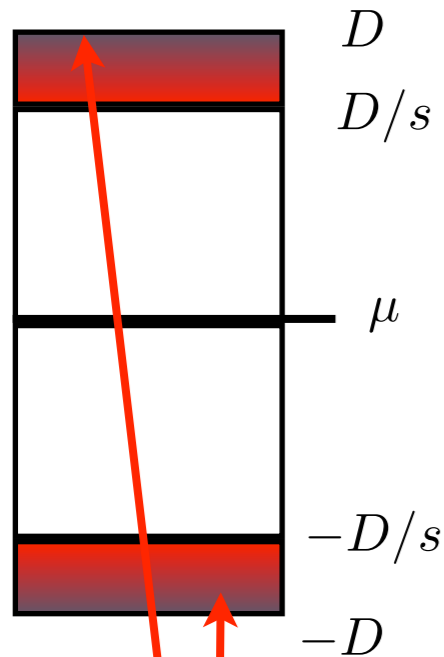
discarded

2. rescaling of the parameters: $x \rightarrow x' = sx$

$$\frac{H'}{D} = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x = s^{-2} \int_{-1}^1 dx' x' c_{x'(x)}^\dagger c_{x'(x)}$$

high energy mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \underbrace{\left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x}_{\text{discarded}}$$

kept

discarded

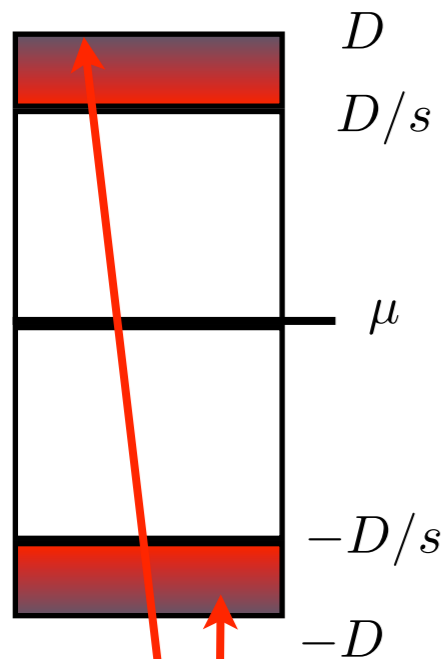
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$$\frac{H'}{D} = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x = s^{-2} \int_{-1}^1 dx' x' c_{x'(x)}^\dagger c_{x'(x)}$$

3. rescaling of field operators: $c_{x'} \rightarrow \frac{1}{\sqrt{s}} c_{x'(x)}$

high energy
mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \underbrace{\int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x}_{\text{kept}} + \underbrace{\left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x}_{\text{discarded}}$$

kept

discarded

2. rescaling of the parameters: $x \rightarrow x' = sx$

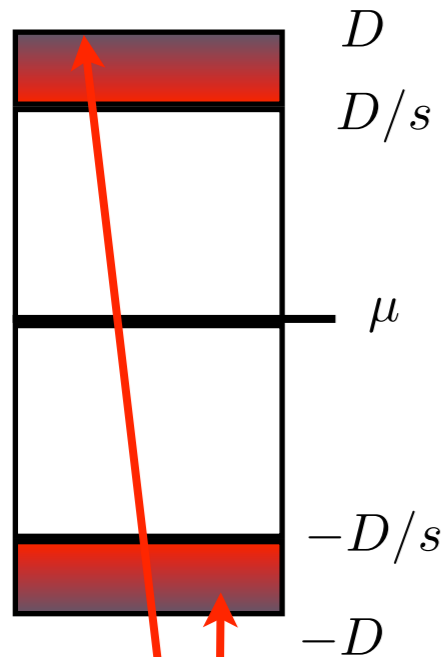
$$\frac{H'}{D} = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x = s^{-2} \int_{-1}^1 dx' x' c_{x'(x)}^\dagger c_{x'(x)}$$

3. rescaling of field operators: $c_{x'} \rightarrow \frac{1}{\sqrt{s}} c_{x'(x)}$

$$\frac{H'}{D} = s^{-1} \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'} \Rightarrow \frac{H'}{D'} = \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'}$$

high energy mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x + \left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x$$

kept
discarded

2. rescaling of the parameters: $x \rightarrow x' = sx$

$$\frac{H'}{D} = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x = s^{-2} \int_{-1}^1 dx' x' c_{x'(x)}^\dagger c_{x'(x)}$$

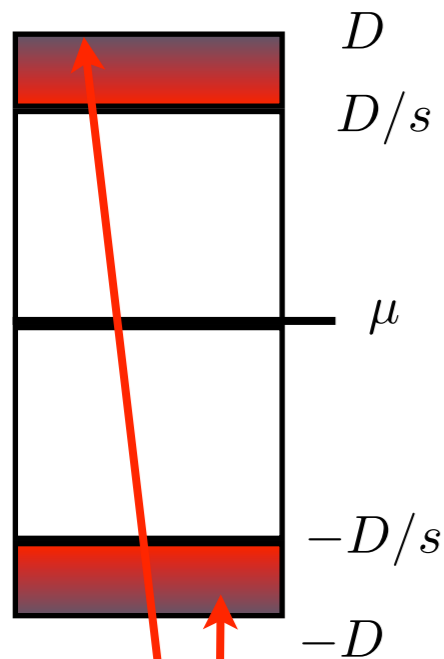
3. rescaling of field operators: $c_{x'} \rightarrow \frac{1}{\sqrt{s}} c_{x'(x)}$

$$\frac{H'}{D} = s^{-1} \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'} \Rightarrow \frac{H'}{D'} = \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'}$$

$$D' = D/s$$

high energy mode elimination

single particle energies



1. mode elimination

$$\frac{H}{D} = \int_{-1}^1 dx x c_x^\dagger c_x = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x + \left(\int_{-1}^{-\frac{1}{s}} + \int_{\frac{1}{s}}^1 \right) dx x c_x^\dagger c_x$$

kept discarded

2. rescaling of the parameters: $x \rightarrow x' = sx$

$$\frac{H'}{D} = \int_{-\frac{1}{s}}^{\frac{1}{s}} dx x c_x^\dagger c_x = s^{-2} \int_{-1}^1 dx' x' c_{x'(x)}^\dagger c_{x'(x)}$$

3. rescaling of field operators: $c_{x'} \rightarrow \frac{1}{\sqrt{s}} c_{x'(x)}$

$$\frac{H'}{D} = s^{-1} \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'} \Rightarrow \frac{H'}{D'} = \int_{-1}^1 dx' x' c_{x'}^\dagger c_{x'}$$

$D' = D/s \Rightarrow$ RG fixed point

high energy mode elimination

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Projector onto low energy subspace: \hat{P}_L

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Projector onto low energy subspace: \hat{P}_L

Projector onto high energy subspace: $\hat{P}_H = \hat{1} - \hat{P}_L$

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Projector onto low energy subspace: \hat{P}_L

Projector onto high energy subspace: $\hat{P}_H = \hat{1} - \hat{P}_L$

Definitions:

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Projector onto low energy subspace: \hat{P}_L

Projector onto high energy subspace: $\hat{P}_H = \hat{1} - \hat{P}_L$

Definitions:

$$H_d = \hat{P}_L H \hat{P}_L + \hat{P}_H H \hat{P}_H$$

$$\lambda V = \hat{P}_L H \hat{P}_H + \hat{P}_H H \hat{P}_L$$

$$H = \left(\begin{array}{c|c} H_d^L & \lambda V \\ \hline \lambda V & H_d^H \end{array} \right)$$

Kondo Hamiltonian

$$\begin{aligned} \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\ &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \end{aligned}$$

Kondo Hamiltonian

$$\begin{aligned} \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\ &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \end{aligned}$$

low energy part

Kondo Hamiltonian

$$\begin{aligned}
 \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\
 &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-2} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{x(\bar{x})\alpha}^\dagger c_{x'(\bar{x}')\beta} [\vec{\sigma}]_{\alpha\beta}
 \end{aligned}$$

Kondo Hamiltonian

$$\begin{aligned}
 \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\
 &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-2} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_1^1 d\bar{x}' c_{x(\bar{x})\alpha}^\dagger c_{x'(\bar{x}')\beta} [\vec{\sigma}]_{\alpha\beta}
 \end{aligned}$$

rescale energies

Kondo Hamiltonian

$$\begin{aligned}
 \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\
 &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-2} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{x(\bar{x})\alpha}^\dagger c_{x'(\bar{x}')\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-1} s^{-1+1} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{\bar{x}\alpha}^\dagger c_{\bar{x}'\beta} [\vec{\sigma}]_{\alpha\beta}
 \end{aligned}$$

Kondo Hamiltonian

$$\begin{aligned}
 \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\
 &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-2} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{\bar{x}\alpha}^\dagger c_{\bar{x}'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-1} s^{-1+1} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{\bar{x}\alpha}^\dagger c_{\bar{x}'\beta} [\vec{\sigma}]_{\alpha\beta}
 \end{aligned}$$

$D' = D/s$

Kondo Hamiltonian

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 \frac{H_d^L}{D} &= \hat{P}_L g \sum_{\alpha\beta} \int_{-1}^1 dx \int_{-1}^1 dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \hat{P}_L \\
 &= g \sum_{\alpha\beta} \int_{-\frac{1}{s}}^{\frac{1}{s}} dx \int_{-\frac{1}{s}}^{\frac{1}{s}} dx' c_{x\alpha}^\dagger c_{x'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-2} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{\bar{x}\alpha}^\dagger c_{\bar{x}'\beta} [\vec{\sigma}]_{\alpha\beta} \\
 &= s^{-1} s^{-1+1} g \sum_{\alpha\beta} \int_{-1}^1 d\bar{x} \int_{-1}^1 d\bar{x}' c_{\bar{x}\alpha}^\dagger c_{\bar{x}'\beta} [\vec{\sigma}]_{\alpha\beta}
 \end{aligned}$$

$D' = D/s$

Kondo interaction: marginal operator

Example: Coulomb interaction

$$\begin{aligned} \frac{H_C^L}{D} &= \hat{P}_L u \sum_{\sigma\sigma'} \int_{-1}^1 dx_1 \int_{-1}^1 dx_2 \int_{-1}^1 dx_3 \int_{-1}^1 dx_4 c_{x_1\sigma}^\dagger c_{x_2\sigma'}^\dagger c_{x_3\sigma'} c_{x_4\sigma} \hat{P}_L \\ &= s^{-4} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{x_1(\bar{x}_1)\sigma}^\dagger c_{x_2(\bar{x}_2)\sigma'}^\dagger c_{x_3(\bar{x}_3)\sigma'} c_{x_4(\bar{x}_4)\sigma} \end{aligned}$$

Example: Coulomb interaction

$$\begin{aligned} \frac{H_C^L}{D} &= \hat{P}_L u \sum_{\sigma\sigma'} \int_{-1}^1 dx_1 \int_{-1}^1 dx_2 \int_{-1}^1 dx_3 \int_{-1}^1 dx_4 c_{x_1\sigma}^\dagger c_{x_2\sigma'}^\dagger c_{x_3\sigma'} c_{x_4\sigma} \hat{P}_L \\ &= s^{-4} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{x_1(\bar{x}_1)\sigma}^\dagger c_{x_2(\bar{x}_2)\sigma'}^\dagger c_{x_3(\bar{x}_3)\sigma'} c_{x_4(\bar{x}_4)\sigma} \end{aligned}$$

rescale energies

Example: Coulomb interaction

$$\begin{aligned}
 \frac{H_C^L}{D} &= \hat{P}_L u \sum_{\sigma\sigma'} \int_{-1}^1 dx_1 \int_{-1}^1 dx_2 \int_{-1}^1 dx_3 \int_{-1}^1 dx_4 c_{x_1\sigma}^\dagger c_{x_2\sigma'}^\dagger c_{x_3\sigma'} c_{x_4\sigma} \hat{P}_L \\
 &= s^{-4} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{x_1(\bar{x}_1)\sigma}^\dagger c_{x_2(\bar{x}_2)\sigma'}^\dagger c_{x_3(\bar{x}_3)\sigma'} c_{x_4(\bar{x}_4)\sigma} \\
 &= s^{-1} s^{-3+2} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{\bar{x}_1\sigma}^\dagger c_{\bar{x}_2\sigma'}^\dagger c_{\bar{x}_3\sigma'} c_{\bar{x}_4\sigma}
 \end{aligned}$$

Example: Coulomb interaction

$$\begin{aligned}
 \frac{H_C^L}{D} &= \hat{P}_L u \sum_{\sigma\sigma'} \int_{-1}^1 dx_1 \int_{-1}^1 dx_2 \int_{-1}^1 dx_3 \int_{-1}^1 dx_4 c_{x_1\sigma}^\dagger c_{x_2\sigma'}^\dagger c_{x_3\sigma'} c_{x_4\sigma} \hat{P}_L \\
 &= s^{-4} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{x_1(\bar{x}_1)\sigma}^\dagger c_{x_2(\bar{x}_2)\sigma'}^\dagger c_{x_3(\bar{x}_3)\sigma'} c_{x_4(\bar{x}_4)\sigma} \\
 &= s^{-1} \underbrace{s^{-3+2}}_{u'} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{\bar{x}_1\sigma}^\dagger c_{\bar{x}_2\sigma'}^\dagger c_{\bar{x}_3\sigma'} c_{\bar{x}_4\sigma}
 \end{aligned}$$

$u' = s^{-1} u$

Example: Coulomb interaction

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 \frac{H_C^L}{D} &= \hat{P}_L u \sum_{\sigma\sigma'} \int_{-1}^1 dx_1 \int_{-1}^1 dx_2 \int_{-1}^1 dx_3 \int_{-1}^1 dx_4 c_{x_1\sigma}^\dagger c_{x_2\sigma'}^\dagger c_{x_3\sigma'} c_{x_4\sigma} \hat{P}_L \\
 &= s^{-4} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{x_1(\bar{x}_1)\sigma}^\dagger c_{x_2(\bar{x}_2)\sigma'}^\dagger c_{x_3(\bar{x}_3)\sigma'} c_{x_4(\bar{x}_4)\sigma} \\
 &= s^{-1} \underbrace{s^{-3+2}}_{u'} u \sum_{\sigma\sigma'} \int_{-1}^1 d\bar{x}_1 \int_{-1}^1 d\bar{x}_2 \int_{-1}^1 d\bar{x}_3 \int_{-1}^1 d\bar{x}_4 c_{\bar{x}_1\sigma}^\dagger c_{\bar{x}_2\sigma'}^\dagger c_{\bar{x}_3\sigma'} c_{\bar{x}_4\sigma}
 \end{aligned}$$

$$u' = s^{-1} u$$

irrelevant interaction

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Transformation: **elimination of modes**

$$\hat{H}' = \hat{U}^{\dagger} H \hat{U} = e^{\lambda \hat{S}} \hat{H} e^{-\lambda \hat{S}} = \hat{H}_d + \lambda \hat{V} + \lambda [\hat{S}, \hat{H}_d] + \lambda^2 [\hat{S}, \hat{V}] + \sum_{n=2} \frac{\lambda^n}{n!} [\hat{S}, \hat{H}]_n$$

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

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Kondo Hamiltonian

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$$\hat{V} + [\hat{S}, \hat{H}_d] = 0$$

Kondo Hamiltonian

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$$\hat{V} + [\hat{S}, \hat{H}_d] = 0$$

determines S

Kondo Hamiltonian

$$\frac{H}{D} = \sum_{\sigma} \int_{-1}^1 dx \ x c_{x\sigma}^{\dagger} c_{x\sigma} + g \int_{-1}^1 dx \int_{-1}^1 dx' \sum_{\alpha\beta} c_{x\alpha}^{\dagger} \vec{\sigma} c_{x'\beta} \vec{S}_{imp}$$

Transformation: **elimination of modes**

$$\hat{H}' = \hat{U}^{\dagger} H \hat{U} = e^{\lambda \hat{S}} \hat{H} e^{-\lambda \hat{S}} = \hat{H}_d + \lambda \hat{V} + \lambda [\hat{S}, \hat{H}_d] + \lambda^2 [\hat{S}, \hat{V}] + \sum_{n=2} \frac{\lambda^n}{n!} [\hat{S}, \hat{H}]_n$$

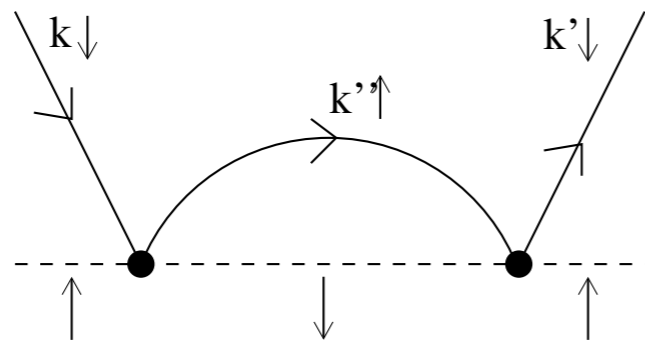
$$\hat{V} + [\hat{S}, \hat{H}_d] = 0$$

determines S

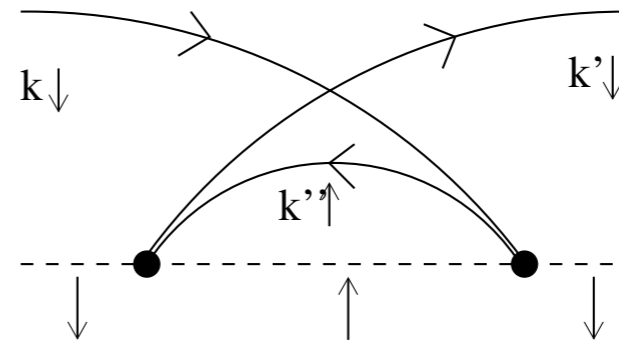
$$H' = H_d + \frac{\lambda^2}{2} [S, V] + O(\lambda^3)$$

Schrieffer-Wolff transformation

effective Kondo coupling: diagrammatic approach



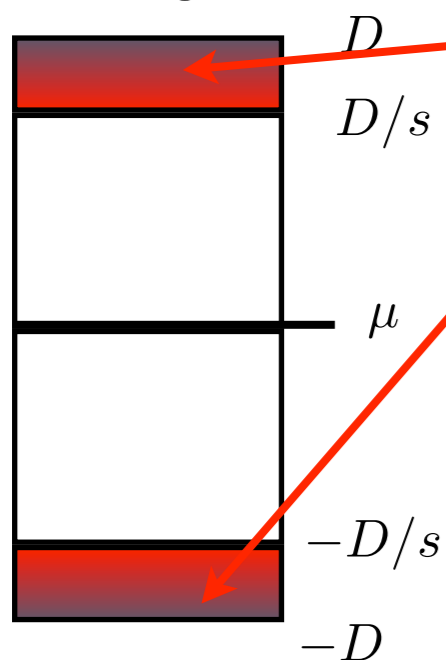
(a)



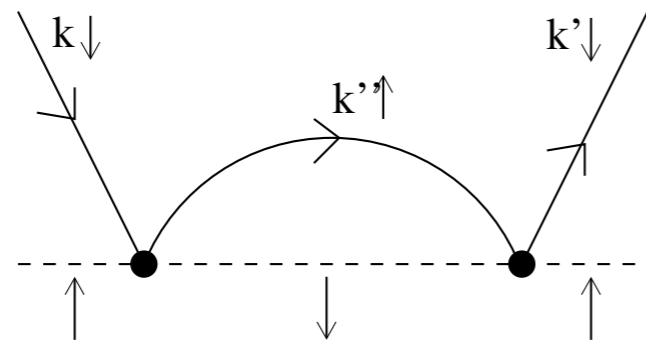
(b)

single particle energies

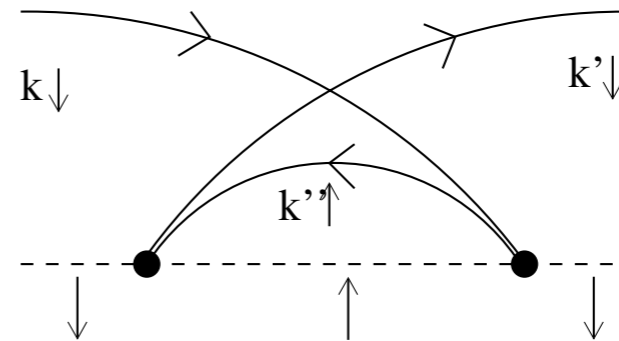
mode elimination



effective Kondo coupling: diagrammatic approach

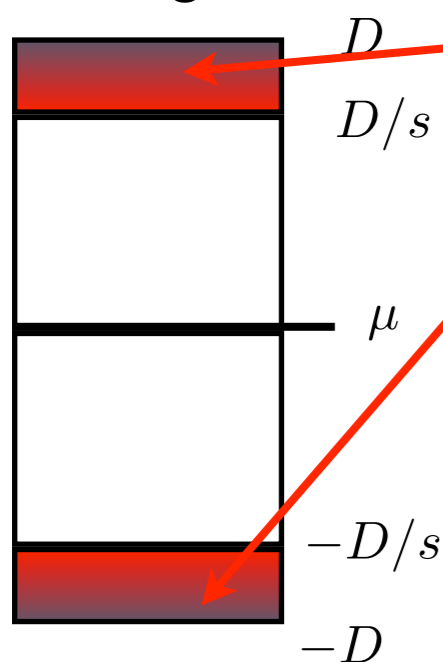


(a)



(b)

single particle energies

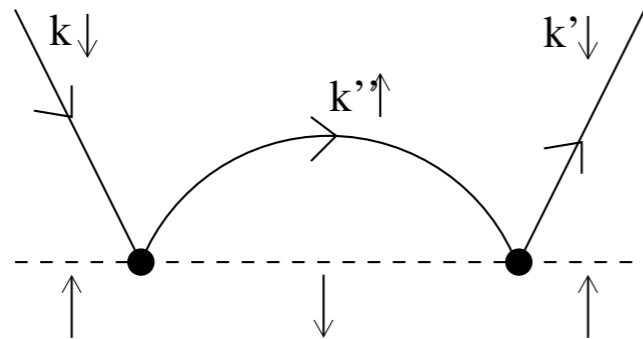


mode elimination

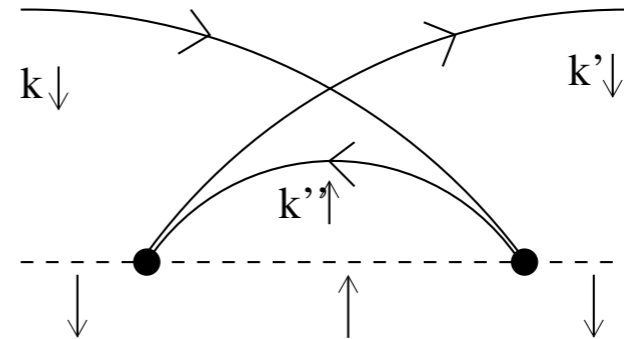
scaling equations

$$\frac{dg_{\perp}}{d \ln \mathcal{D}} = -2g_{\perp}g^z ; \quad \frac{dg^z}{d \ln \mathcal{D}} = -2g_{\perp}^2$$

effective Kondo coupling: diagrammatic approach



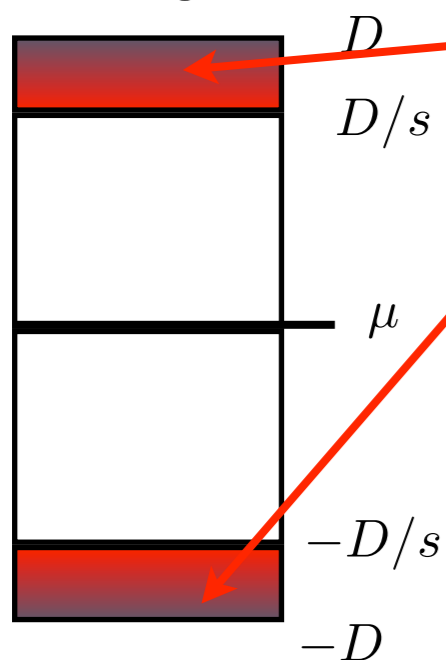
(a)



(b)

single particle energies

mode elimination

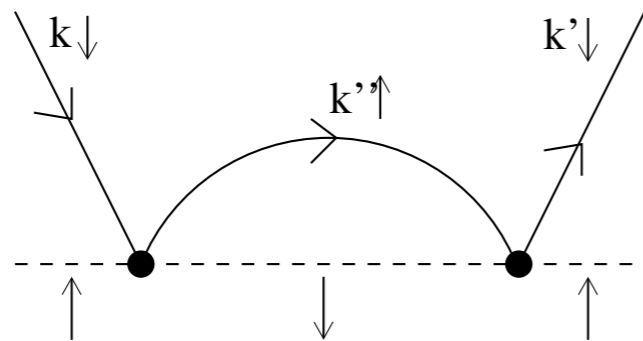


scaling equations

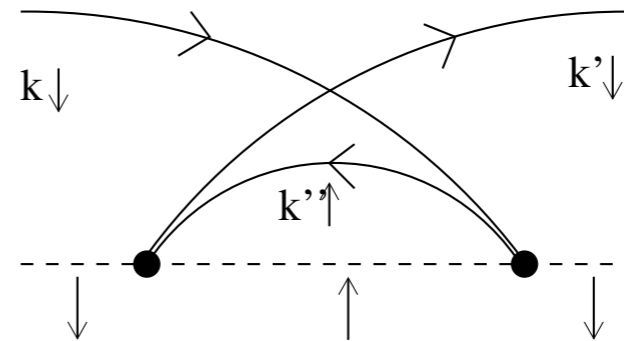
$$\frac{dg_{\perp}}{d \ln \mathcal{D}} = -2g_{\perp}g^z ; \quad \frac{dg^z}{d \ln \mathcal{D}} = -2g_{\perp}^2$$

$$g(D') = \frac{g_0}{1 + 2g_0 \ln(D_0/D')}$$

effective Kondo coupling: diagrammatic approach

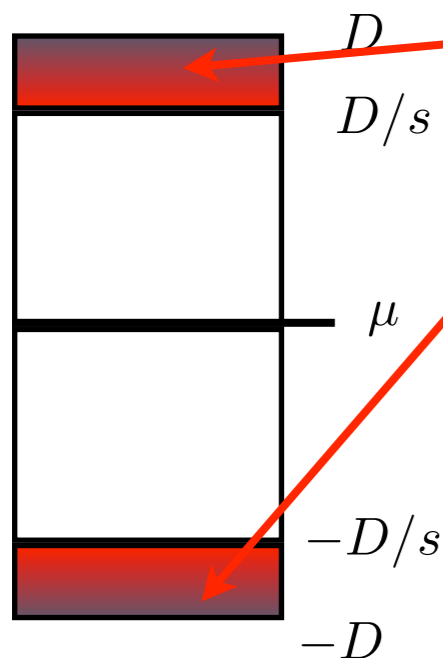


(a)



(b)

single particle energies



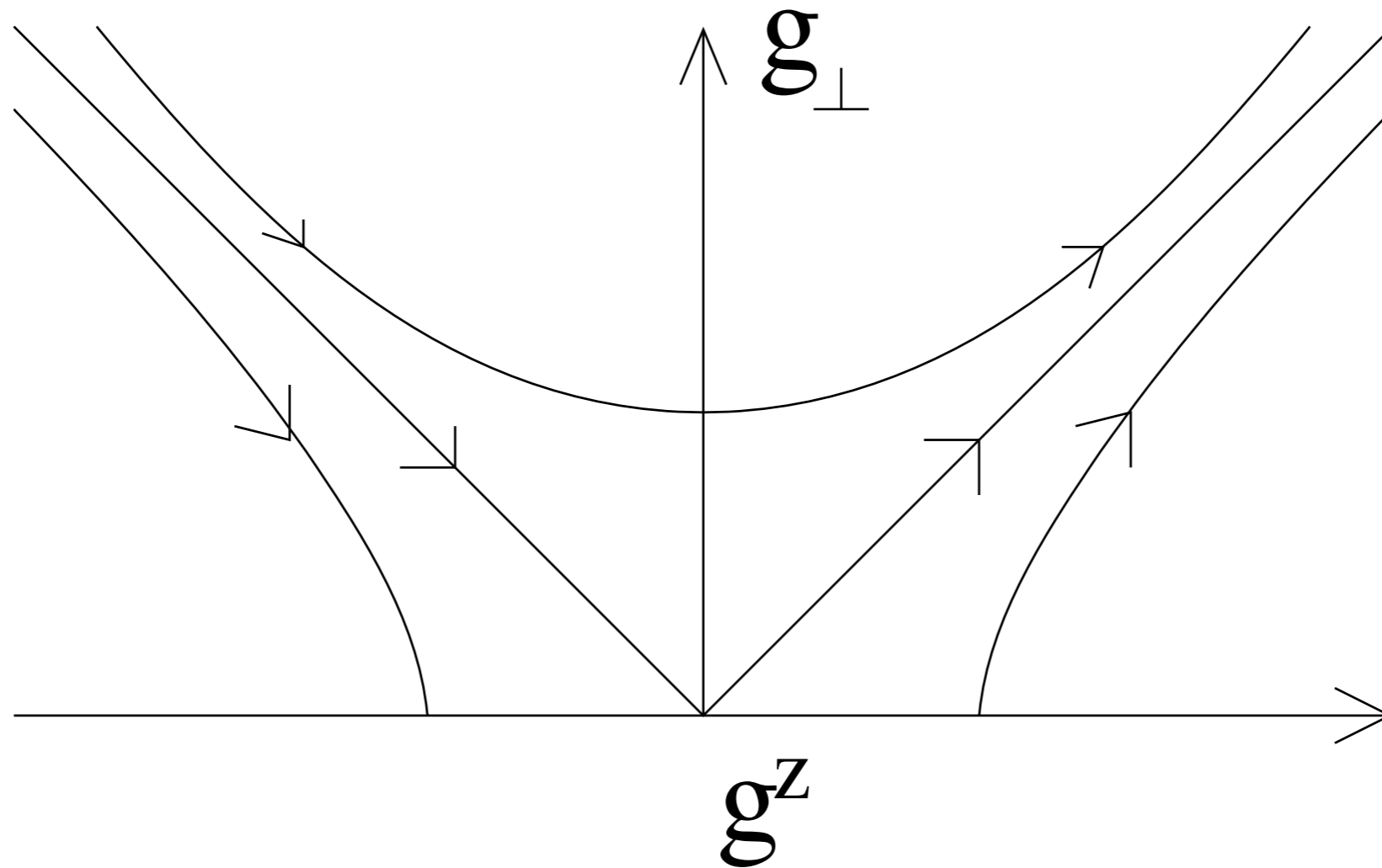
mode elimination

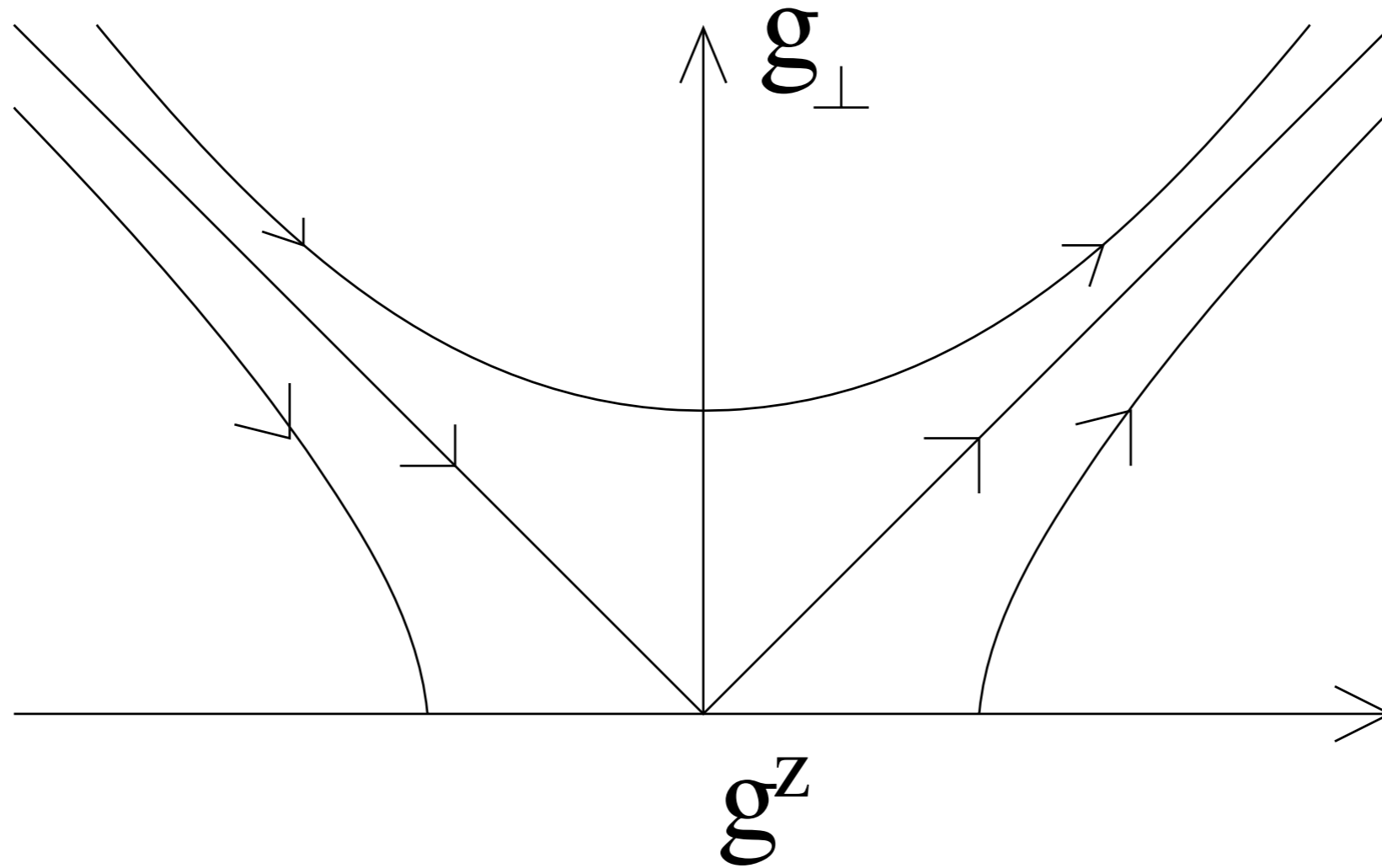
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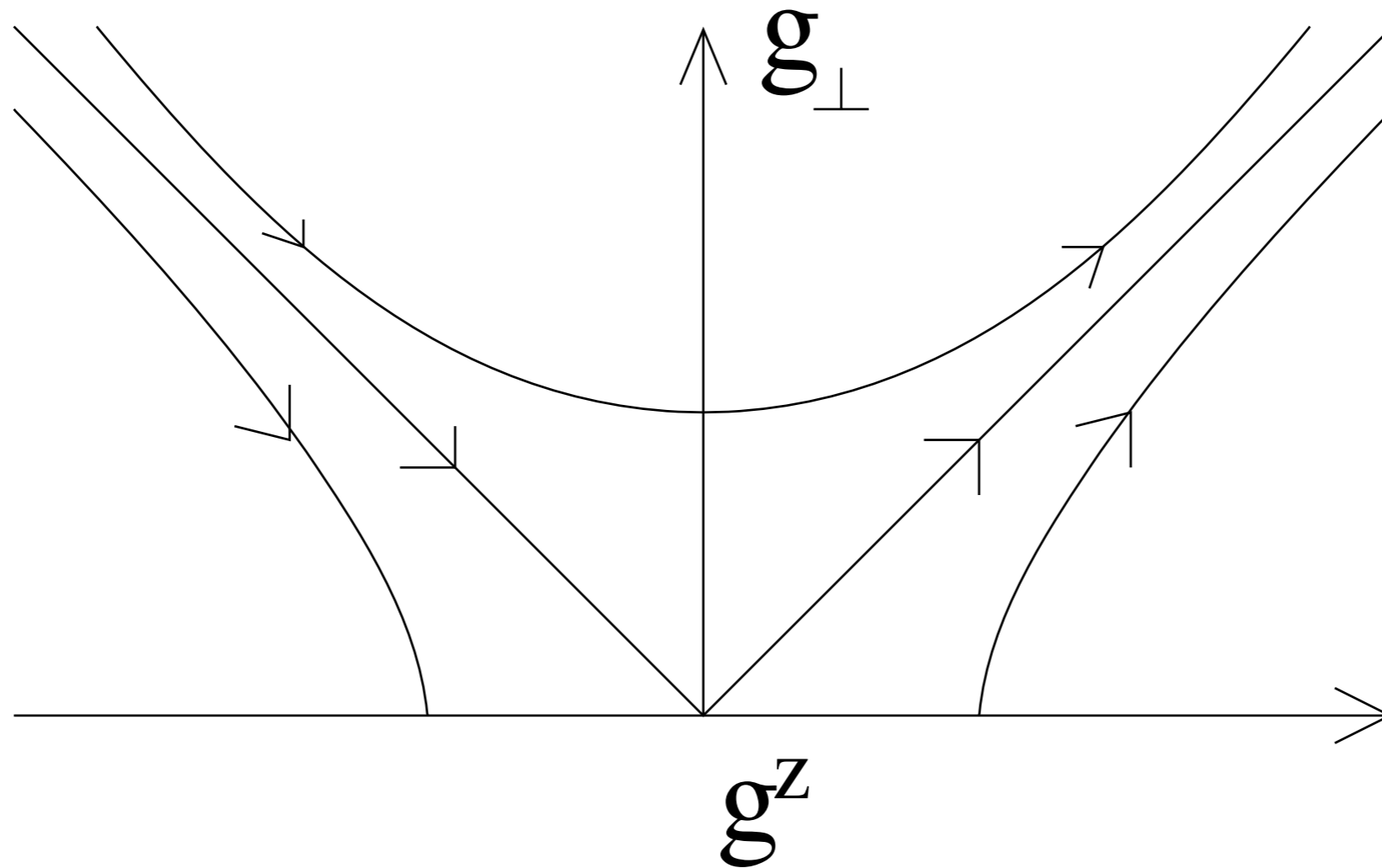
$$g(D') = \frac{g_0}{1 + 2g_0 \ln(D_0/D')}$$

Kondo temperature $T_K = D_0 e^{-1/2g_0} = D_0 e^{-1/\rho_0 J}$

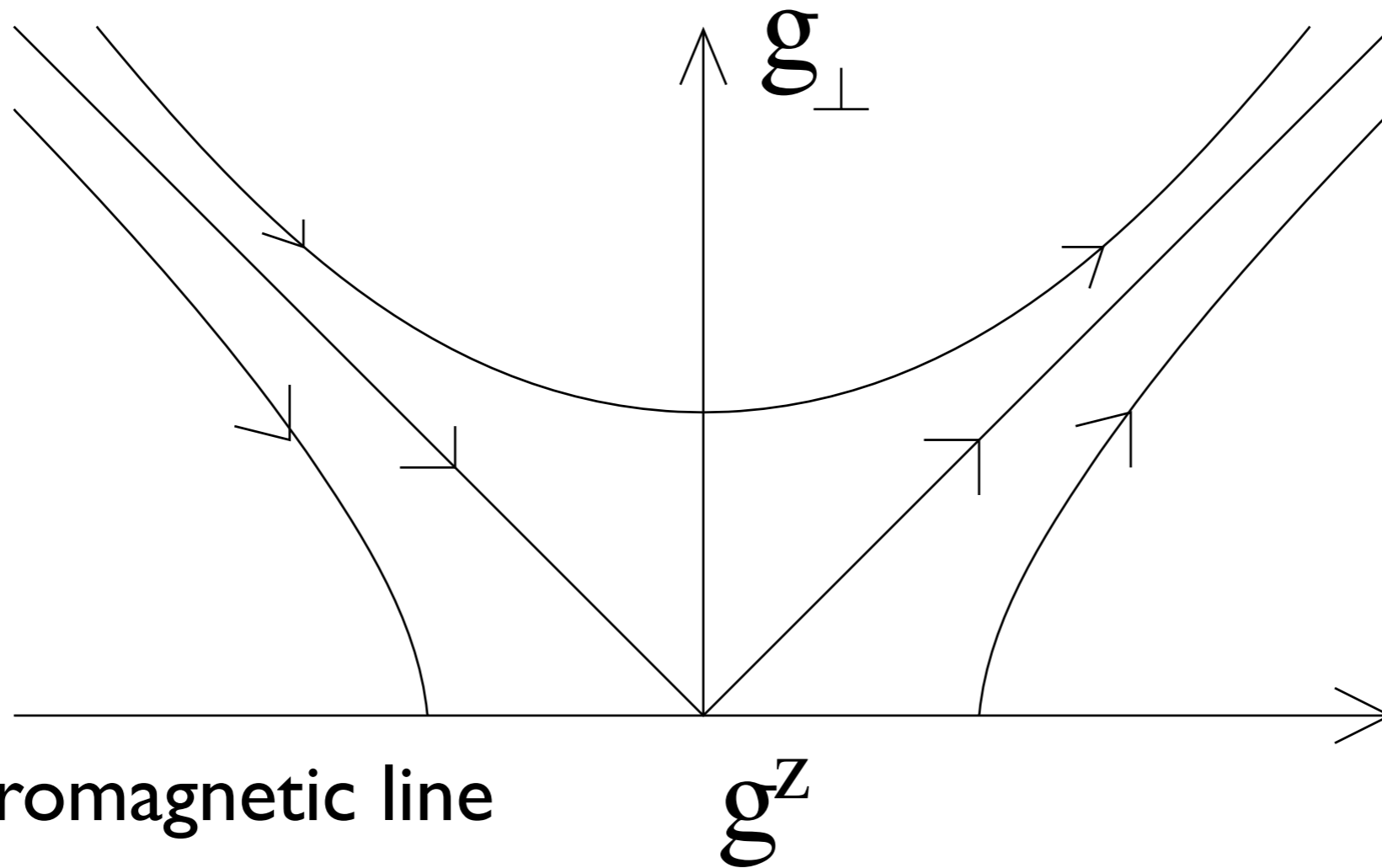




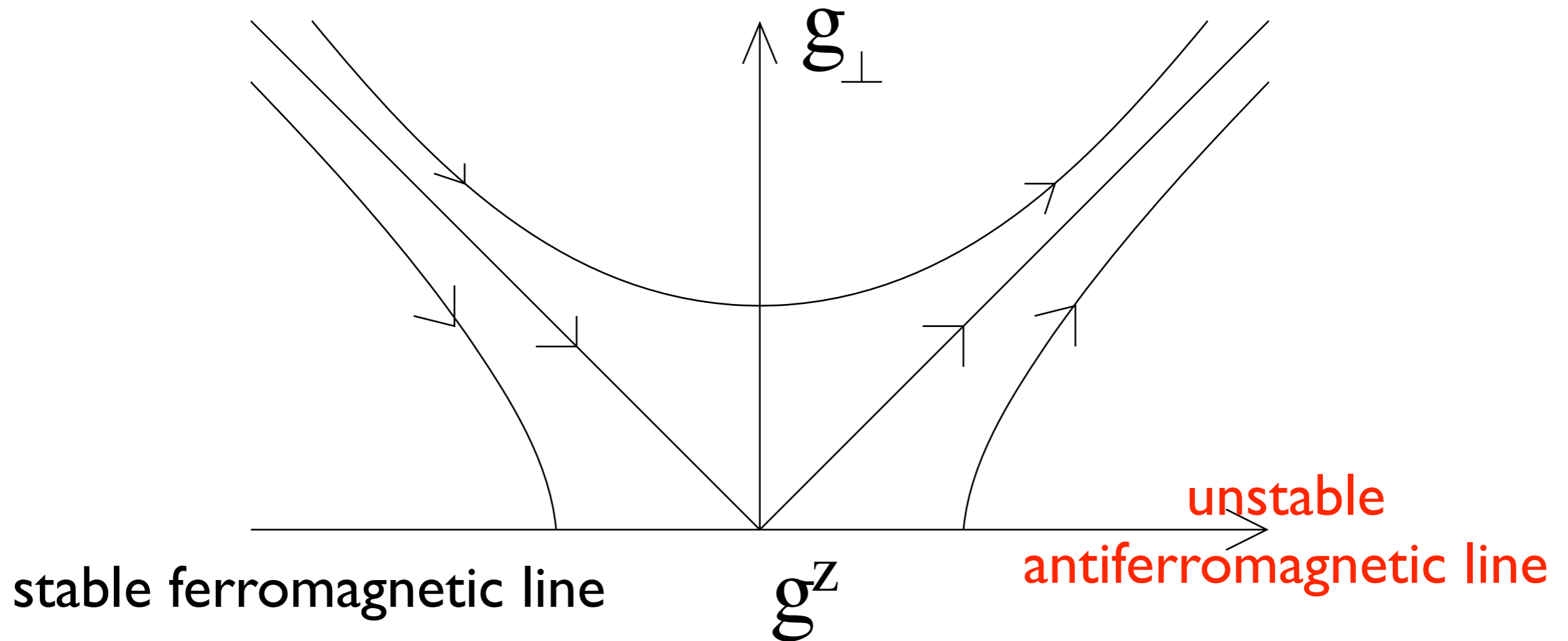
$$\frac{dg_{\perp}}{d \ln \mathcal{D}} = -2g_{\perp}g^z ; \quad \frac{dg^z}{d \ln \mathcal{D}} = -2g_{\perp}^2$$



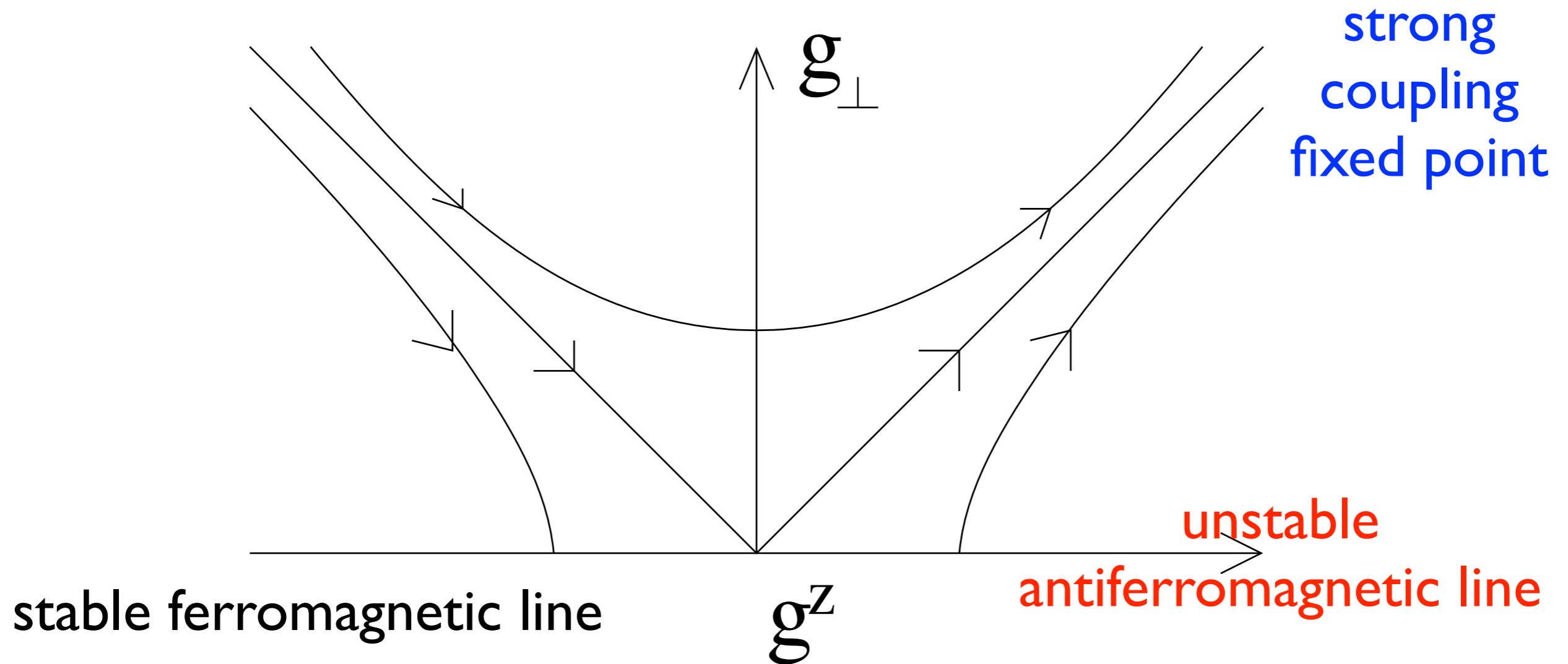
$$\frac{dg_{\perp}}{d \ln \mathcal{D}} = -2g_{\perp}g^z ; \quad \frac{dg^z}{d \ln \mathcal{D}} = -2g_{\perp}^2 \longrightarrow [g^z]^2 - g_{\perp}^2 = \text{const}$$



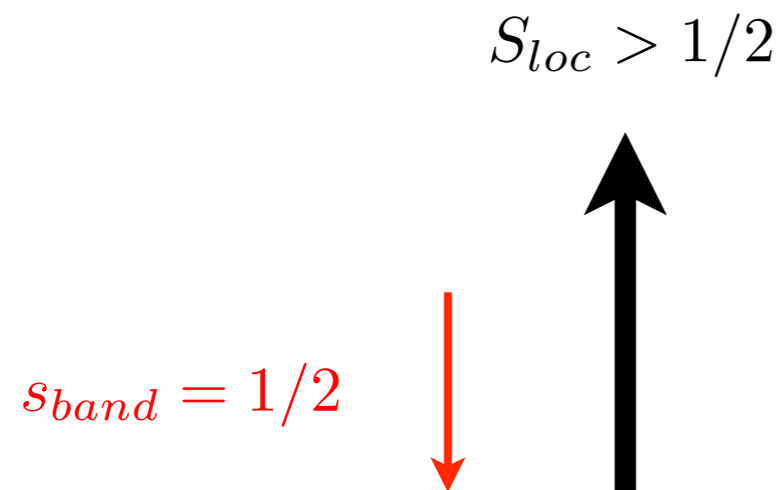
$$\frac{dg_{\perp}}{d \ln \mathcal{D}} = -2g_{\perp}g^z ; \quad \frac{dg^z}{d \ln \mathcal{D}} = -2g_{\perp}^2 \longrightarrow [g^z]^2 - g_{\perp}^2 = \text{const}$$



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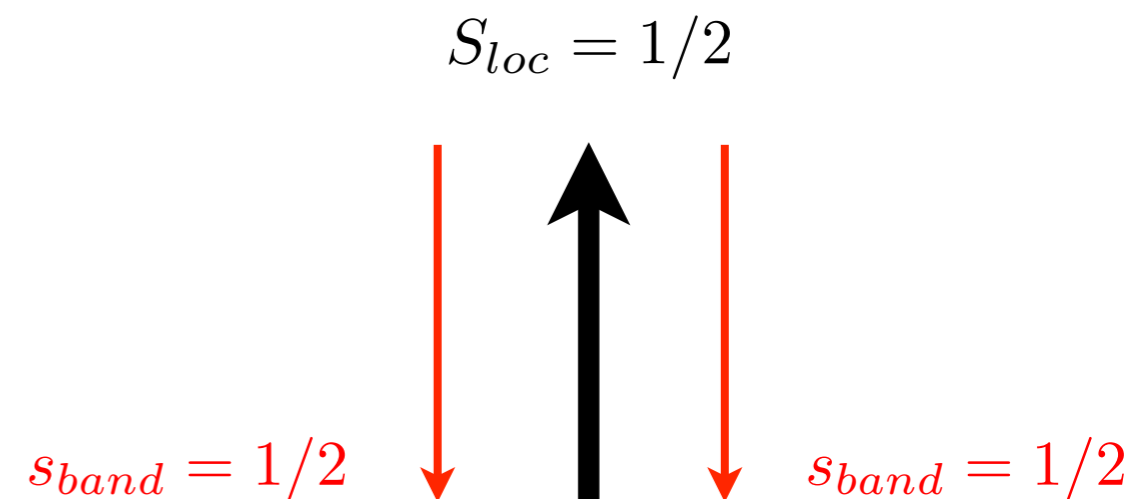
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$$S' = S_{loc} - 1/2$$

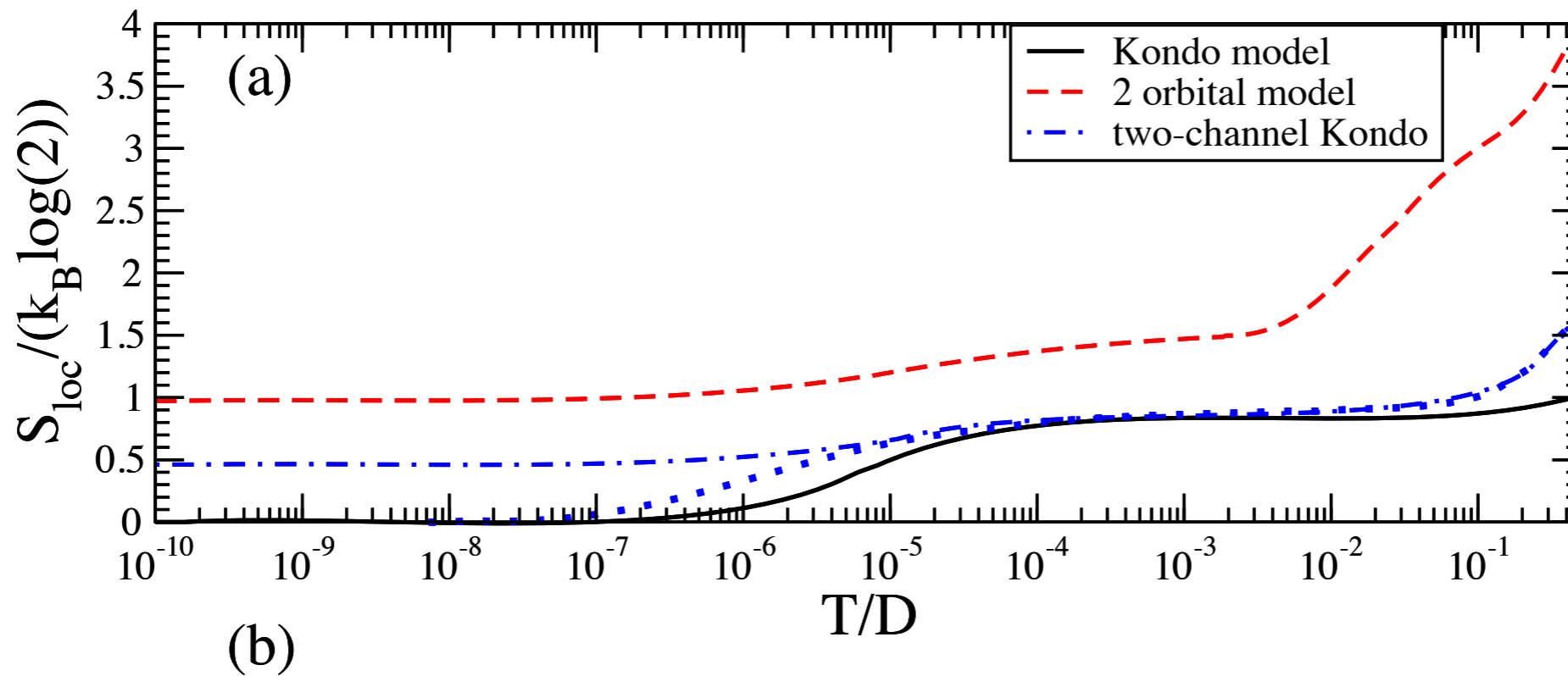
under-screened Kondo:

- residual entropy: $\log(S')$
- **singular Fermi liquid:**
free local spin+strong coupling fixed point

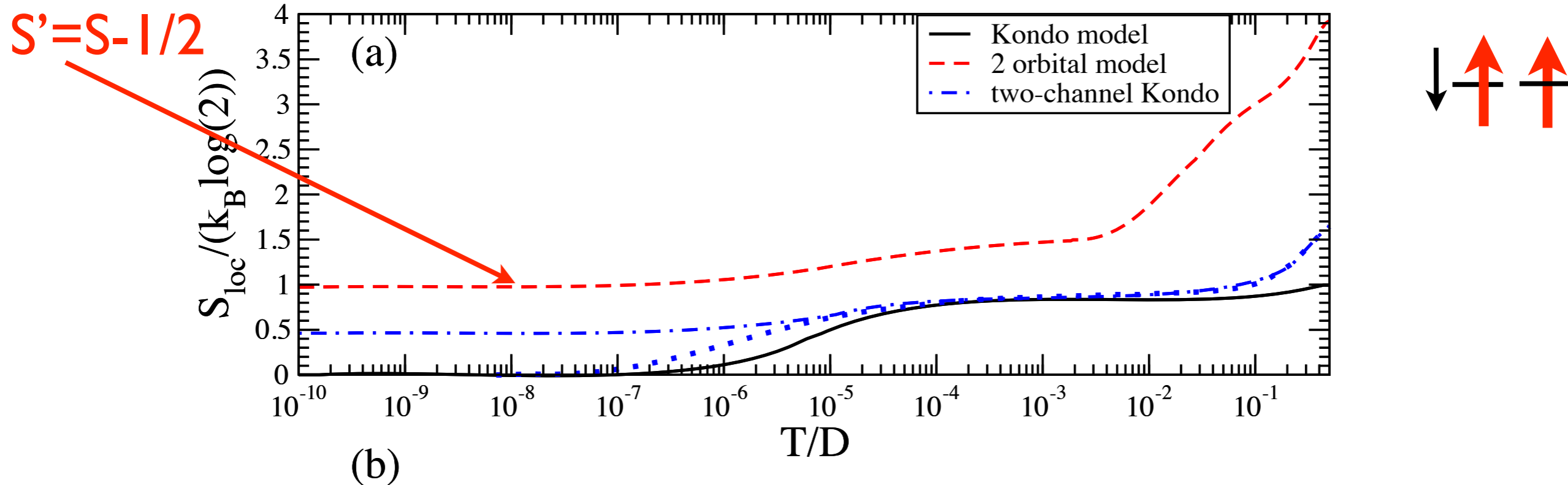


over-screened Kondo

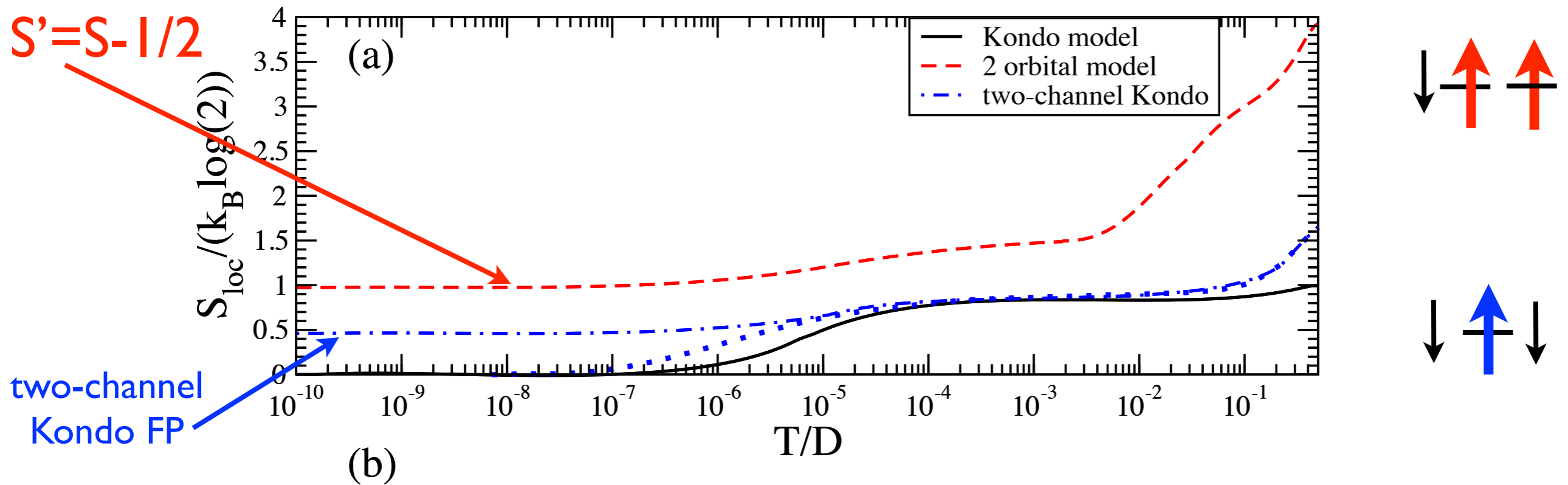
- residual entropy: $\log(2)/2$
- **non Fermi liquid**



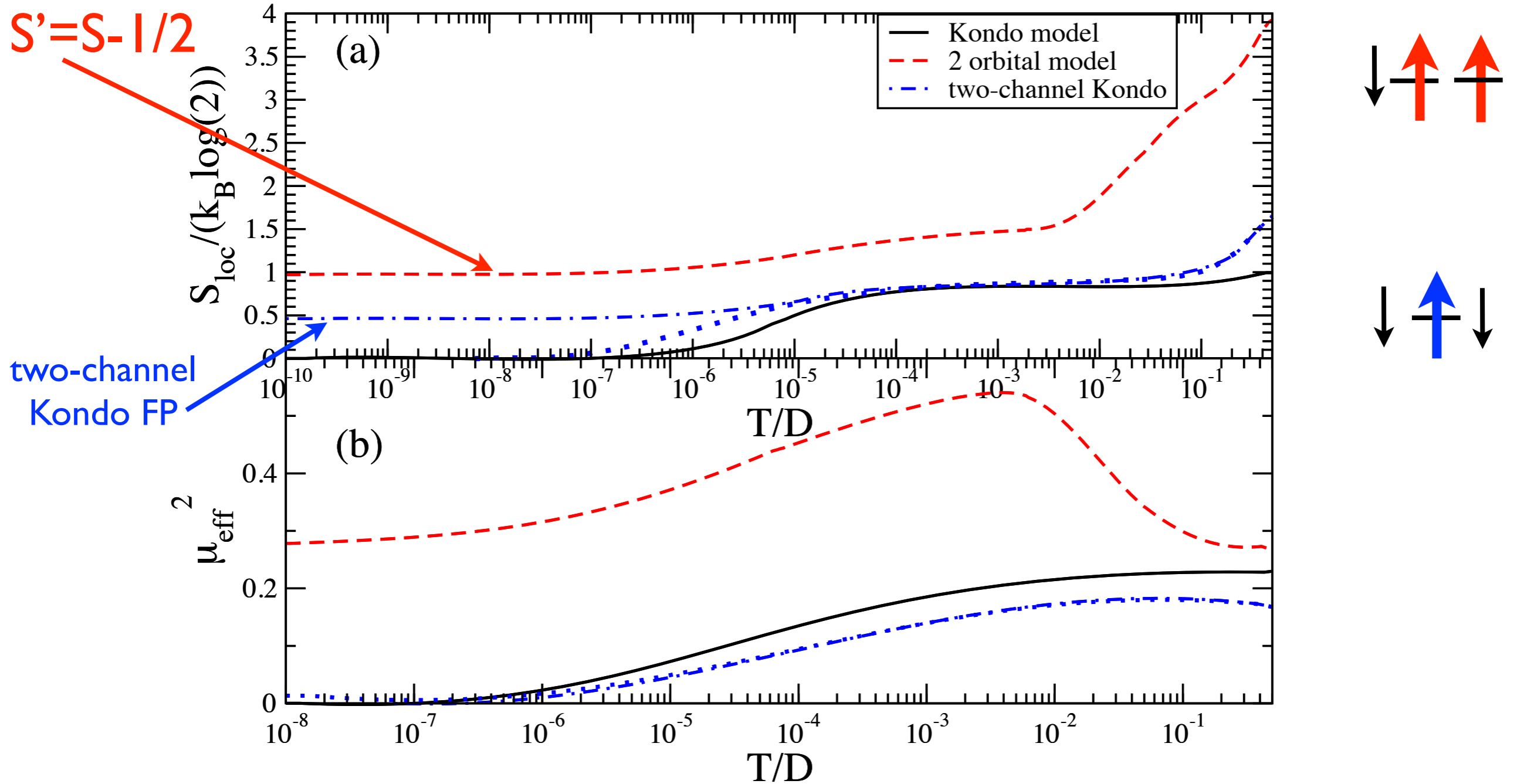
NRG calculations



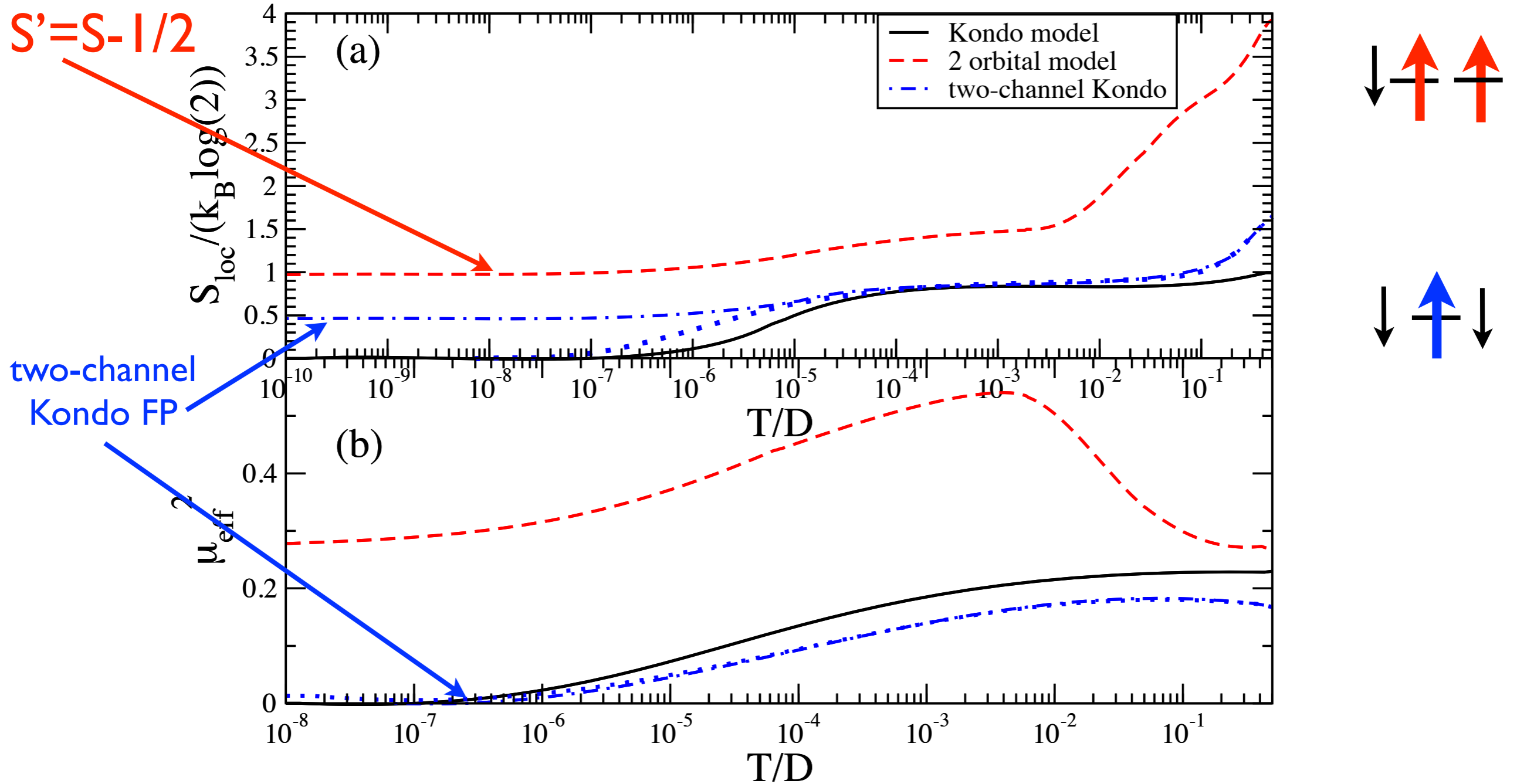
NRG calculations



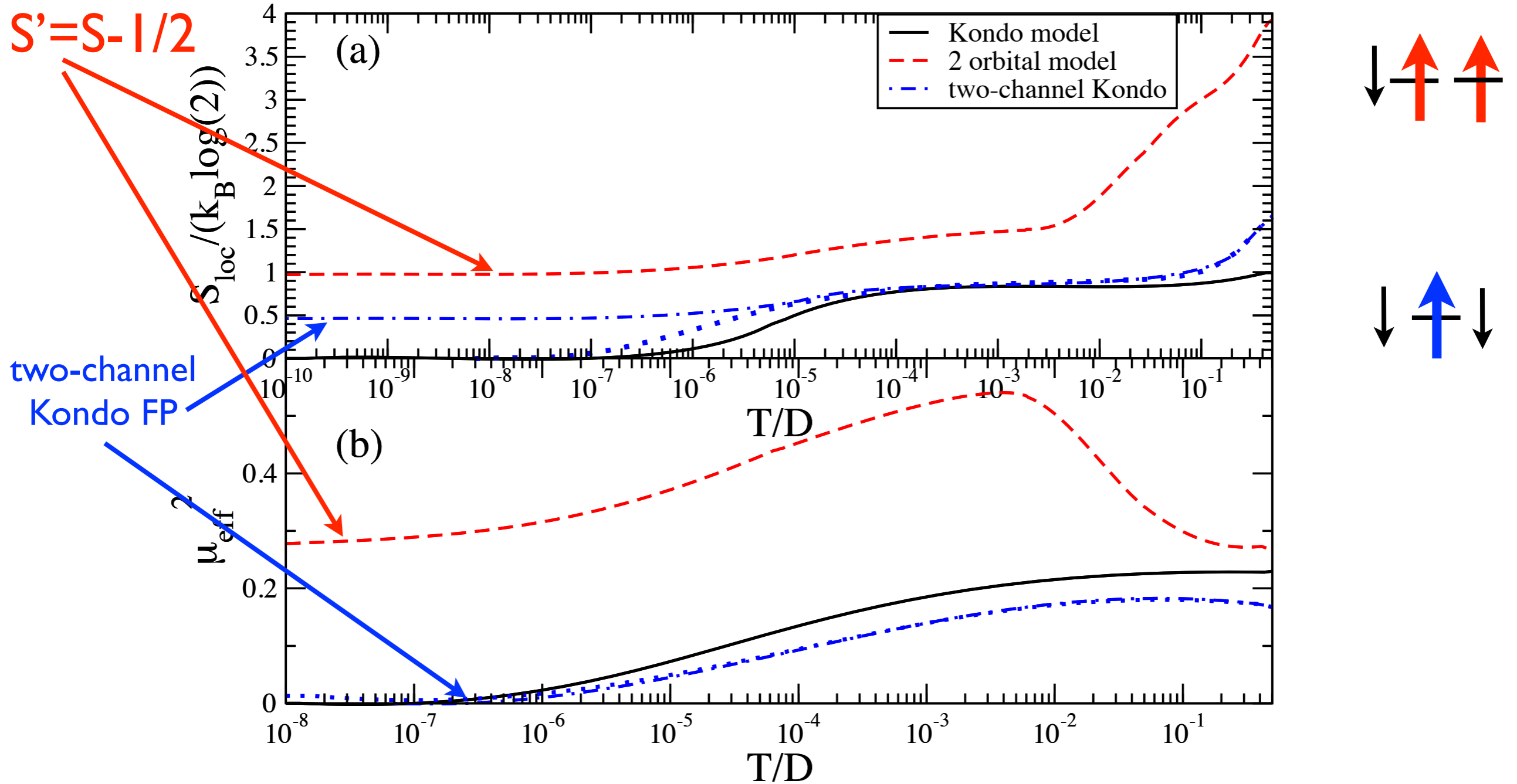
NRG calculations



NRG calculations

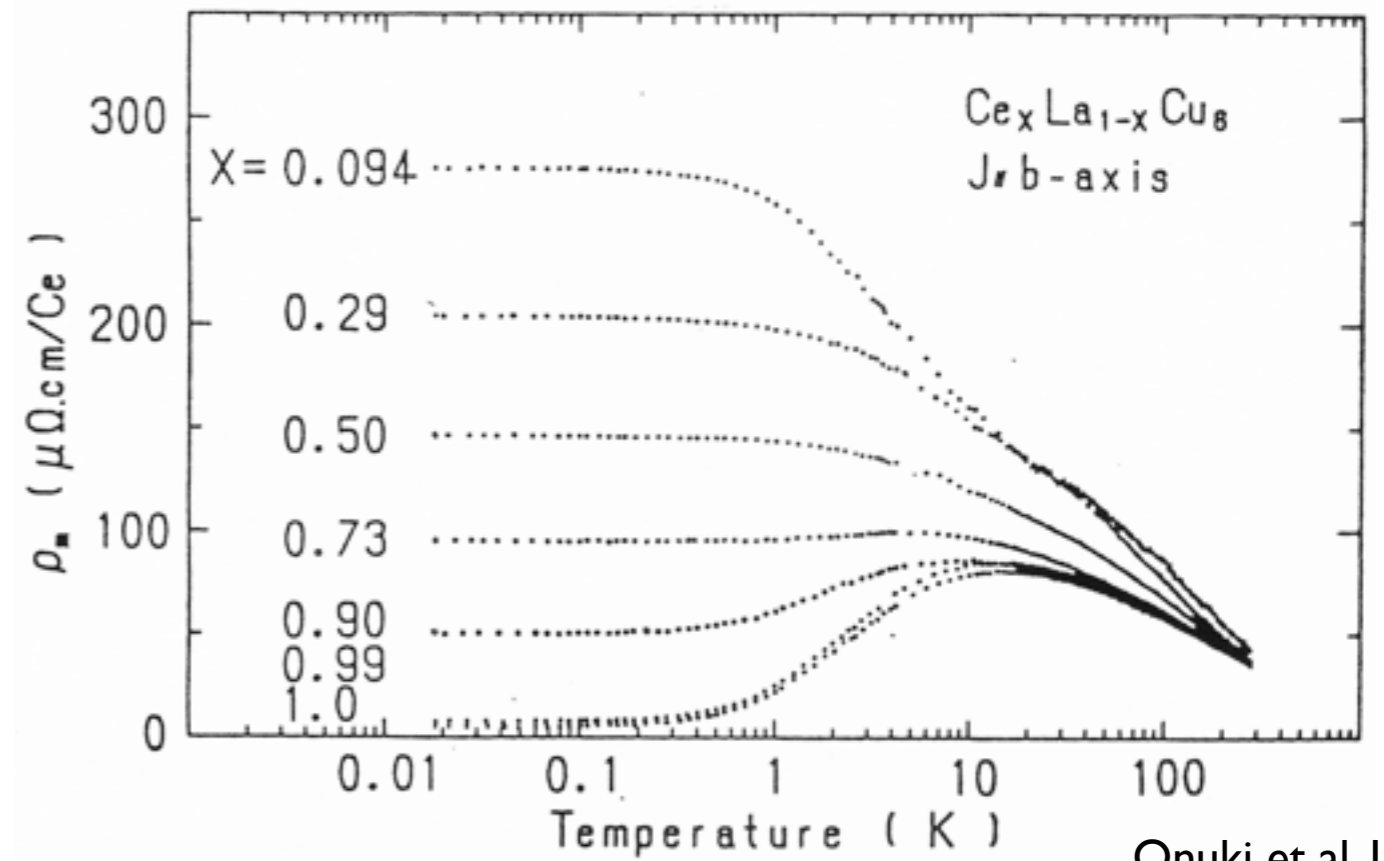


NRG calculations



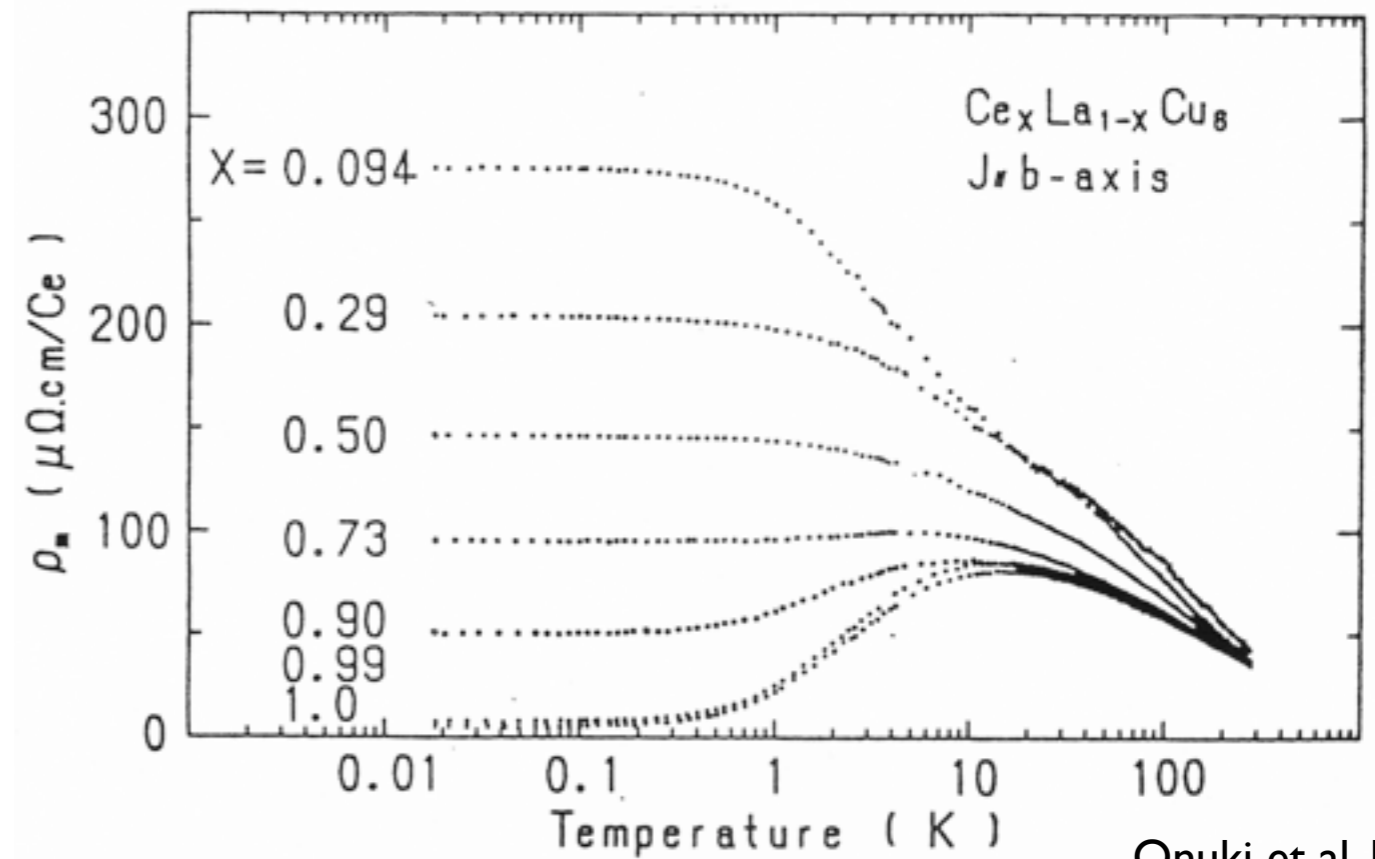
NRG calculations

Kondo effect in Lattice systems



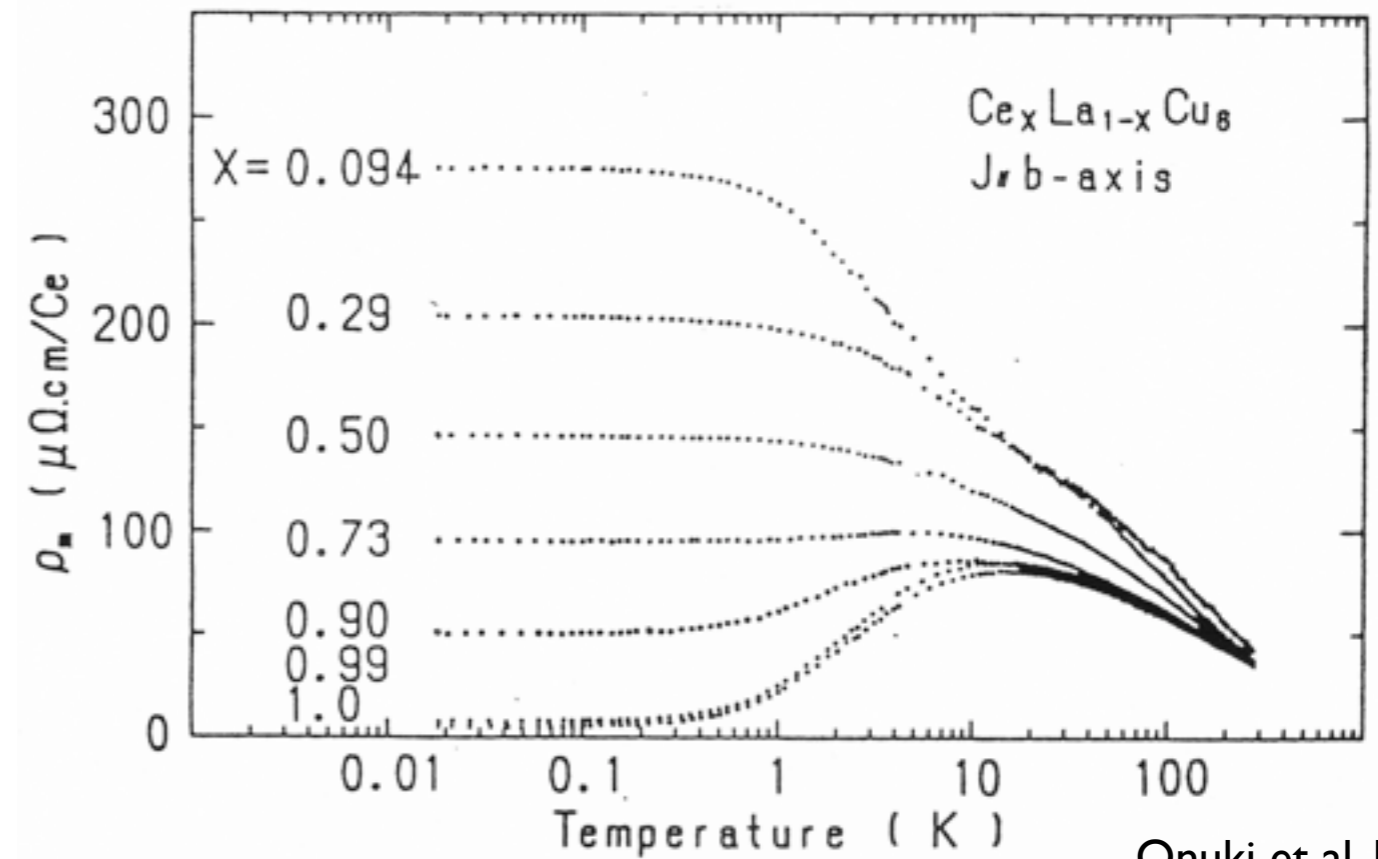
Onuki et al 1987

➔ Ce, Yb or Uranium based alloys



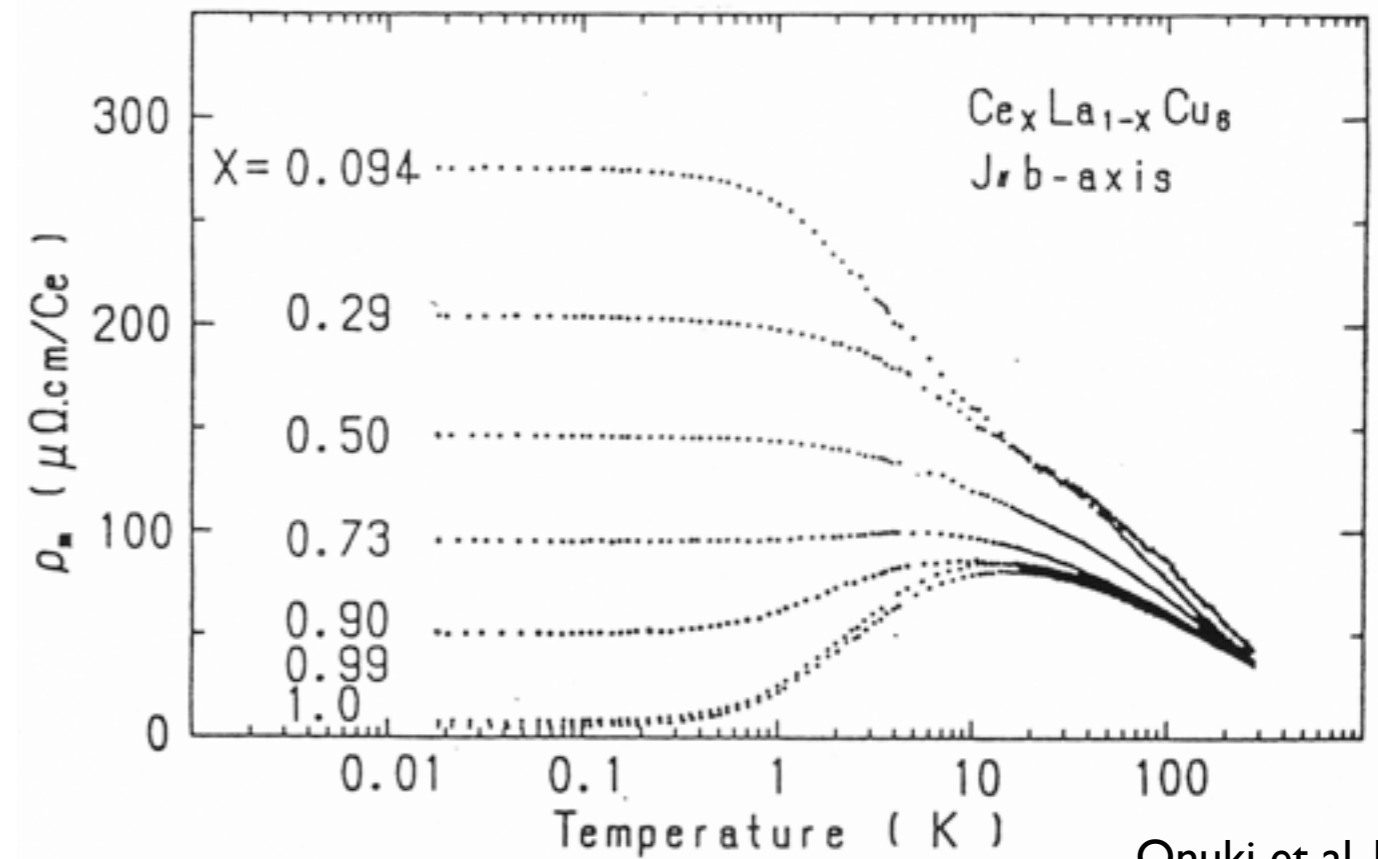
Onuki et al 1987

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- ➔ localized 4f or 5f electrons:

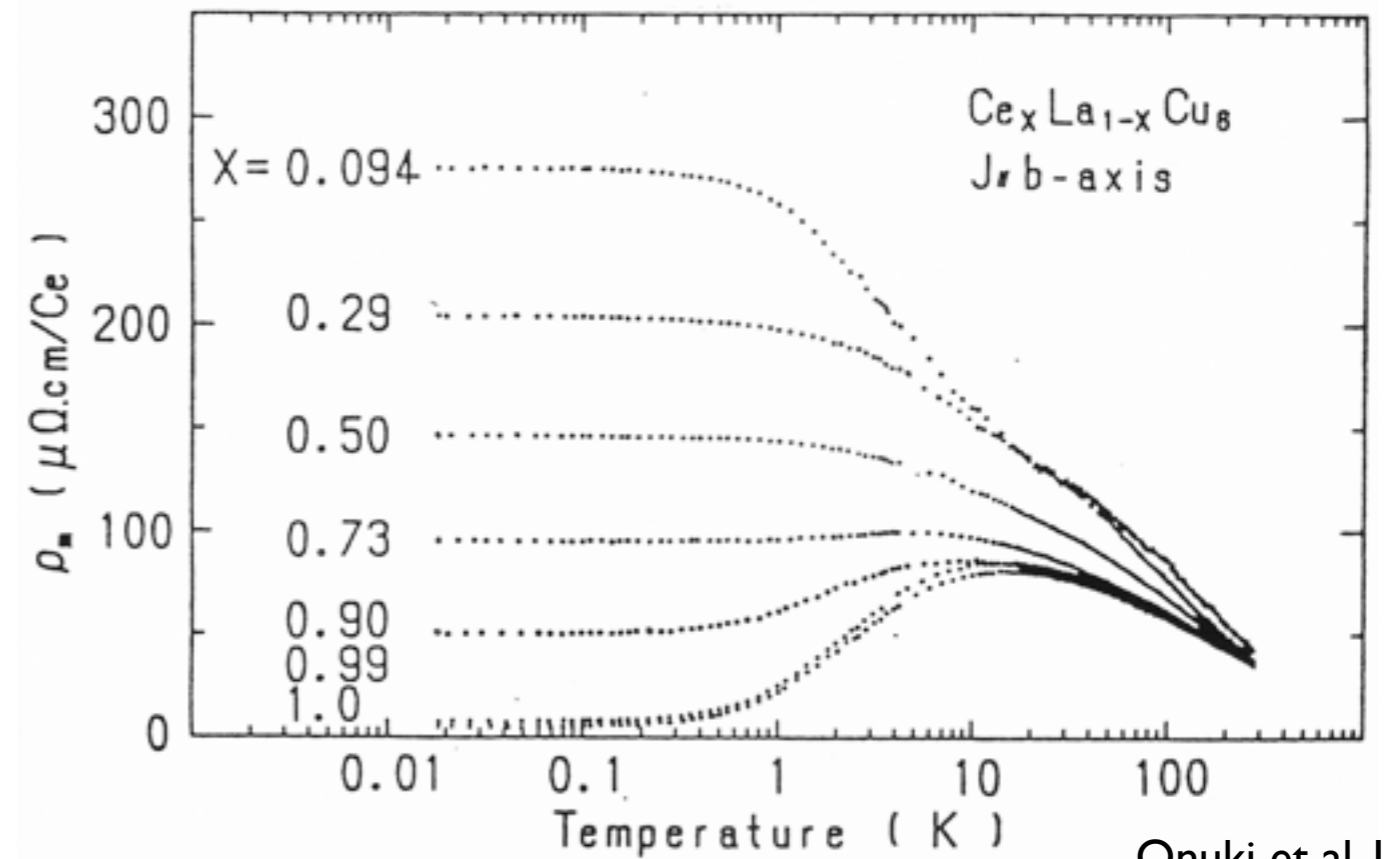


Onuki et al 1987

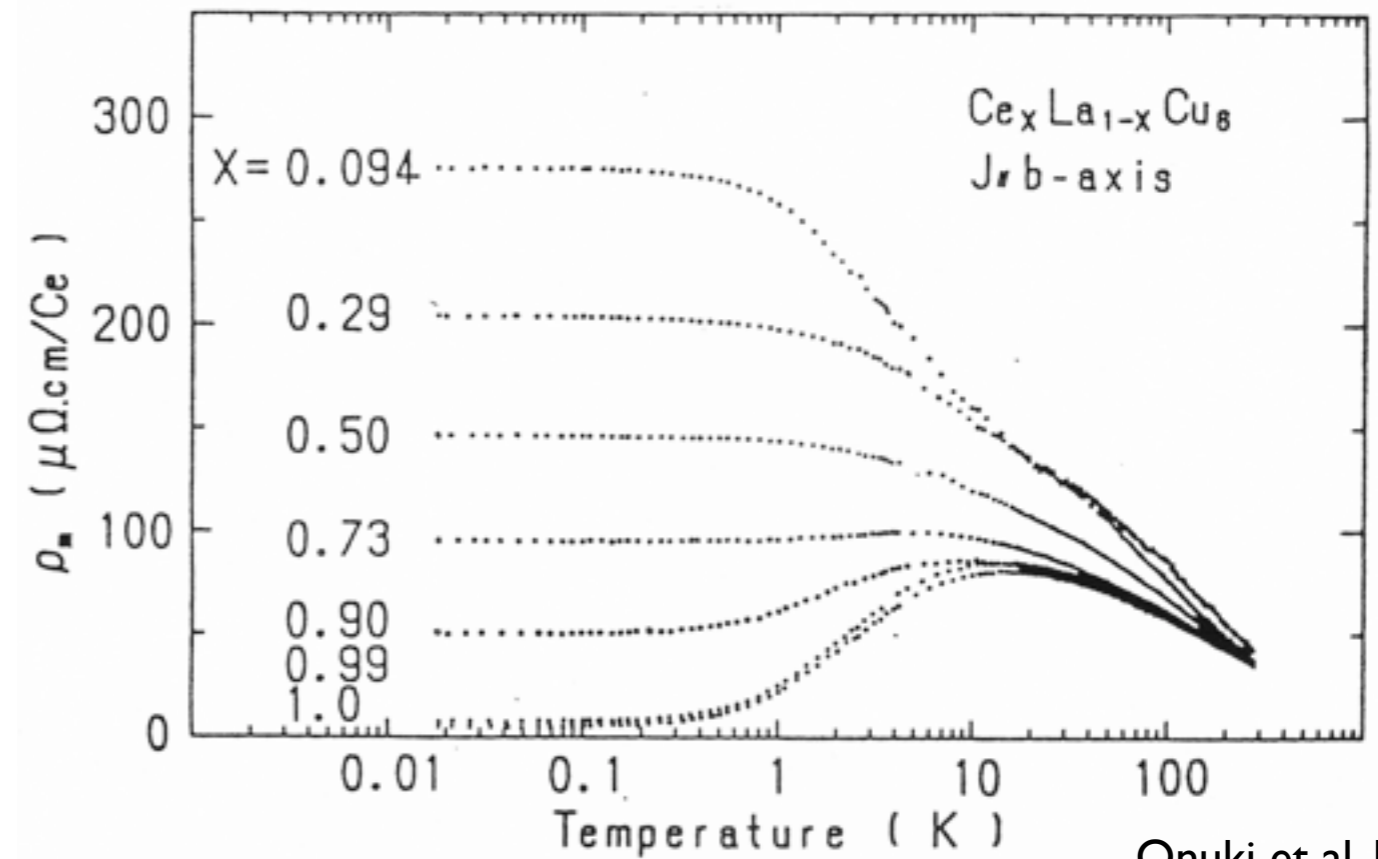
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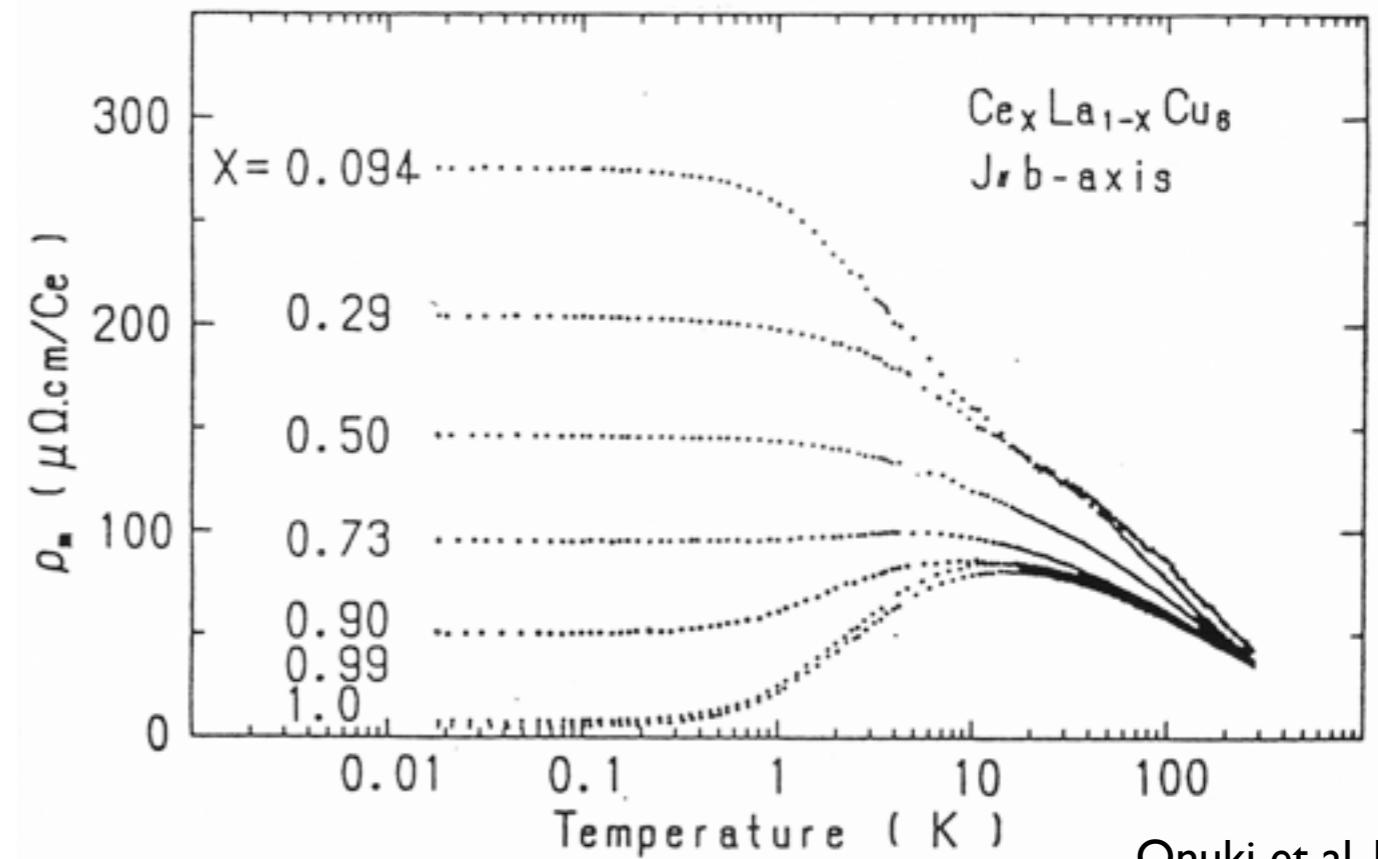


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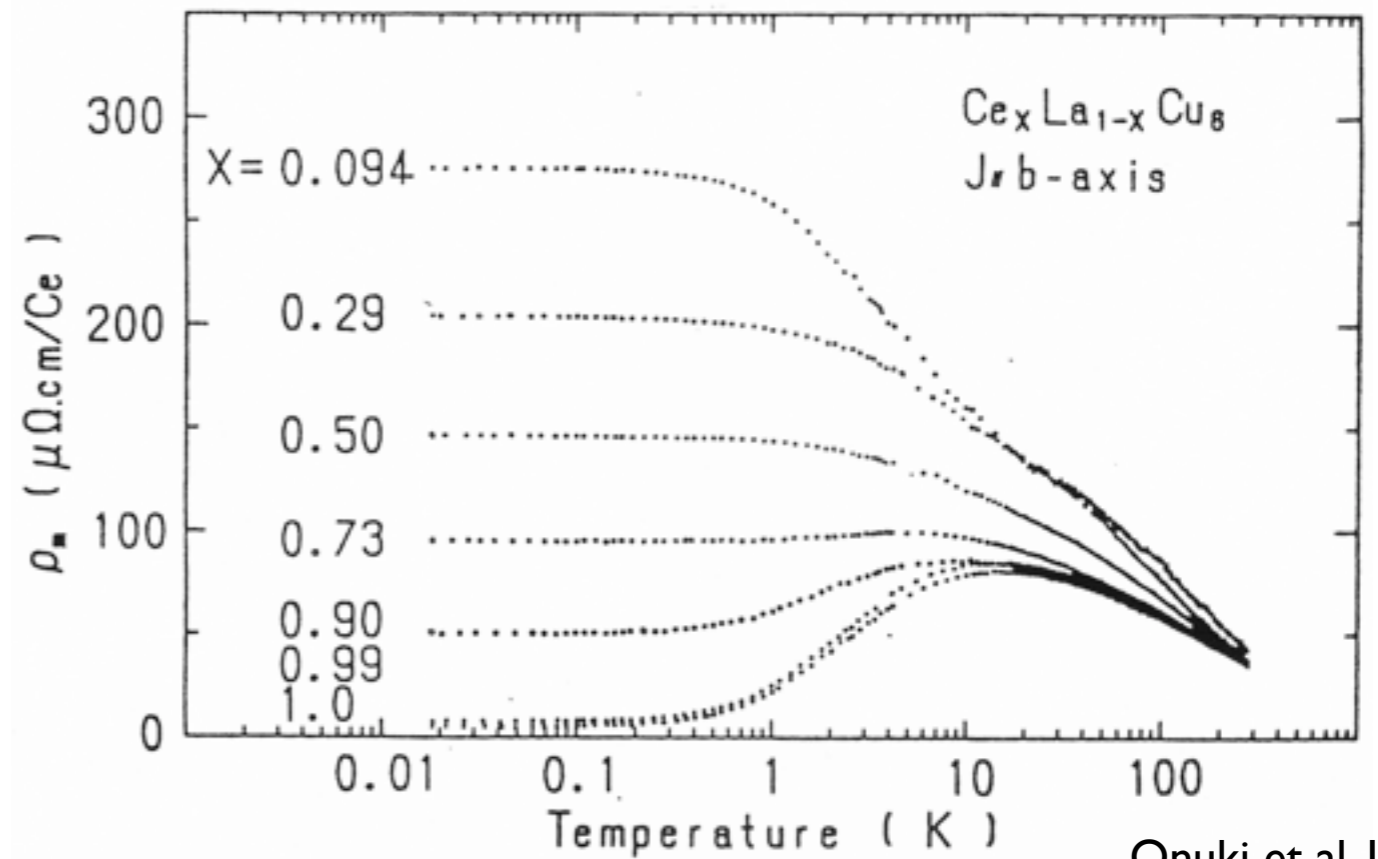


Onuki et al 1987

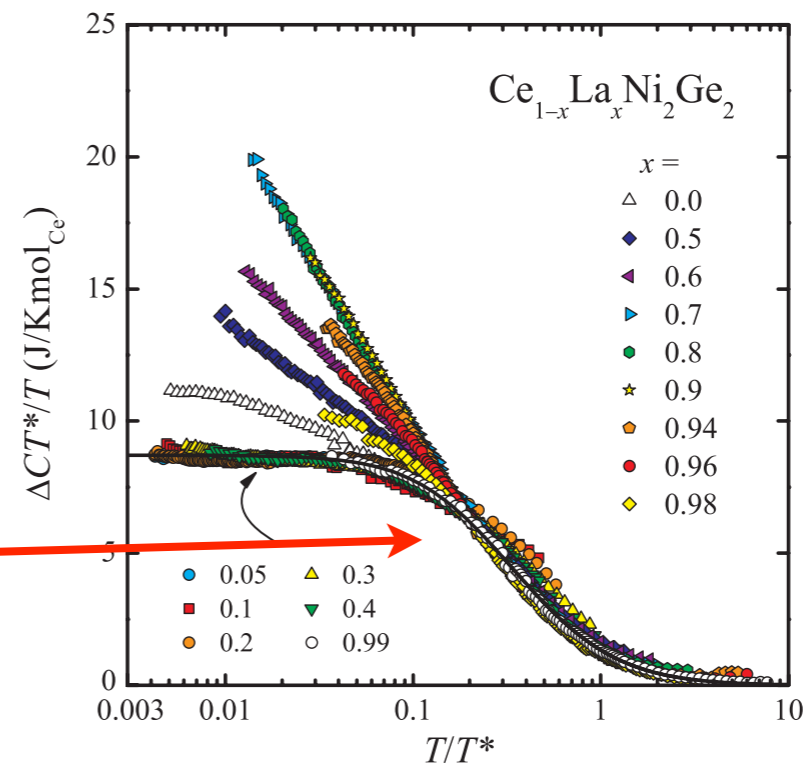
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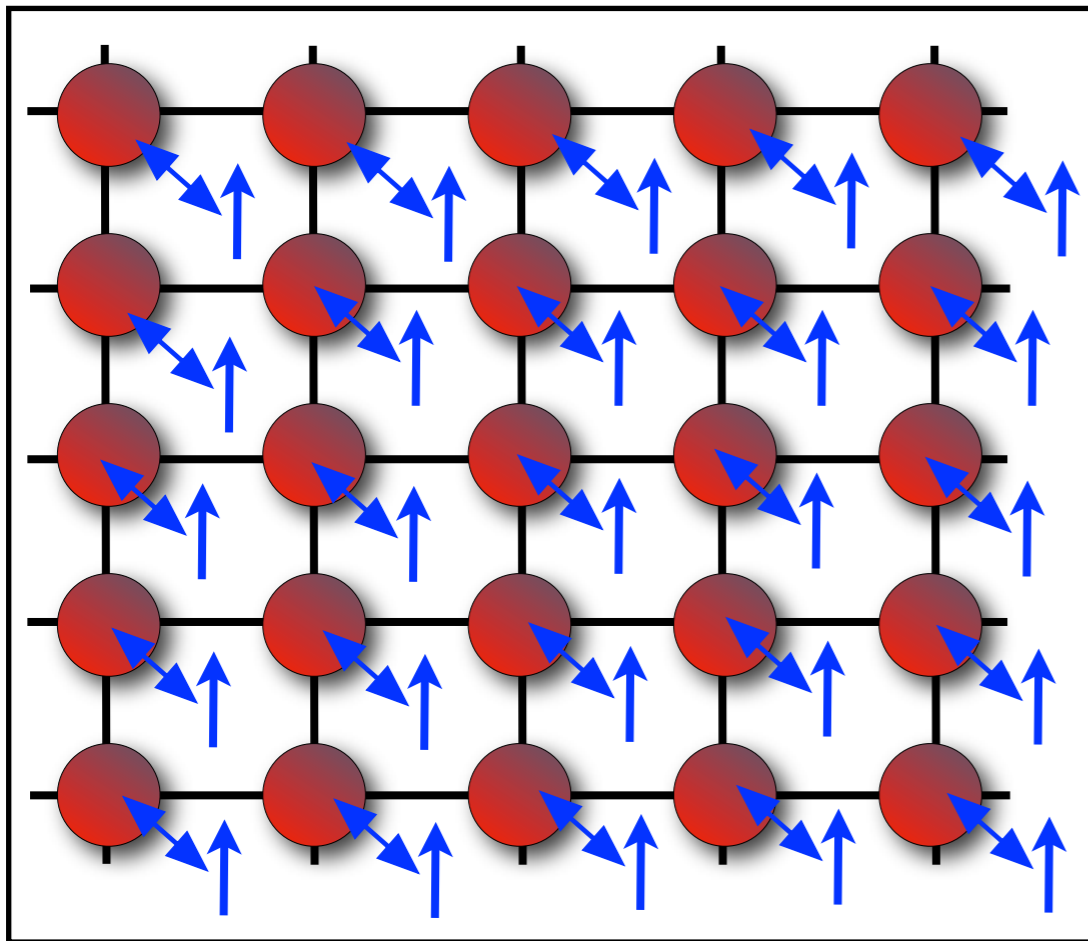
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- ➔ **but also: single ion physics: scaling of $C(T)$**

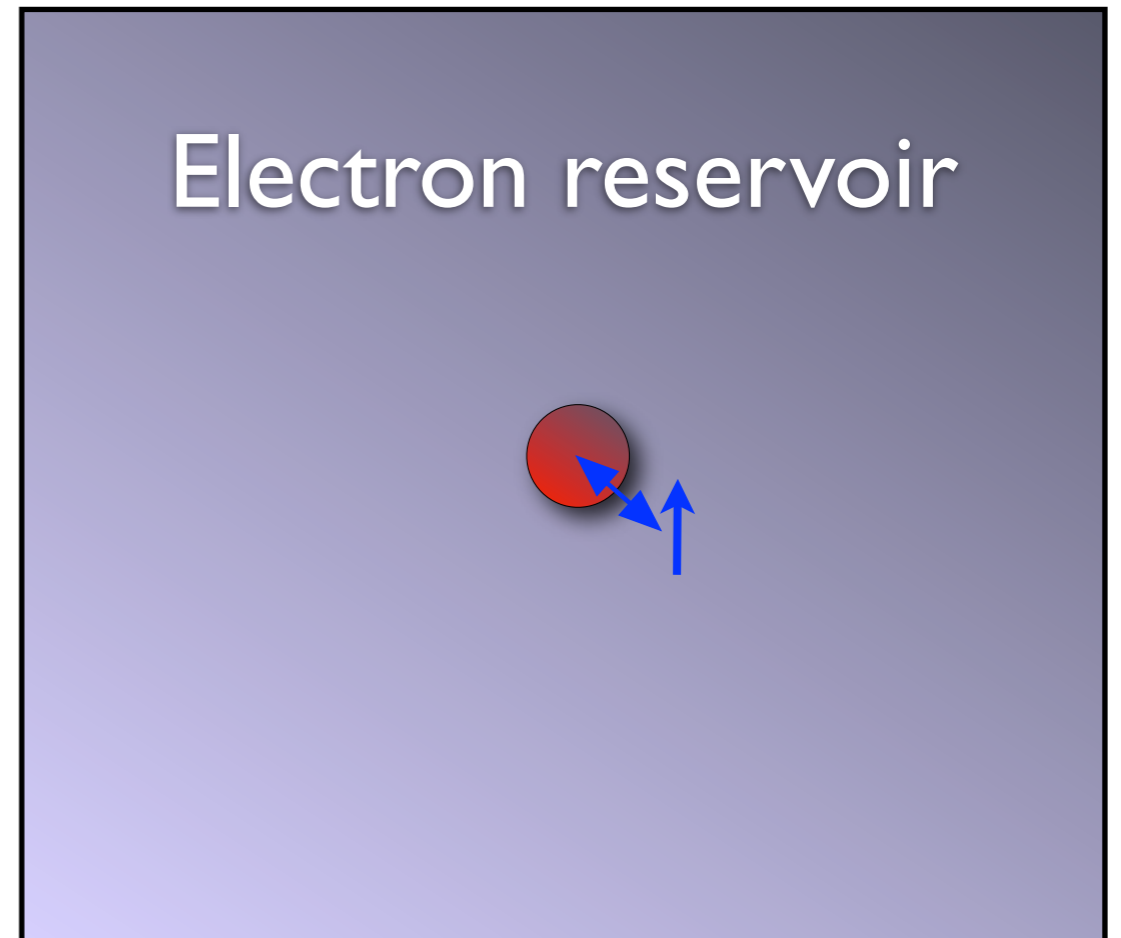
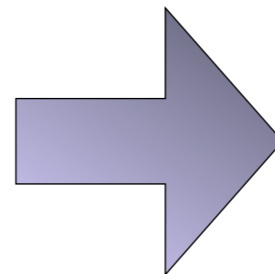
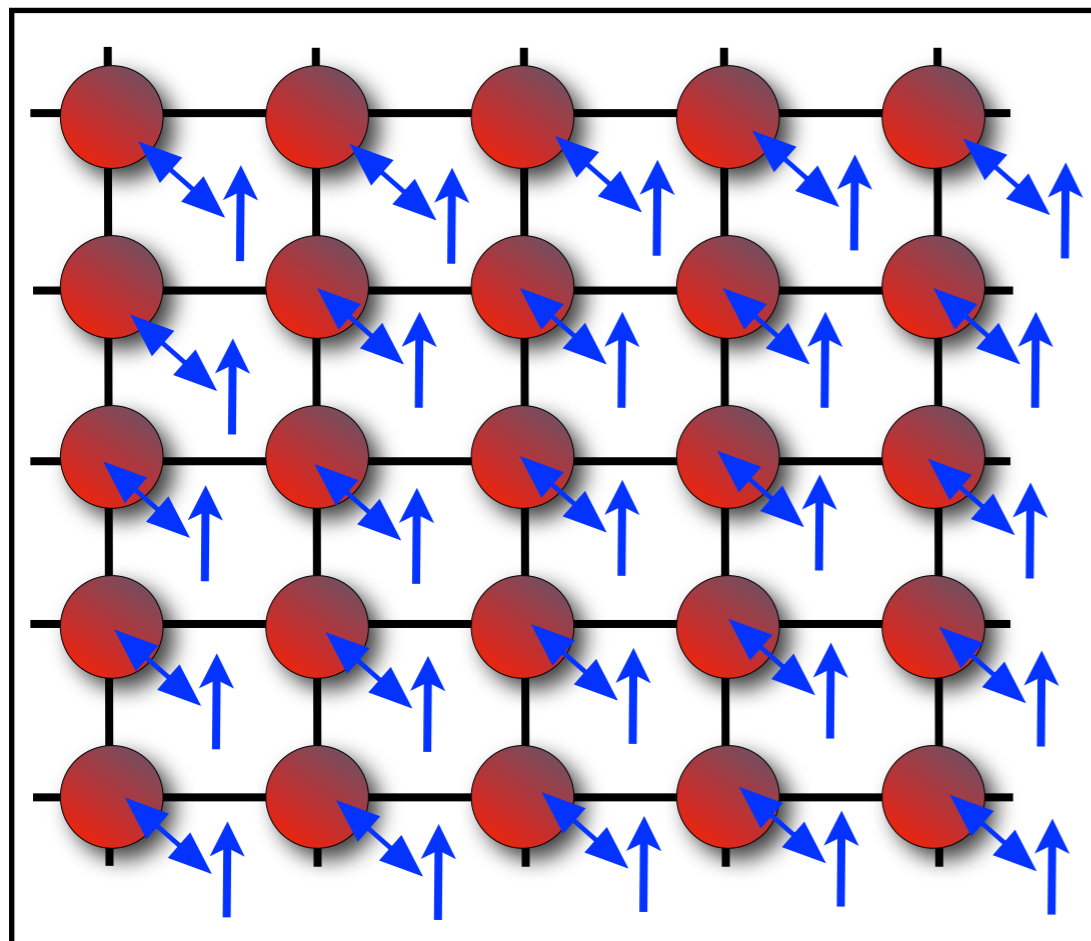


Onuki et al 1987

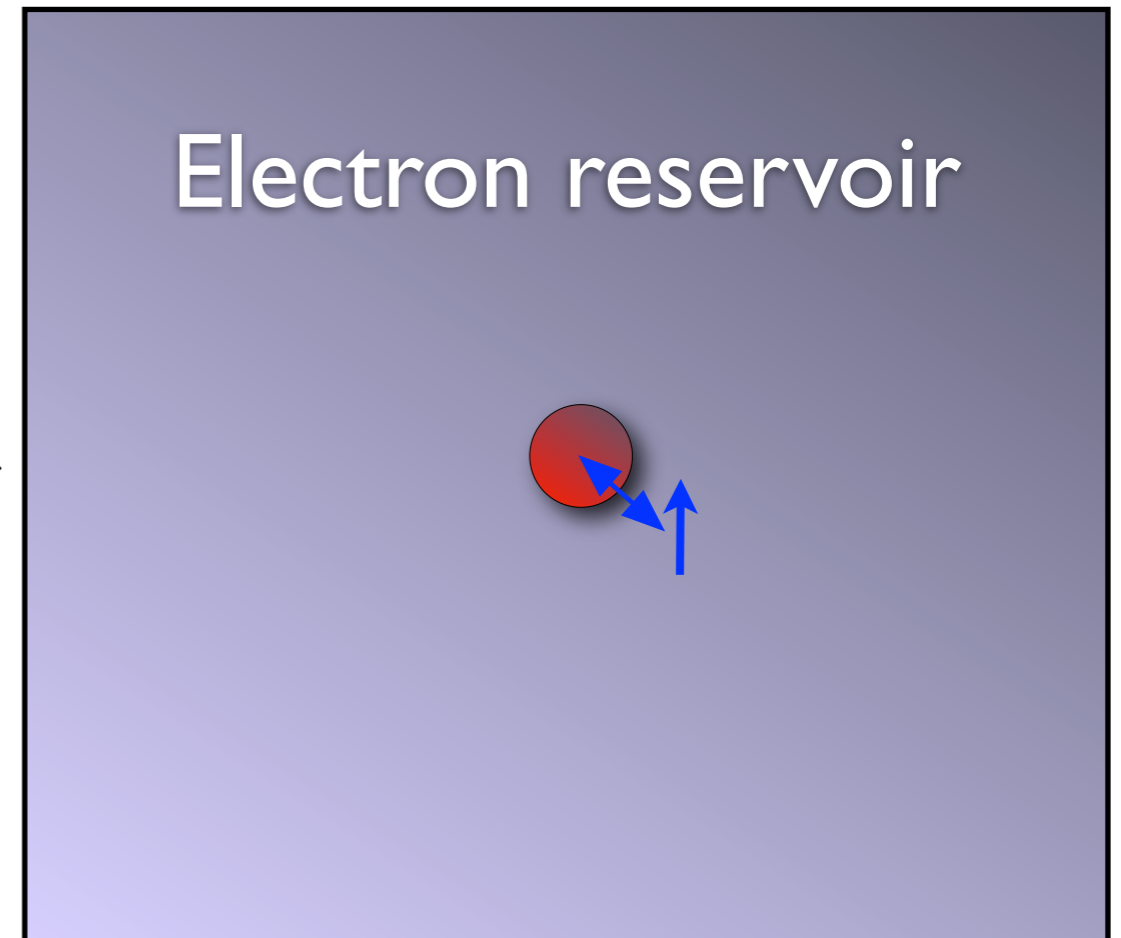
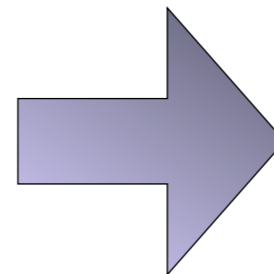
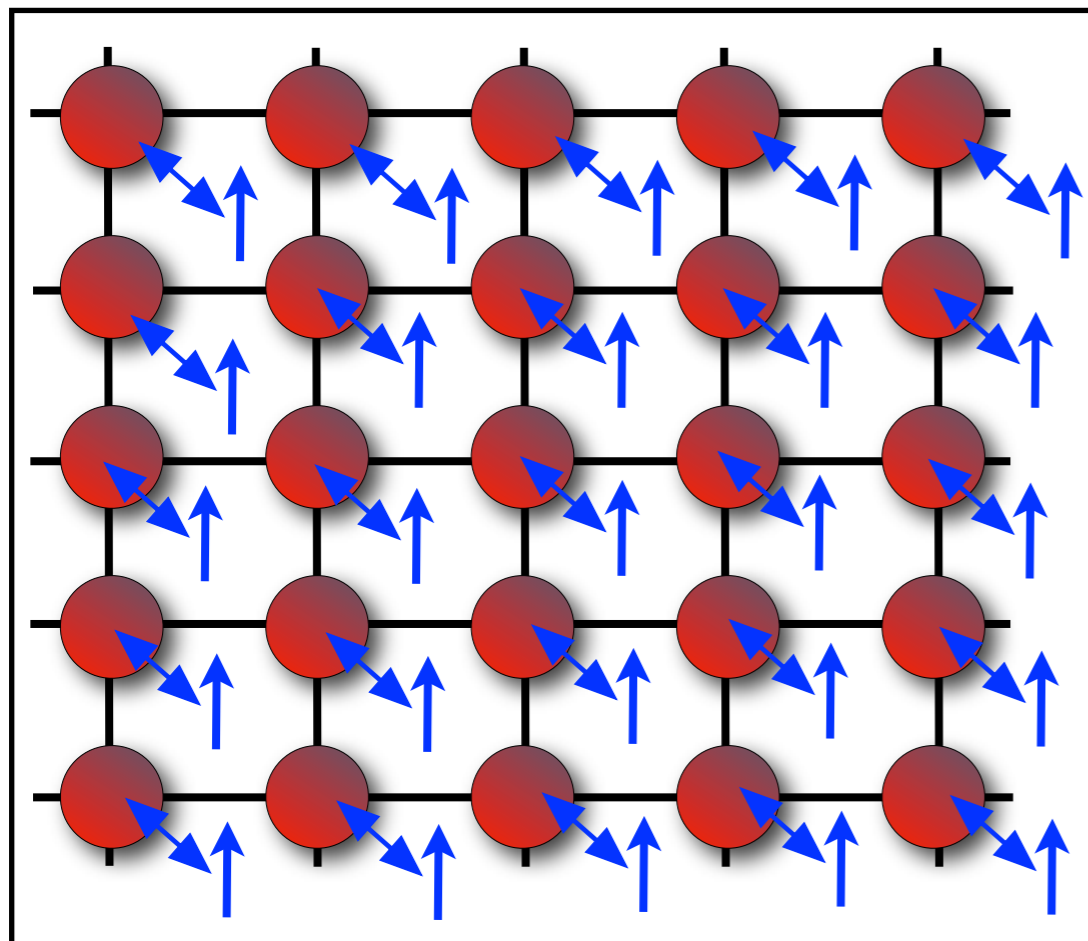


A. P. Pikul et al
PRL 108, 066405 (2012)





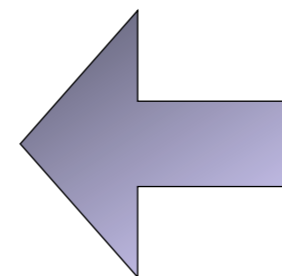
effective site:
impurity problem
f-electrons coupled to a bath

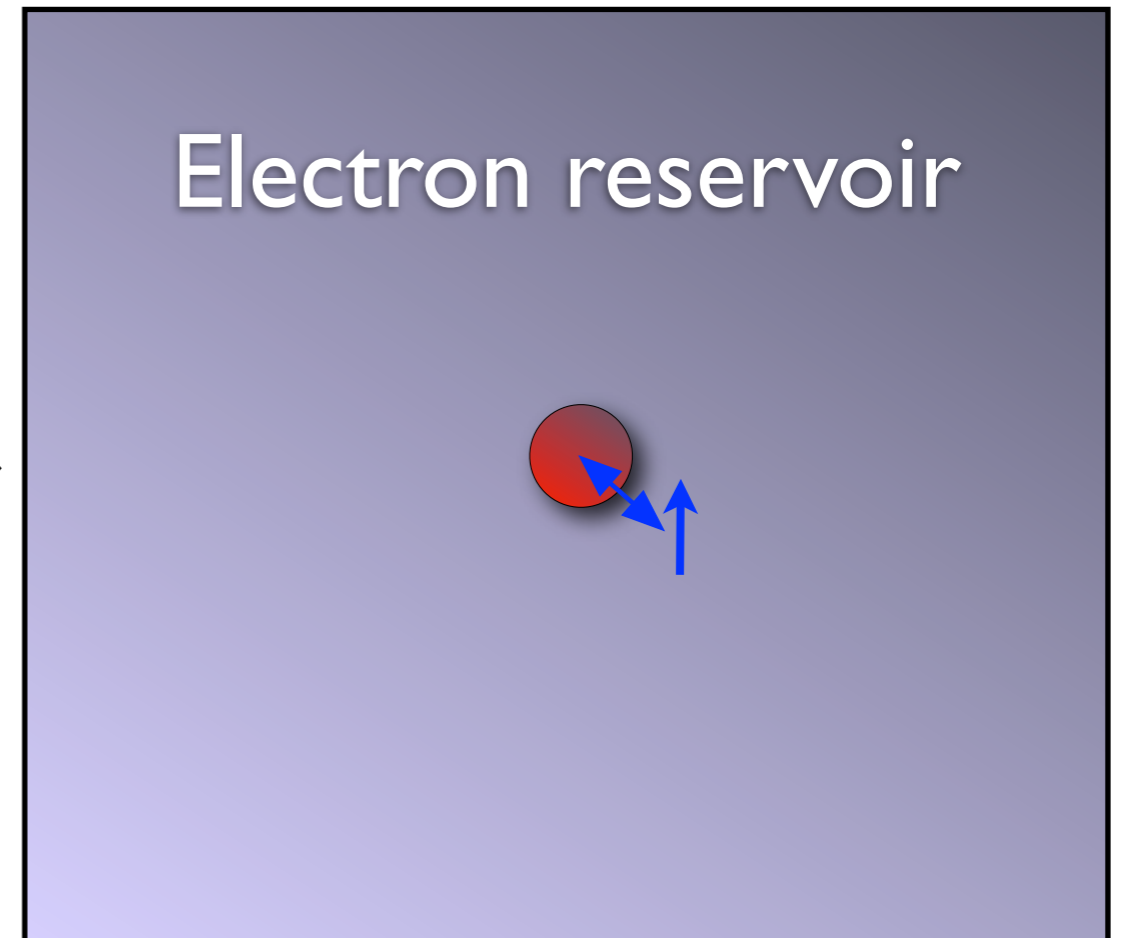
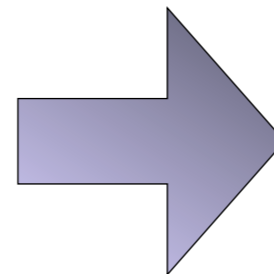
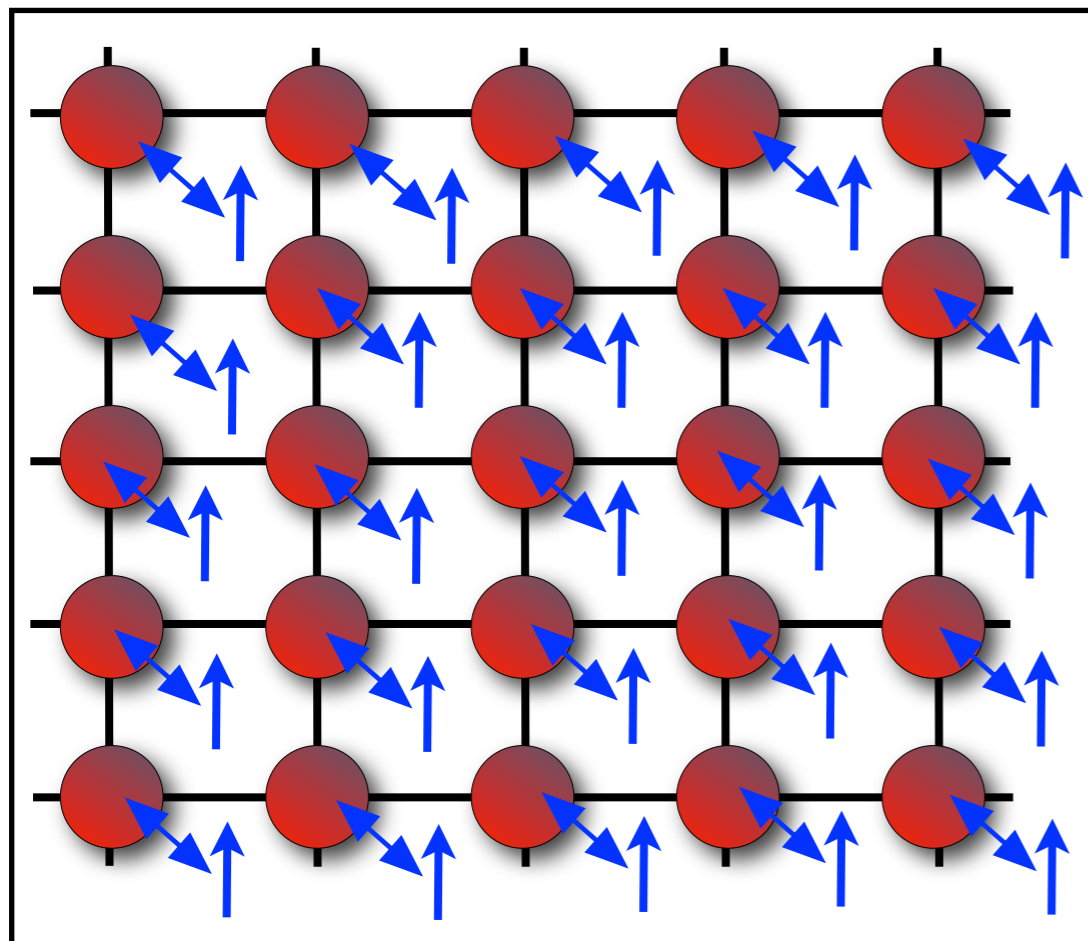


effective site:
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impurity $\Sigma^f(\omega) =$ lattice $\Sigma^f(\omega)$

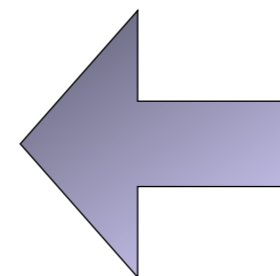




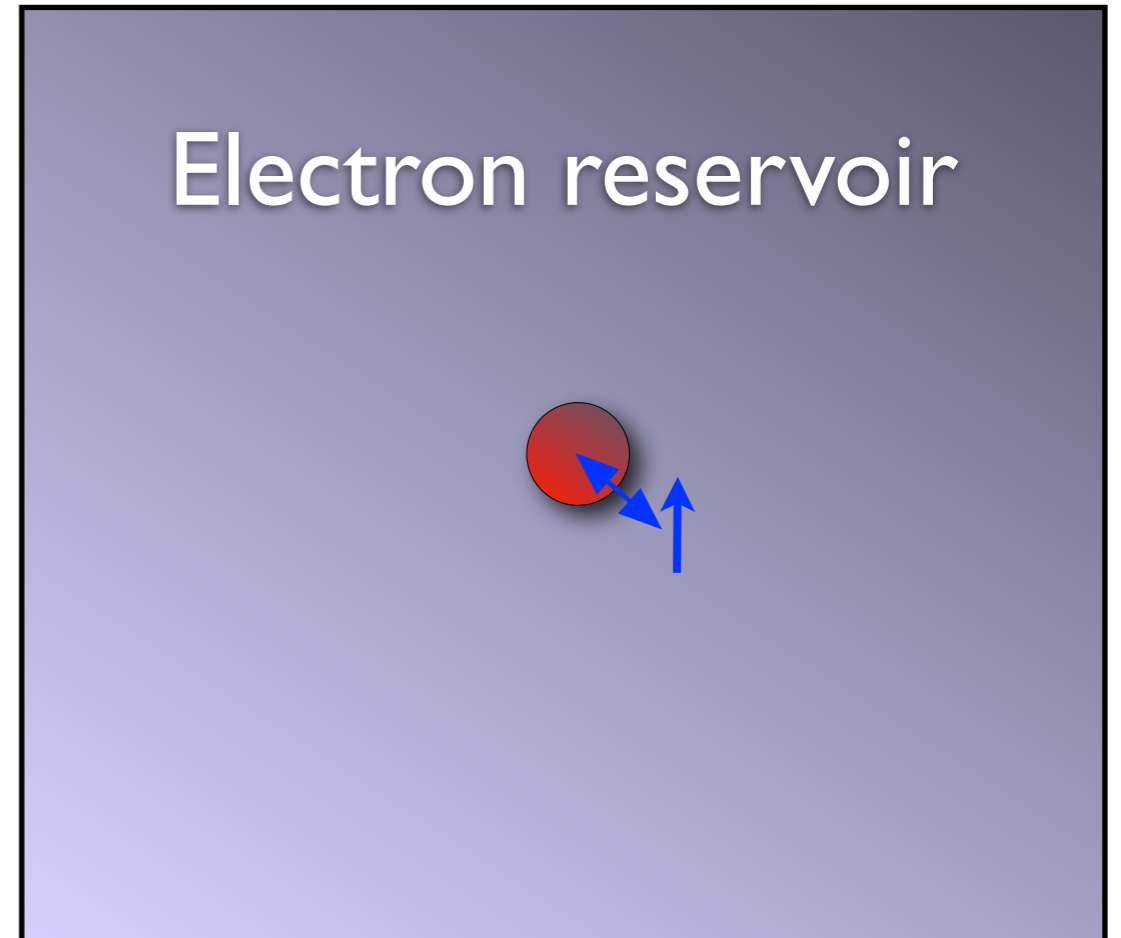
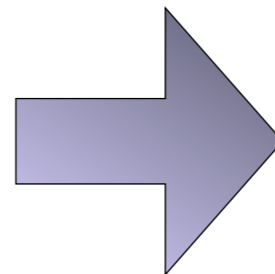
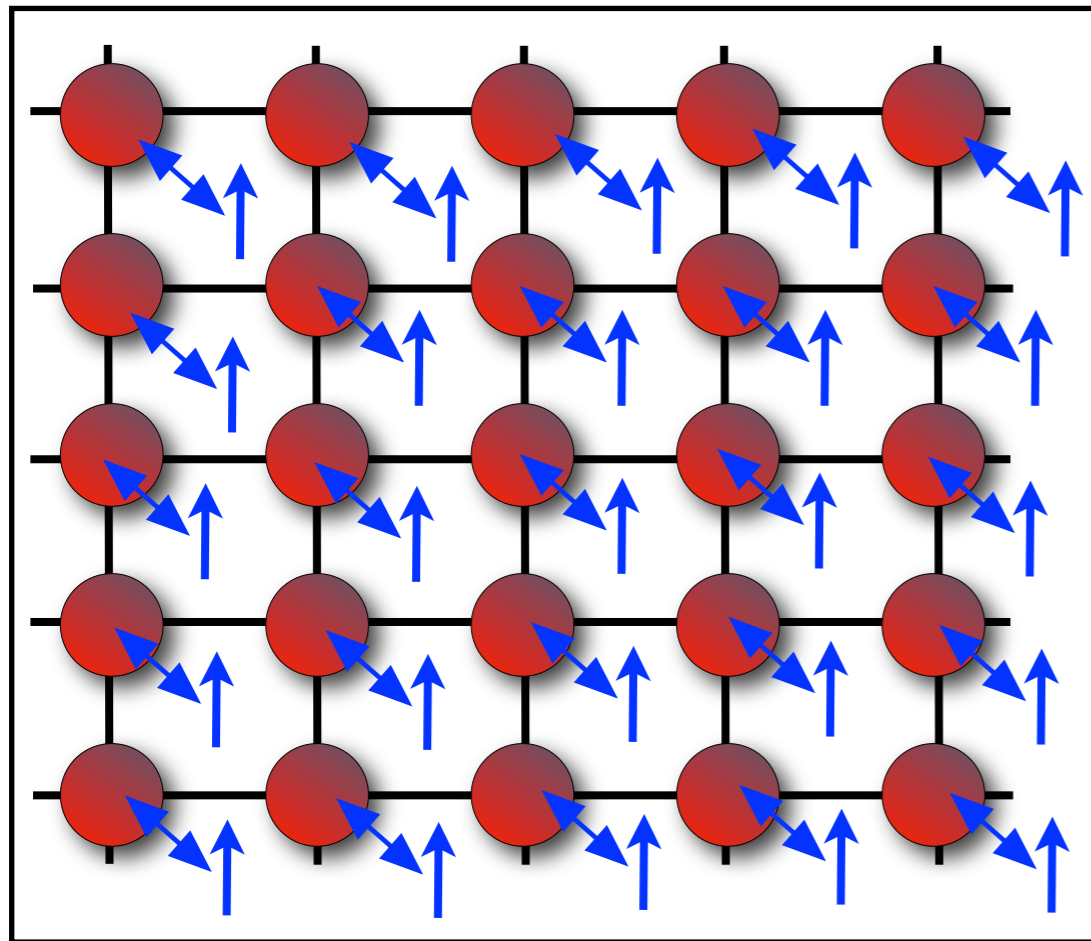
$$G_{\sigma}(k, z) = \left[z - \varepsilon_k - \frac{V^2}{z - \varepsilon_f - \Sigma^f(z)} \right]^{-1}$$

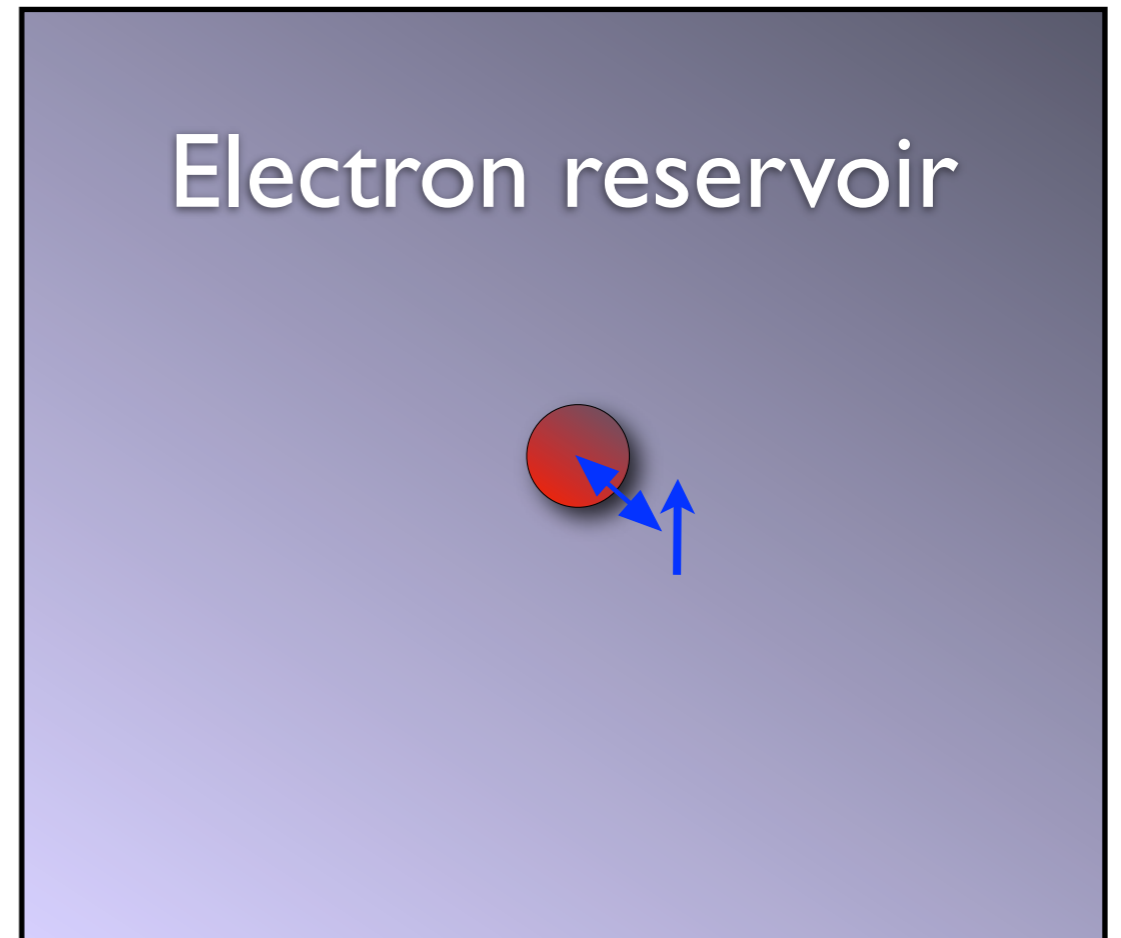
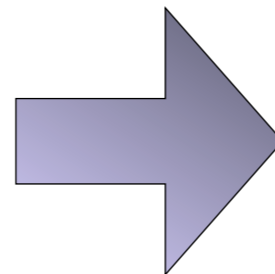
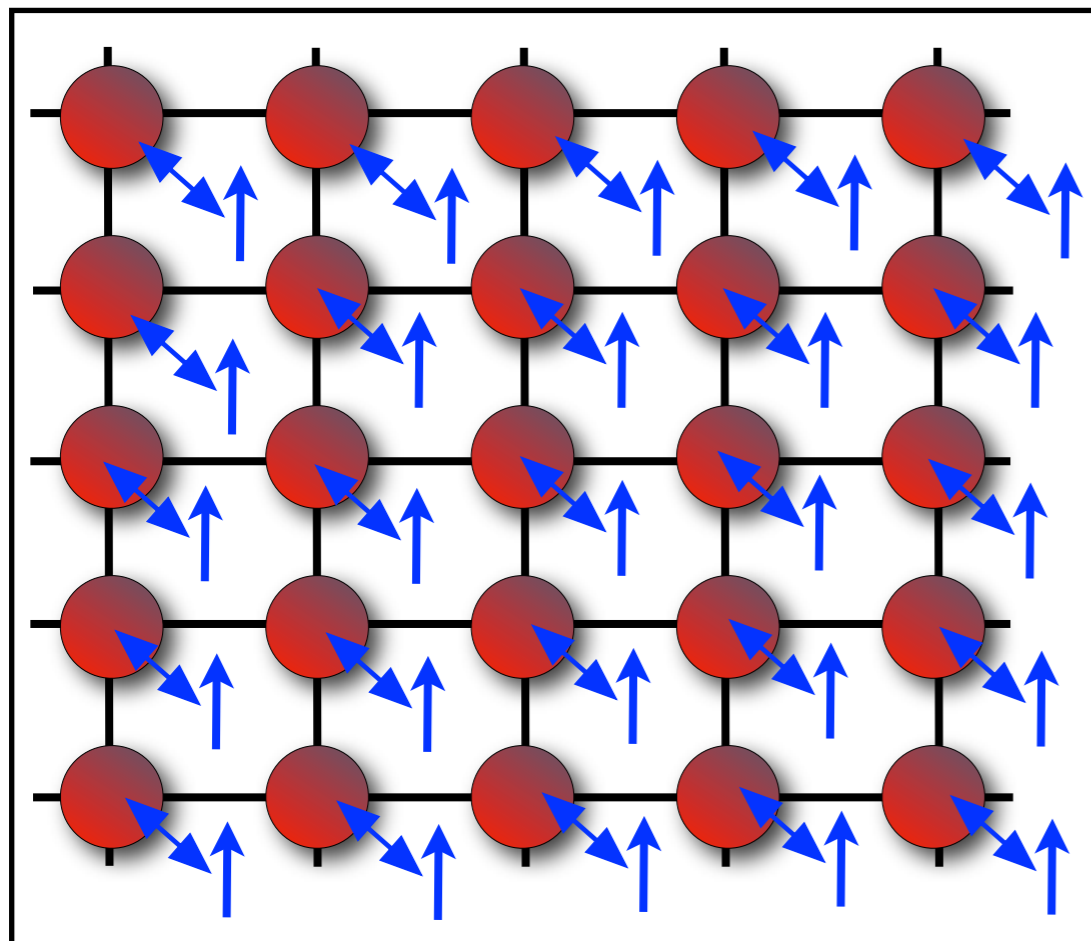
$$F_{\sigma}(k, z) = \left[z - \varepsilon_f - \Sigma^f(z) - \frac{V^2}{z - \varepsilon_k} \right]^{-1}$$

impurity $\Sigma^f(\omega) =$ lattice $\Sigma^f(\omega)$

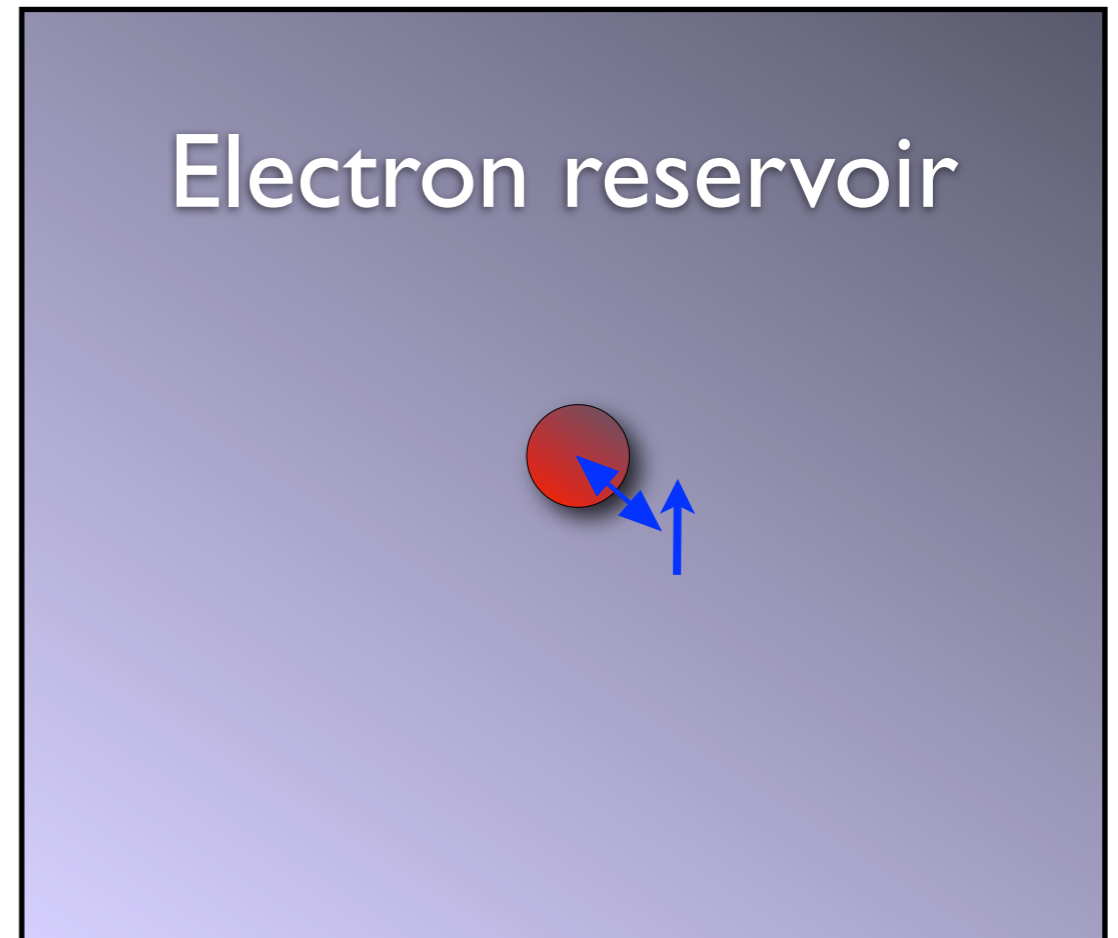
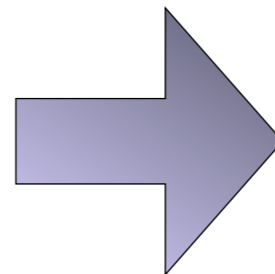
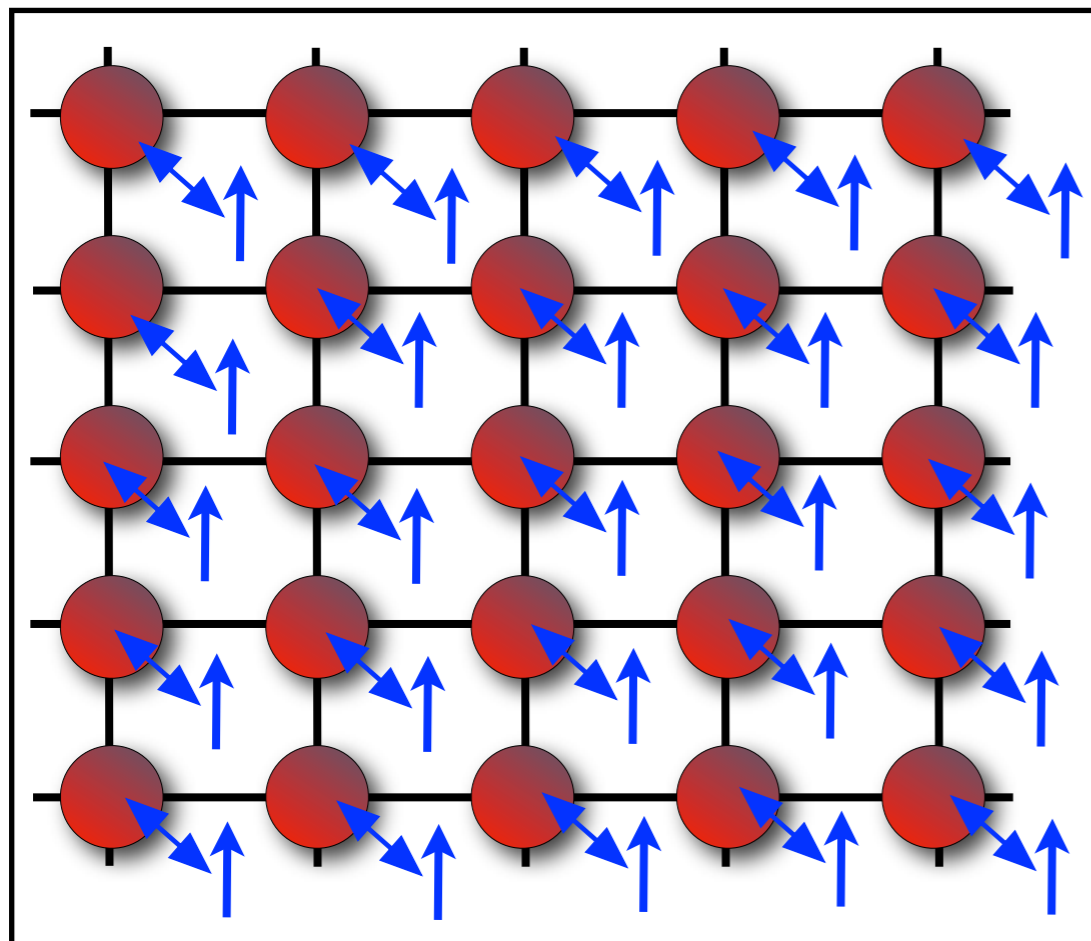


effective site:
impurity problem
f-electrons coupled to a bath



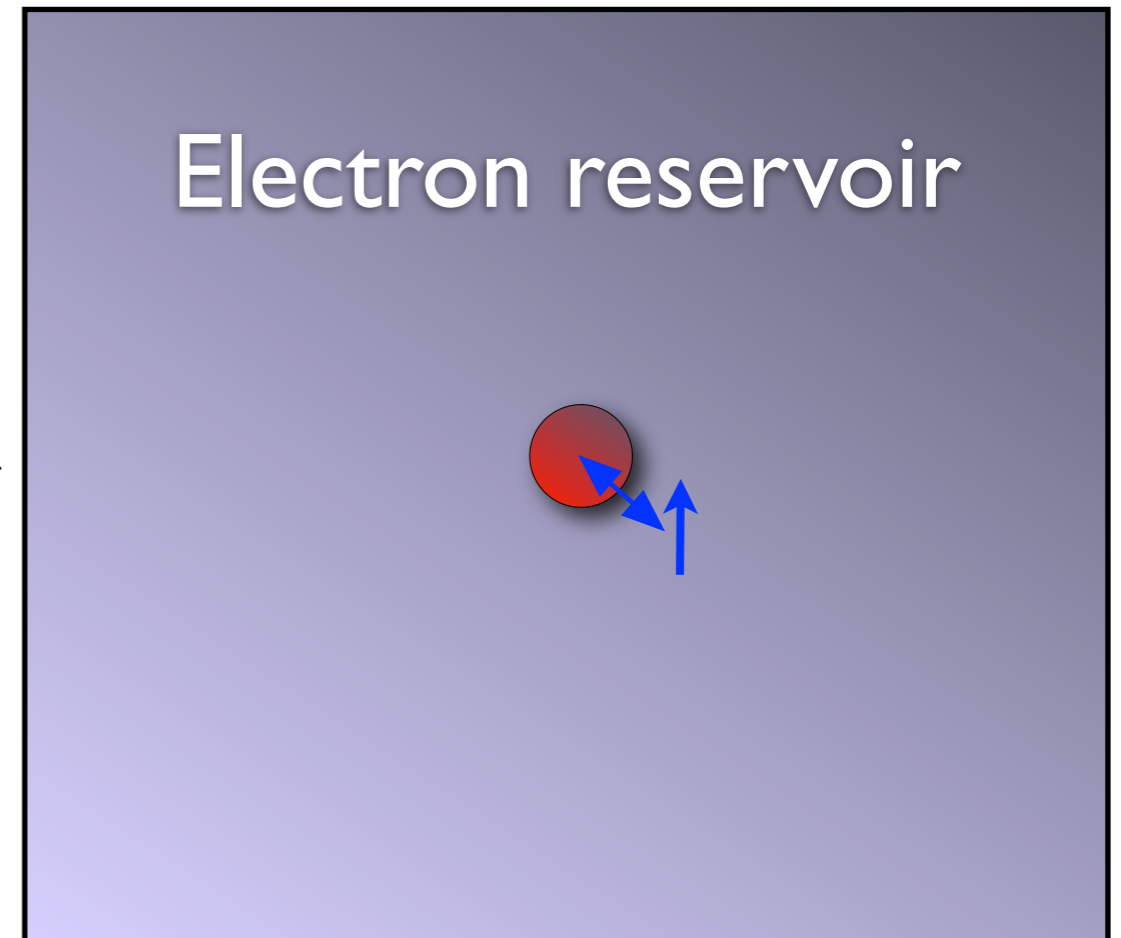
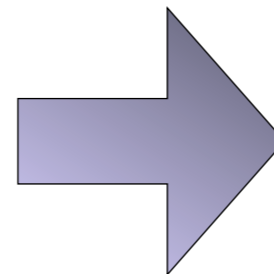
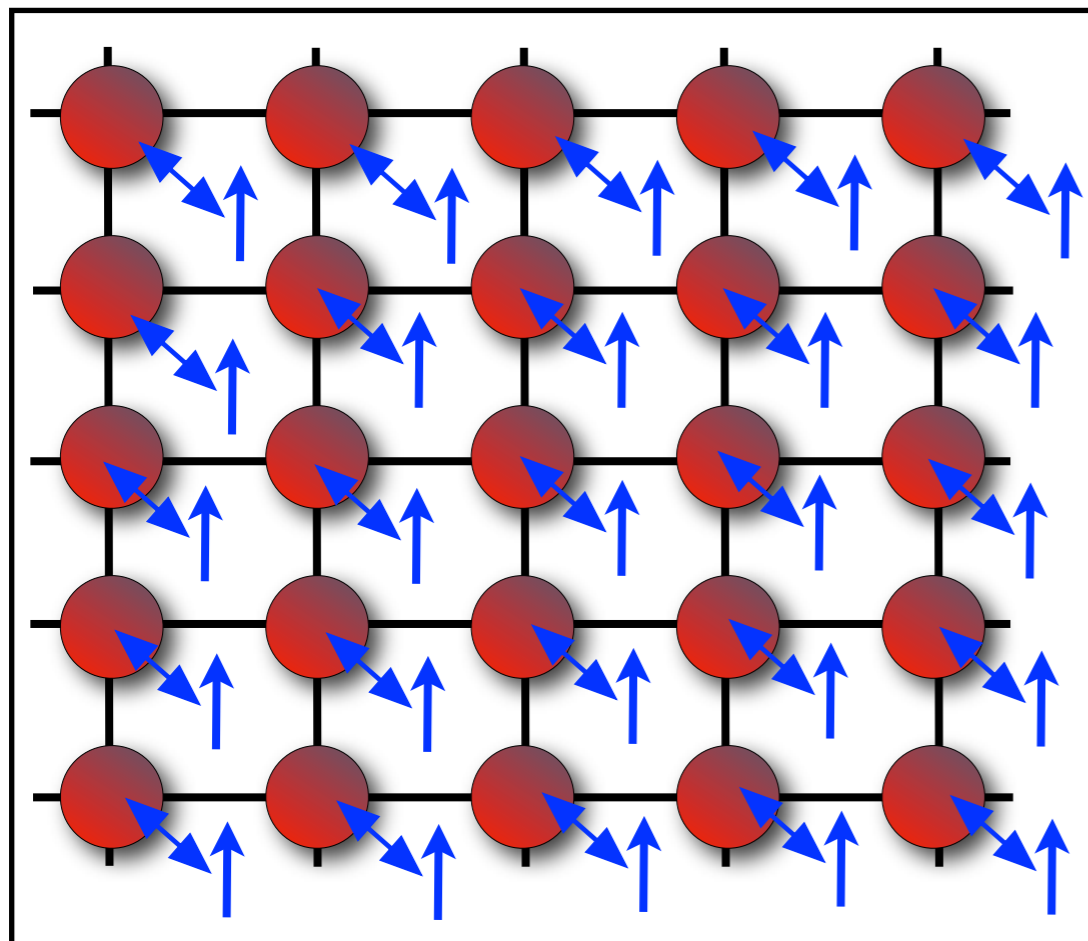


local approximation, two approaches:



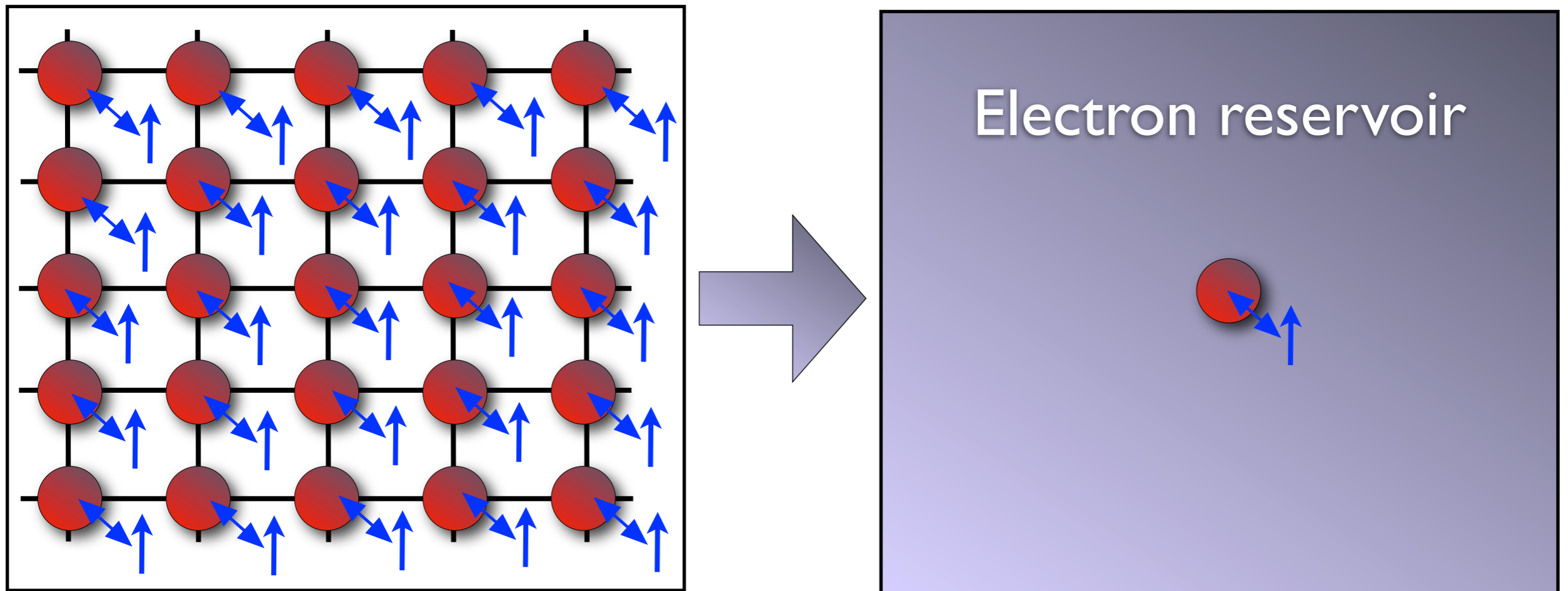
local approximation, two approaches:

- lattice non-crossing approximation (L-NCA)
(Grewe 1987)



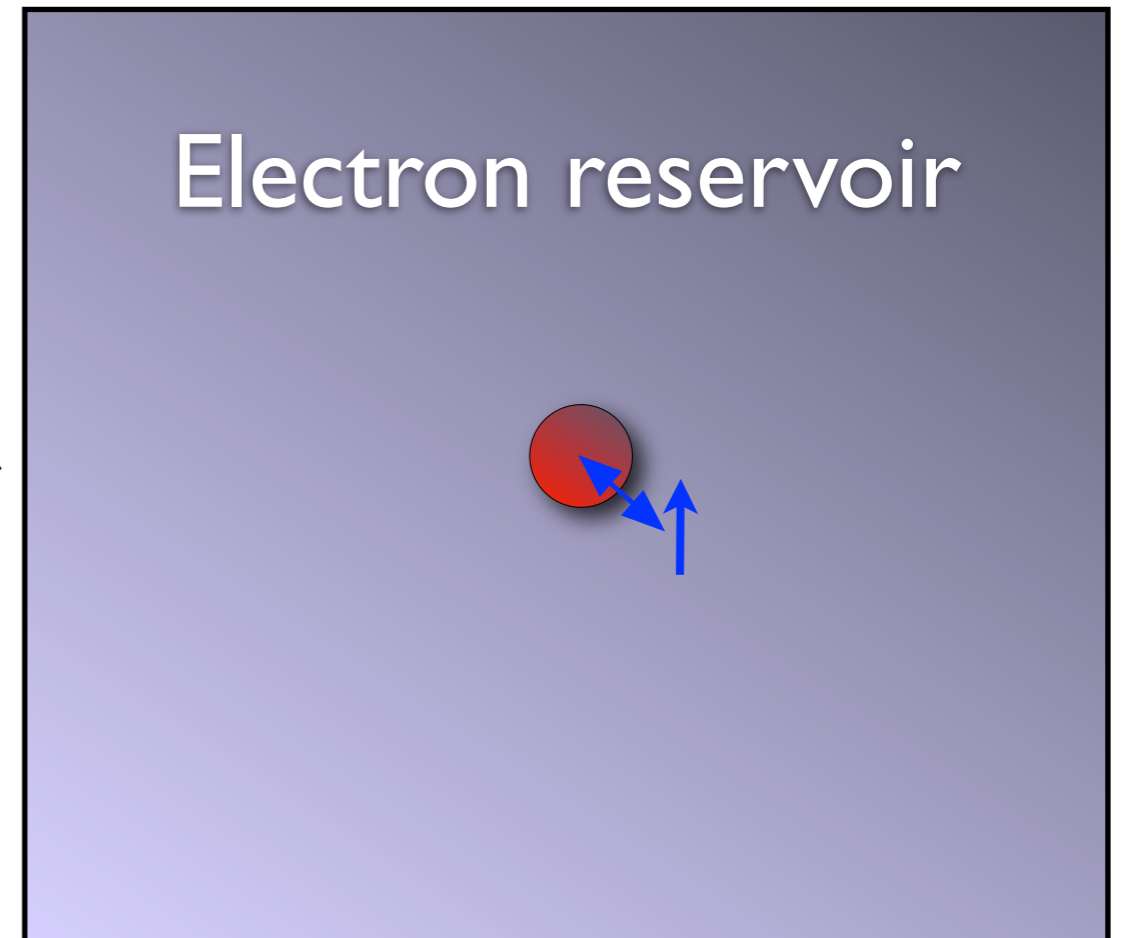
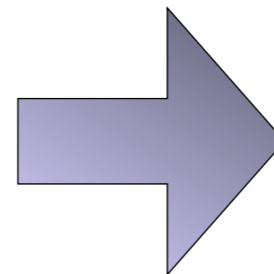
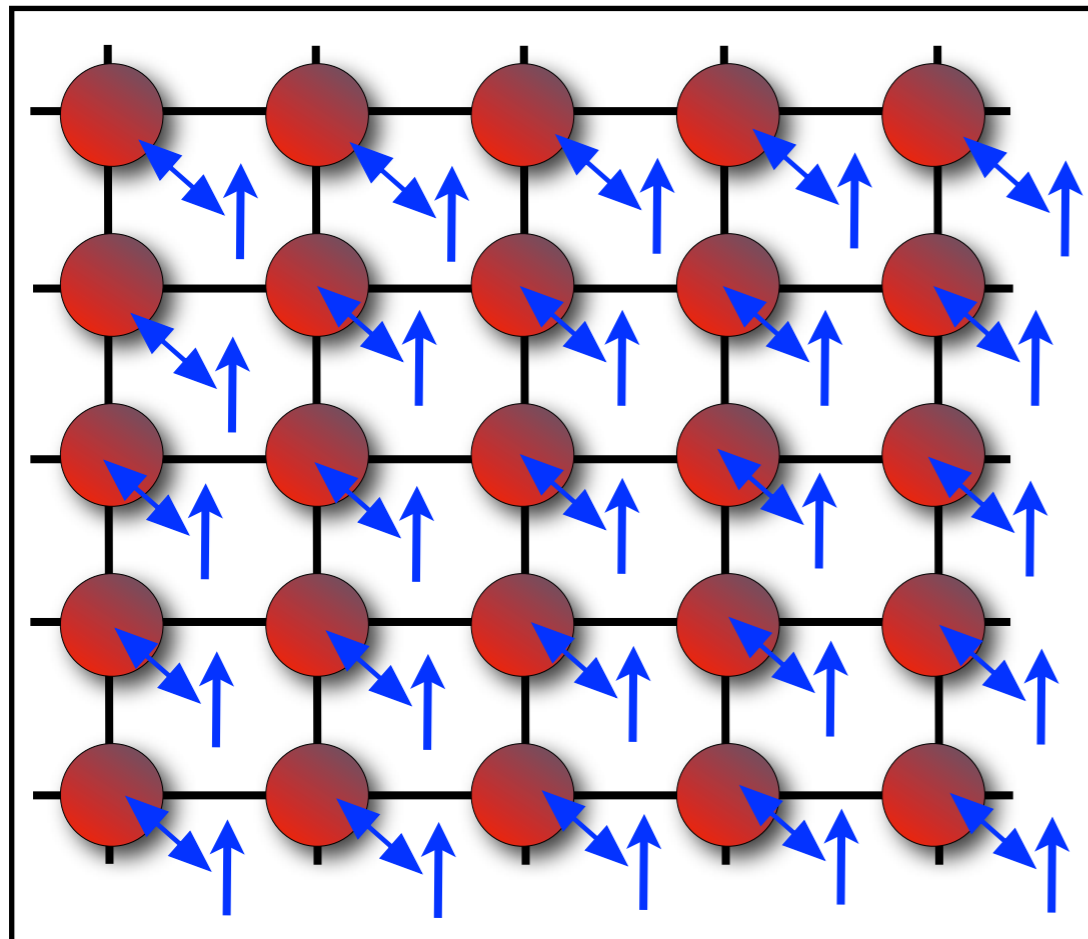
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today: DMFT(NCA)

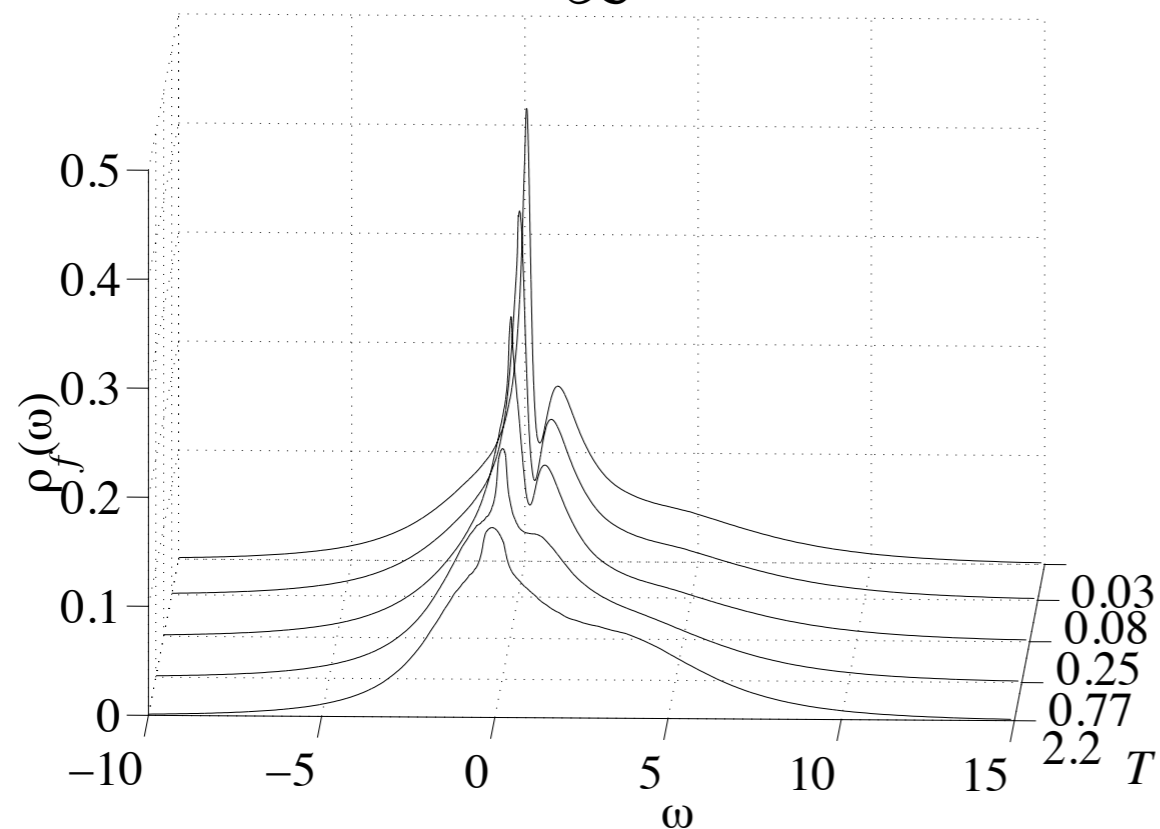


local approximation, two approaches:

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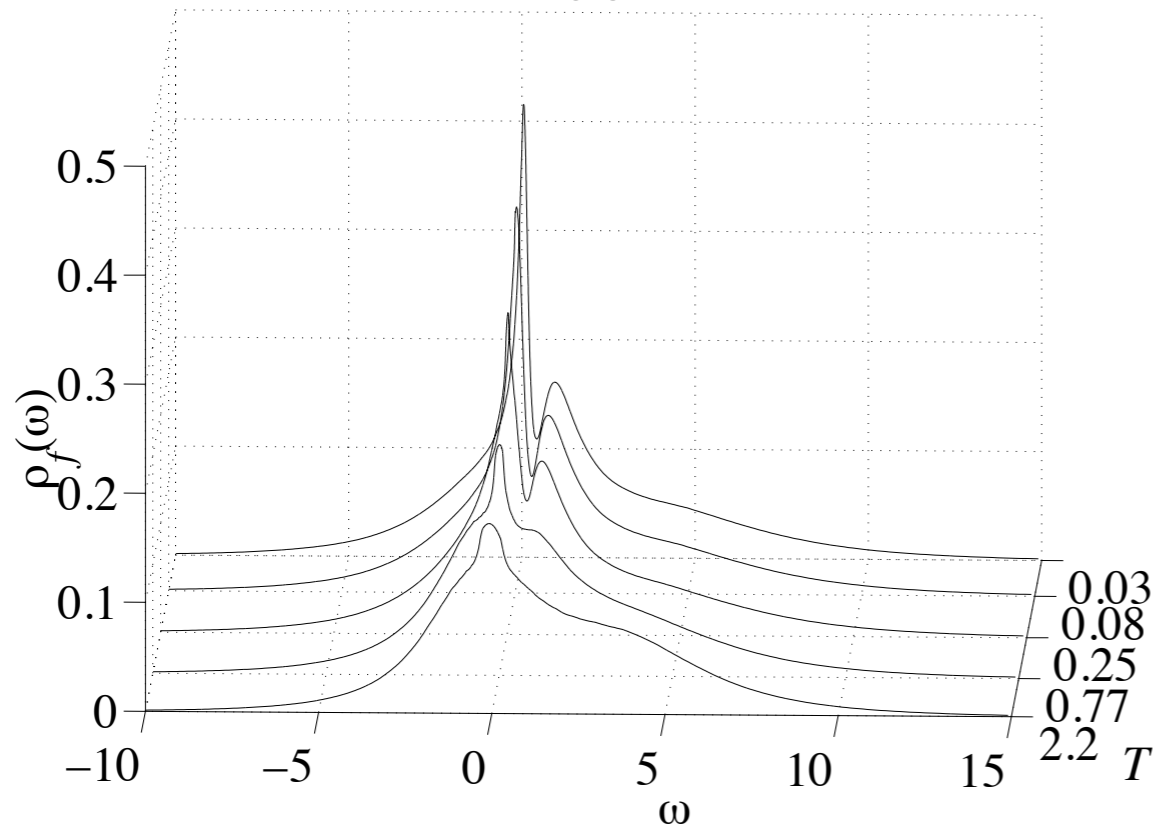
Metzner/Vollhardt,
Müller-Hartmann: (1989)
lokal approximation exact
in the limit $d \rightarrow \infty$

$$\rho_f(\omega) = \int_{-\infty}^{\infty} d\varepsilon \rho_\sigma(\omega, \varepsilon_k)$$



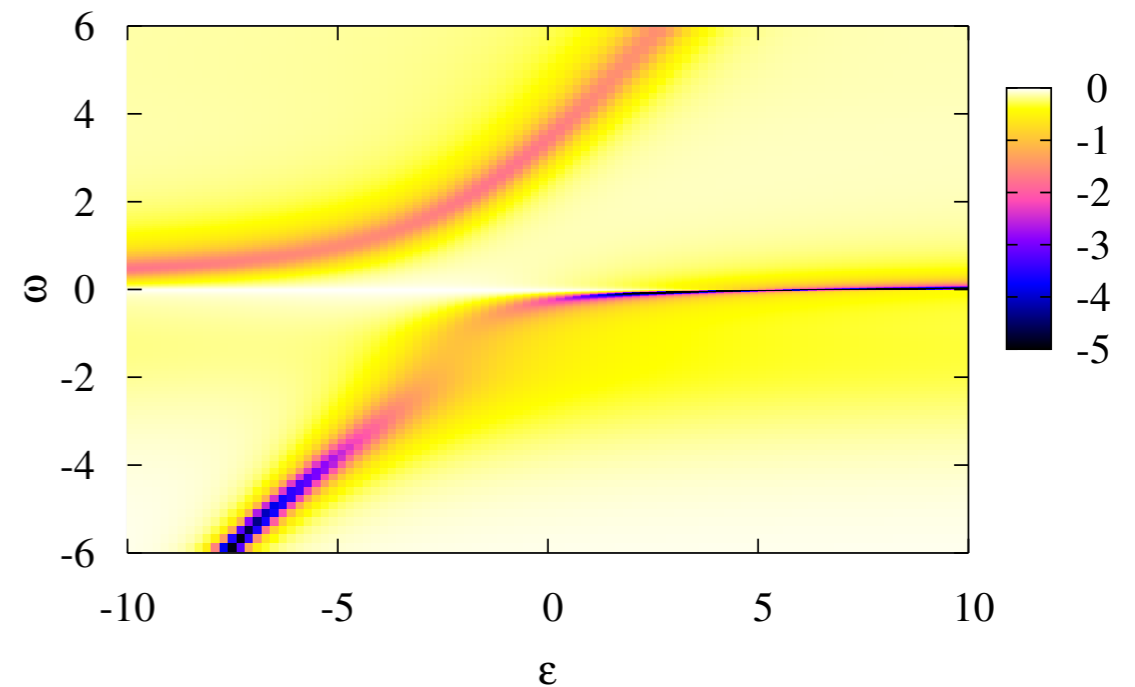
local f-density of states

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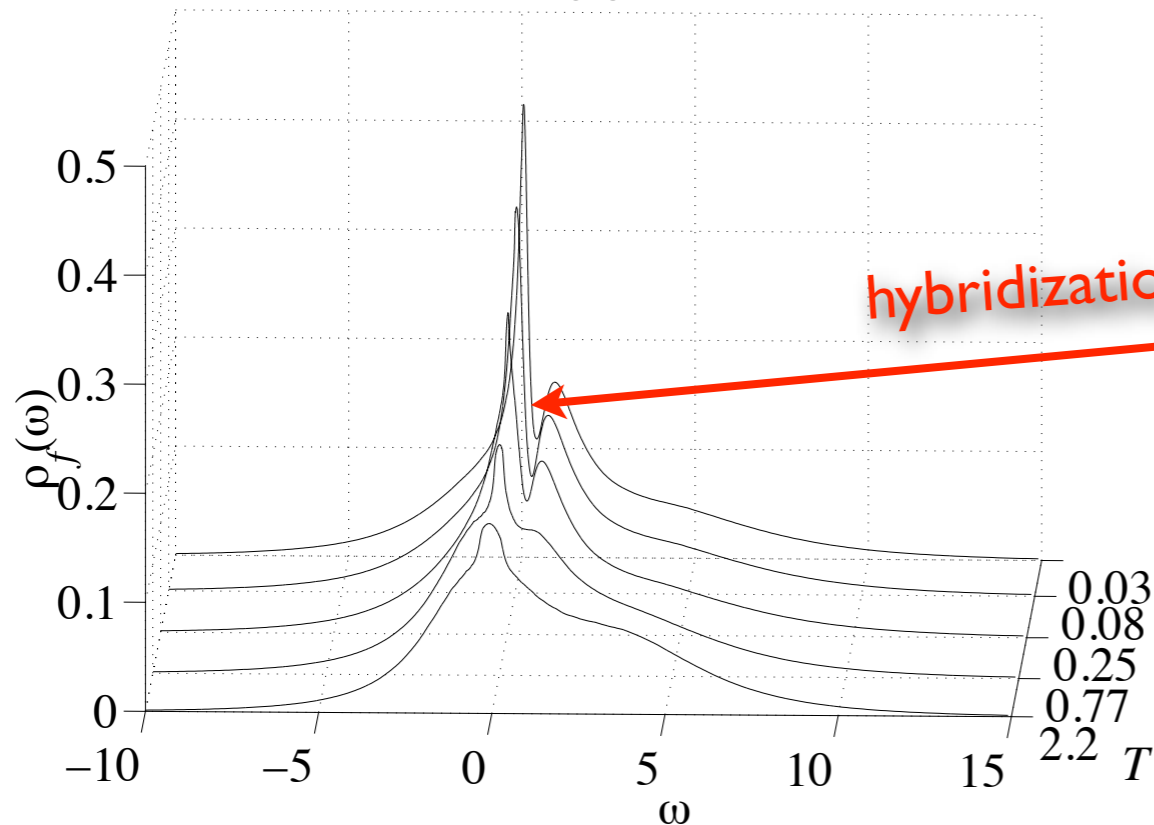
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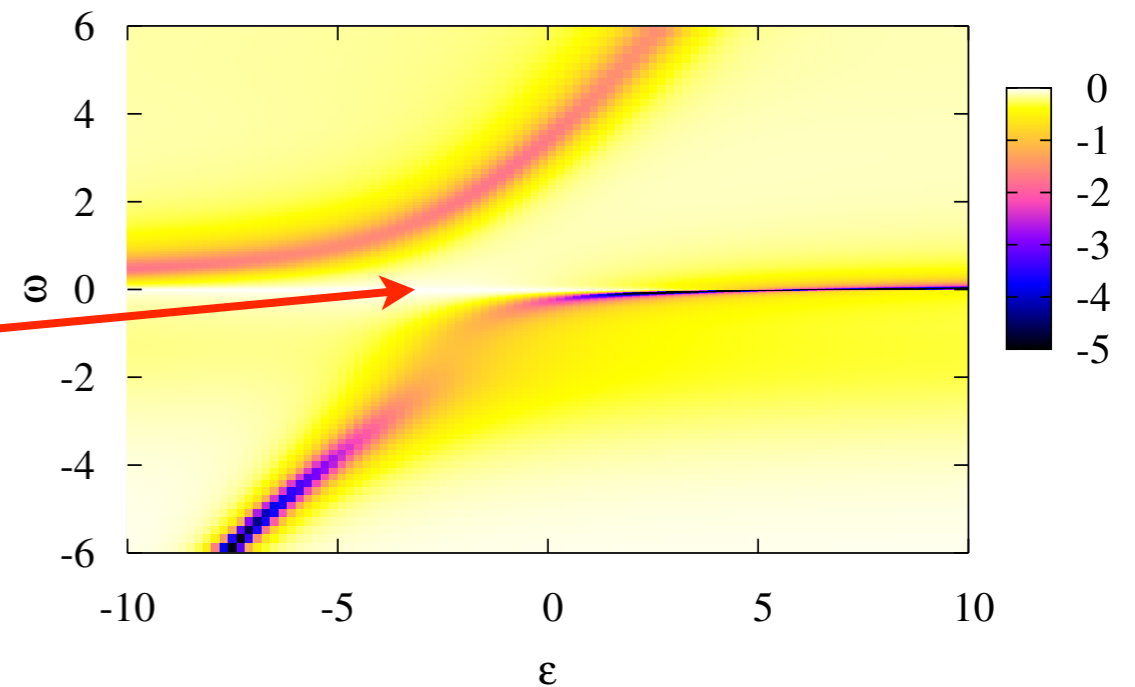
renormalized band structure

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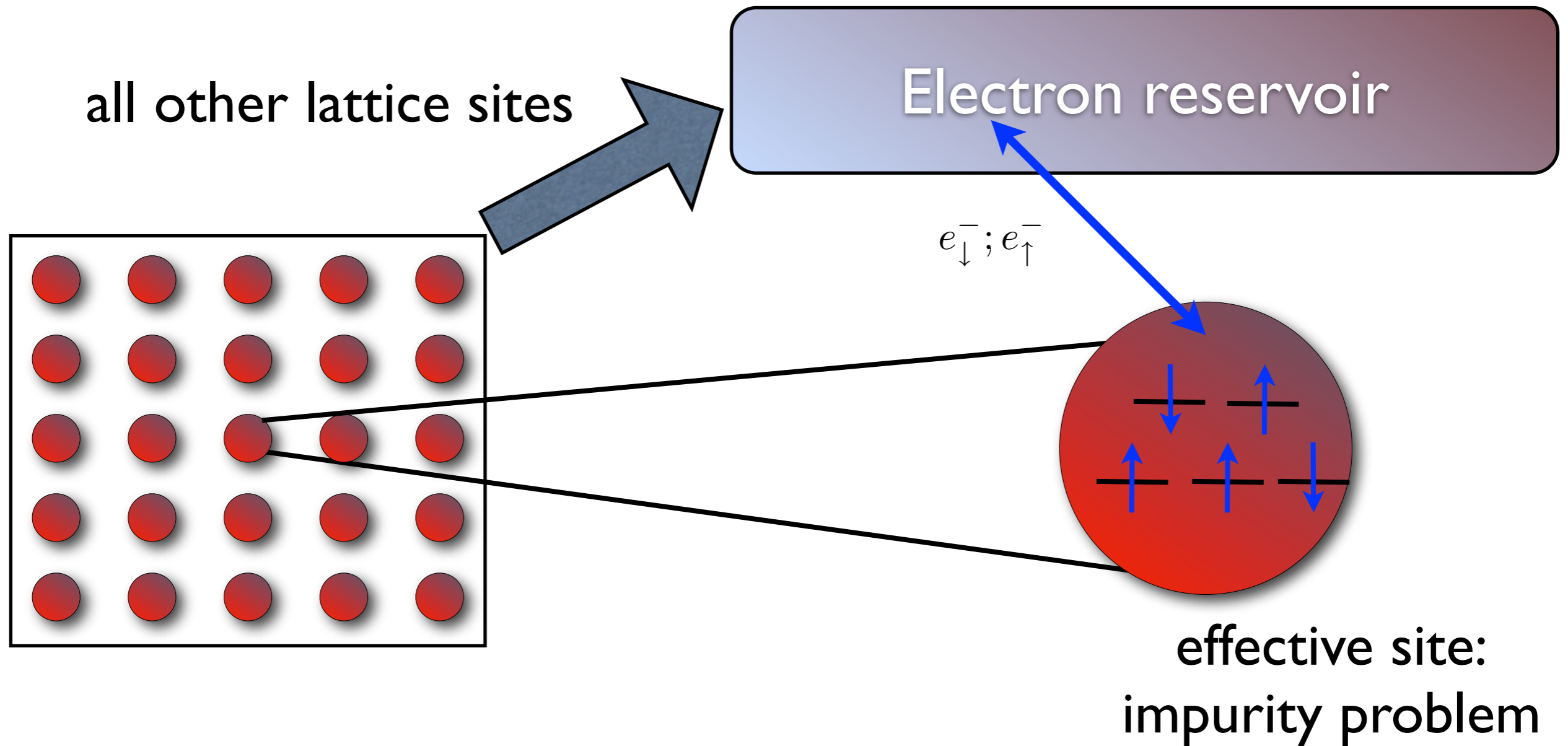
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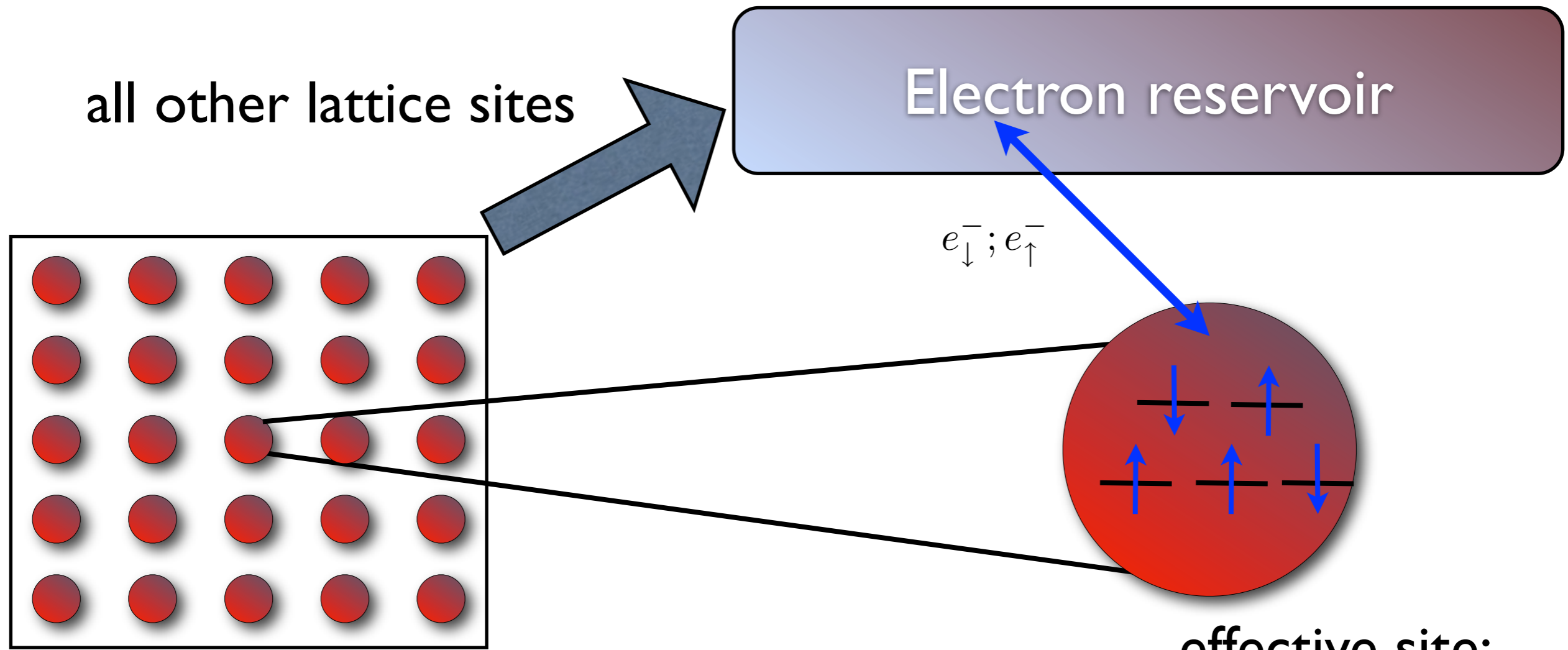


renormalized band structure

Hubbard models: also local $\Sigma(\omega)$

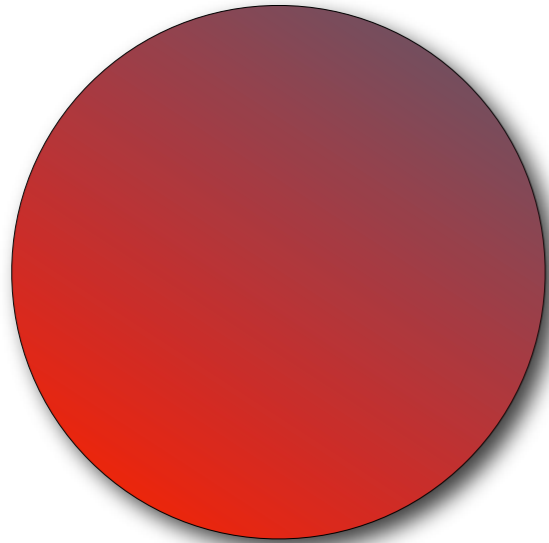


Hubbard models: also local $\Sigma(\omega)$

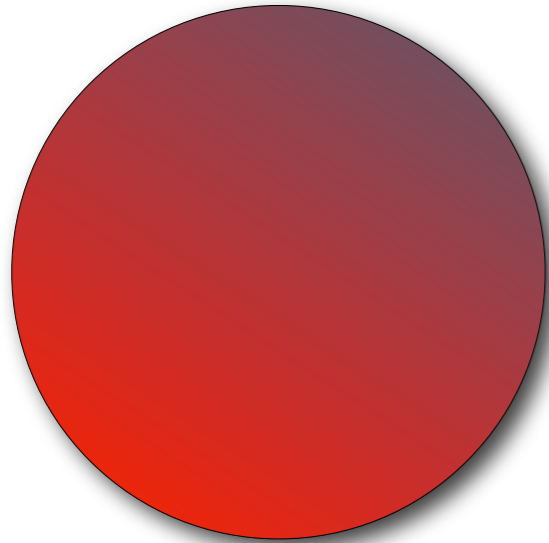


$$G_{\text{lat}}(z) = \frac{1}{N} \sum_{\vec{k}} G_{\vec{k}}(z) = \frac{1}{N} \sum_{\vec{k}} \frac{1}{z - \varepsilon_{\vec{k}} - \Sigma(z)} = G_{\text{loc}}(z)$$

Jarrell, Kotliar, Georges, Pruschke, Vollhardt, and others, 1990s

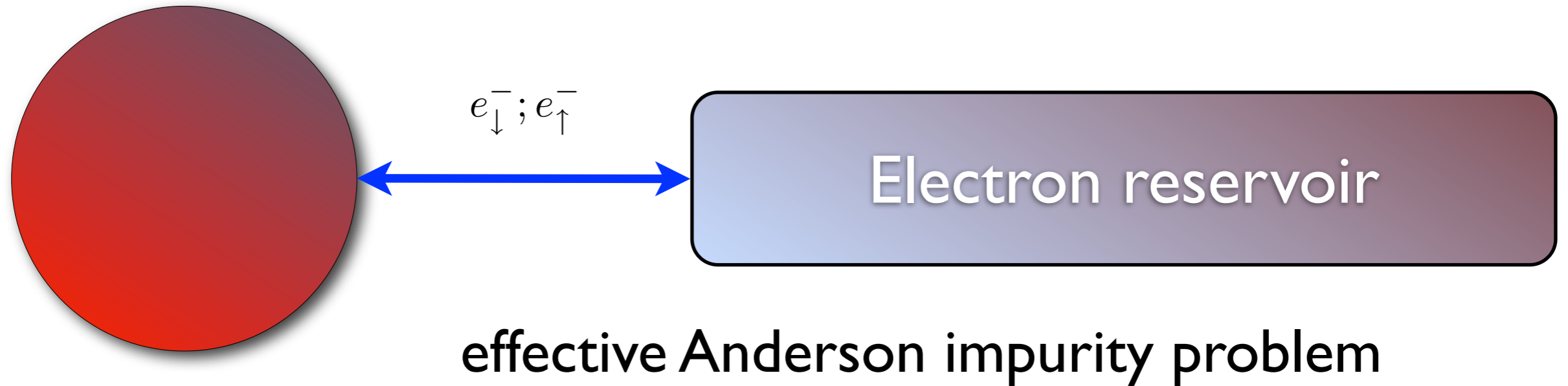


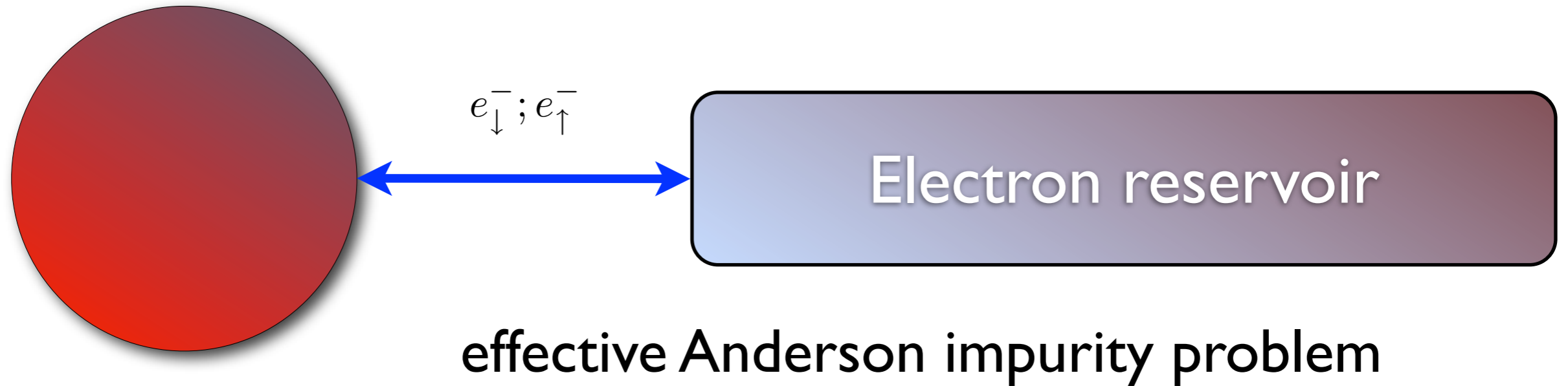
effective Anderson impurity problem



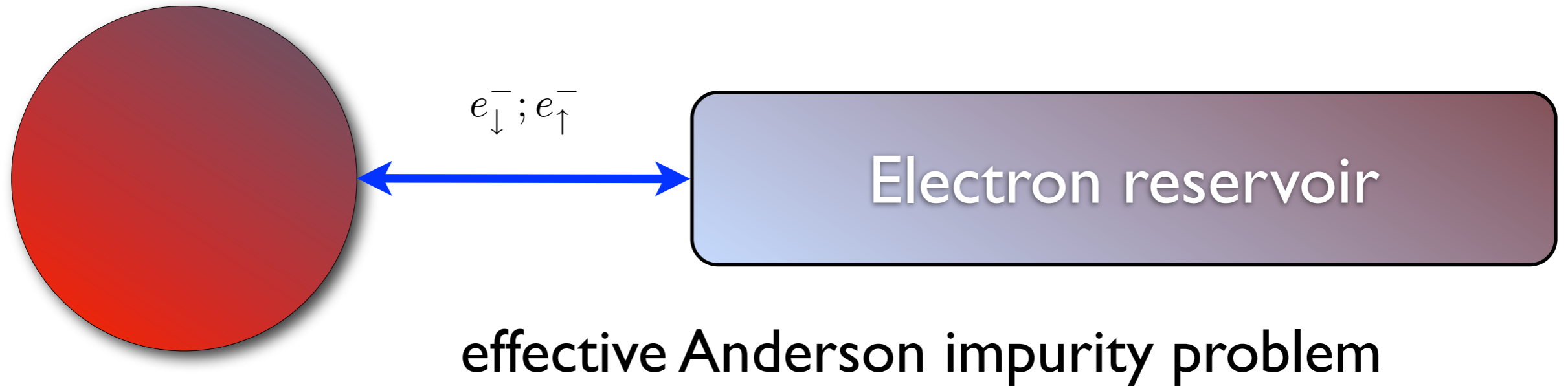
Electron reservoir

effective Anderson impurity problem

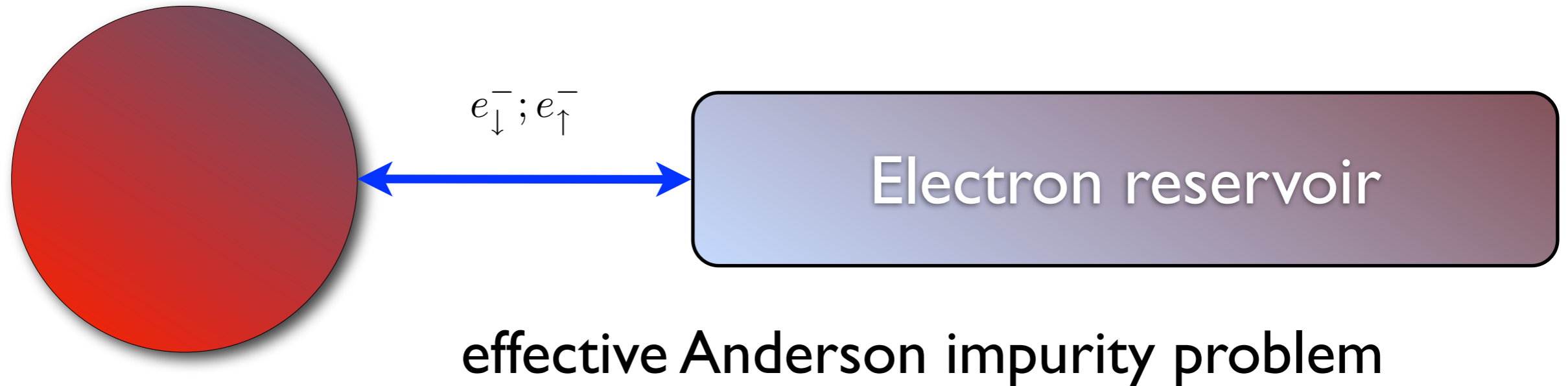




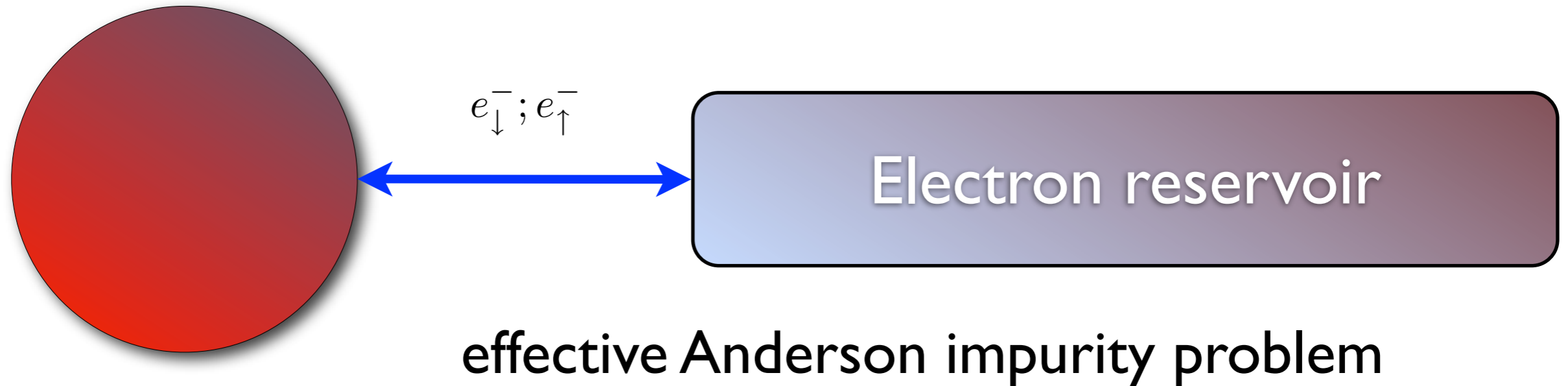
I. perturbation theory (IPT)



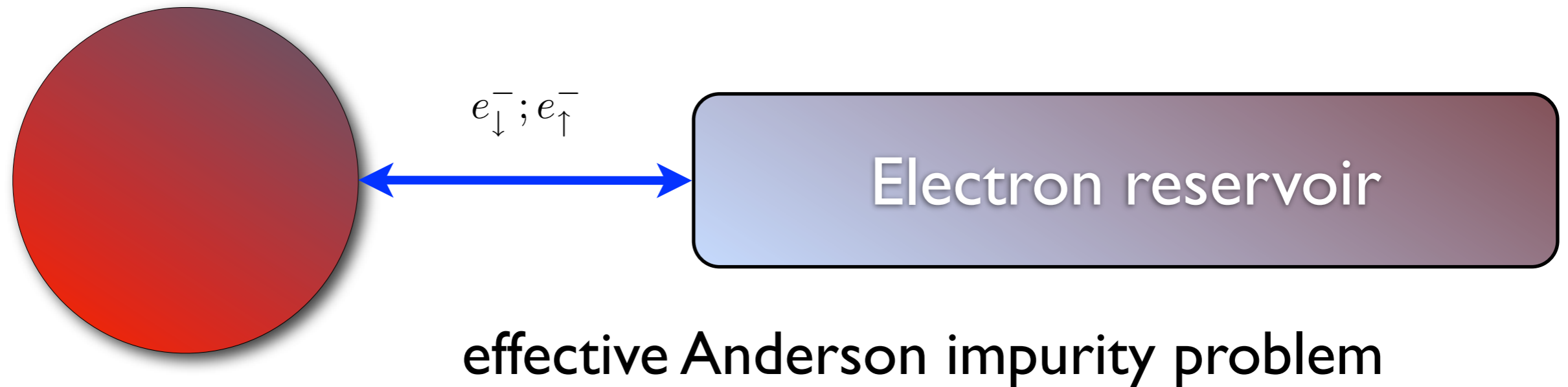
1. perturbation theory (IPT)
2. NCA/Post-NCA



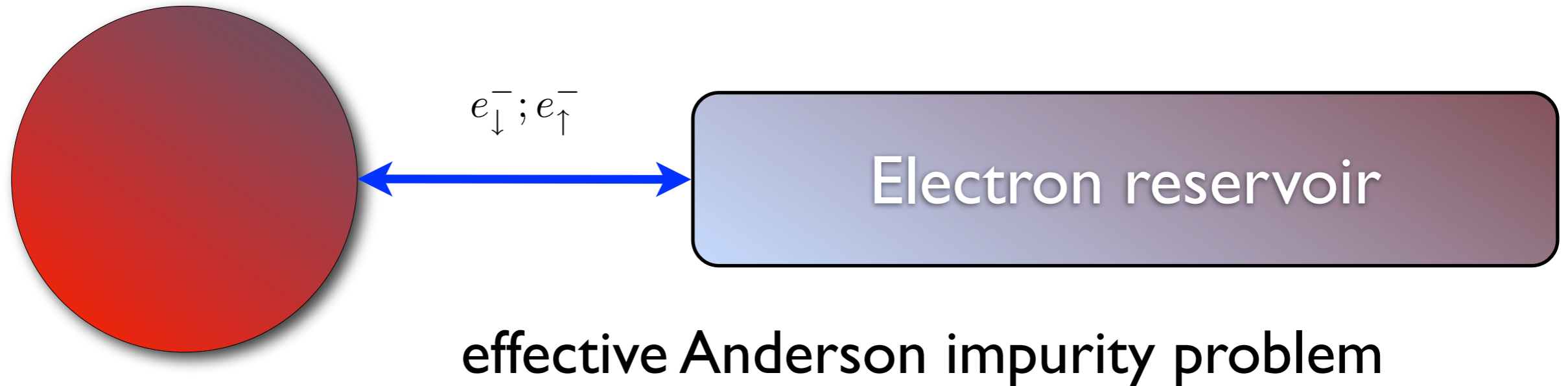
1. perturbation theory (IPT)
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3. Quantum Monte Carlo (Hirsch-Fye, Continuous time)



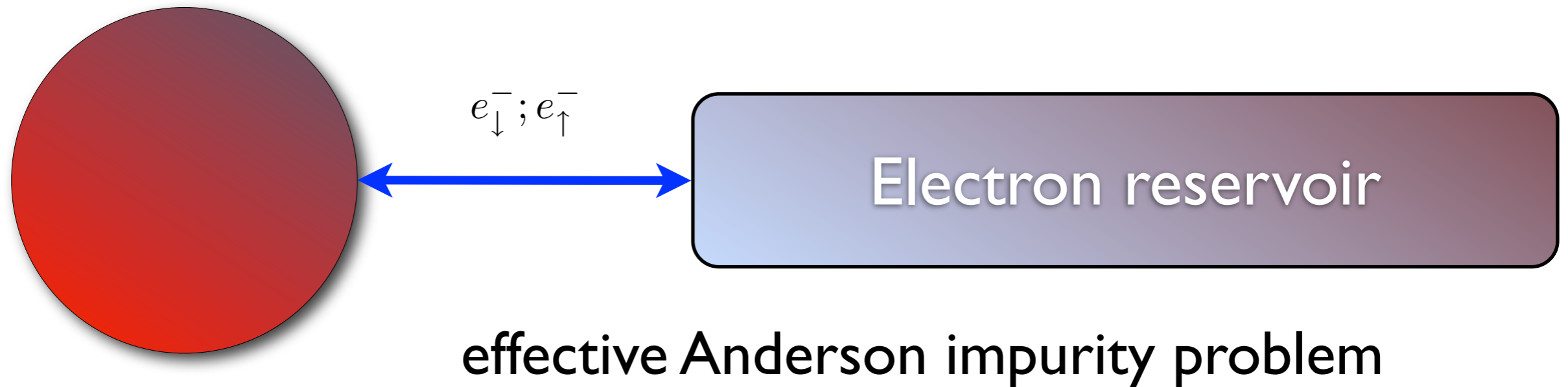
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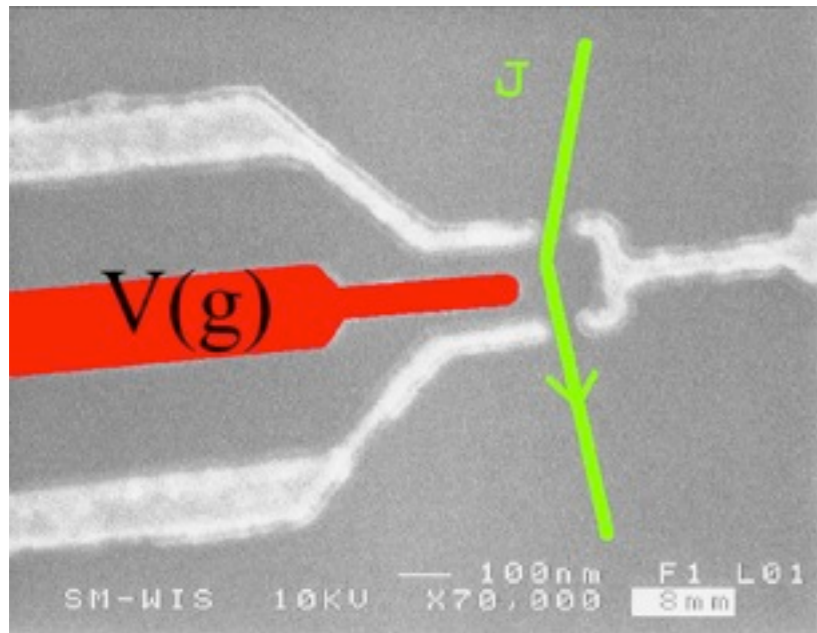


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6. Gutzwiller ansatz



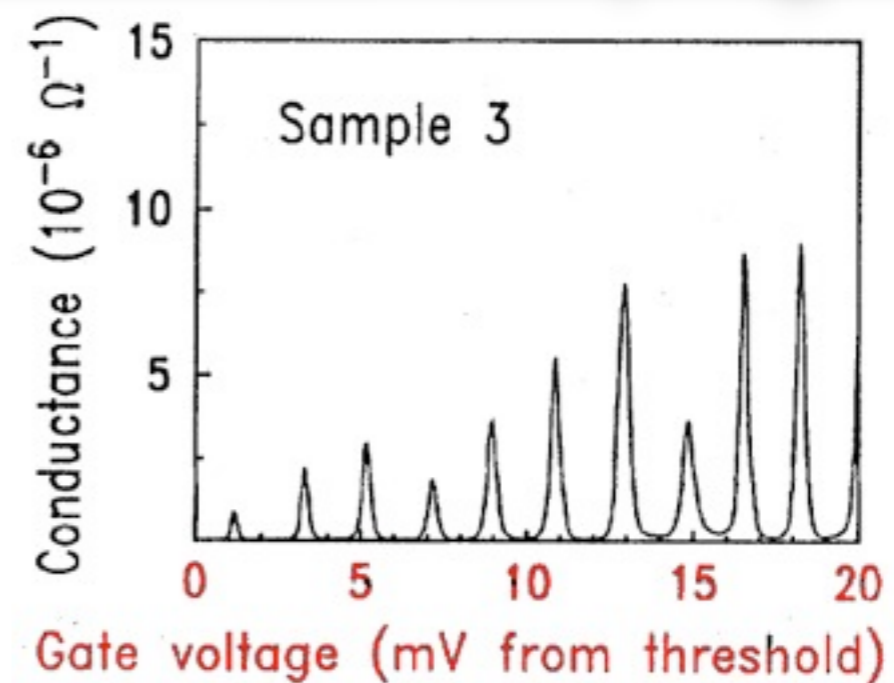
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7. exact diagonalization (ED)

Kondo effect in nano-devices

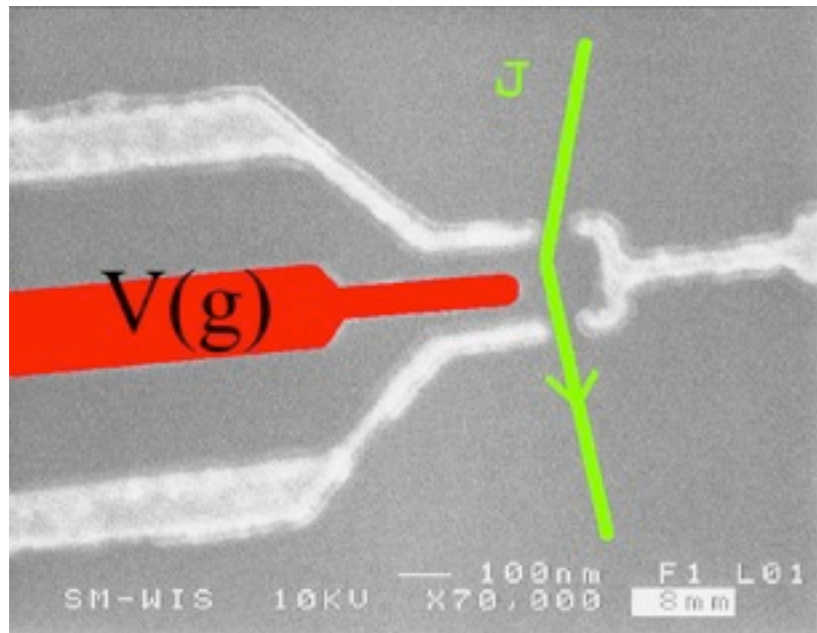


D. Goldhaber-Gordon, Nature 1998

weak coupling

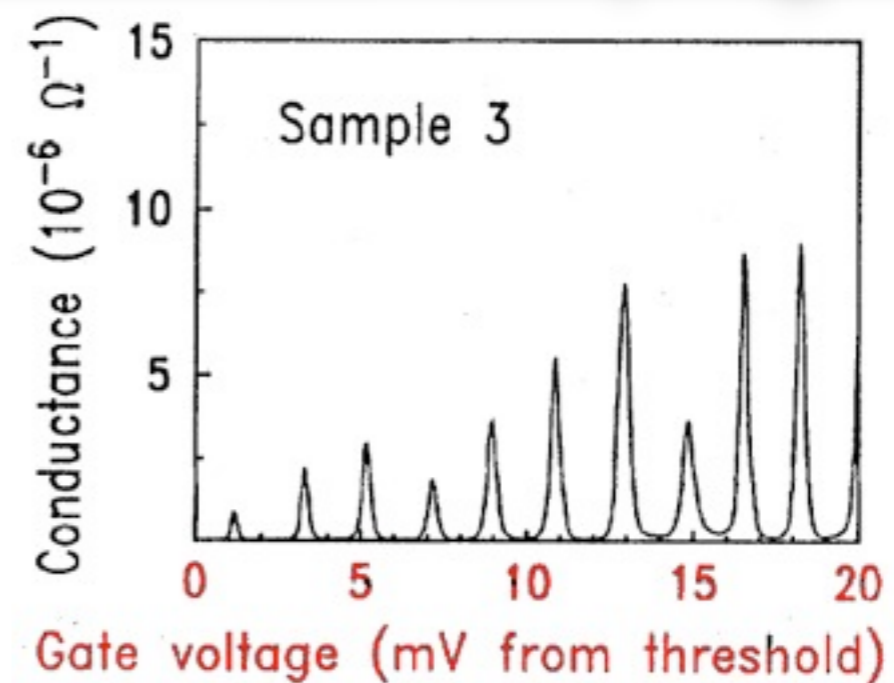


Kastner, RMP 64, 849(1992)



D. Goldhaber-Gordon, Nature 1998

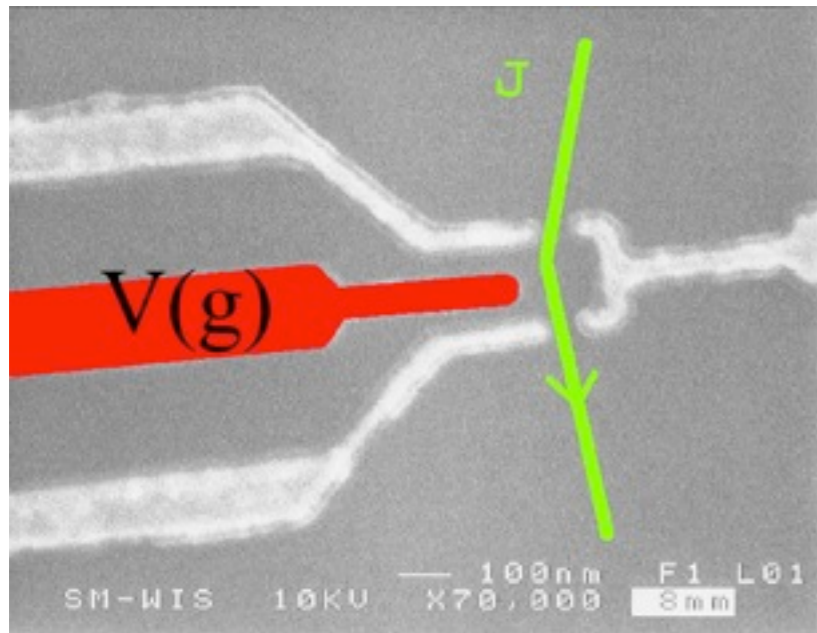
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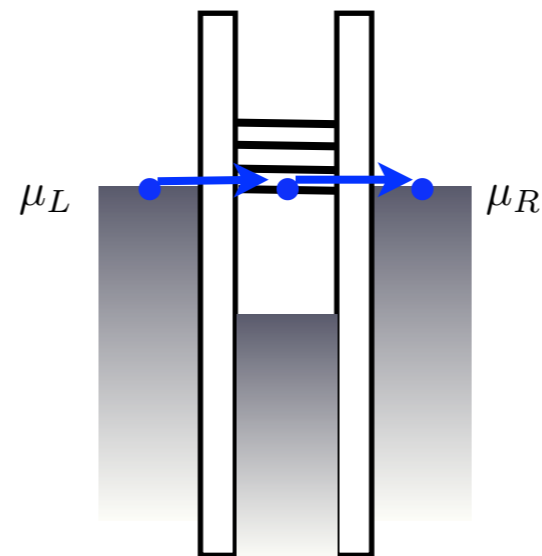
$$E = \frac{e^2}{2C} \left(\hat{N} - N_g \right)^2$$

charging energy



D. Goldhaber-Gordon, Nature 1998

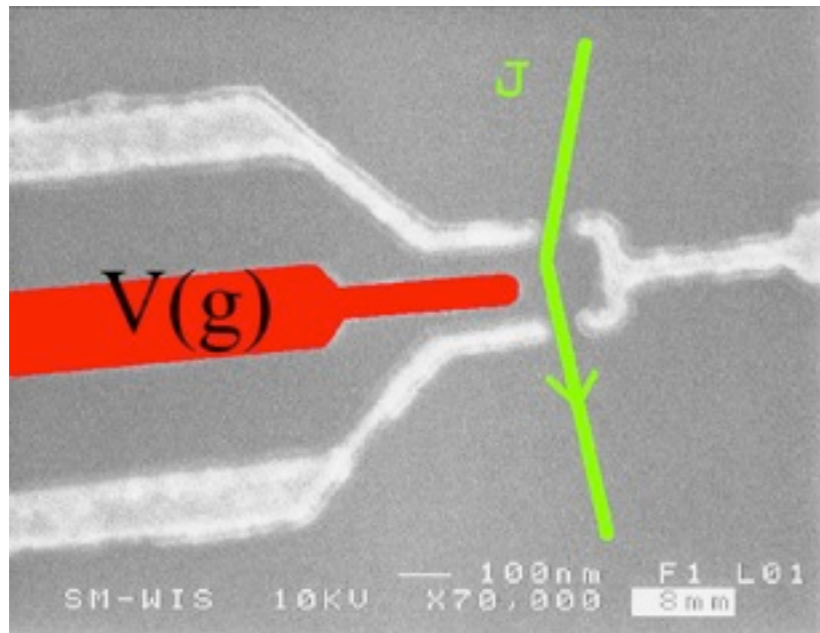
weak coupling



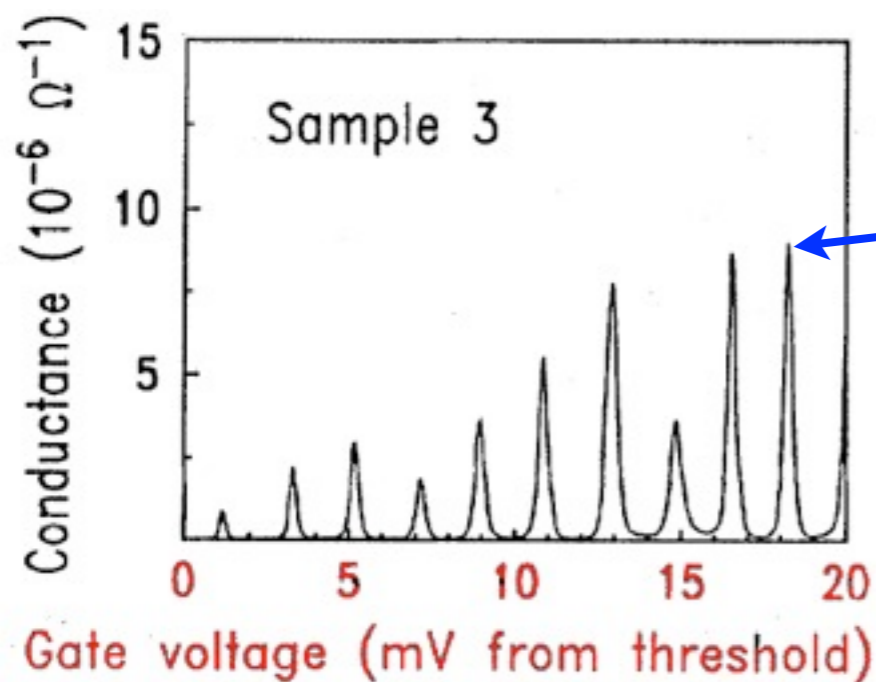
on resonance

$$E = \frac{e^2}{2C} \left(\hat{N} - N_g \right)^2$$

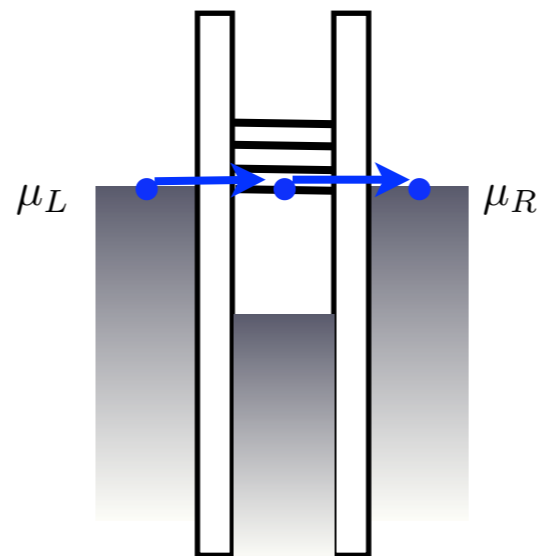
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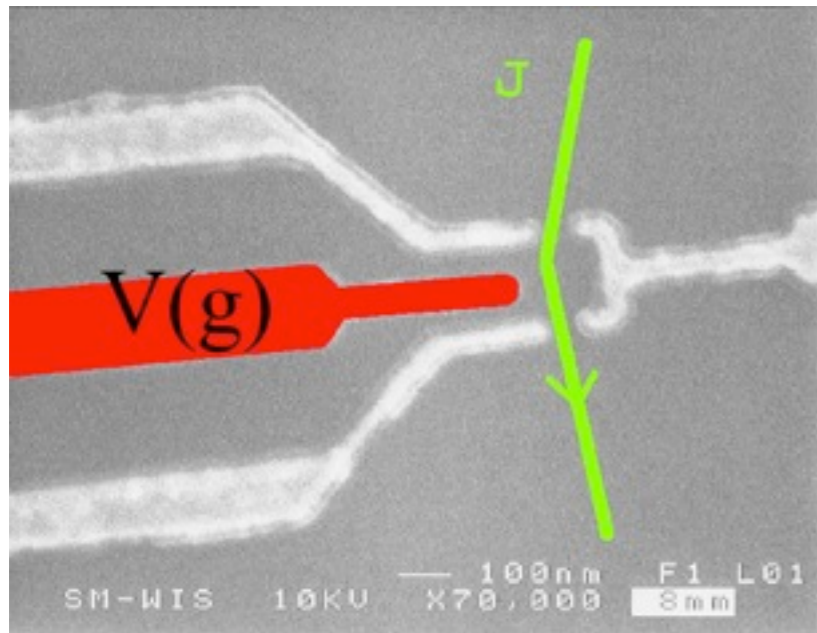


weak coupling

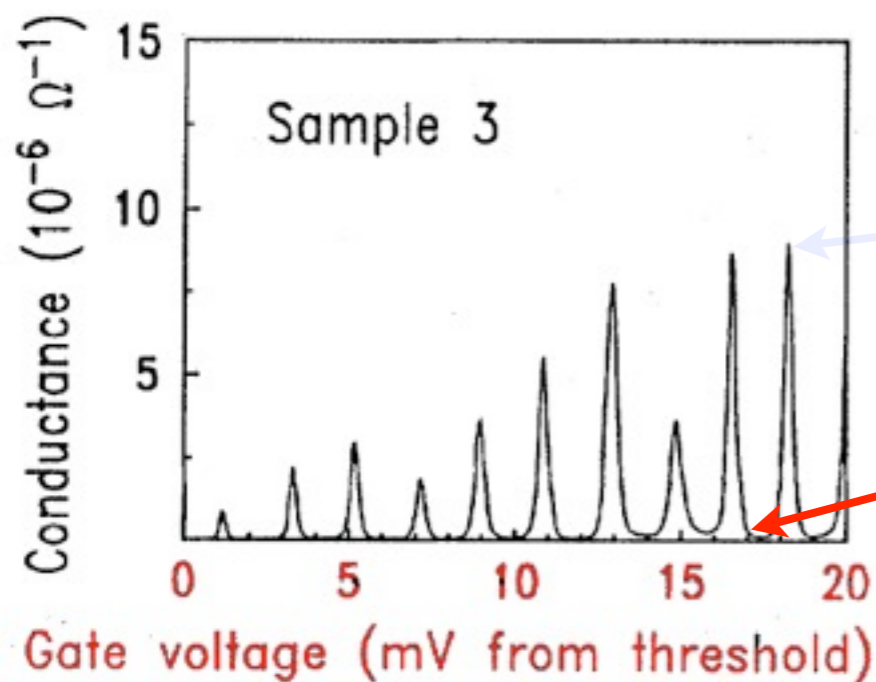


$$E = \frac{e^2}{2C} \left(\hat{N} - N_g \right)^2$$

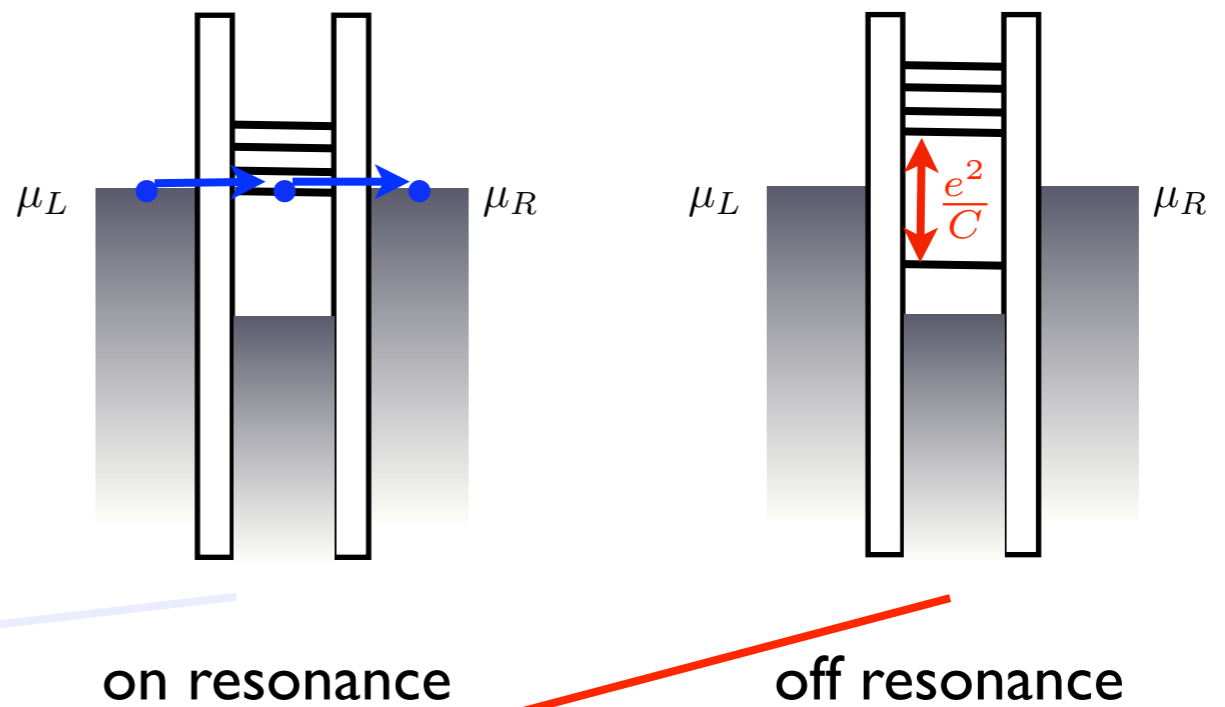
charging energy



D. Goldhaber-Gordon, Nature 1998



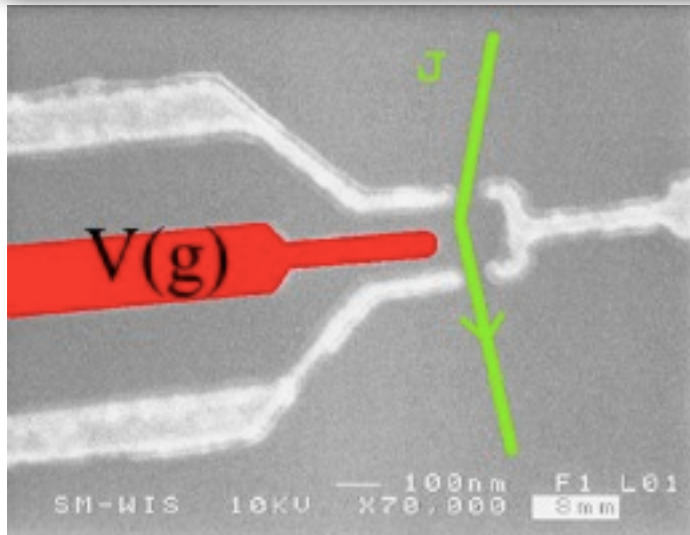
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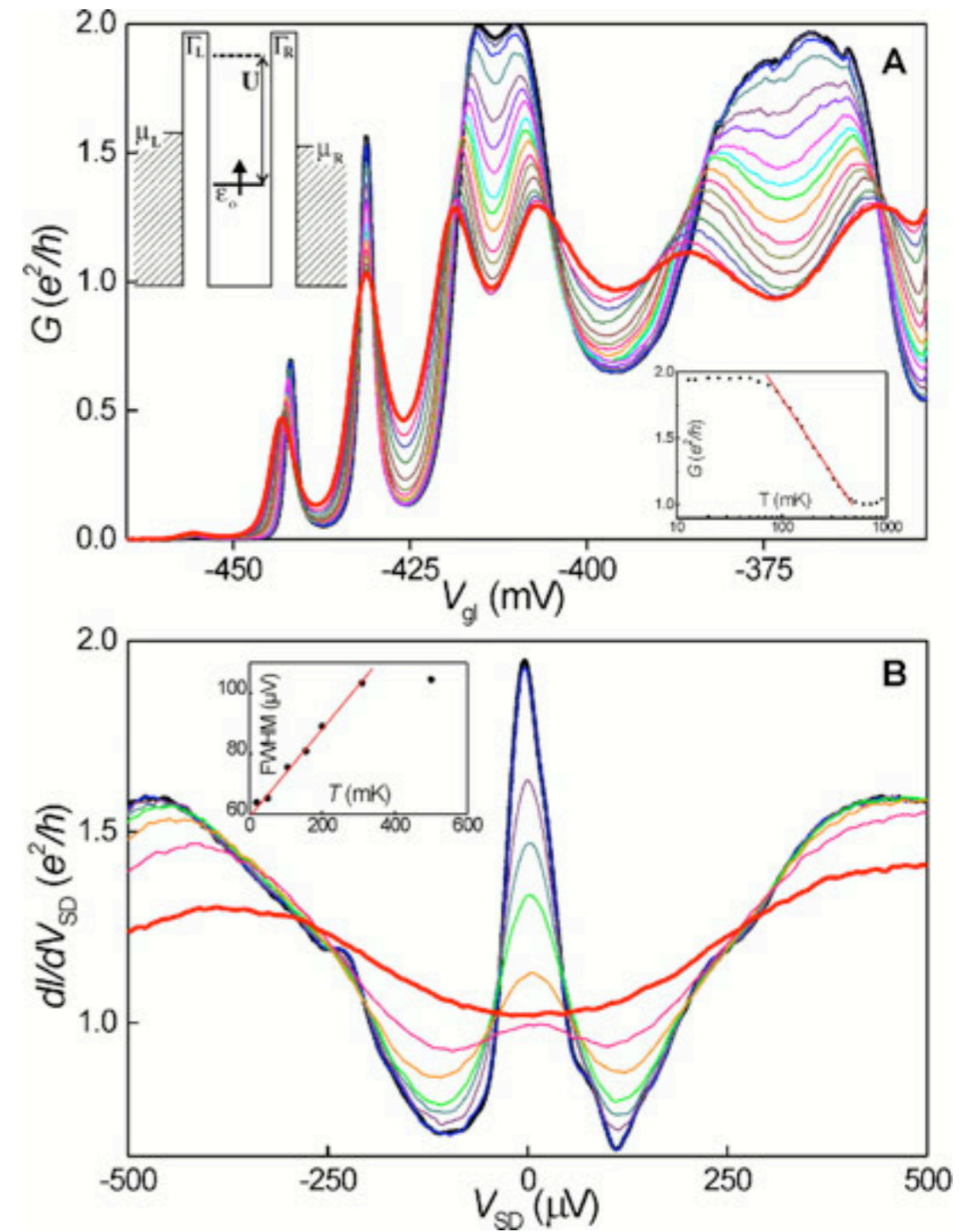
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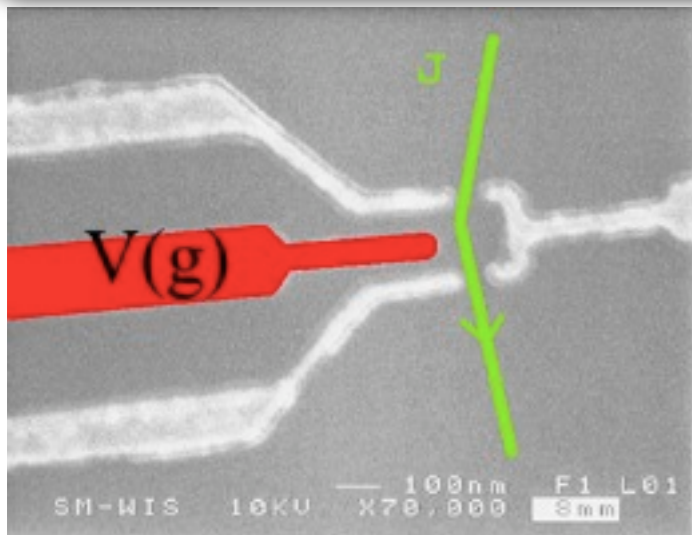
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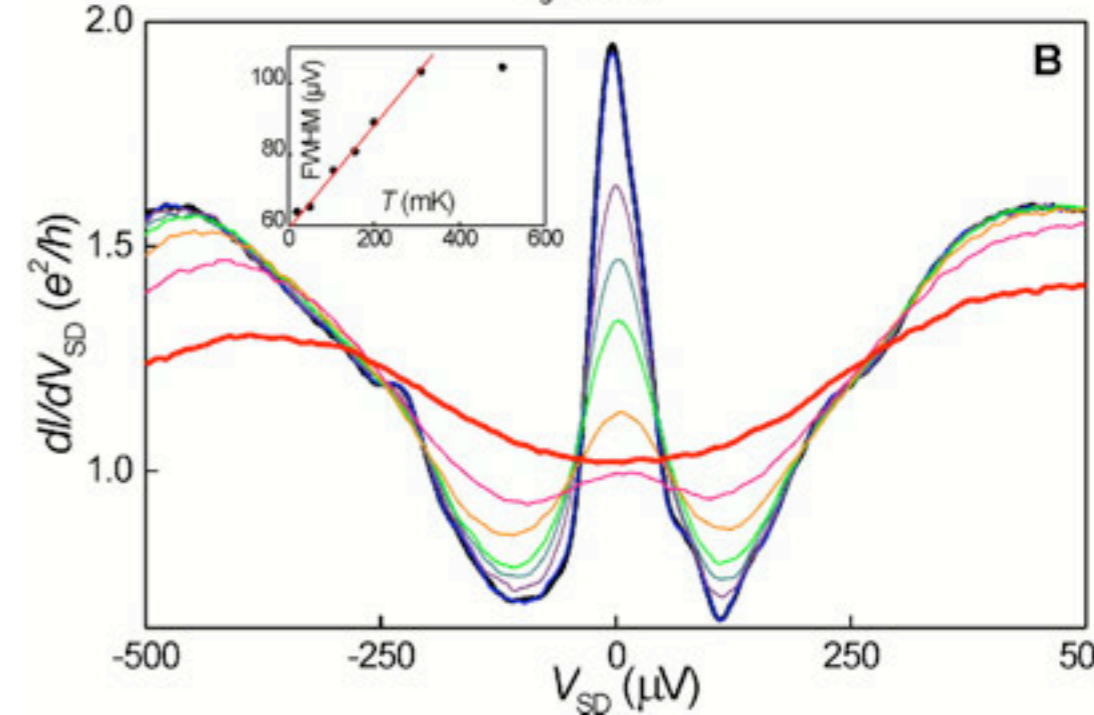
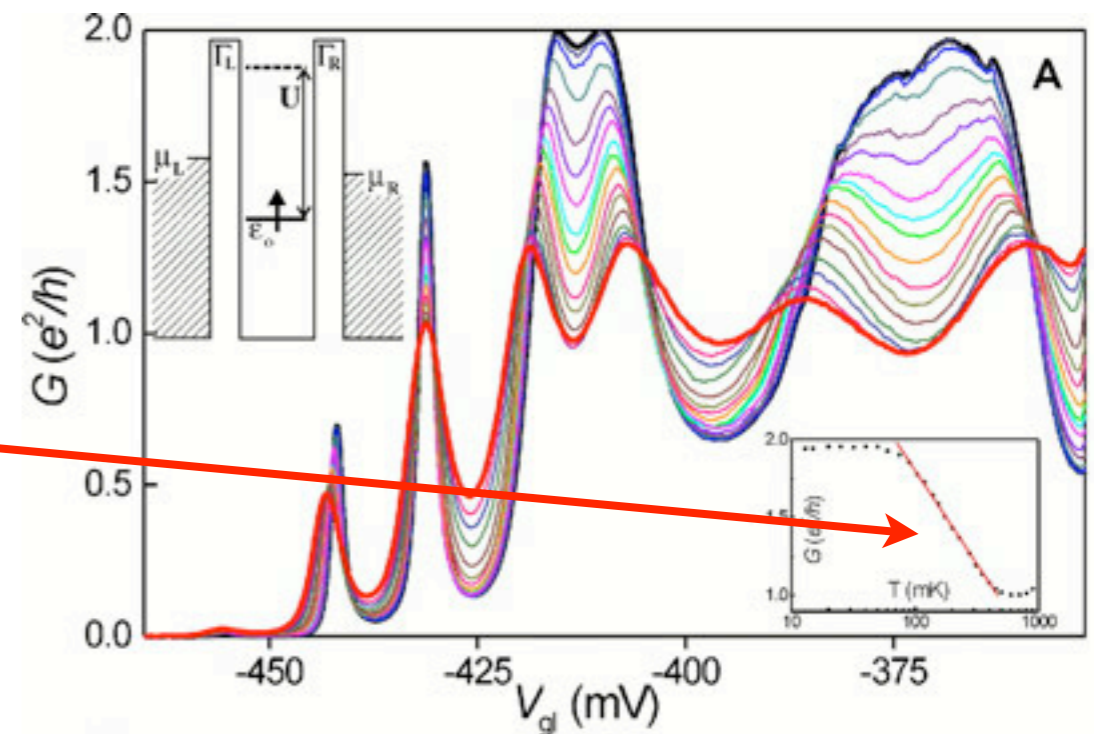


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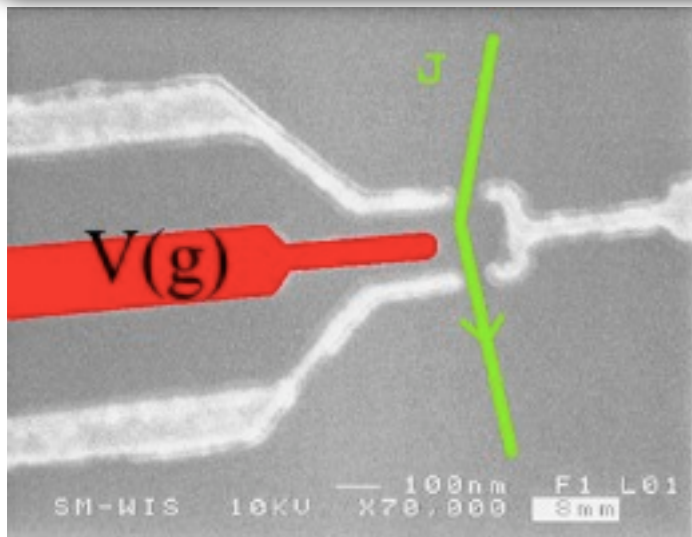


Goldhaber-Gordon, Nature 1998

log. increase in $G(T)$

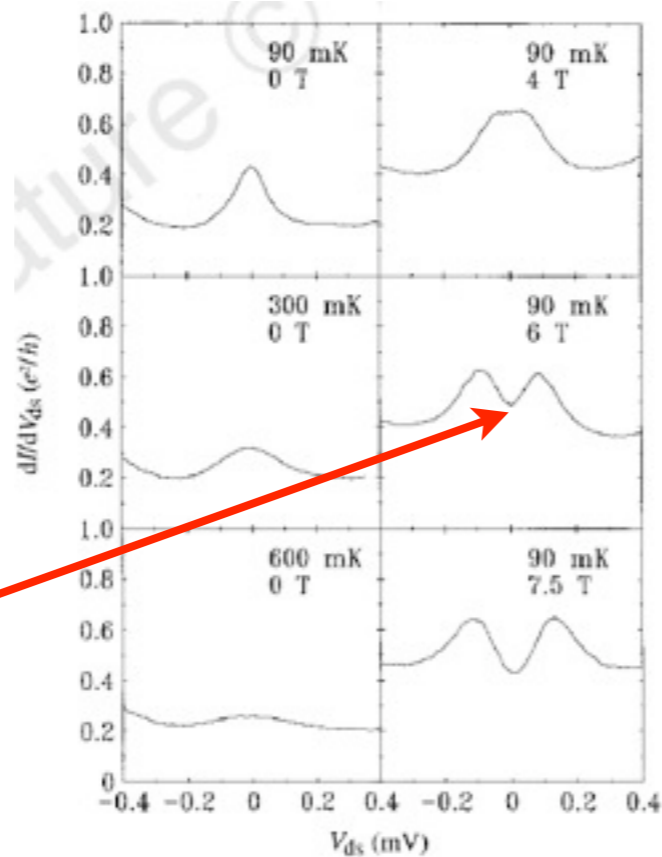
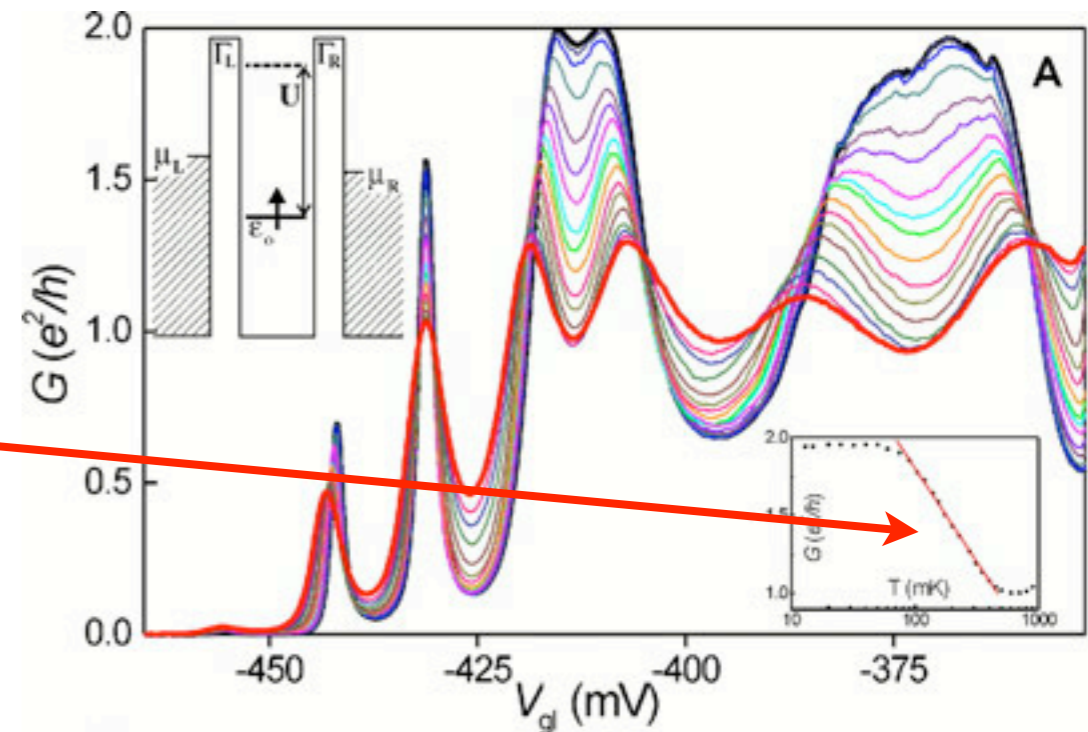


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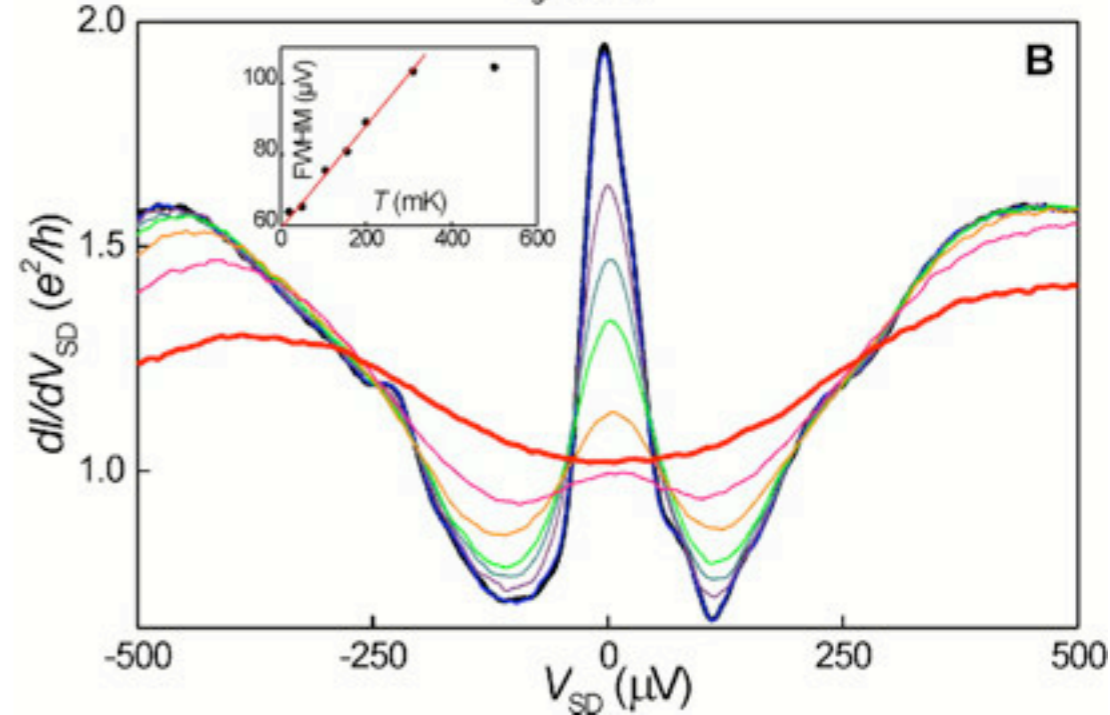
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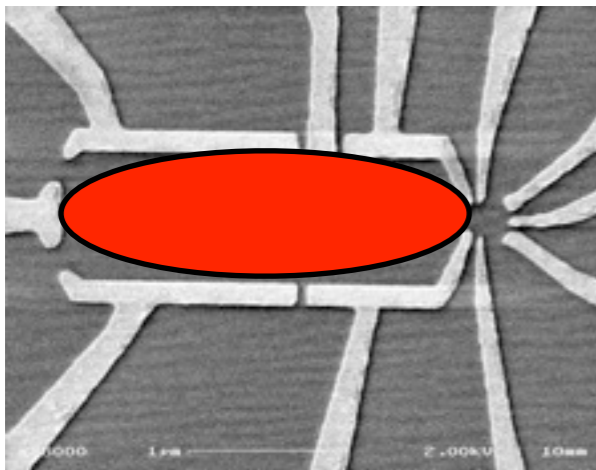
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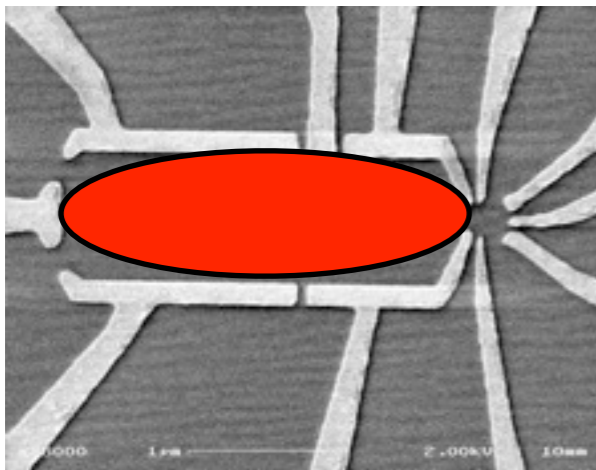
Splitting im Magnetfeld

$$H_k = k_B T_K / \mu_B$$





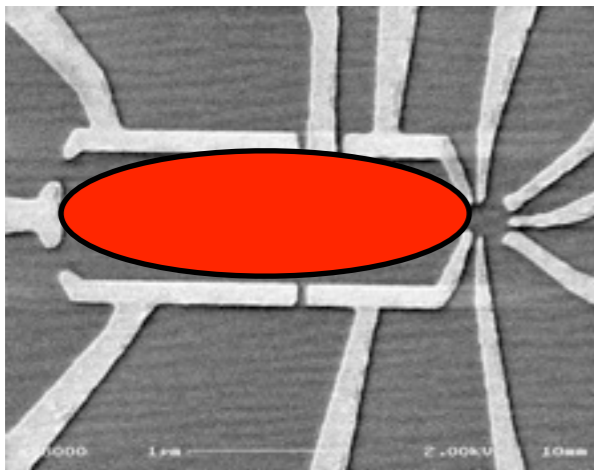
Potok et al. Nature



Potok et al. Nature

Charging energy of a capacitor

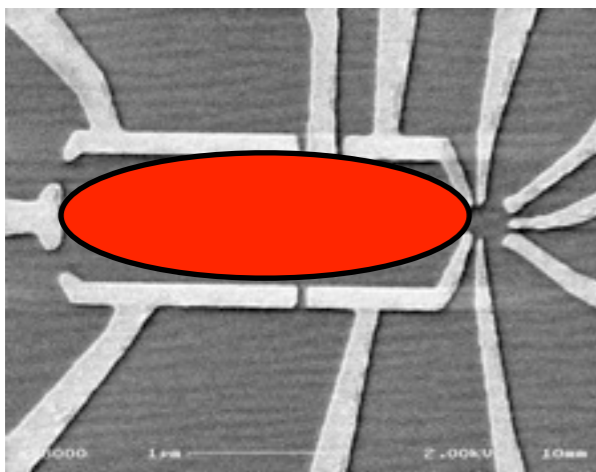
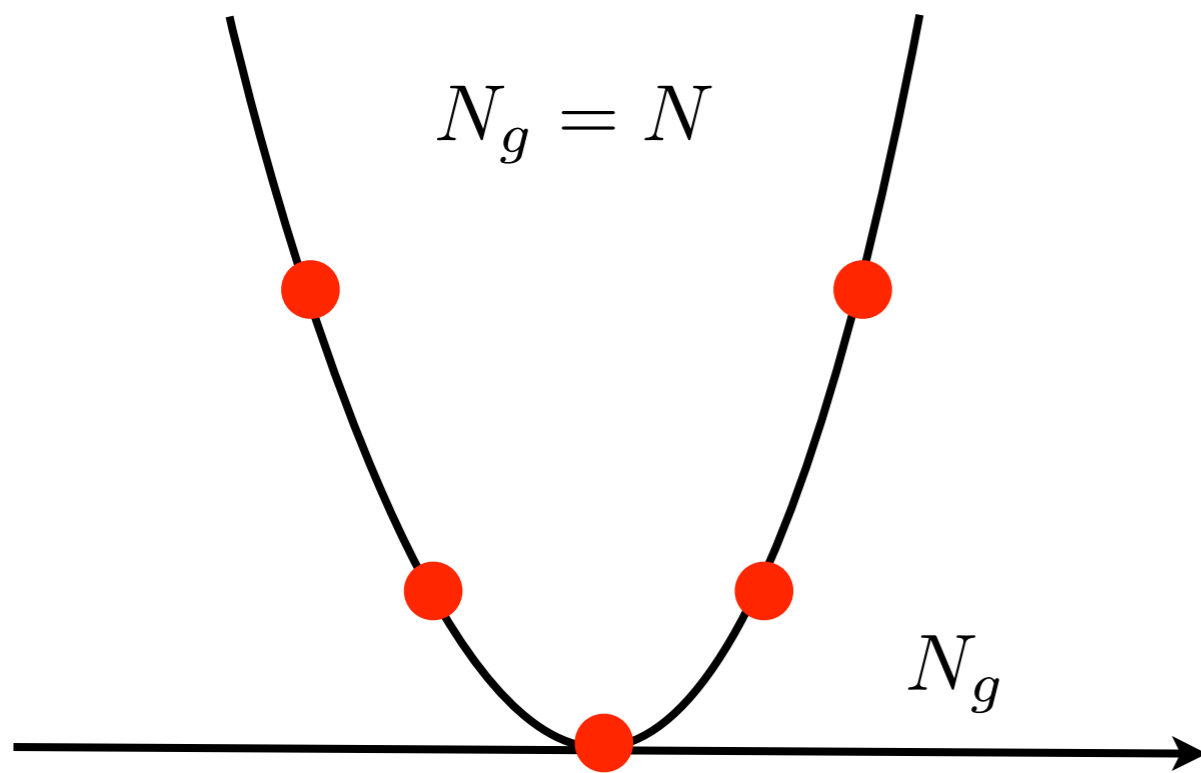
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Potok et al. Nature

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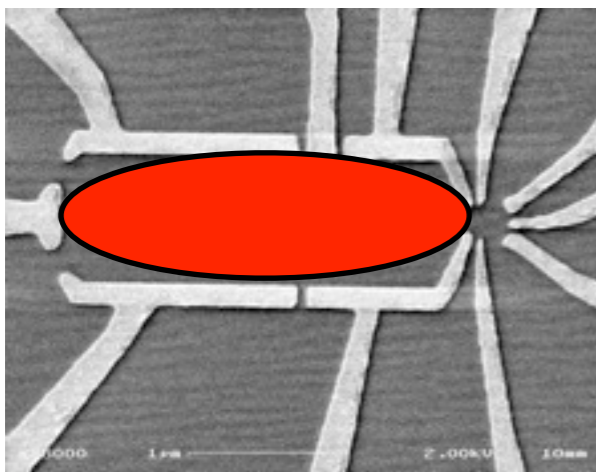
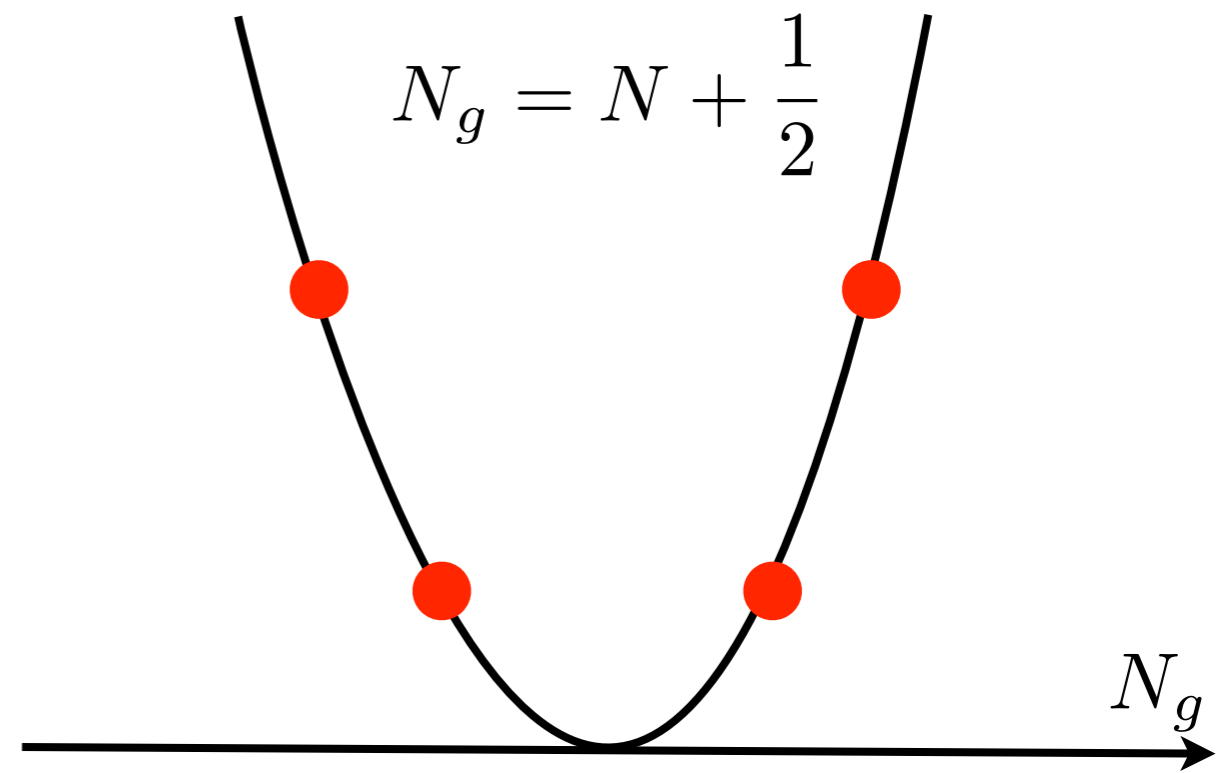
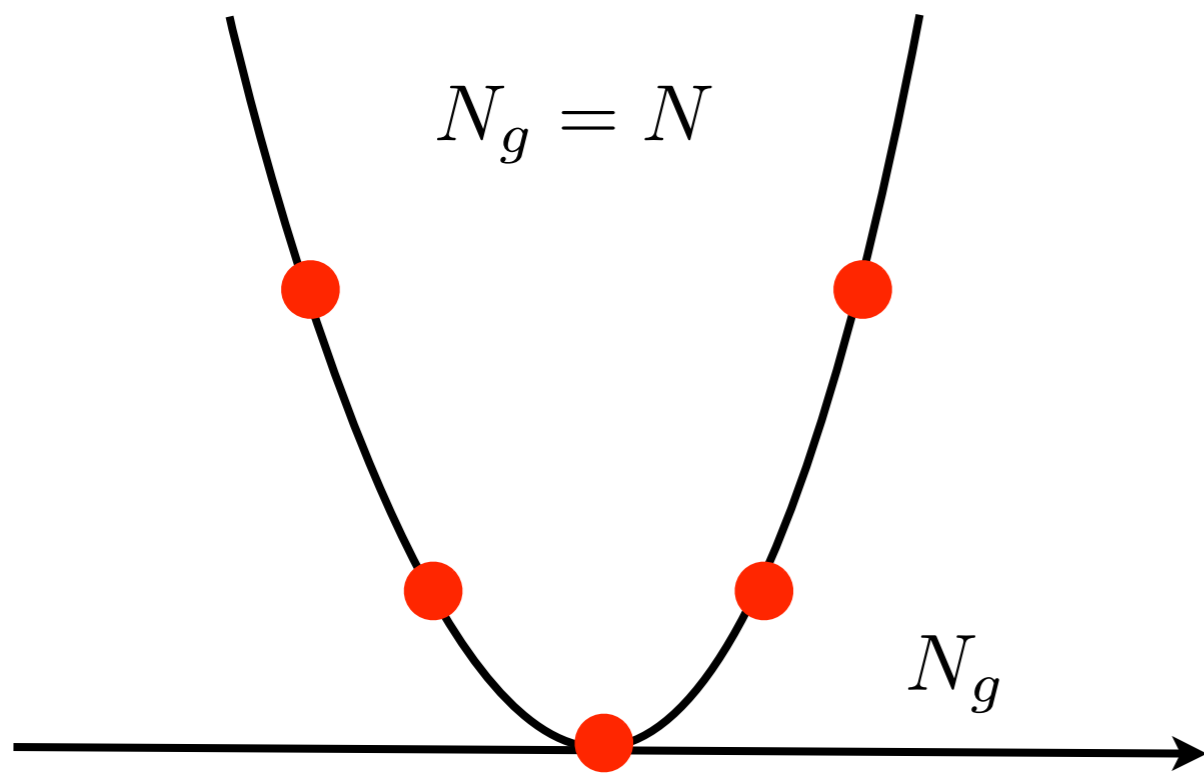
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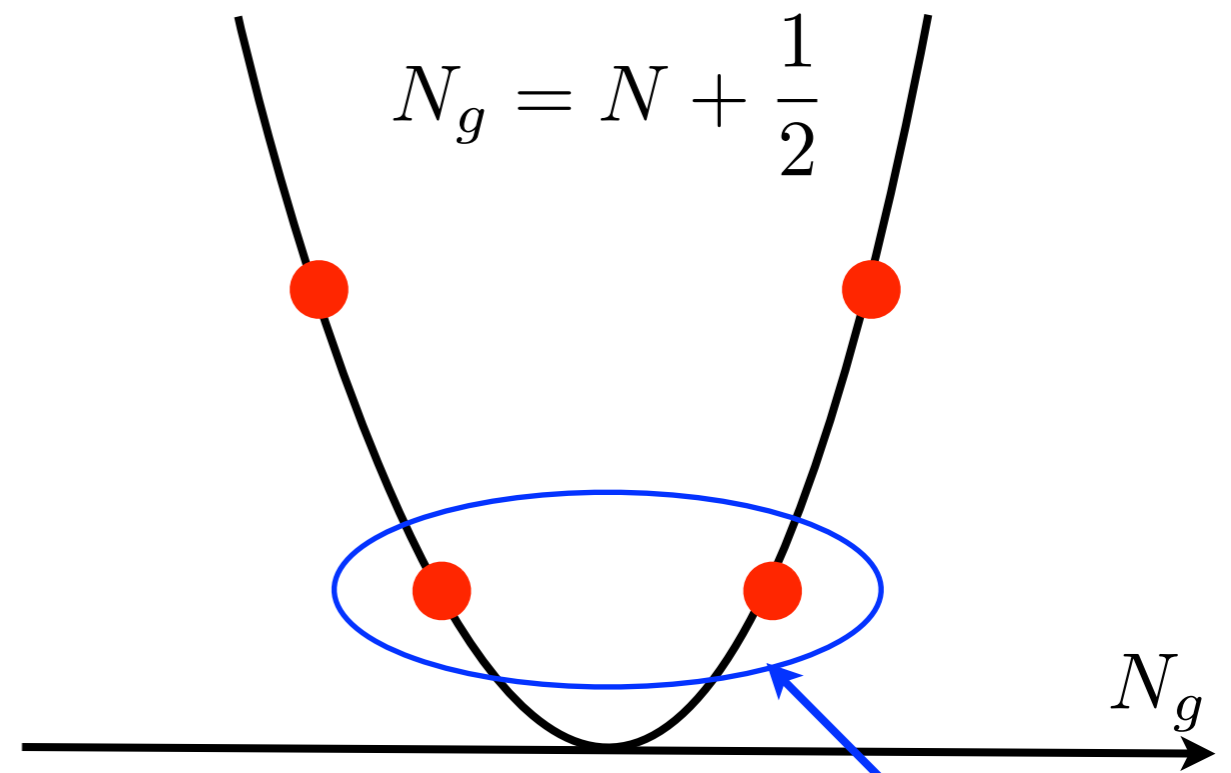
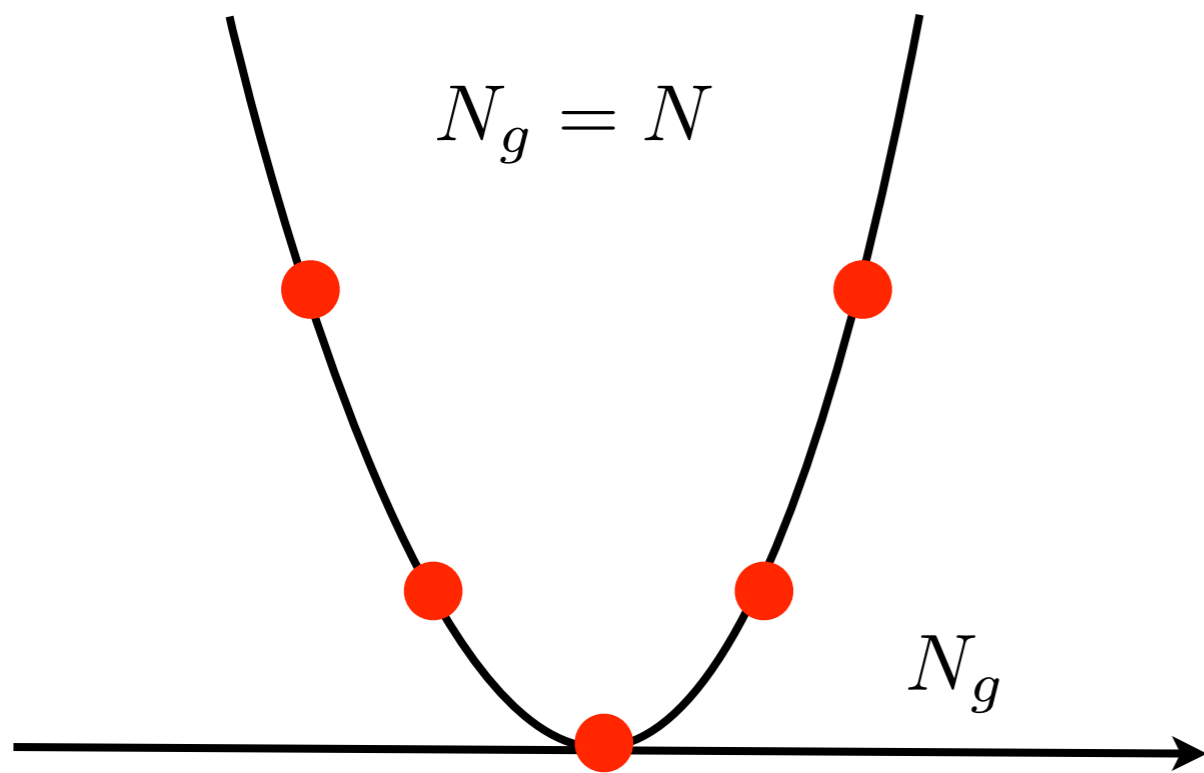


Potok et al. Nature

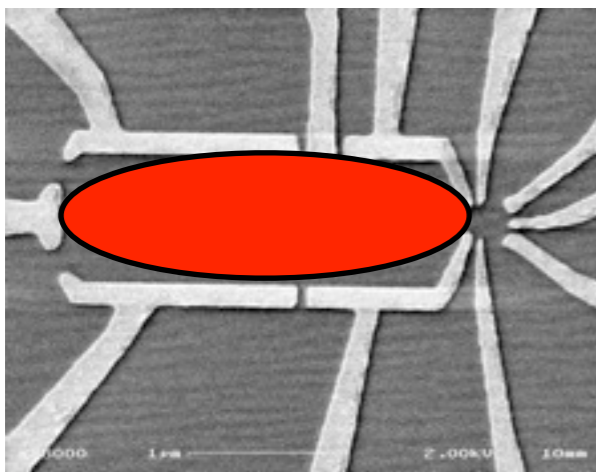
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Charging a quantum box



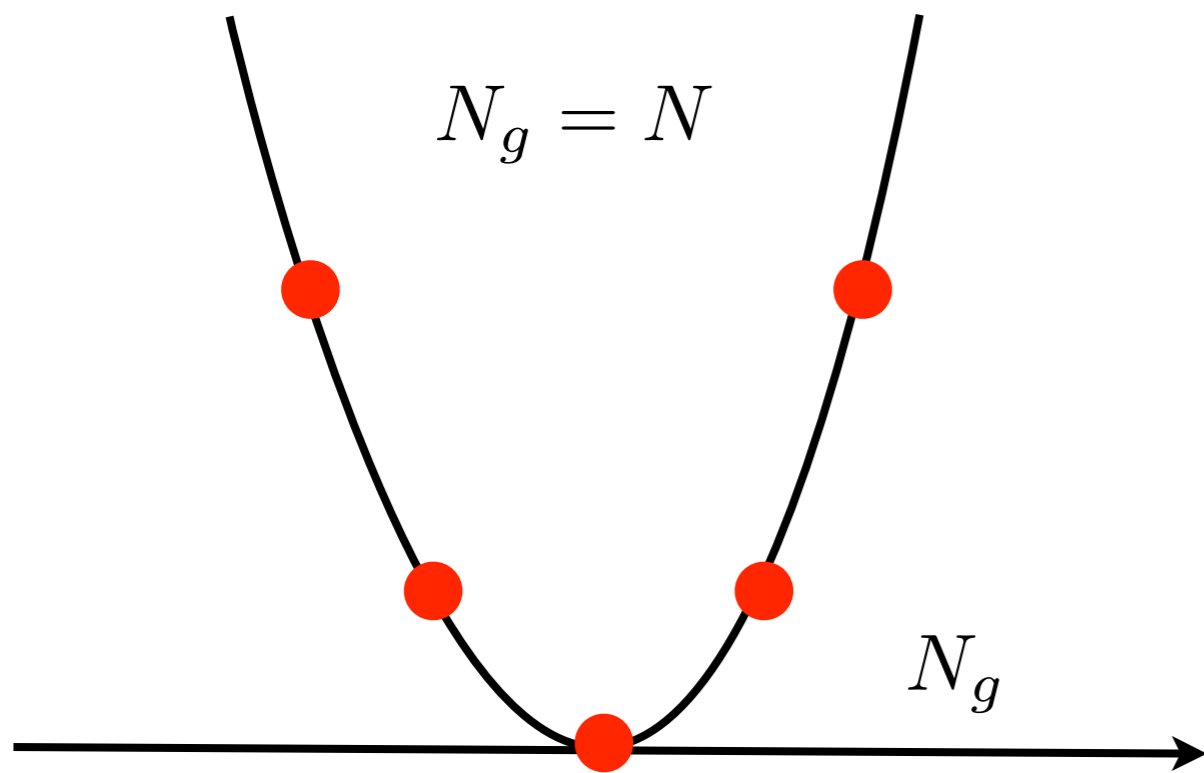
charge degeneracy



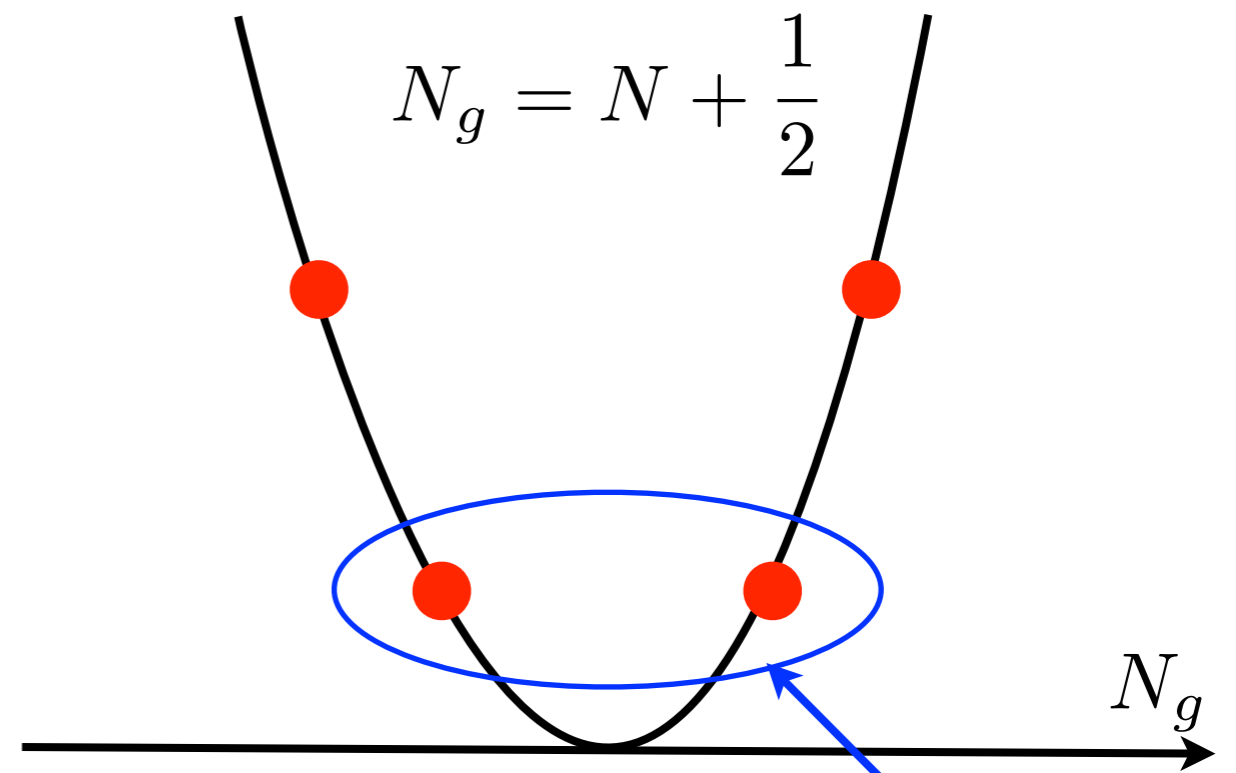
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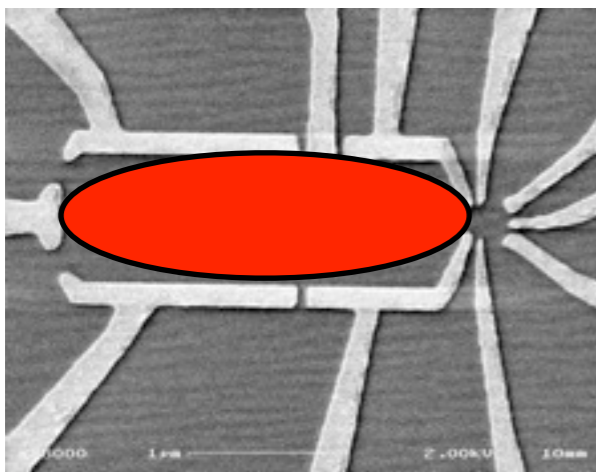
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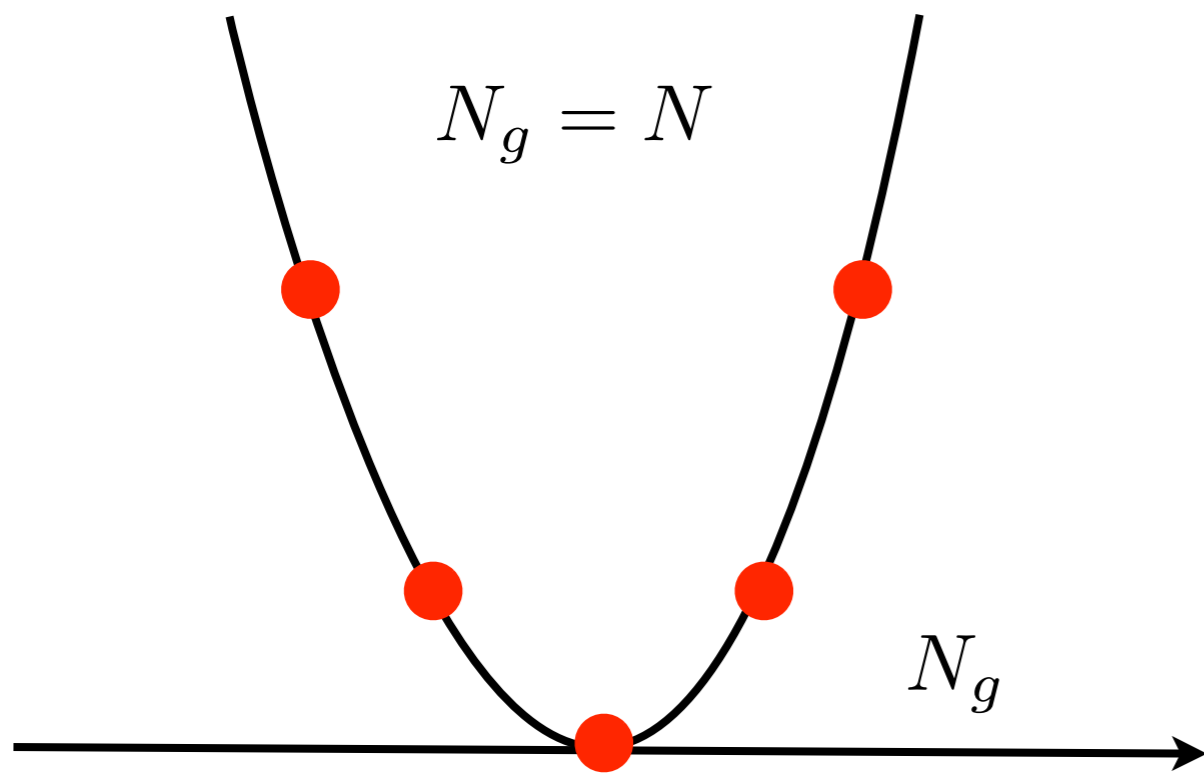
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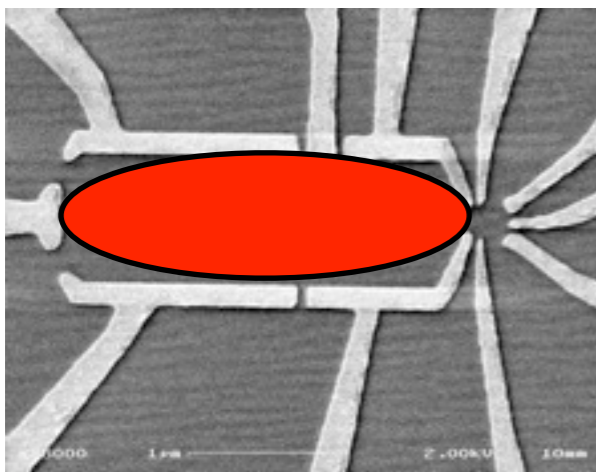
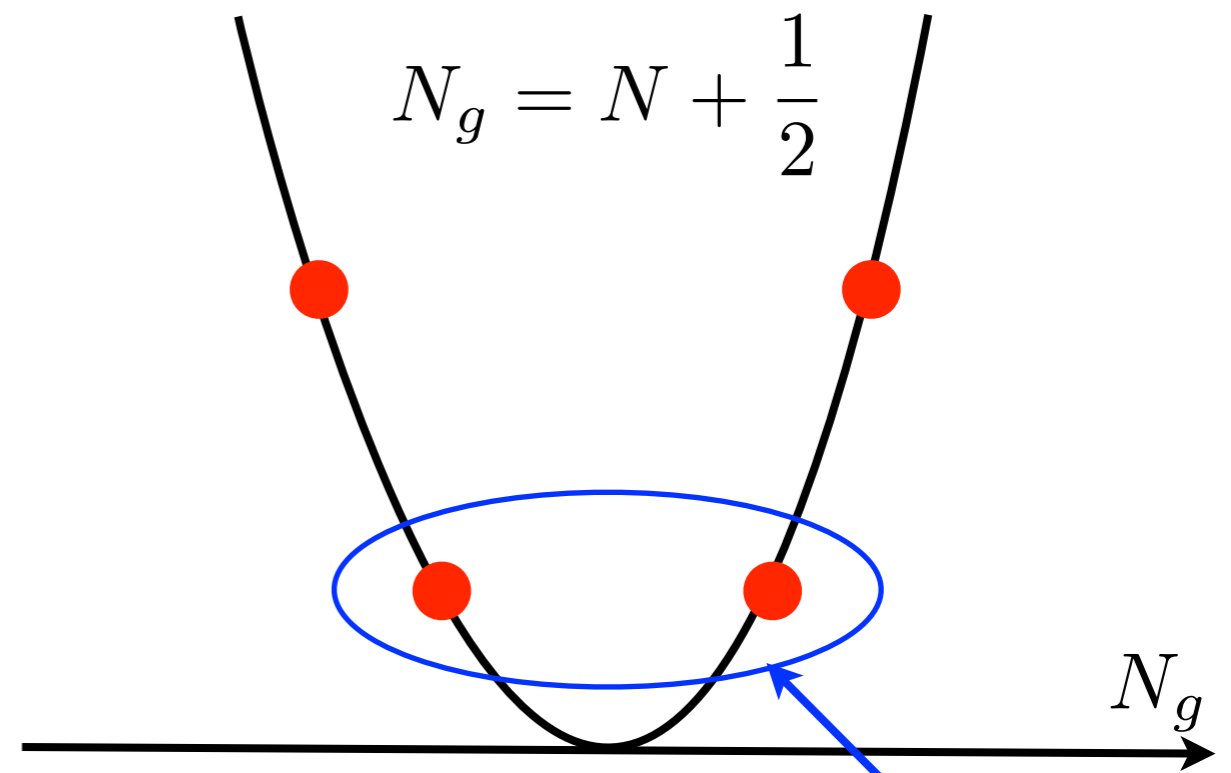
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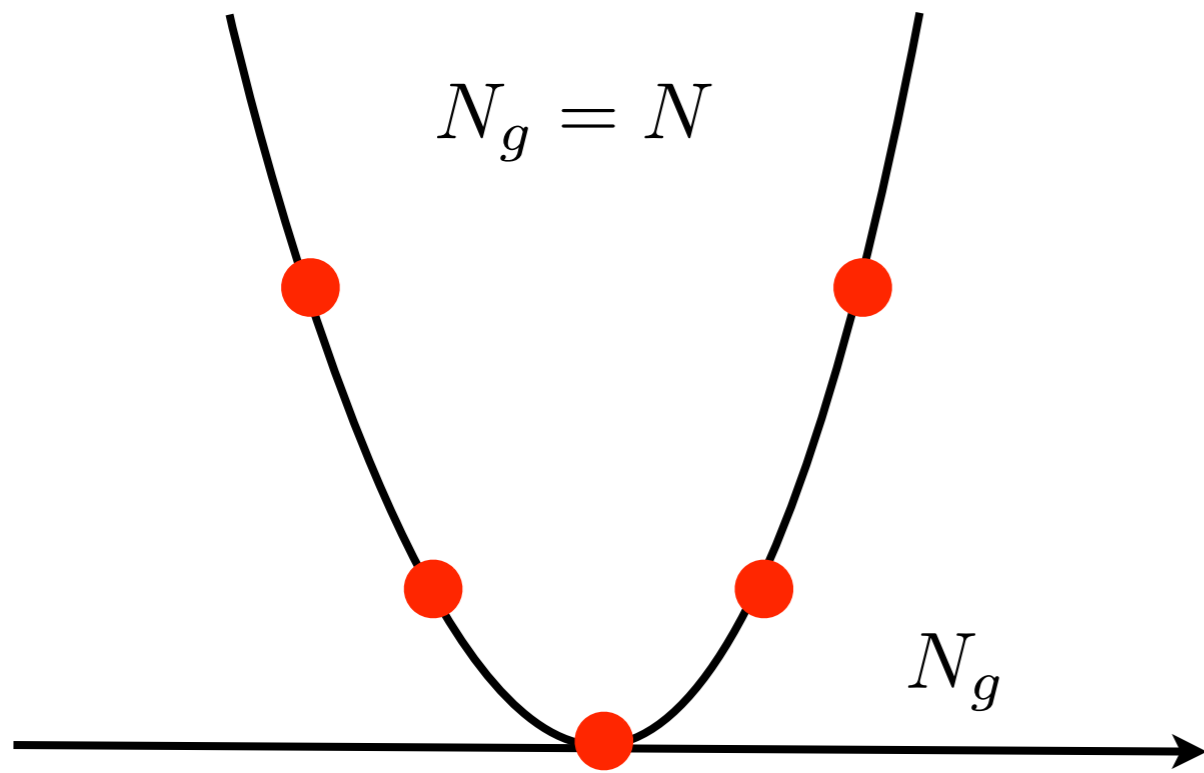


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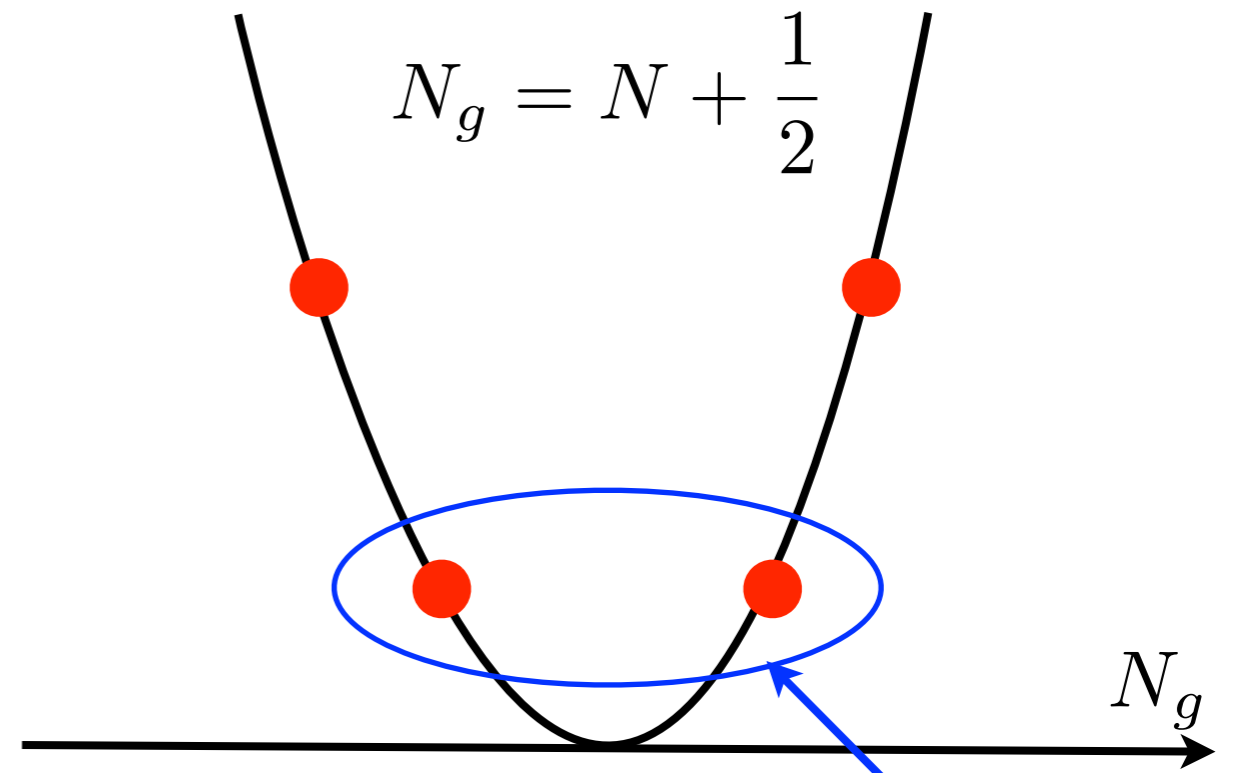


Potok et al. Nature

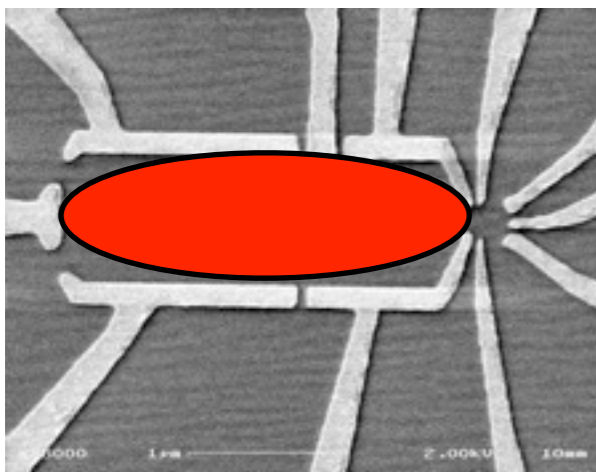
$$H_{imp} + H_T = \Delta N_g \tau_z + \frac{1}{N} \sum_{\sigma k, q} \left(c_{k\sigma B}^\dagger c_{q\sigma L} \tau^+ + c_{q\sigma L}^\dagger c_{k\sigma B} \tau^- \right)$$



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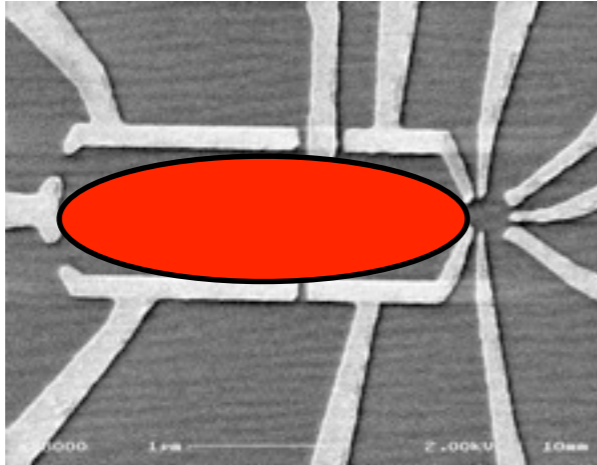
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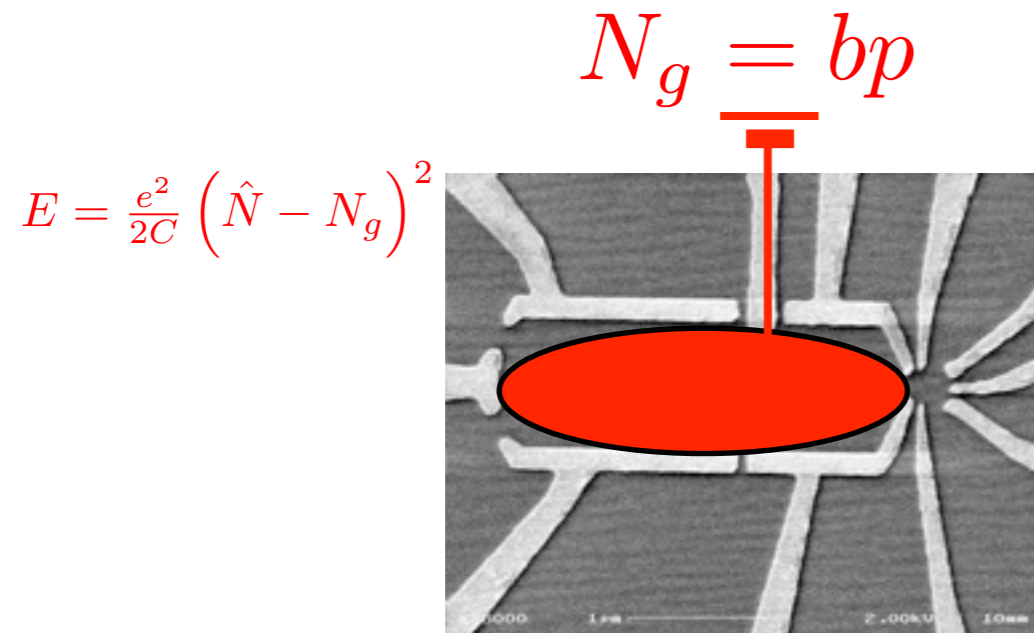
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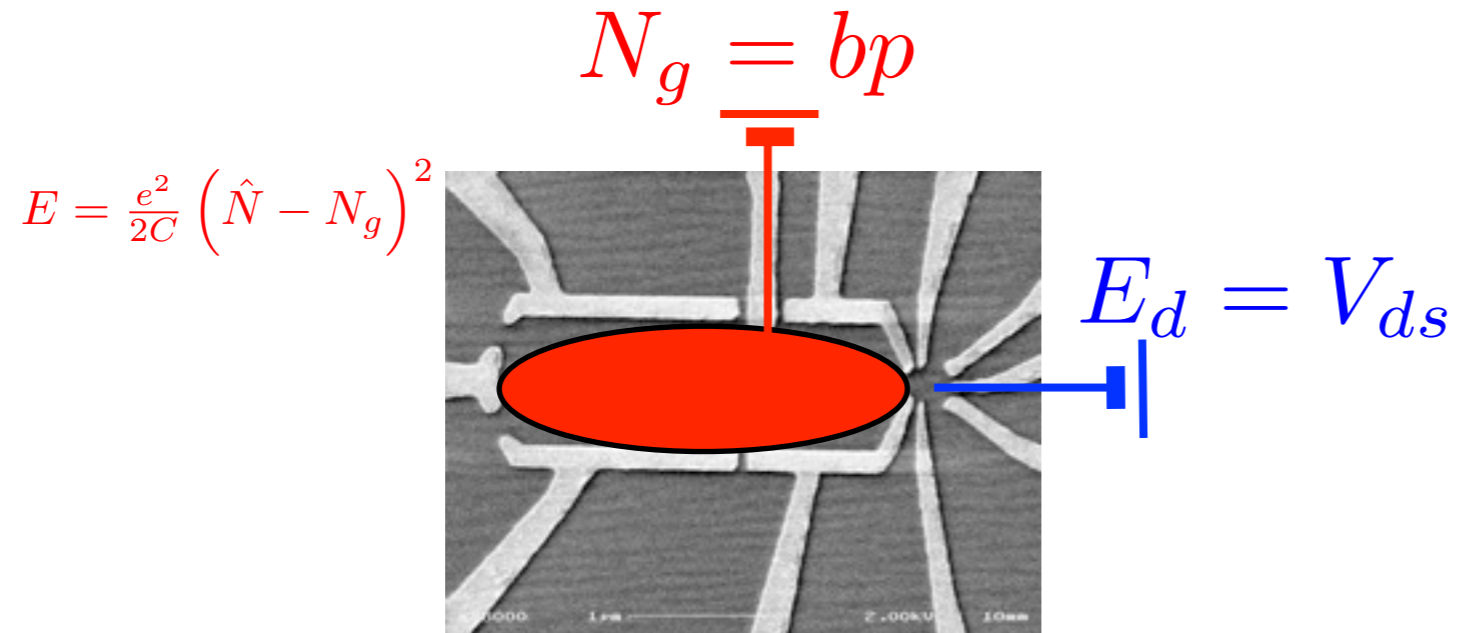
Matveev (1991) two channel Kondo model



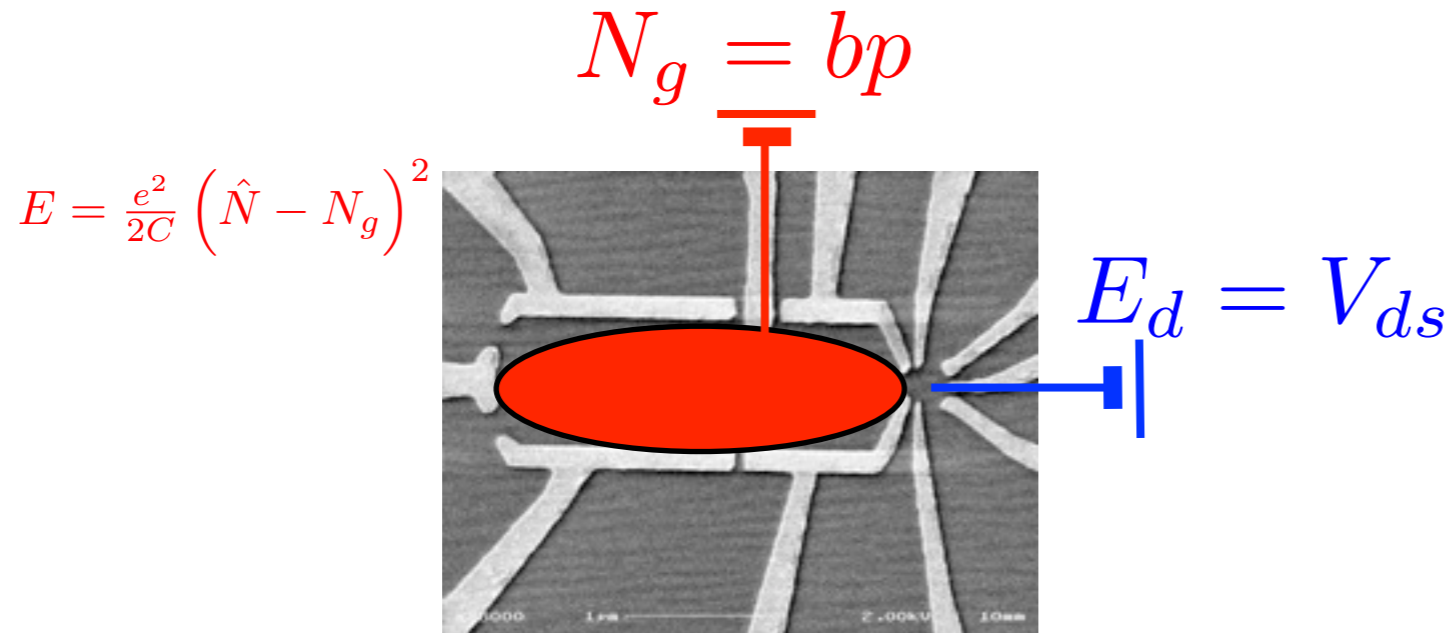
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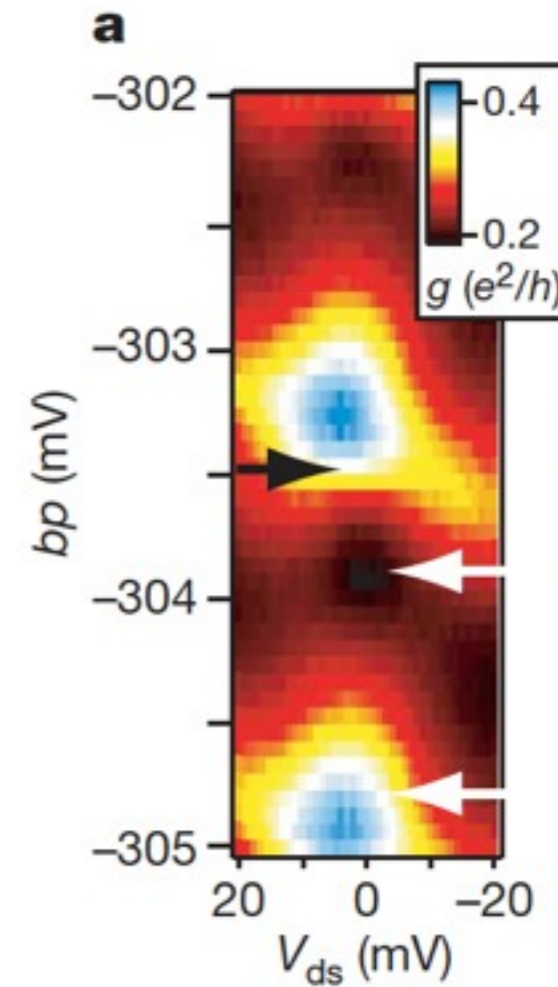
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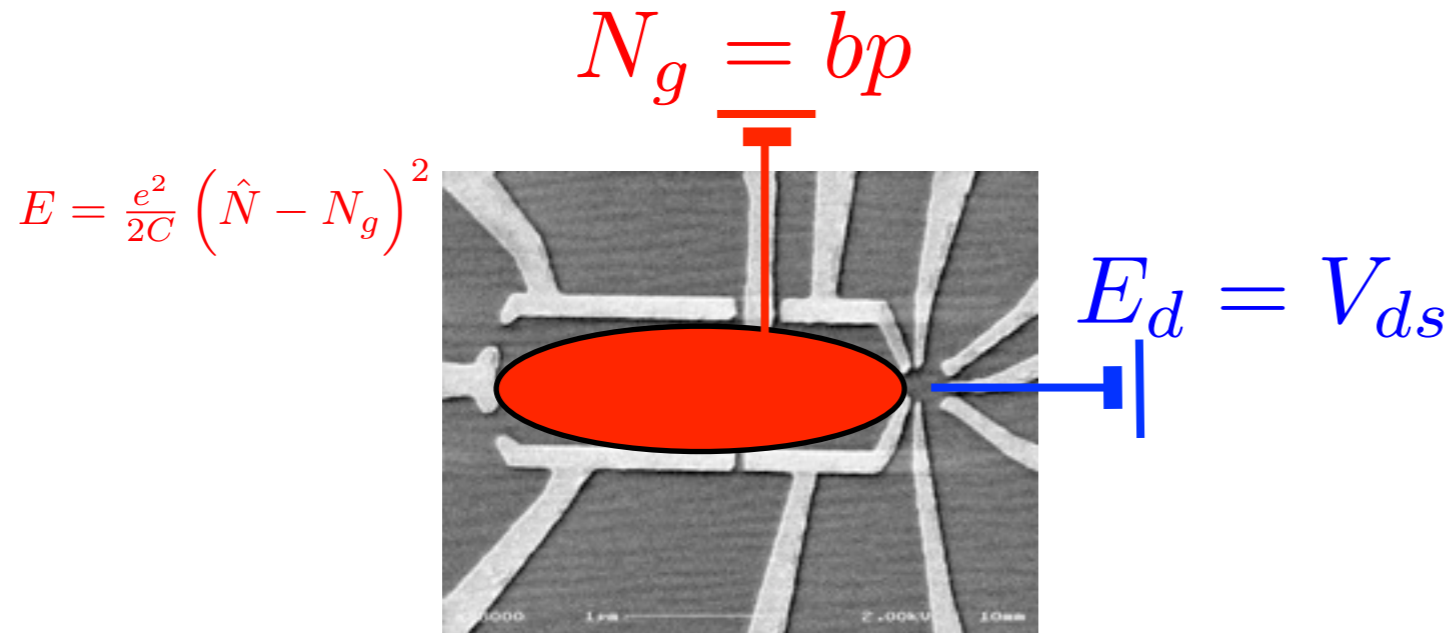
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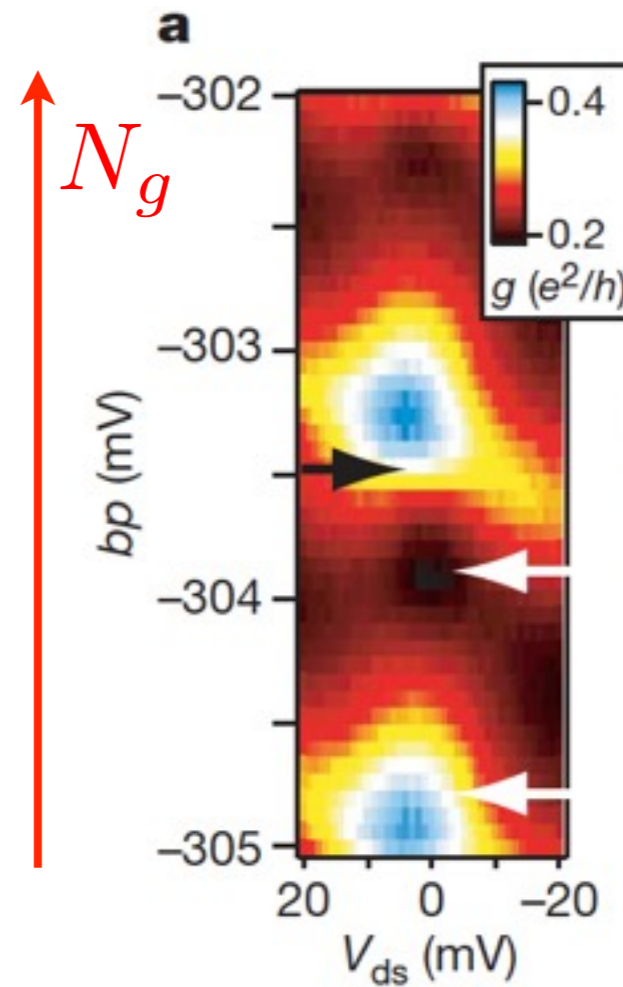
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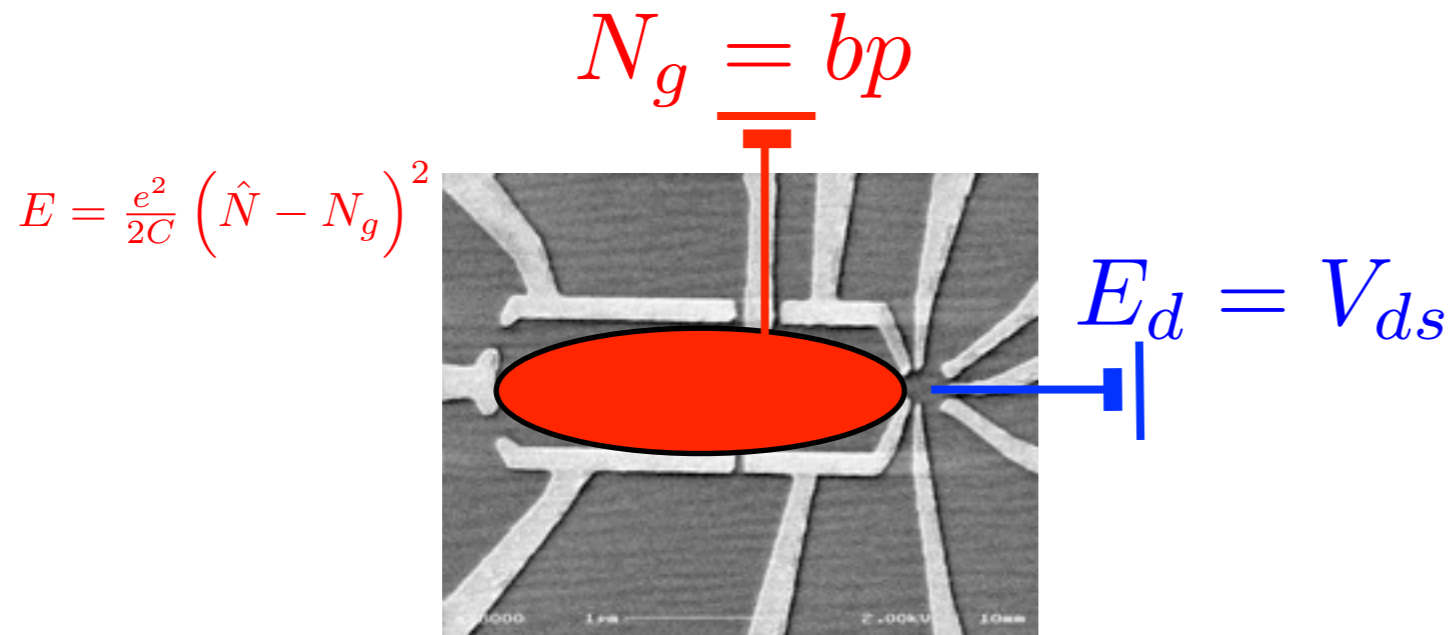
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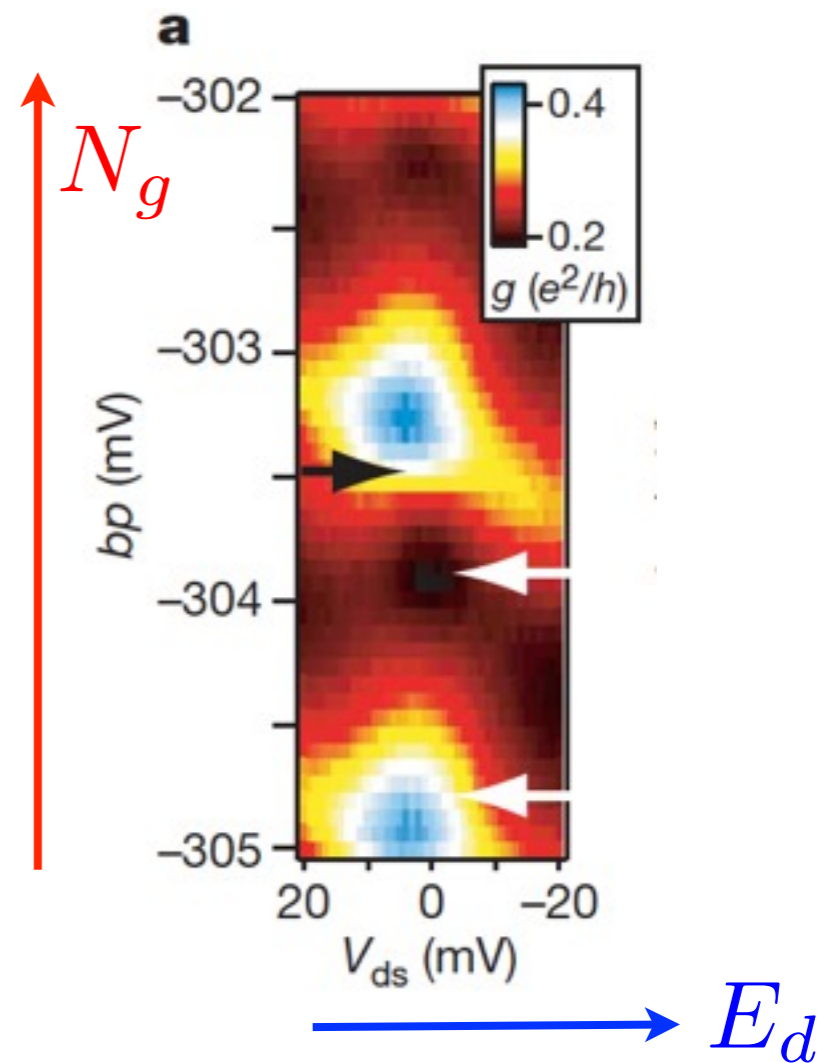
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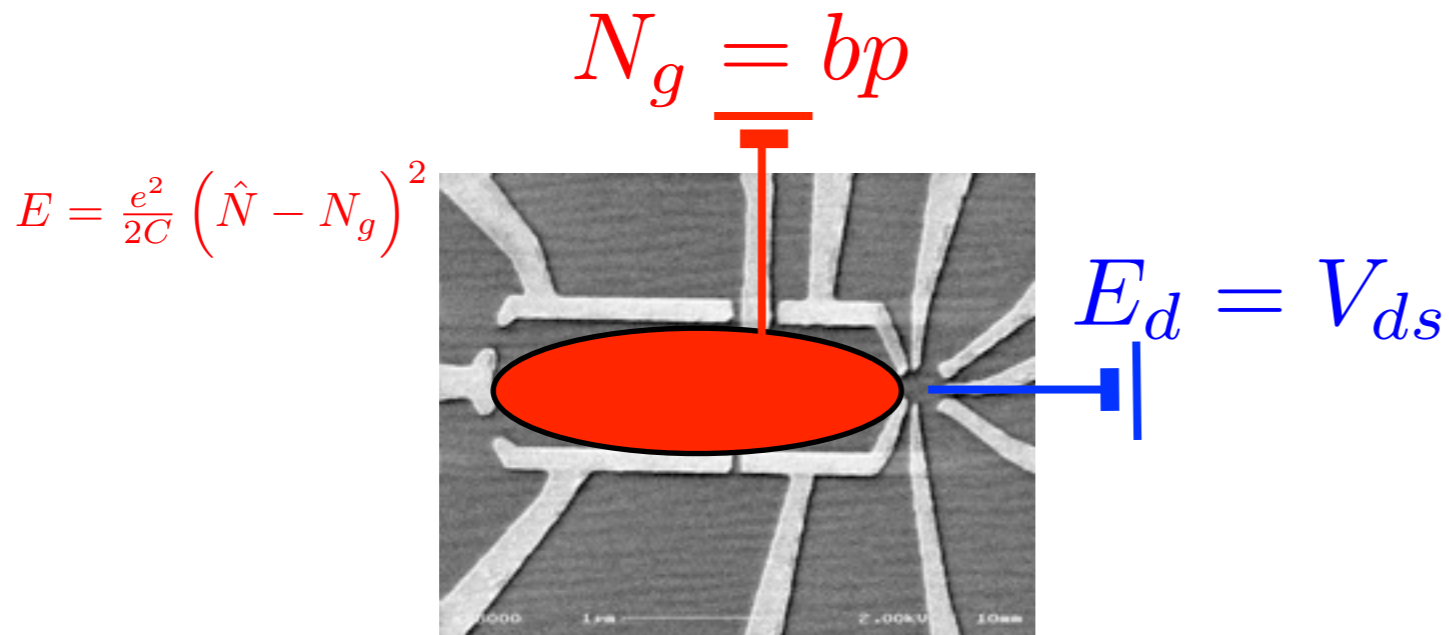
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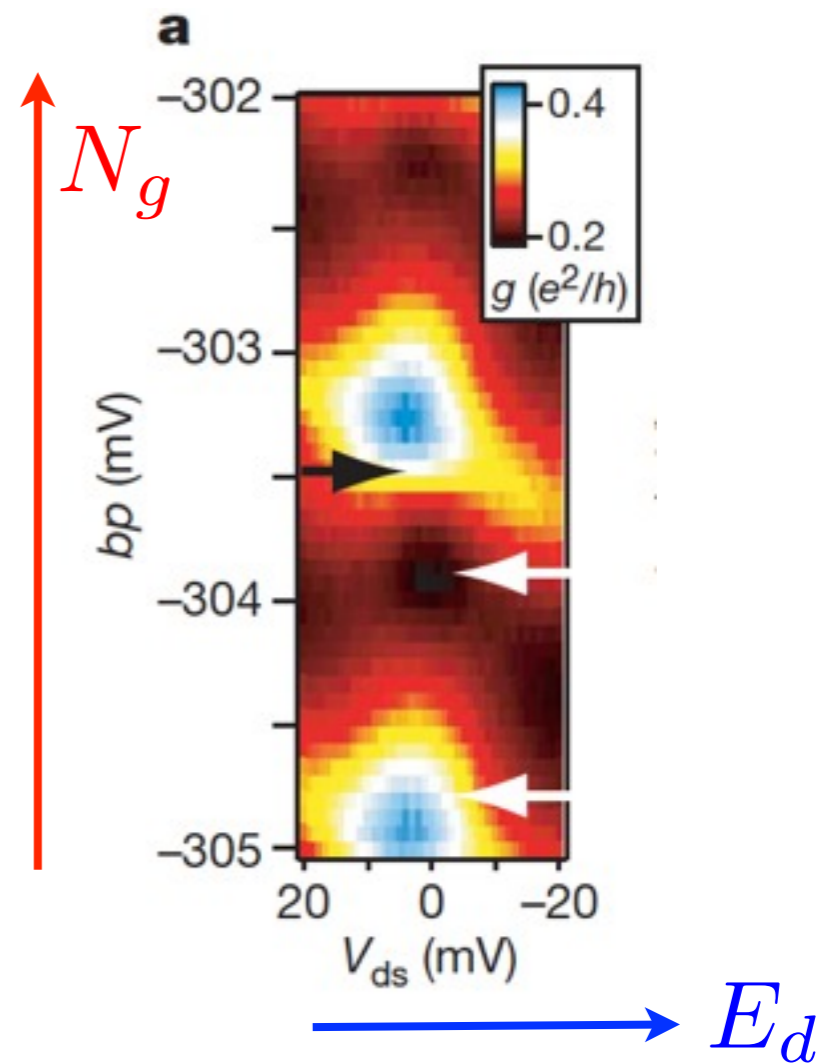
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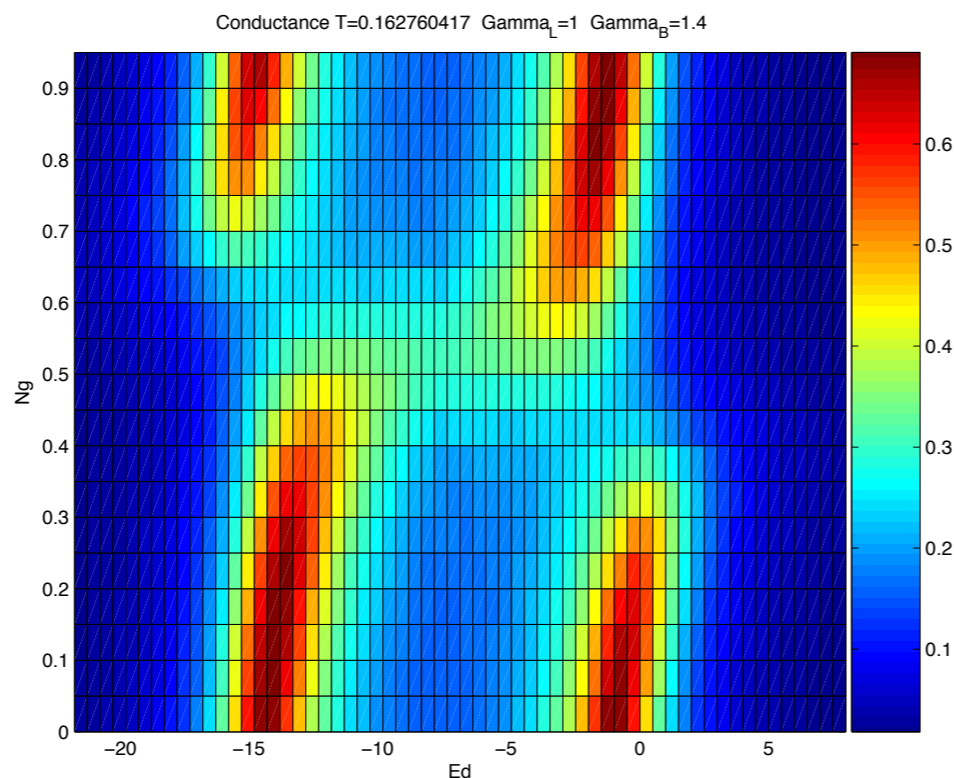


Potok et al, Nature 446, 167 (2007)



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NRG
data
T=12mK



Anders 2005 unpublished

Frithjof Anders

Correlated Electrons: From Models to Materials

Jülich, 4.9.2012

38

Conclusion

Kondo effect

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