

# Magnetism: from Stoner to Hubbard

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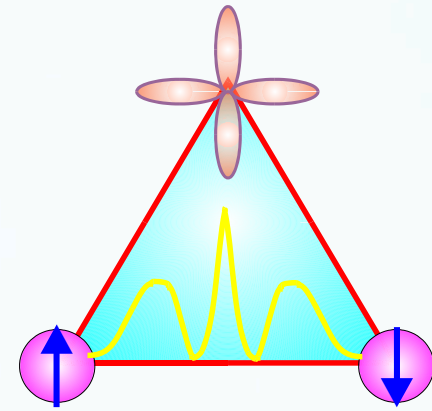
UH



In collaboration with  
A. Rubtsov, M. Katsnelson



# Outline



- Heisenberg, Stoner, and Hubbard
- Many-body approach: D(M)FT functionals
- Correlation effects in electronic structure
- Magnetism of correlated systems

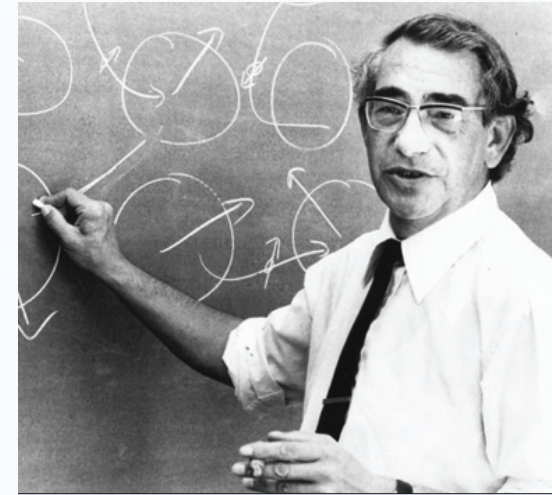
# Itinerant ferromagnetism



Stoner

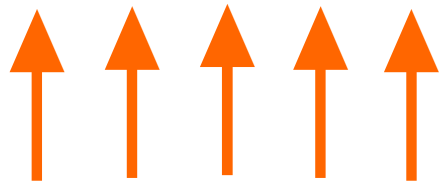


Heisenberg



Hubbard

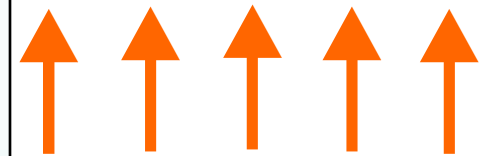
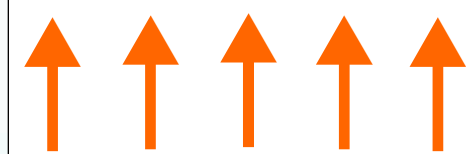
$T=0$



$T < T_c$



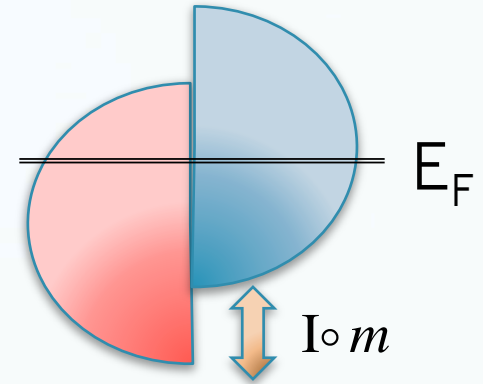
$T > T_c$



# From Stoner to Hubbard

Stoner mode

$$H_s = \sum_{\mathbf{k}\sigma} (\varepsilon_{\mathbf{k}} + I \langle n_{-\sigma} \rangle) c_{\mathbf{k}\sigma}^+ c_{\mathbf{k}\sigma}$$



Mean Field

$$\Delta h_{\sigma} = U \langle n_{-\sigma} \rangle - n_{\sigma}$$

Hubbard mode

$$H_h = \sum_{ij\sigma} t_{ij} c_{i\sigma}^+ c_{j\sigma} + \sum_i U n_{i\uparrow} n_{i\downarrow}$$

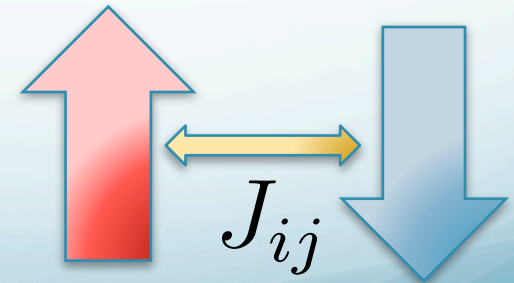


$$U \gg t$$

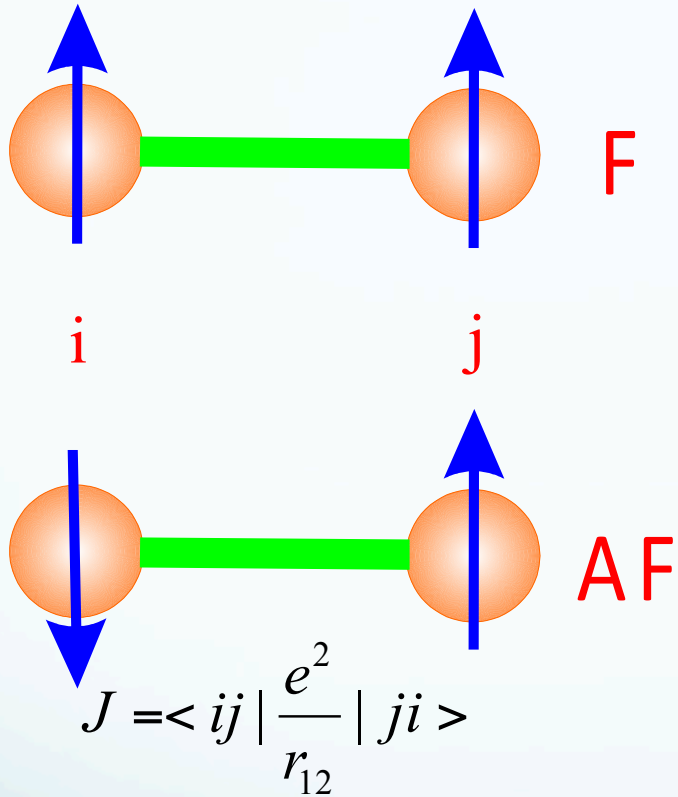
$$J = -2 \frac{t^2}{U}$$

Heisenberg exchange

$$H_e = - \sum_{ij} J_{ij} \vec{S}_i \cdot \vec{S}_j$$



# Magnetism of H<sub>2</sub>: Heisenberg vs. Slater



Exchange interaction:

$$H_{ex} = -2J_{ij} \vec{S}_i \vec{S}_j$$

$$J = \frac{1}{2}(E_S - E_T) = E_{AF} - E_F$$

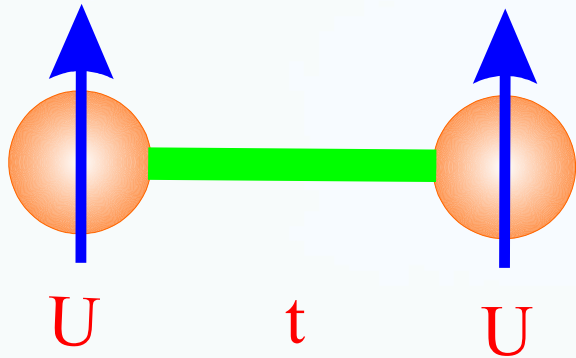
$$E_F = | \uparrow \uparrow \rangle = E_T$$

$$E_{AF} = | \uparrow \downarrow \rangle = \frac{1}{2}(E_S + E_T)$$

$$\psi_T = \frac{1}{\sqrt{2}}(| \uparrow \downarrow \rangle + | \downarrow \uparrow \rangle); \quad | \uparrow \uparrow \rangle; \quad | \downarrow \downarrow \rangle$$

$$\psi_S = \frac{1}{\sqrt{2}}(| \uparrow \downarrow \rangle - | \downarrow \uparrow \rangle)$$

# Exchange interactions: Anderson



ED in subspace  
 $N_- = 1, N_+ = 1$

$$H = t \sum_{ij=1,2} c_{i\sigma}^\dagger c_{j\sigma} + U \sum_{i=1,2} n_{i\uparrow} n_{i\downarrow}$$

$N$	$i$	$j$	$H$	1	2	3	4
1	$\uparrow$	$\downarrow$	1	0	0	$t$	$t$
2	$\downarrow$	$\uparrow$	2	0	0	$t$	$t$
3	$\uparrow\downarrow$	0	3	$t$	$t$	$U$	0
4	0	$\uparrow\downarrow$	4	$t$	$t$	0	$U$

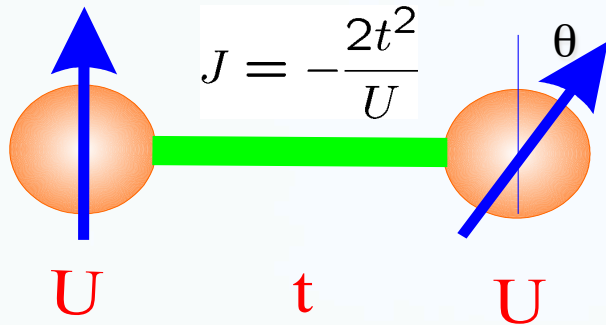
$U \gg t$

$$E_T = 0$$

$$E_S = \frac{U}{2} \left( 1 - \sqrt{1 + \frac{16t^2}{U}} \right) \approx -\frac{4t^2}{U}$$

Anderson kinetic exchange  $J = -\frac{2t^2}{U}$

# Exchange: Local force approach



Spin-polarized LSDA

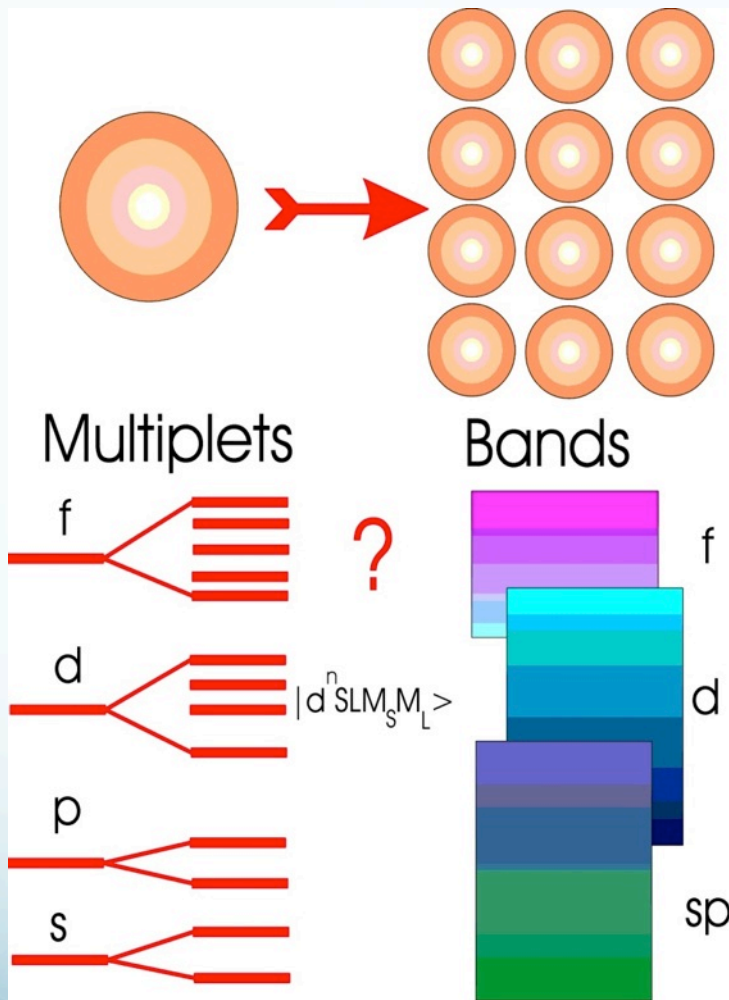
$$h_{\sigma} = t + \frac{1}{2}U \vec{e} \cdot \vec{\sigma}$$

$H$	$1 \uparrow$	$2 \uparrow$	$1 \downarrow$	$2 \downarrow$
$1 \uparrow$	$-\frac{U}{2}$	$t$	$0$	$0$
$2 \uparrow$	$t$	$-\frac{U}{2}(1 - \cos \theta)$	$0$	$\frac{U}{2} \sin \theta$
$1 \downarrow$	$0$	$0$	$\frac{U}{2}$	$t$
$2 \downarrow$	$0$	$\frac{U}{2} \sin \theta$	$t$	$\frac{U}{2}(1 - \cos \theta)$

Spectrum:  $\epsilon_i = \pm \frac{1}{2} \sqrt{4t^2 + U^2 \pm Ut \sqrt{2(1 \mp \cos \theta)}}$

Exchange energy:  $E_x(\theta) \approx \frac{t^2}{U} \cos \theta = -2JS^2 \vec{e}_i \cdot \vec{e}_j = -\frac{1}{2}J \cos$

# From Atom to Solids



Electrons in solids:

- Effective potential
- Bloch states
- Pauli principle



Density Functional Theory (DFT)  
Effective one-particle states  
Local Density Approximation (LDA)



# DFT: KS-equation (1965)

Effective one-electron Schrödinger-like equation:

$$\left(-\frac{\hbar^2}{2m}\nabla^2 - V_{eff}(\vec{r})\right)\psi_i(\vec{r}) = \varepsilon_i\psi_i(\vec{r})$$

Charge density:

$$n(\vec{r}) = \sum_i^N |\psi_i(\vec{r})|^2$$

Energy Functional:

$$E[n] = T_s[n] + V_H[n] + \int n(\vec{r})V_{ext}(\vec{r})d\vec{r} + E_{xc}[n]$$

KS-kinetic energy:

$$T_s[n] = \sum_i^N \int d\vec{r} \psi_i^*(\vec{r}) \left(-\frac{\hbar^2}{2m}\nabla^2\right) \psi_i(\vec{r})$$

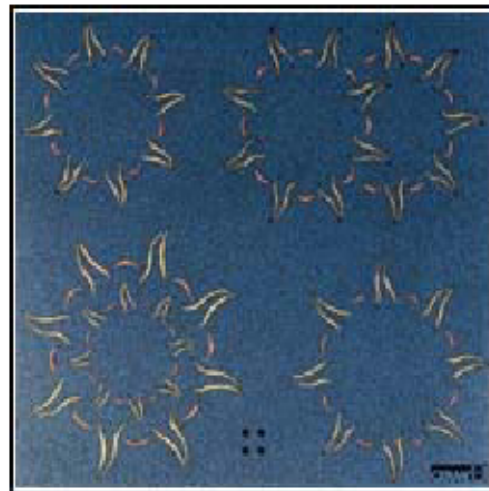
Hartree potential:

$$V_H[n] = \frac{e^2}{2} \int d\vec{r} \int d\vec{r}' \frac{n(\vec{r})n(\vec{r}')}{|\vec{r} - \vec{r}'|}$$

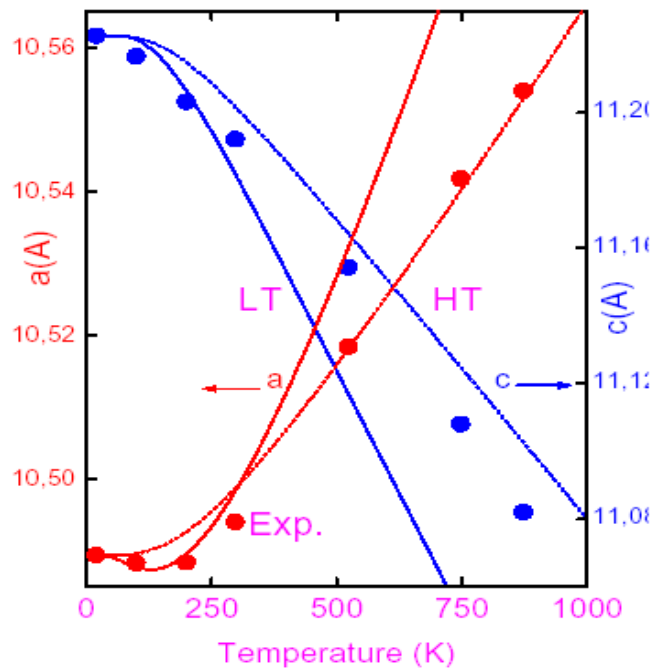
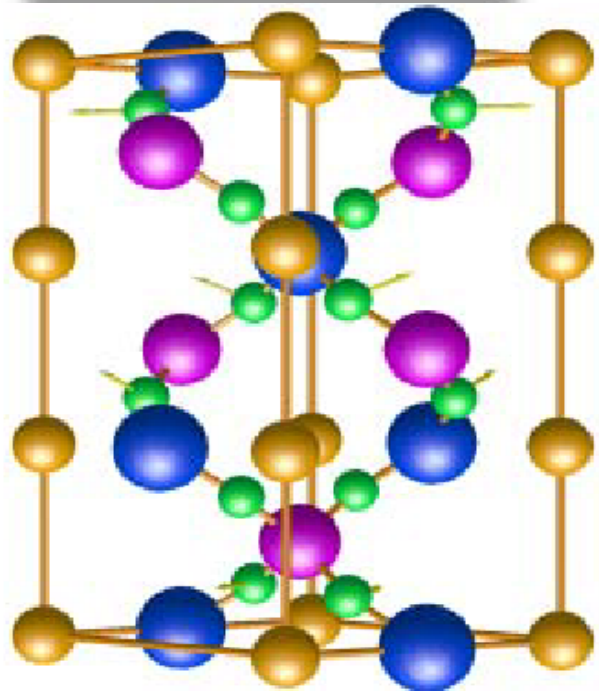
Effective potential:

$$V_{eff}(\vec{r}) = V_{ext}(\vec{r}) + e^2 \int d\vec{r}' \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} + \frac{\delta E_{xc}[n]}{\delta n(\vec{r})}$$

# Computational Material Science: DFT



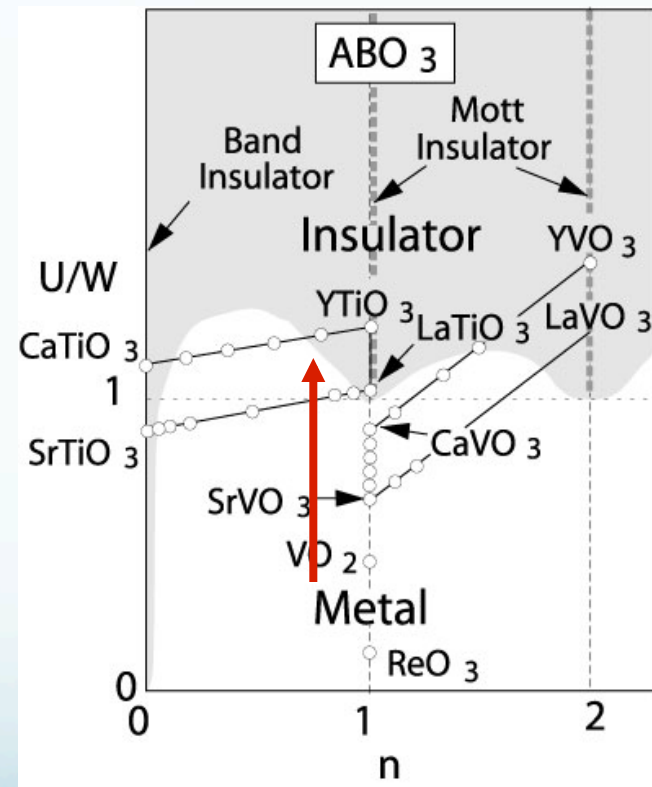
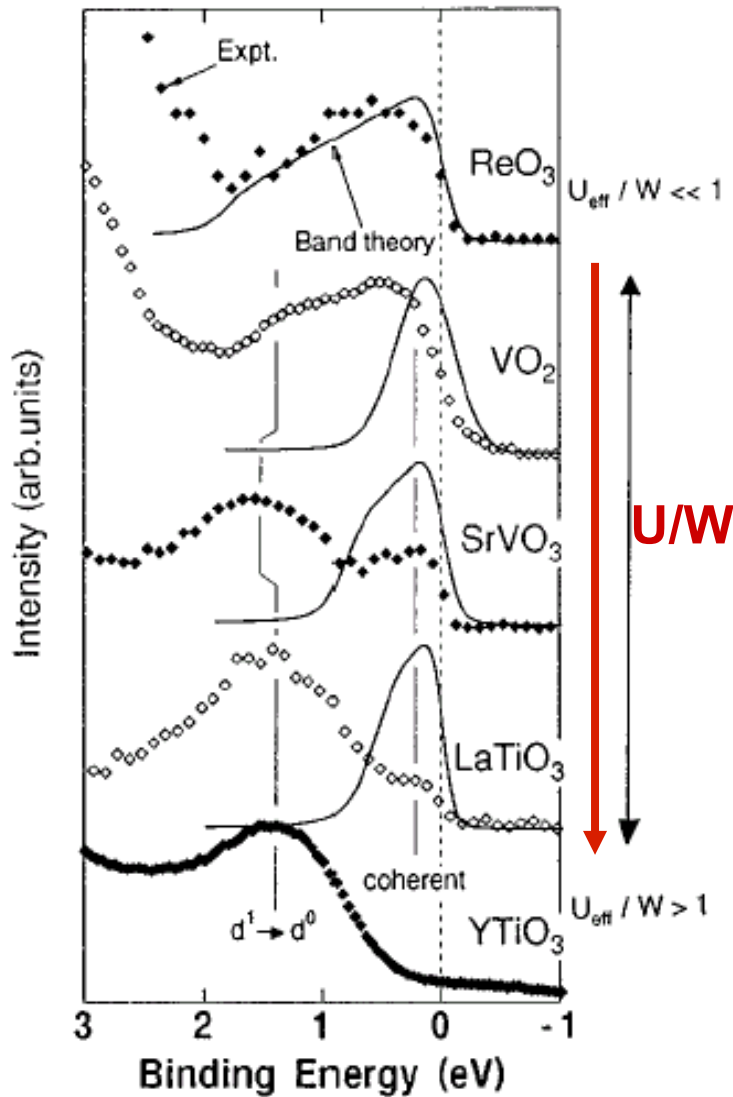
CERAN-plate



DFT-theory:  
 $\text{LiAlSiO}_4$

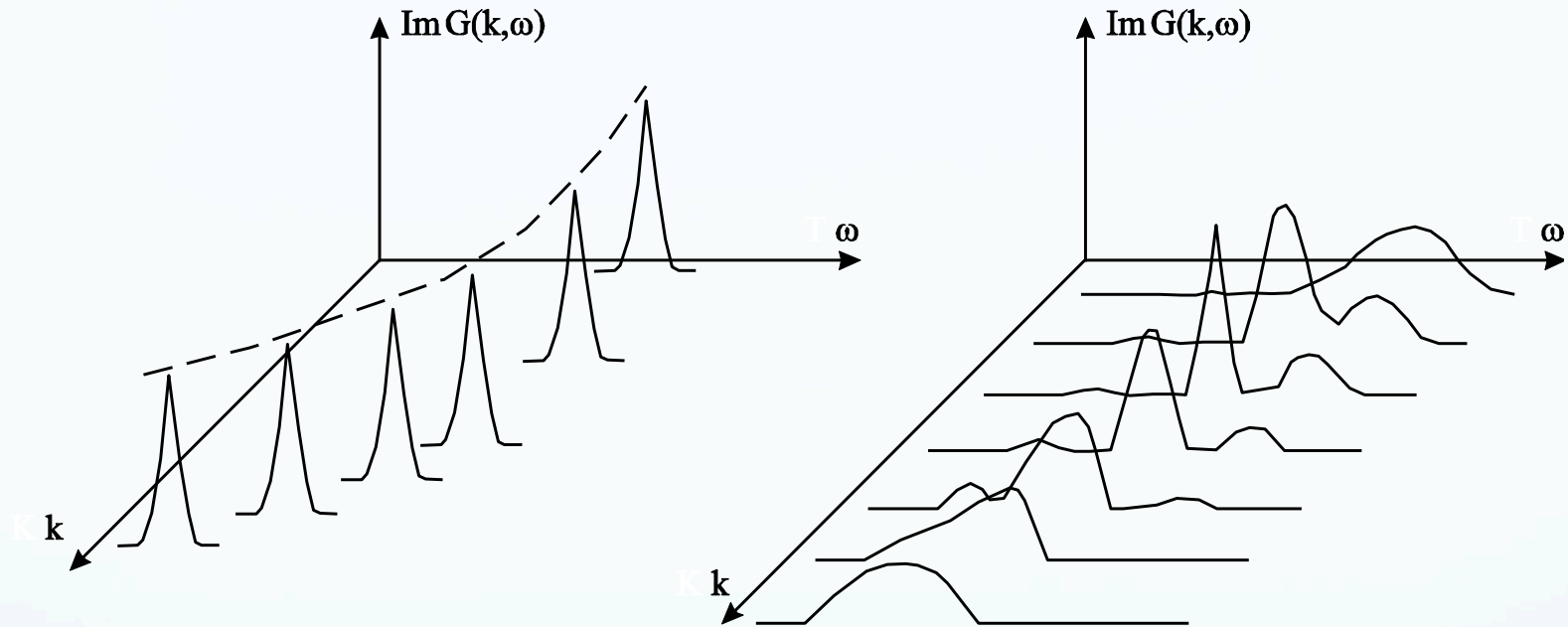
# Correlation driven MIT

photoemission spectra (DOS)  
A. Fujimori et al.



# Spectral function: Correlations effects

ARPES

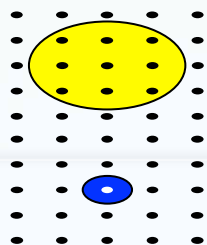


Free electrons

Correlated electrons

# Strongly Correlated Electron Systems

3d - 4f  
open shells  
materials



$U \ll W$   
Charge fluct.

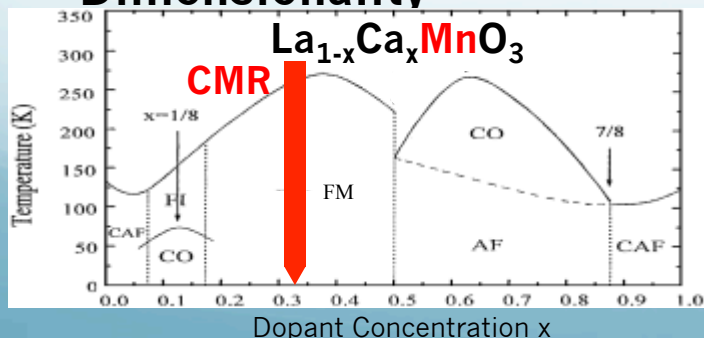
$U \gg W$   
Spin fluct.

- Kondo
- Mott-Hubbard
- Heavy Fermions
- **High-Tc SC**
- Spin-charge order
- **Colossal MR**

I	II	IIIb	IVb	Vb	VIb	VIIb	VIIIb	Ib	IIb	III	IV	V	VI	VII	0		
H										B	C	N	O	F	He		
Li	Be									Al	Si	P	S	Cl	Ne		
Na	Mg														Ar		
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La*	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac**	Rf	Db	Sg	Bh	Hs	Mt									
Lanthanides *		Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu		
Actinides **		Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No	Lr		

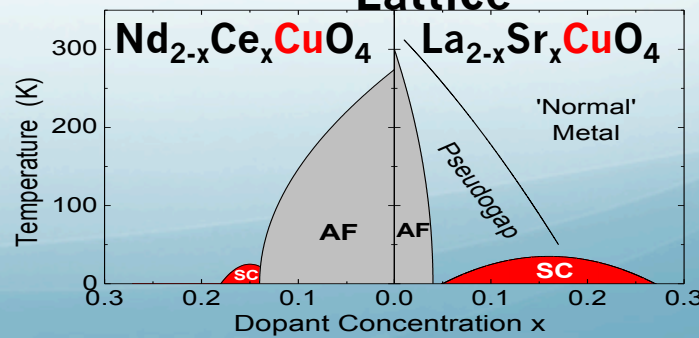
## Control parameters

- Bandwidth ( $U/W$ )
- Band filling
- Dimensionality



## Degrees of freedom

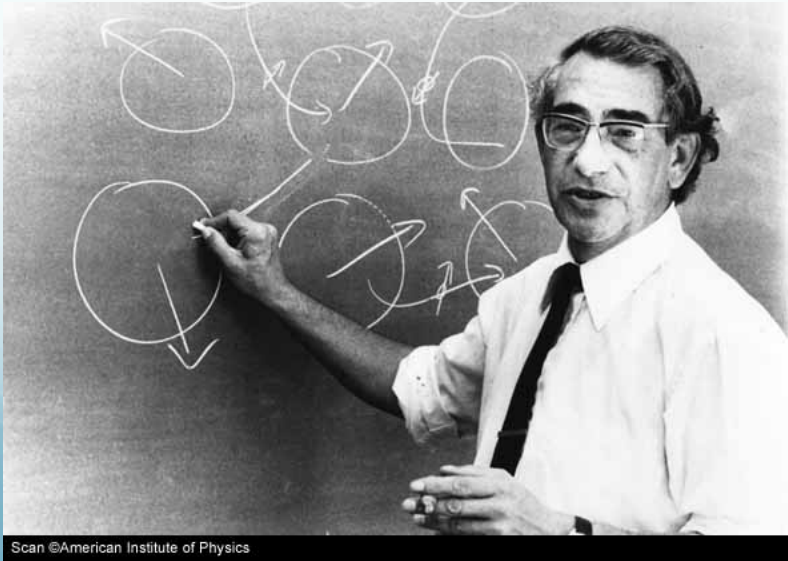
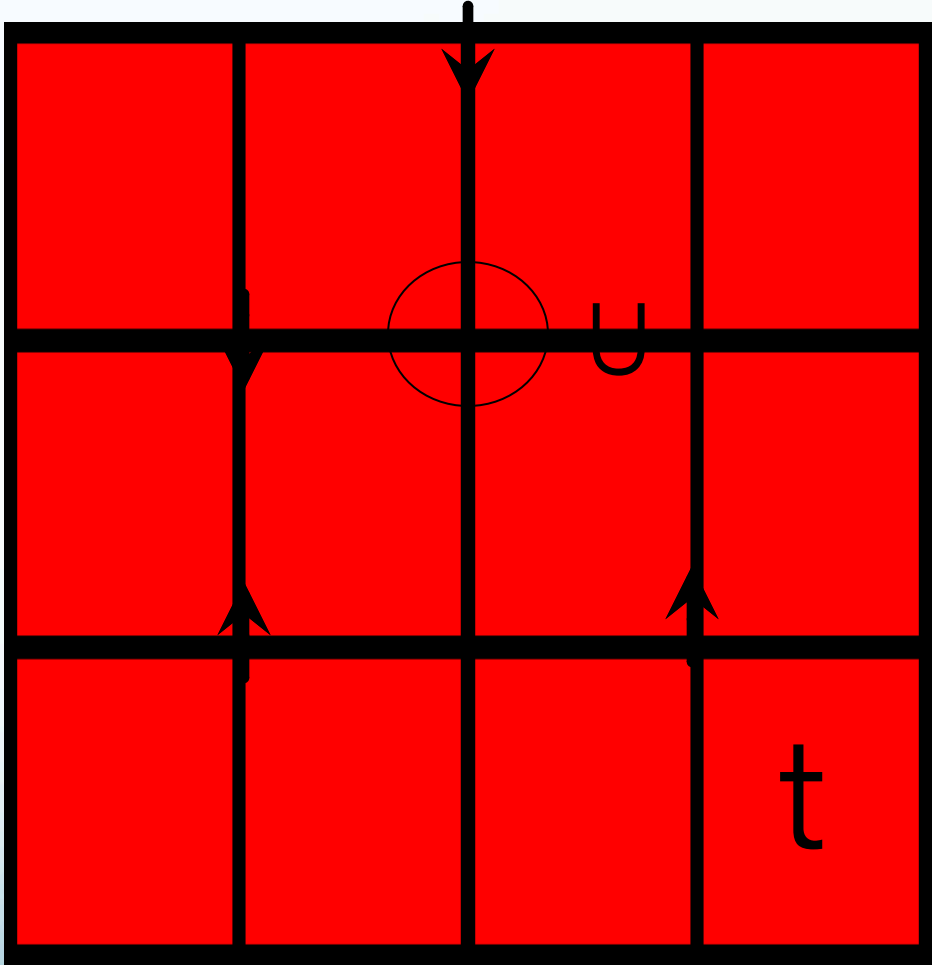
- Charge / Spin
- Orbital
- Lattice



# Hubbard model for correlated electrons

$$H = \sum_{ij} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

- $U/t$
- **Chemical potential**



# The Theory of Everything

Hamiltonian for multi-fermionic system in field-operators:

$$H = \sum_{\sigma} \int d\mathbf{r} \hat{\psi}_{\sigma}^{\dagger}(\mathbf{r}) \left( -\frac{1}{2} \nabla^2 + V(\mathbf{r}) - \mu \right) \hat{\psi}_{\sigma}(\mathbf{r}) \\ + \frac{1}{2} \sum_{\sigma\sigma'} \int d\mathbf{r} \int d\mathbf{r}' \hat{\psi}_{\sigma}^{\dagger}(\mathbf{r}) \hat{\psi}_{\sigma'}^{\dagger}(\mathbf{r}') U(\mathbf{r} - \mathbf{r}') \hat{\psi}_{\sigma'}(\mathbf{r}') \hat{\psi}_{\sigma}(\mathbf{r}).$$

Atomic Units:  $\hbar = m = e = 1$

Coulomb interaction:  $U(\mathbf{r} - \mathbf{r}') = 1/|\mathbf{r} - \mathbf{r}'|$

Second quantisation operators in orthonormal basis:

$$\hat{\psi}(\mathbf{r}) = \sum_n \phi_n(\mathbf{r}) \hat{c}_n$$

$$\hat{\psi}^{\dagger}(\mathbf{r}) = \sum_n \phi_n^*(\mathbf{r}) \hat{c}_n^{\dagger}$$

$$n = (im\sigma)$$

Wannier Basis:  $\phi_n(\mathbf{r})$  with site, orbital and spins quantum numbers

# Hoehnberg and Kohn: DFT

PHYSICAL REVIEW

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## Inhomogeneous Electron Gas\*

P. HOHENBERG†

*École Normale Supérieure, Paris, France*

AND

W. KOHN‡

*École Normale Supérieure, Paris, France and Faculté des Sciences, Orsay, France*

Hamiltonian in

$$H = T + V + U$$

Field Operators:

$$T \equiv \frac{1}{2} \int \nabla \psi^*(\mathbf{r}) \nabla \psi(\mathbf{r}) d\mathbf{r},$$

$$V \equiv \int v(\mathbf{r}) \psi^*(\mathbf{r}) \psi(\mathbf{r}) d\mathbf{r},$$

$$U \equiv \frac{1}{2} \int \frac{1}{|\mathbf{r} - \mathbf{r}'|} \psi^*(\mathbf{r}) \psi^*(\mathbf{r}') \psi(\mathbf{r}') \psi(\mathbf{r}) d\mathbf{r} d\mathbf{r}'$$

$$n(\mathbf{r}) \equiv (\Psi, \psi^*(\mathbf{r}) \psi(\mathbf{r}) \Psi)$$

$$E_v[n] \equiv \int v(\mathbf{r}) n(\mathbf{r}) d\mathbf{r} + F[n]$$



# Path Integrals for Fermions

Short introduction from Alexei Kamenev

“Field Theory of Non-Equilibrium Systems” (Cambridge, 2011)

Fermions second-quantization operators (Pauli principle)

$$\begin{array}{ll} \hat{c}_i |0\rangle = 0 & \hat{c}^+ \hat{c} |n\rangle = n |n\rangle \\ \hat{c}_i |1\rangle = |0\rangle & \hat{c}^2 = 0 \\ \hat{c}_i^+ |0\rangle = |1\rangle & (\hat{c}^+)^2 = 0 \\ \hat{c}_i^+ |1\rangle = 0 & \{\hat{c}, \hat{c}^+\} = \hat{1} \end{array}$$

Algebra of Grassmann anti-commuting numbers:

$$(\hat{c}_i^+, \hat{c}_i) \rightarrow (c_i^*, c_i)$$

$$\begin{array}{ll} c_i c_j = -c_j c_i \\ c_i^2 = 0 \\ f(c) = f_0 + f_1 c \\ f(c^*, c) = f_{00} + f_{10} c^* + f_{01} c + f_{11} c^* c \end{array}$$

Grassmann numbers anticommute with fermionic operators

$$\{c, \hat{c}\} = \{c, \hat{c}^+\} = 0$$

# Grassmann calculus

Differentiation:  $\frac{\partial c_i}{\partial c_j} = \delta_{ij}$

N.B. order:  $\frac{\partial}{\partial c_2} c_1 c_2 = -c_1$

Example:  $f(c^*, c) = f_{00} + f_{10}c^* + f_{01}c + f_{11}c^*c$

$$\frac{\partial}{\partial c^*} \frac{\partial}{\partial c} f(c^*, c) = \frac{\partial}{\partial c^*} (f_{01} - f_{11}c^*) = -f_{11} = -\frac{\partial}{\partial c} \frac{\partial}{\partial c^*} f(c^*, c)$$

Integration:

(equivalent to differentiation)

$$\int 1dc = 0$$
$$\int cdc = 1$$

$$\int \dots dc \rightarrow \frac{\partial}{\partial c} \dots$$

# Coherent State

Eigenstate of annihilation operator

$$\hat{c}|c\rangle = c|c\rangle$$

Definition of coherent states

$$|c\rangle = e^{-c\hat{c}^+} |0\rangle = (1 - c\hat{c}^+) |0\rangle = |0\rangle - c|1\rangle$$

Proof

$$\hat{c}|c\rangle = \hat{c}(|0\rangle - c|1\rangle) = -\hat{c}c|1\rangle = c|0\rangle = c|c\rangle$$

Left Coherent State:  $c^*$  just another Grassman number

(NOT a complex conjugate)

$$\langle c|\hat{c}^+ = \langle c|c^*$$

$$\langle c| = \langle 0|e^{-\hat{c}c^*} = \langle 0|(1 - \hat{c}c^*) = \langle 0| - \langle 1|c^*$$

$$\langle c|\hat{c}^+ = (\langle 0| - \langle 1|c^*)\hat{c}^+ = -\langle 1|c^*\hat{c}^+ = \langle 0|c^* = \langle c|c^*$$

# Unity operator in coherent states

Overlap of Coherent States (non-orthogonal)

$$\langle c^* | c \rangle = (\langle 0 | - \langle 1 | c^*) (|0\rangle - c |1\rangle) = 1 + c^* c = e^{c^* c}$$

Resolution of Unity

$$\hat{1} = \int \int dc^* dce^{-c^* c} |c\rangle \langle c|$$

Proof

$$\begin{aligned} \int \int dc^* dce^{-c^* c} |c\rangle \langle c| &= \int \int dc^* dc (1 - c^* c) (|0\rangle - c |1\rangle) (\langle 0| - \langle 1| c^*) \\ &= - \int \int dc^* dcc^* c (|0\rangle \langle 0| + |1\rangle \langle 1|) = \hat{1} \end{aligned}$$

# Trace of Fermionic Operators

Matrix elements of normally ordered operators

$$\langle c^* | \widehat{H}(\widehat{c}^+, \widehat{c}) | c \rangle = H(c^*, c) \langle c^* | c \rangle = H(c^*, c) e^{c^* c}$$

Trace-formula

$$\begin{aligned} \text{Tr}(\widehat{O}) &= \sum_{n=0,1} \langle n | \widehat{O} | n \rangle = \sum_{n=0,1} \int \int dc^* dce^{-c^* c} \langle n | c \rangle \langle c | \widehat{O} | n \rangle = \\ &= \int \int dc^* dce^{-c^* c} \sum_{n=0,1} \langle -c | \widehat{O} | n \rangle \langle n | c \rangle = \int \int dc^* dce^{-c^* c} \langle -c | \widehat{O} | c \rangle \end{aligned}$$

"Minus" due to commutation Left and Right coherent state

$$c^* c = -c c^*$$

$$|-c\rangle = |0\rangle + c|1\rangle$$

# Gaussian Path Integrals

Only one analytical path integral:

$$Z[J^*, J] = \int \int \prod_{i=1}^N [dc_i^* dc_i] e^{-\sum_{i,j=1}^N c_i^* M_{ij} c_j + \sum_{i=1}^N [c_i^* J_i + J_i^* c_i]} = \det[M] e^{-\sum_{i,j=1}^N J_i^* M_{ij}^{-1} J_j}$$

Short notation

$$\int D[c^* c] e^{-c^* M c} = \det M$$

Proof - "det": expand the exponent only N-th order is non-zero

$$e^{-\sum_{i,j=1}^N c_i^* M_{ij} c_j} = \frac{\left(-\sum_{i,j=1}^N c_i^* M_{ij} c_j\right)^N}{N!} \quad \text{Permutations of } c_i^* \text{ and } c_j \text{ gives } \det M$$

Examples:

$$N=1 \quad \int D[c^* c] e^{-c_1^* M_{11} c_1} = \int D[c^* c] (-c_1^* M_{11} c_1) = M_{11} = \det M$$

$$N=2 \quad \int D[c^* c] e^{-c_1^* M_{11} c_1 - c_1^* M_{12} c_2 - c_2^* M_{21} c_1 - c_2^* M_{22} c_2} =$$

$$\frac{1}{2!} \int D[c^* c] \left(-c_1^* M_{11} c_1 - c_1^* M_{12} c_2 - c_2^* M_{21} c_1 - c_2^* M_{22} c_2\right)^2 =$$

$$M_{11} M_{22} - M_{12} M_{21} = \det M$$

# Correlation Function: $U=0$

Change of variables

$$c \rightarrow c - M^{-1}j$$

Using: 
$$c^* M c - c^* j - j^* c = (c^* - j^* M^{-1}) M (c - M^{-1} j) - j^* M^{-1} j$$

Single-particle correlation function:

$$\langle c_i c_j^* \rangle = \frac{1}{Z[0,0]} \frac{\delta Z[J^*, J]}{\delta J_j \delta J_i^*} \Big|_{J=0} = M_{ij}^{-1}$$

Two-particle correlation function:

$$\langle c_i c_j c_k^* c_l^* \rangle = \frac{1}{Z[0,0]} \frac{\delta Z[J^*, J]}{\delta J_l \delta J_k \delta J_j^* \delta J_i^*} \Big|_{J=0} = M_{il}^{-1} M_{jk}^{-1} - M_{ik}^{-1} M_{jl}^{-1}$$

# Path Integral for Everything

Euclidean action

$$Z = \int \mathcal{D}[c^*, c] e^{-S}$$
$$S = \sum_{12} c_1^* (\partial_\tau + t_{12}) c_2 + \frac{1}{4} \sum_{1234} c_1^* c_2^* U_{1234} c_4 c_3$$

One- and two-electron matrix elements:

$$t_{12} = \int d\mathbf{r} \phi_1^*(\mathbf{r}) \left( -\frac{1}{2} \nabla^2 + V(\mathbf{r}) - \mu \right) \phi_2(\mathbf{r})$$
$$U_{1234} = \int d\mathbf{r} \int d\mathbf{r}' \phi_1^*(\mathbf{r}) \phi_2^*(\mathbf{r}') U(\mathbf{r} - \mathbf{r}') \phi_3(\mathbf{r}) \phi_4(\mathbf{r}')$$

Shot notation:

$$\sum_1 \dots \equiv \sum_{im} \int d\tau \dots$$



# One- and Two-particle Green Functions

One-particle Green function



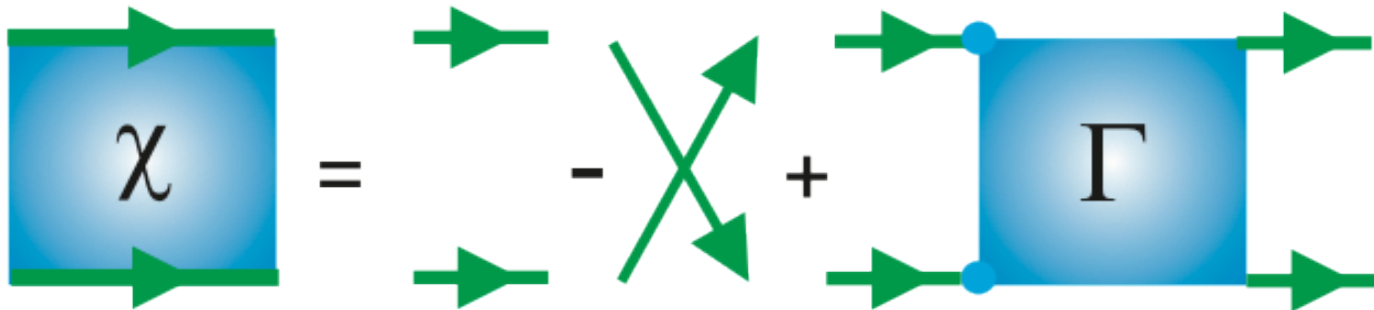
$$G_{12} = -\langle c_1 c_2^* \rangle_S = -\frac{1}{Z} \int \mathcal{D}[c^*, c] c_1 c_2^* e^{-S}$$

Two-particle Green function (generalized susceptibilities)

$$\chi_{1234} = \langle c_1 c_2 c_3^* c_4^* \rangle_S = \frac{1}{Z} \int \mathcal{D}[c^*, c] c_1 c_2 c_3^* c_4^* e^{-S}$$

Vertex function:

$$X_{1234} = G_{14}G_{23} - G_{13}G_{24} + \sum_{1'2'3'4'} G_{11'}G_{22'}\Gamma_{1'2'3'4'}G_{3'3}G_{4'4}$$



# Baym-Kadanoff functional

Source term

$$S[J] = S + \sum_{ij} c_i^* J_{ij} c_j$$

Partition function and Free-energy:

$$Z[J] = e^{-F[J]} = \int \mathcal{D}[c^*, c] e^{-S[J]}$$

Legendre transforming from J to G:

$$F[G] = F[J] - \text{Tr}(JG)$$

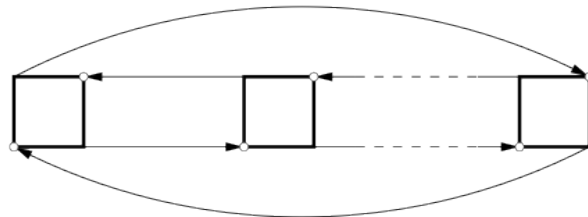
$$G_{12} = \frac{1}{Z[J]} \frac{\delta Z[J]}{\delta J_{12}} \Big|_{J=0} = \frac{\delta F[J]}{\delta J_{12}} \Big|_{J=0}$$

Decomposition into the single particle part and correlated part

$$F[G] = \text{Tr} \ln G - \text{Tr} (\Sigma G) + \Phi[G]$$

$$\Phi[G] =$$

$$\sum_i$$



# Functional Family

$$F[G] = -Tr \ln[-(G_0^{-1} - \Sigma[G])] - Tr(\Sigma[G]G) + \Phi[G]$$

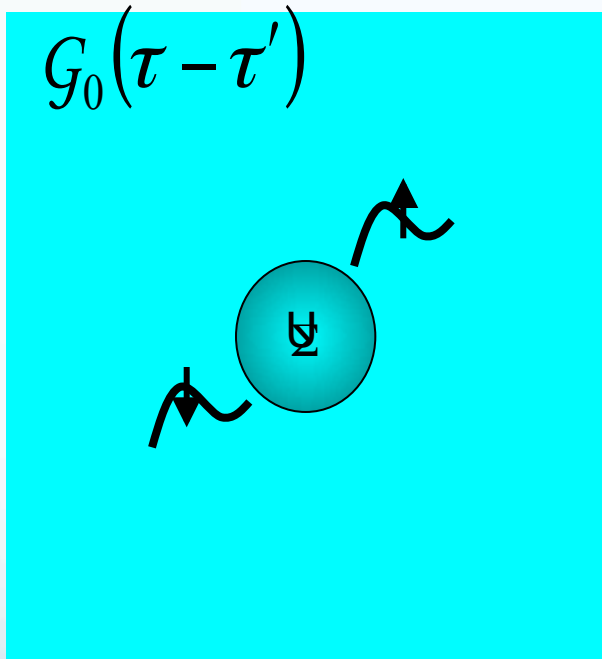
Exact representation of  $\Phi$ :  $V_{ee}^\alpha = \alpha V_{ee}$

$$\Phi = \frac{1}{2} \int_0^1 d\alpha Tr [V_{ee}^\alpha < \psi^\dagger \psi^\dagger \psi \psi >]$$

Different Functionals and constrained field J:

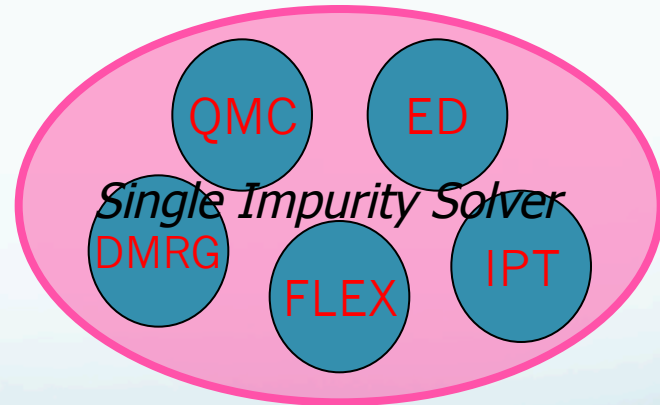
$G = \rho$	$J = V = V_h + V_{xc}$	DFT
$G = G(i\omega)$	$J = \Sigma_{loc}(i\omega)$	LDA+DMFT
$G = G(k, i\omega)$	$J = \Sigma(k, i\omega)$	GW++

# Dynamical Mean Field Theory



$$\hat{G}(i\omega_n) = \frac{1}{\Omega} \sum_k^{BZ} \hat{G}(\vec{k}, i\omega_n)$$

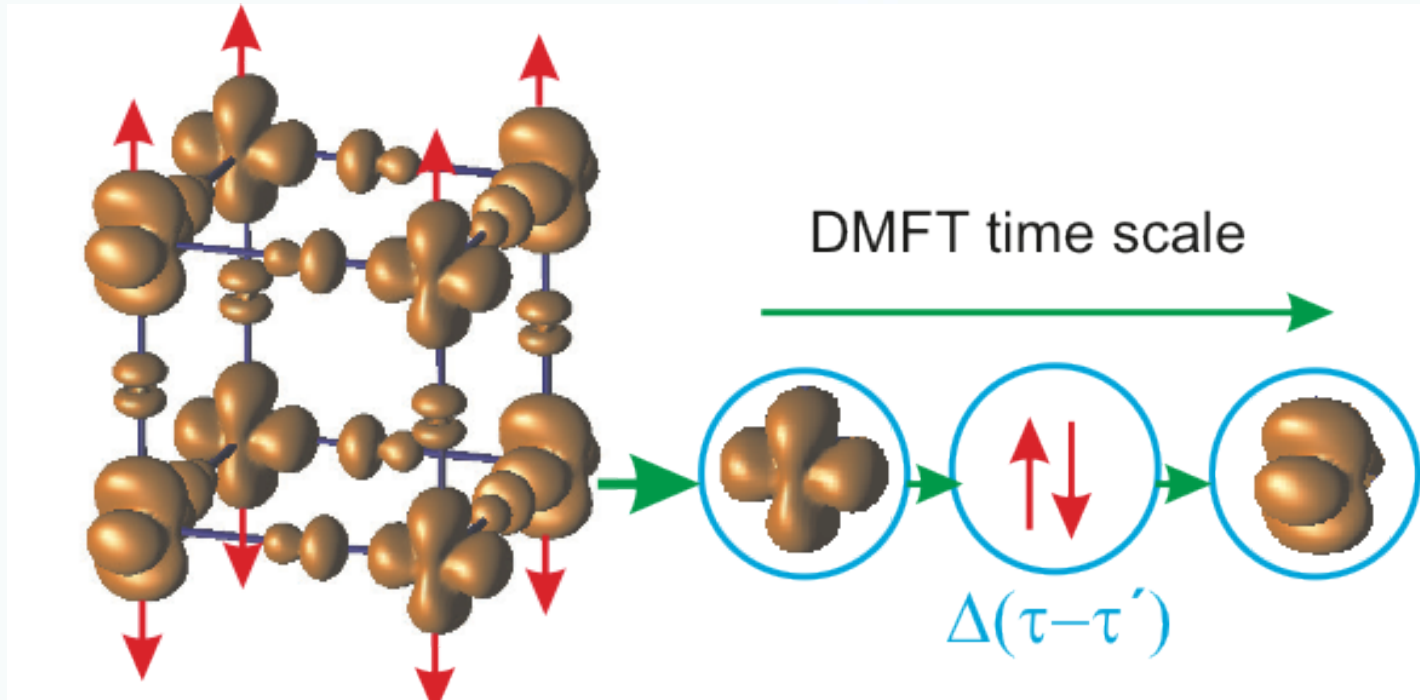
$$\hat{G}_0^{-1}(i\omega_n) = \hat{G}^{-1}(i\omega_n) + \hat{\Sigma}(i\omega_n)$$



$$\hat{\Sigma}_{new}(i\omega_n) = \hat{G}_0^{-1}(i\omega_n) - \hat{G}^{-1}(i\omega_n)$$

W. Metzner and D. Vollhardt (1987)  
A. Georges and G. Kotliar (1992)

# DMFT: Charge+Spin+Orbital Fluctuations



$$S[c^*, c] = - \sum_{\omega \mathbf{k} \sigma m m'} c_{\omega \mathbf{k} \sigma m}^* \left[ (i\omega + \mu) \mathbf{1} - t_{\mathbf{k} \sigma}^{m m'} \right] c_{\omega \mathbf{k} \sigma m'} + \sum_i S_U[c_i^*, c_i]$$

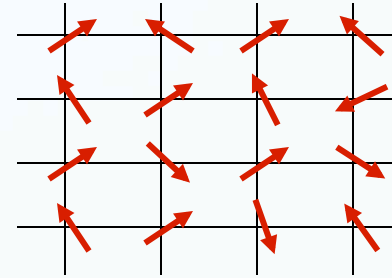
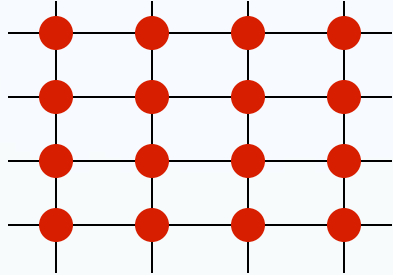
$$S_{\text{loc}}[c^*, c] = - \sum_{\omega \alpha \beta} c_{\omega \alpha}^* \left[ (i\omega + \mu) \mathbf{1} - \Delta_{\omega}^{\alpha \beta} \right] c_{\omega \beta} + S_U[c^*, c] \Rightarrow g_{12} = - \langle c_1 c_2^* \rangle_{\text{loc}}$$

$$\sum_{\mathbf{k}} \left[ g_{\omega}^{-1} + \Delta_{\omega} - t_{\mathbf{k}} \right]^{-1} = g_{\omega}$$

DMFT  
self-consistency

DMFT  
Impurity solver

# Analogy with conventional MFT



$$H = - \sum_{ij\sigma} t_{ij} c_{i\sigma}^+ c_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$$S_{eff}[G_0] = - \int \int d\tau d\tau' c_{0\sigma}^+ \underline{G_0^{-1}} c_{0\sigma} + U \int d\tau' n_{0\uparrow} n_{0\downarrow}$$

$$\underline{G_0^{-1}} = i\omega_n + \mu - t^2 G(i\omega_n)$$

$$t_{ij} \sim 1/\sqrt{z}$$

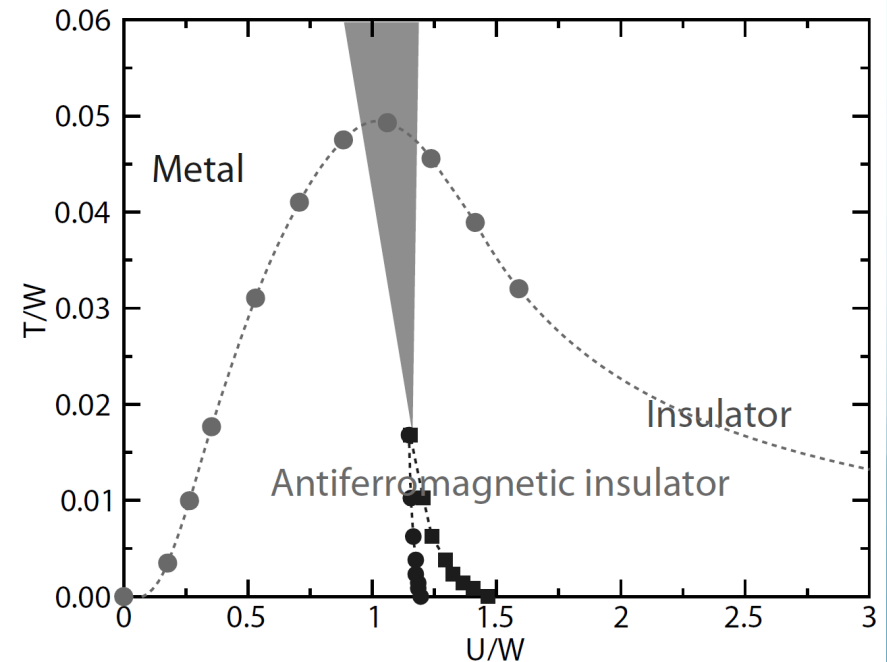
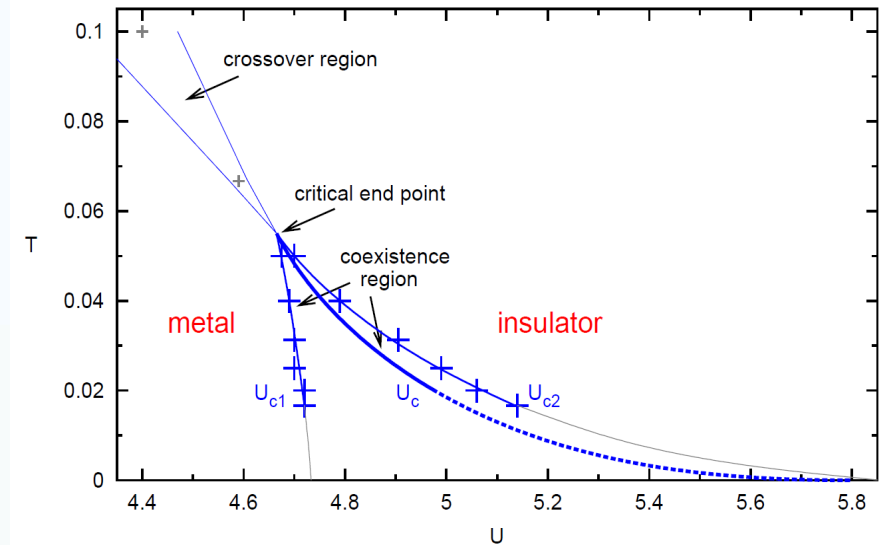
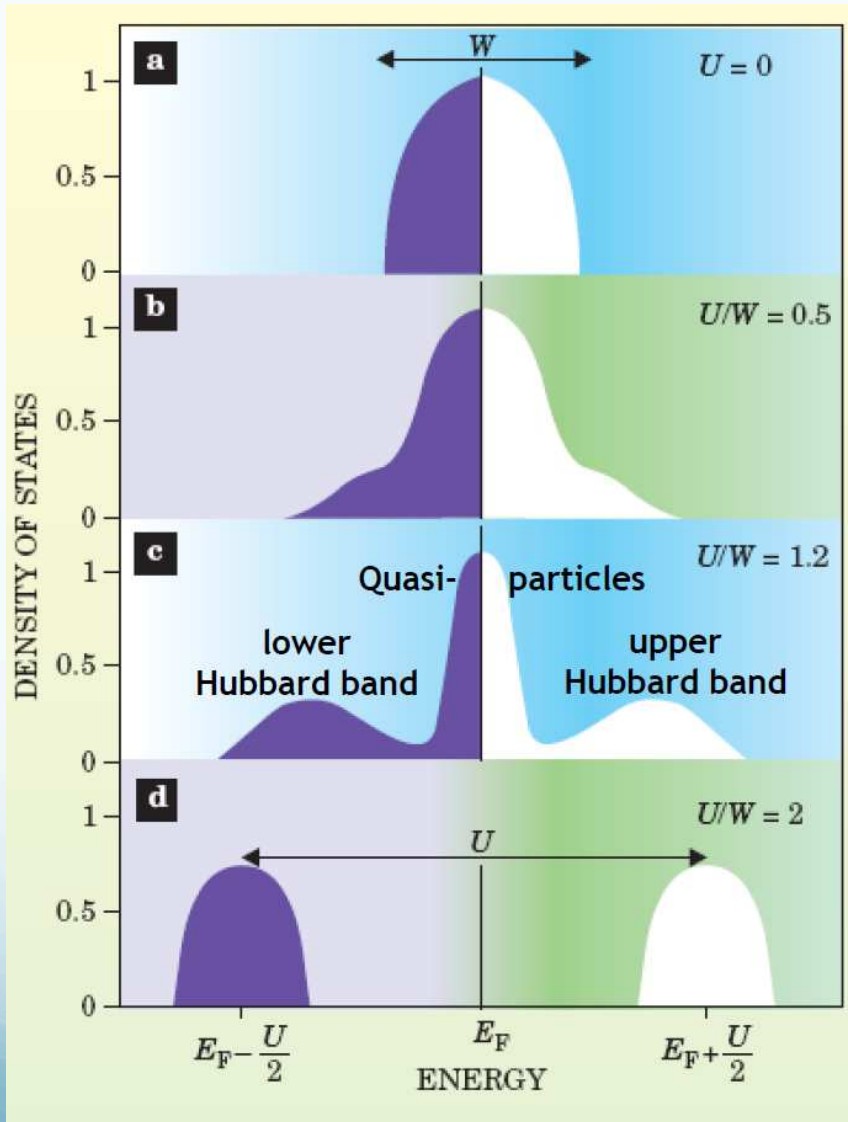
$$H = \sum_{ij} J_{ij} S_i S_j$$

$$H_{eff} = (\sum_i J_{0i} S_i) S_0 = z \underline{Jm} S_0 = \underline{h_{eff}} S_0$$

$$\underline{m} = \langle S_0 \rangle = \underline{\tanh(\beta z Jm)}$$

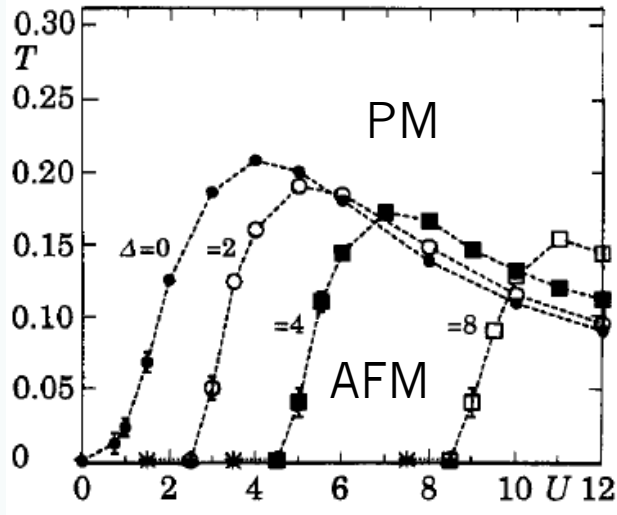
$$J_{ij} \sim 1/z$$

# Metal-Insulator Transition

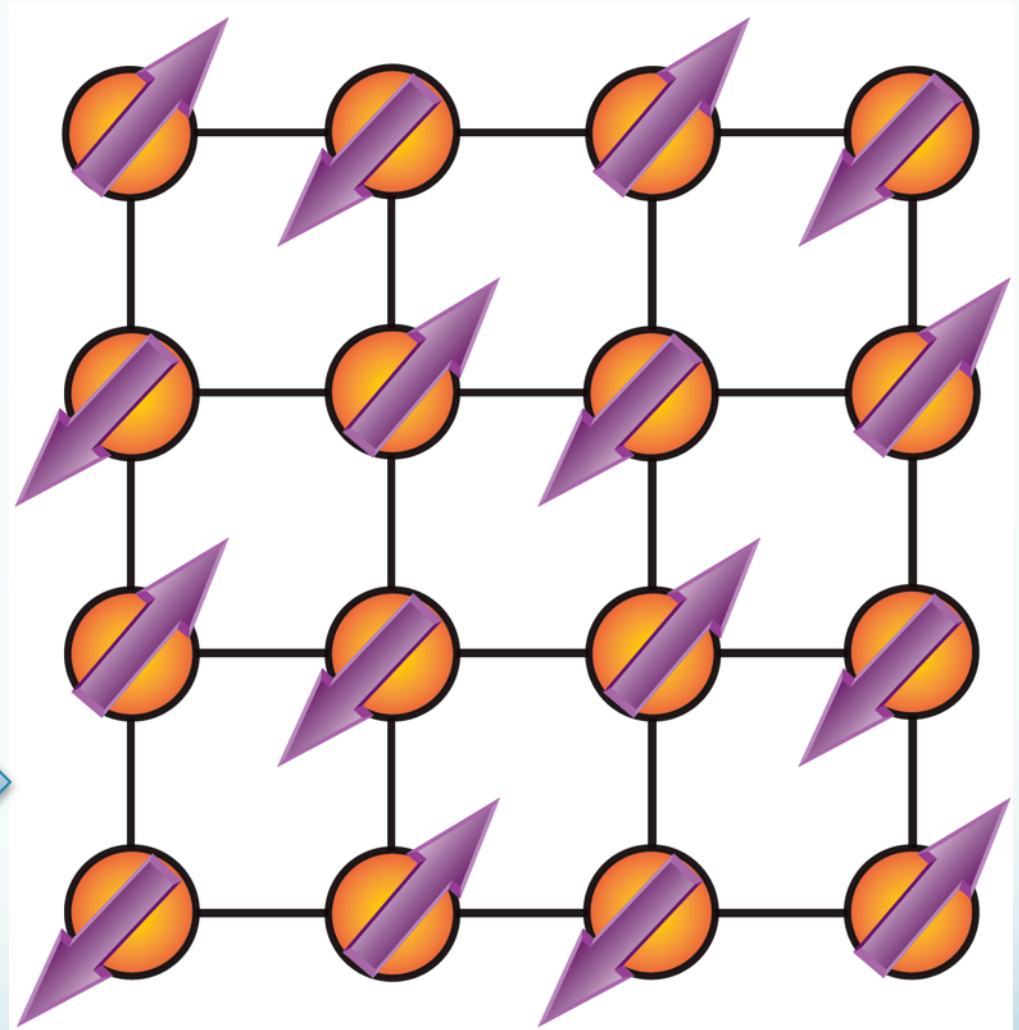
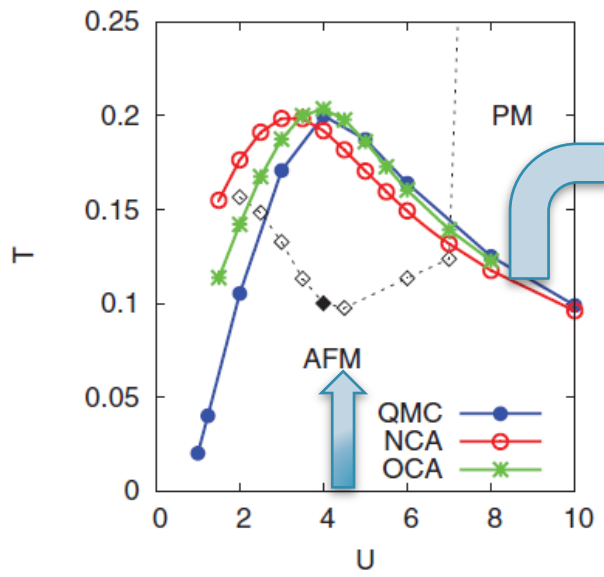


G. Kotliar and D. Vollhardt,  
Physics Today **3**, 53 (2004)

# Strong correlation limit and Magnetism



V. Janis, et al, EPL 24, 287 (1993)



$$U > W/2 = 4$$

$$J_{\tau\tau'} \vec{S}_\tau \cdot \vec{S}_{\tau'}$$

P. Werner, et al, PRB 86, 205101 (2012)

Formation of Local Moments and AFM correlations

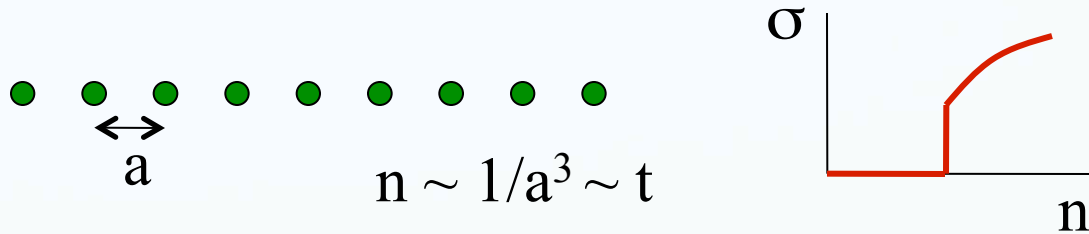




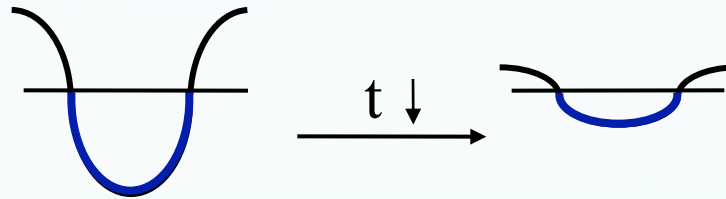
# What is the Mott transition?

a correlation driven metal-insulator transition

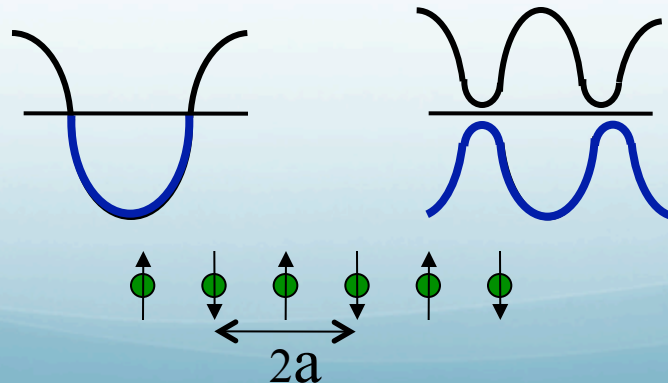
Mott '49



cannot be obtained in band theory:



not due to AF (weak coupling effect):



# Comparison of LDA and realistic DMFT

---

LDA

---

LDA+DMFT

---

Density functional

Baym-Kadanoff functional

Density  $\rho(\mathbf{r})$

Green-Function  $G(\mathbf{r}, \mathbf{r}', \omega)$

Potential  $V_{xc}(\mathbf{r})$

Self-energy  $\Sigma_i(\omega)$

$$E_{tot} = E_{sp} - E_{dc}$$

$$\Omega = \Omega_{sp} - \Omega_{dc}$$

$$E_{sp} = \sum_{k < k_F} \varepsilon_k$$

$$\Omega_{sp} = -Tr \ln[-G^{-1}]$$

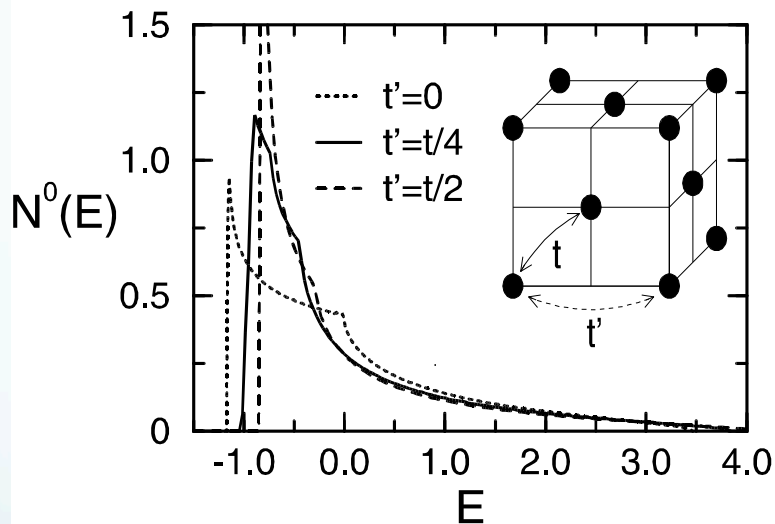
$$E_{dc} = E_H + \int \rho V_{xc} d\mathbf{r} - E_{xc}$$

$$\Omega_{dc} = Tr \Sigma G - \Phi_{LW}$$

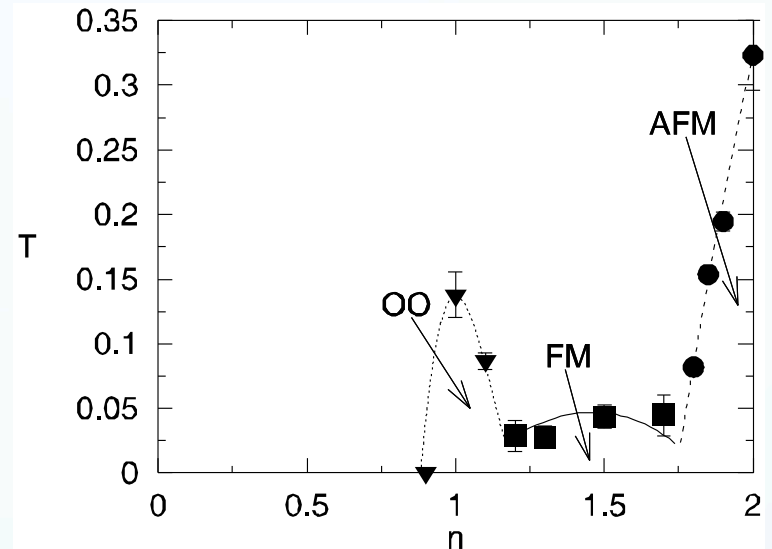
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# DMFT model of ferromagnetism

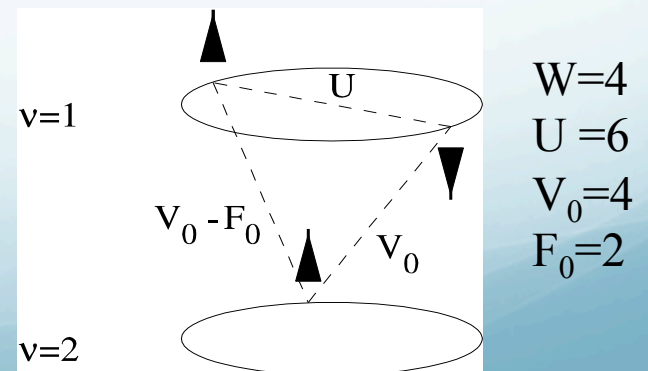
## DOS-peaks



## Band degeneracy

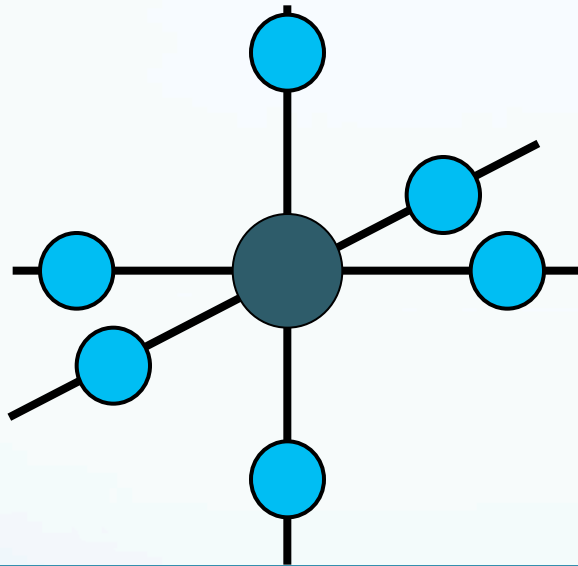


D. Vollhardt, et. al.,  
 In: Bandferromagnetism,  
 Springer, 2000

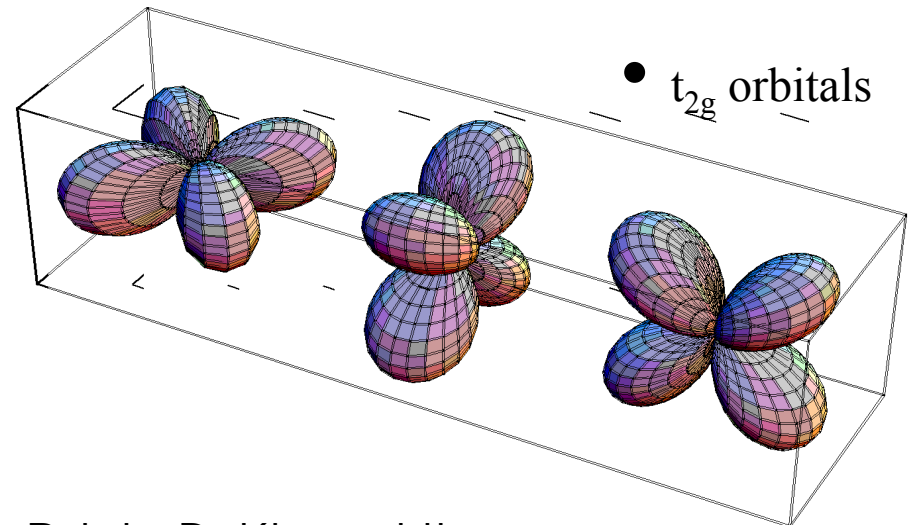
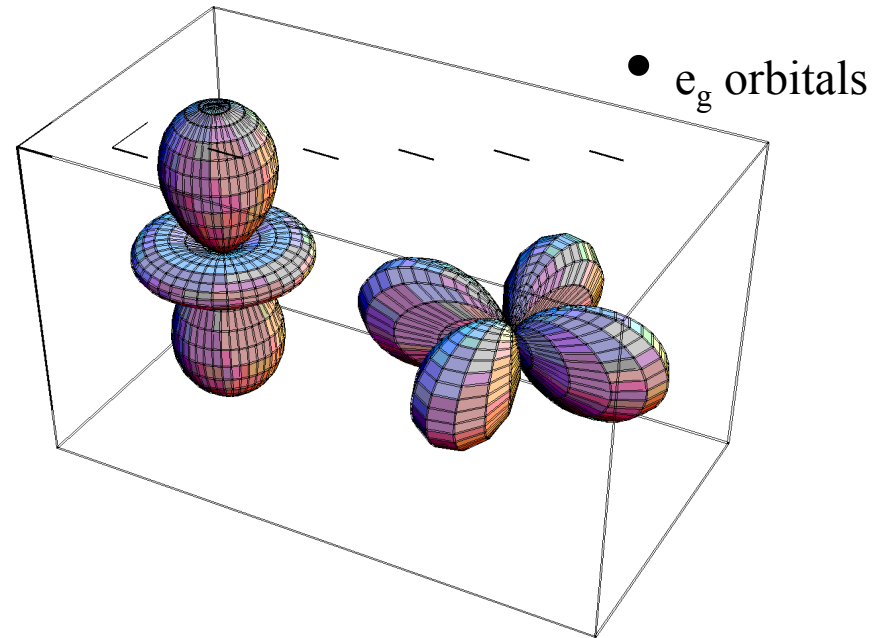
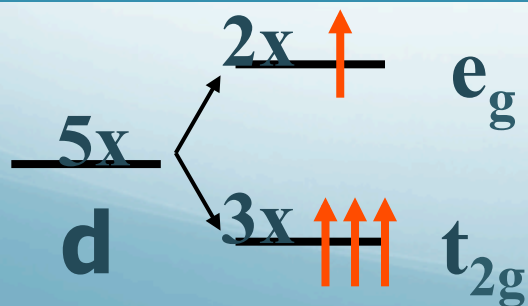


# Orbital degrees of freedom

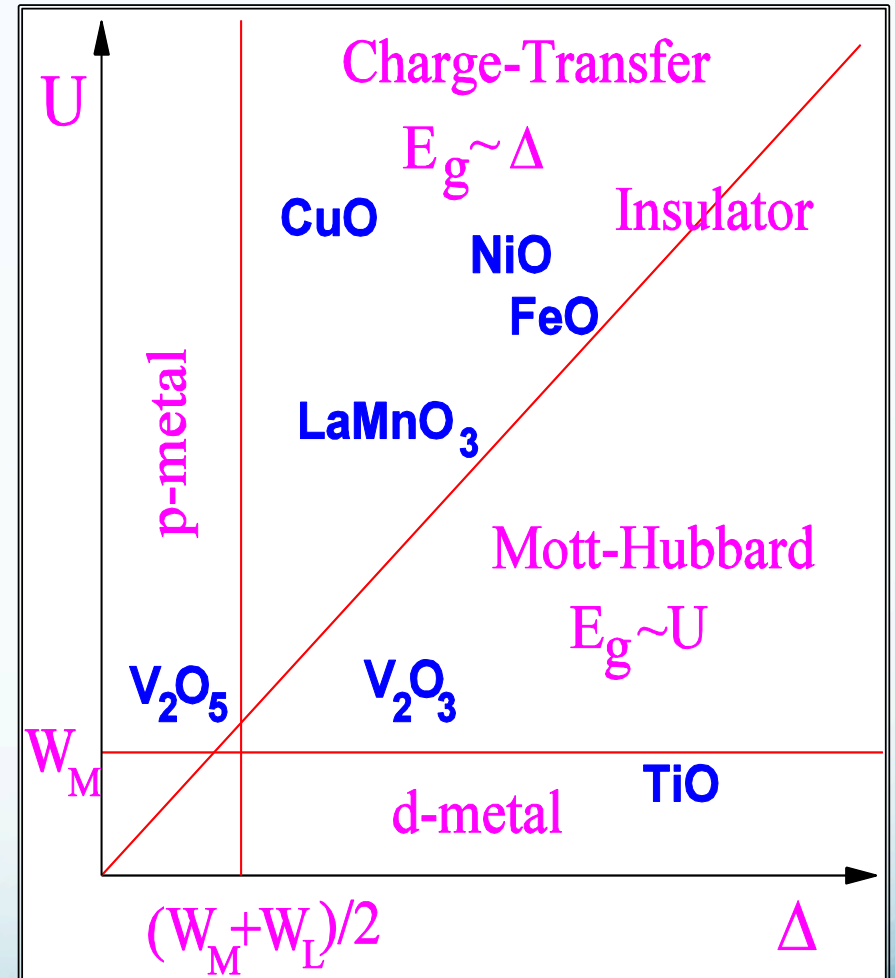
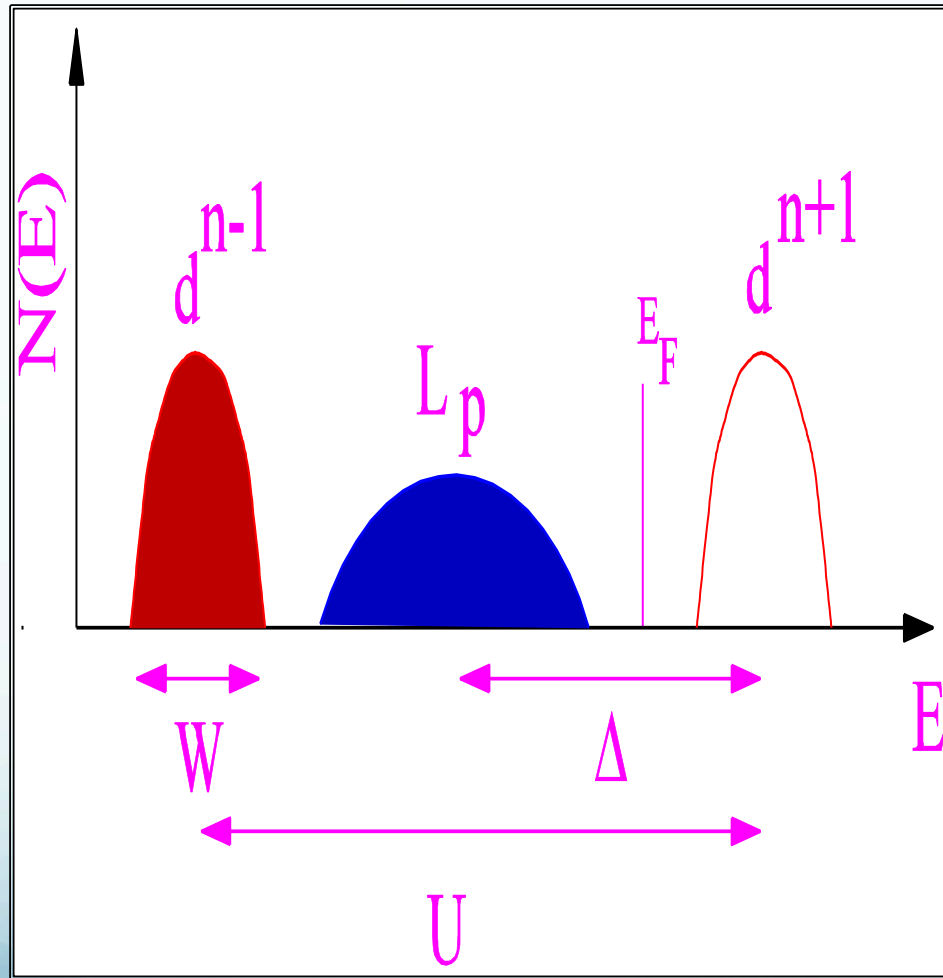
Mn (3+) = 3d<sup>4</sup>



3d-ion in cubic crystal field



# Charge transfer TMO insulators



Zaanen-Sawatzky-Allen (ZSA) phase diagram

Phys. Rev. Lett. 55, 418 (1985)

# LDA+U: static mean-field approximation

LDA+U functional:

$$E = E_{\text{LDA}} + \frac{U}{2} \sum_{ij} n_i n_j - \frac{U}{2} n_d (n_d - 1)$$

One-electron energies:

$$\epsilon_i = \frac{\partial E}{\partial n_i} = \epsilon_{\text{LDA}} + U \left( \frac{1}{2} - n_i \right)$$

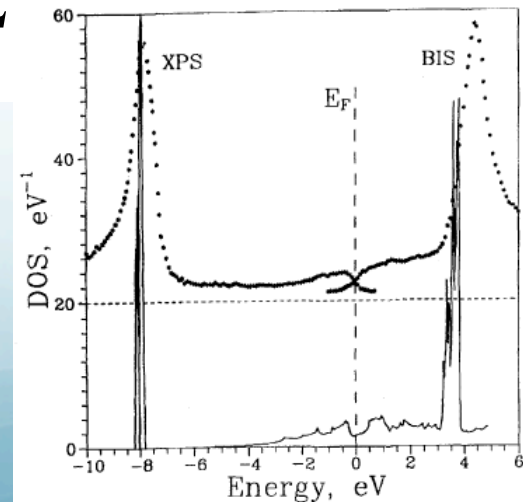
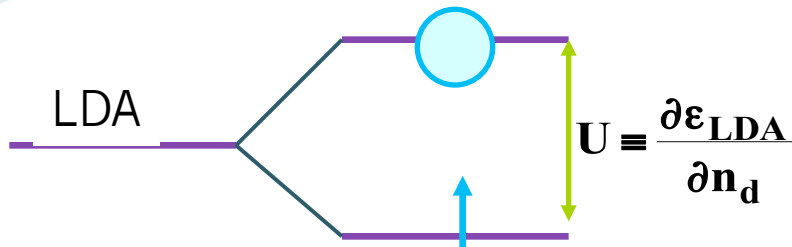
Occupied states:

$$n_i = 1 \Rightarrow \epsilon_i = \epsilon_{\text{LDA}} - \frac{U}{2}$$

Empty states:

$$n_i = 0 \Rightarrow \epsilon_i = \epsilon_{\text{LDA}} + \frac{U}{2}$$

Mott-Hubbard gap



# Rotationally invariant LDA+U

LDA+U functional

$$E^{LSDA+U}[\rho^\sigma(\mathbf{r}), \{n^\sigma\}] = E^{LSDA}[\rho^\sigma(\mathbf{r})] + E^U[\{n^\sigma\}] - E_{dc}[\{n^\sigma\}]$$

Local screened Coulomb correlations (Orbital Polarization!)

$$E^U[\{n^\sigma\}] = \frac{1}{2} \sum_{\{m\}, \sigma} \{ \langle m, m'' | V_{ee} | m', m''' \rangle n_{mm'}^\sigma n_{m''m'''}^{-\sigma} +$$

$$+ (\langle m, m'' | V_{ee} | m', m''' \rangle - \langle m, m'' | V_{ee} | m''', m' \rangle) n_{mm'}^\sigma n_{m''m'''}^\sigma \}$$

LDA-double counting term ( $n^\sigma = \text{Tr}(n_{mm0}^\sigma)$  and  $n = n^- + n^+$ ):

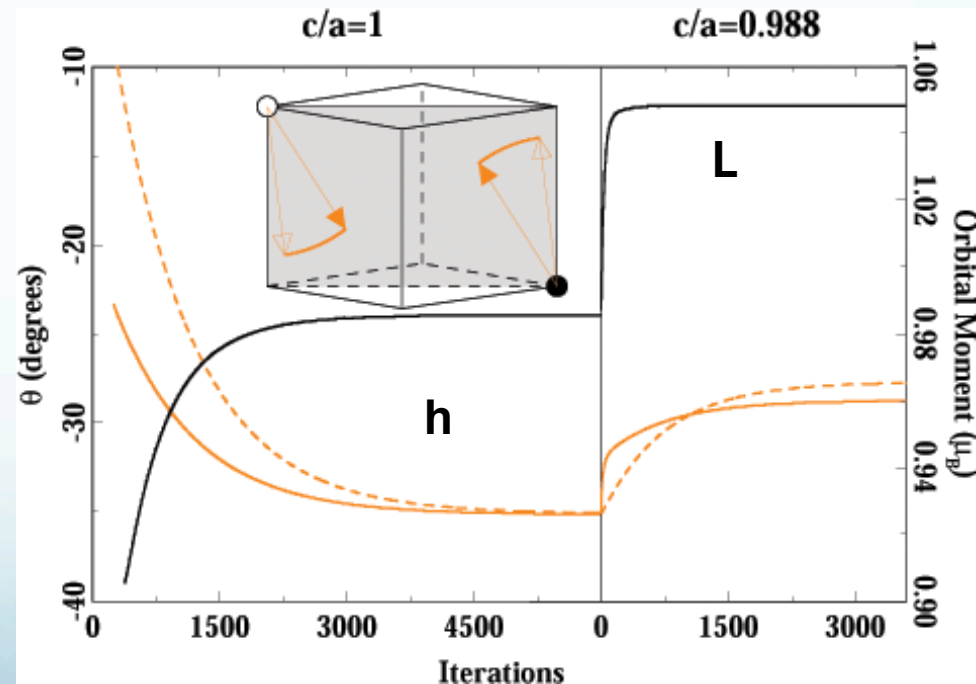
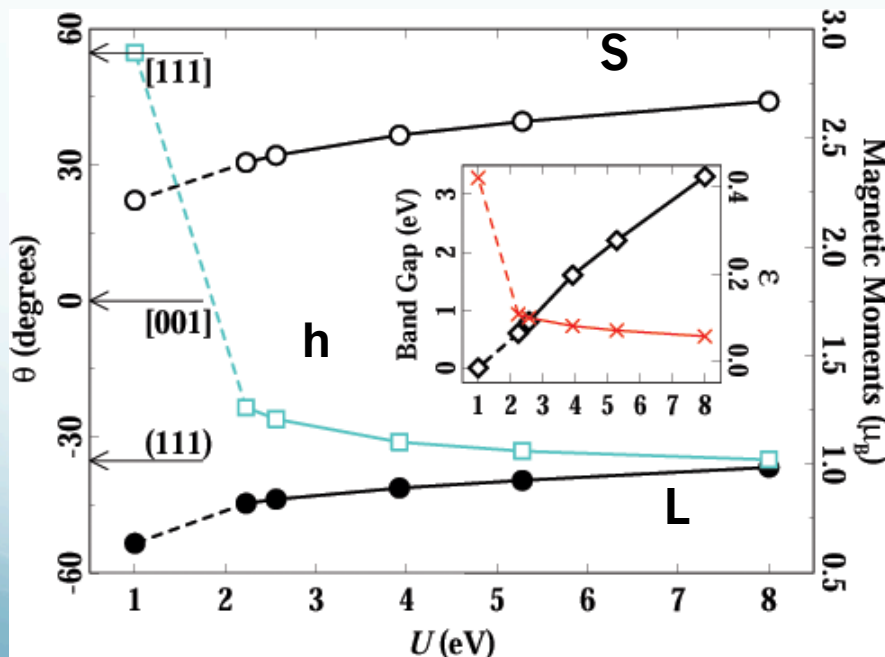
$$E_{dc}[\{n^\sigma\}] = \frac{1}{2} U n(n-1) - \frac{1}{2} J [n^\uparrow (n^\uparrow - 1) + n^\downarrow (n^\downarrow - 1)],$$

Occupation matrix for correlated electrons:

$$n_{mm'}^\sigma = -\frac{1}{\pi} \int^{E_F} \text{Im} G_{ilm,ilm'}^\sigma(E) dE$$

# Spin and Orbital moments in CoO

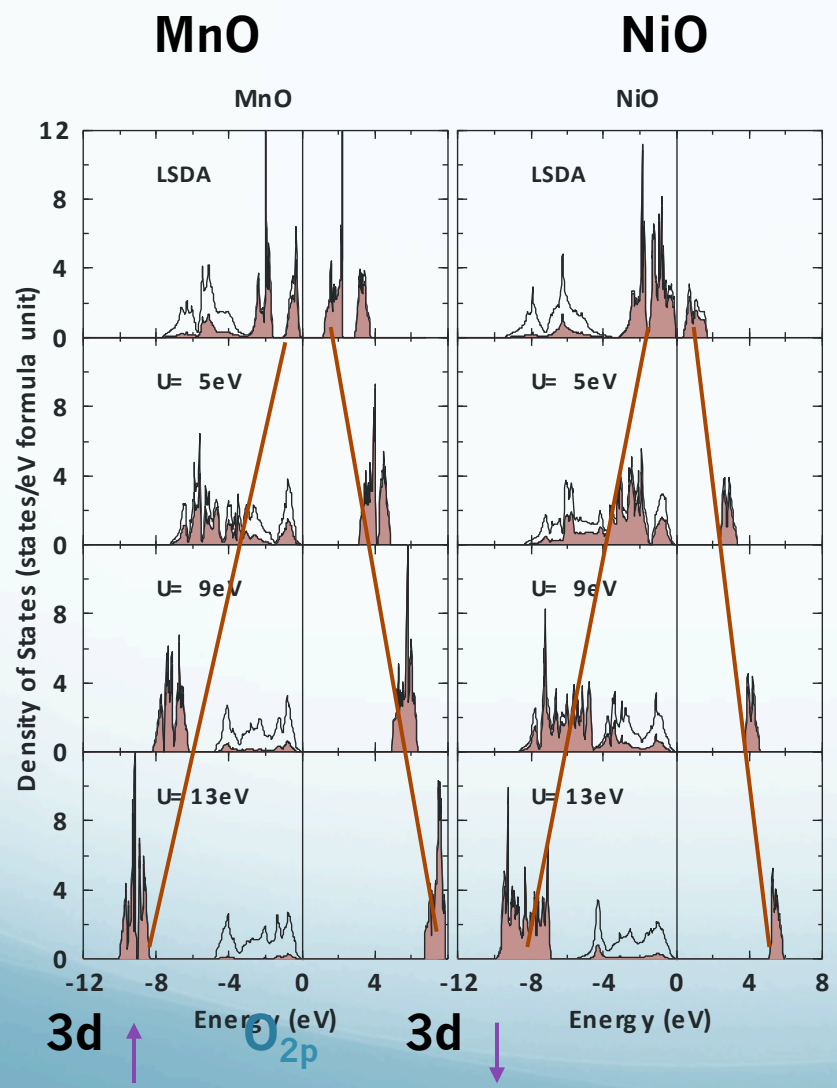
- LDA+U+SO+non-collinear



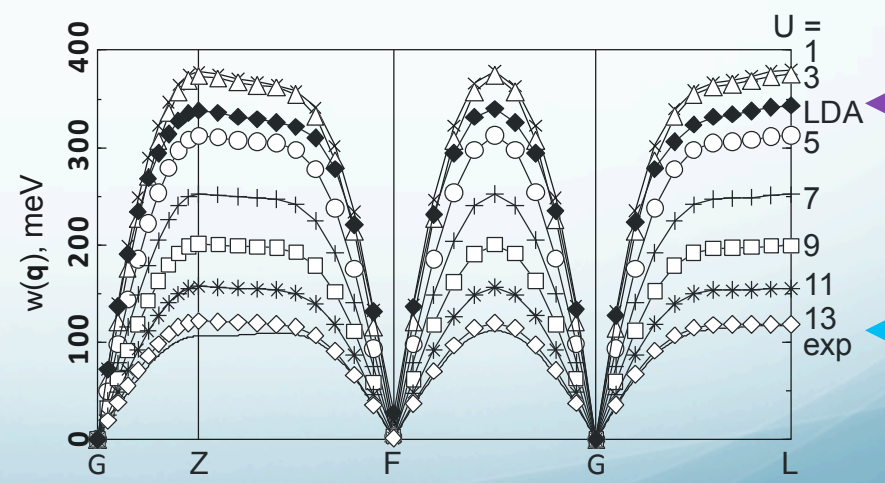


# Electronic structure of TMO: LDA+U

## DOS



## Spin-wave Spectrum NiO I. Solovyev



# Slater: Magnetic Transition State

DFT: Janak theorem

$$\varepsilon_i = \frac{\partial E[n]}{\partial n_i}$$

DFT: Transition State

$$\Delta E = E[n_i = 1] - E[n_i = 0] = \Delta n_i \left. \frac{\partial E[n]}{\partial n_i} \right|_{n_i=1/2} = \varepsilon(n_i = 1/2)$$

Exchange interaction:

$$J = E[AF] - E[F] = \Delta \varepsilon(n_{\uparrow} = n_{\downarrow} = 1/2)$$



# Local Force Theorem: Functionals

$$\Omega^d = \Omega_{sp}^d - \Omega_{dc}^d$$

$$\Omega_{sp}^d = -Tr \left\{ \ln \left[ \Sigma - G_0^{-1} \right] \right\}$$

$$\Omega_{dc}^d = Tr \Sigma G - \Phi$$

$$G^{-1} = G_0^{-1} - \Sigma$$

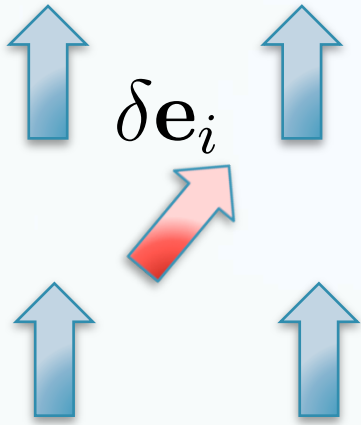
$$\Sigma = \frac{\delta \Phi}{\delta G}$$

$$\delta \Omega = \delta^* \Omega_{sp} + \delta_1 \Omega_{sp} - \delta \Omega_{dc}$$

$$\delta_1 \Omega_{sp} = \delta \Omega_{dc} = Tr G \delta \Sigma$$

$$\delta \Omega = \delta^* \Omega_{sp} = -\delta^* Tr \ln \left[ \Sigma - G_0^{-1} \right]$$

# Magnetic Torque



$$\delta \mathbf{e}_i = \delta \varphi_i \times \mathbf{e}_i$$

$$\Sigma_i = \Sigma_i^c + \Sigma_i^s \boldsymbol{\sigma}$$

$$\Sigma_i^{(c,s)} = \frac{1}{2} (\Sigma_i^\uparrow \pm \Sigma_i^\downarrow)$$

$$\mathbf{G}_{ij} = \mathbf{G}_{ij}^c + \mathbf{G}_{ij}^s \boldsymbol{\sigma}$$

$$\Sigma_i^s = \Sigma_i^s \mathbf{e}_i$$

Magnetic Force Theorem:

$$\delta \Omega = \delta^* \Omega_{sp} = \mathbf{V}_i \delta \varphi_i$$

Magnetic Torque:

$$\mathbf{V}_i = 2Tr_{\omega L} [\Sigma_i^s \times \mathbf{G}_{ii}^s]$$

# Exchange interactions from Functional

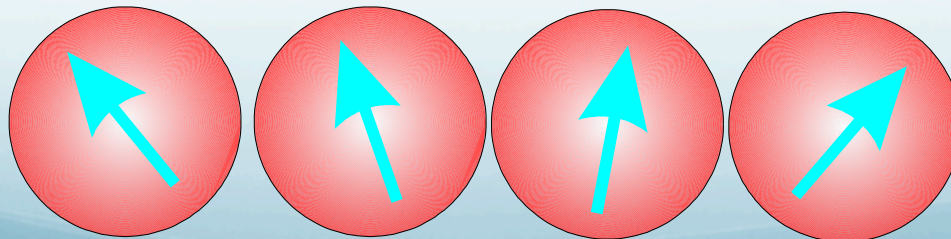
Heisenberg exchange:  $\Omega_{spin} = - \sum_{ij} J_{ij} \mathbf{e}_i \cdot \mathbf{e}_j$

Exchange interactions:  $J_{ij} = -Tr_{\omega L} \left( \Sigma_i^s G_{ij}^{\uparrow} \Sigma_j^s G_{ji}^{\downarrow} \right)$

Spin wave spectrum:

$$\omega_{\mathbf{q}} = \frac{4}{M} \sum_j J_{0j} (1 - \cos \mathbf{q} \mathbf{R}_j) \equiv \frac{4}{M} [J(0) - J(\mathbf{q})]$$

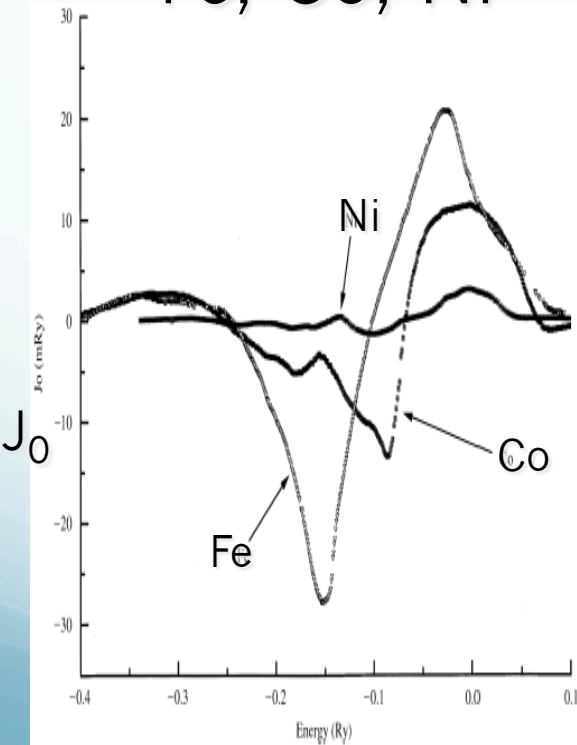
Non-collinear excitation:



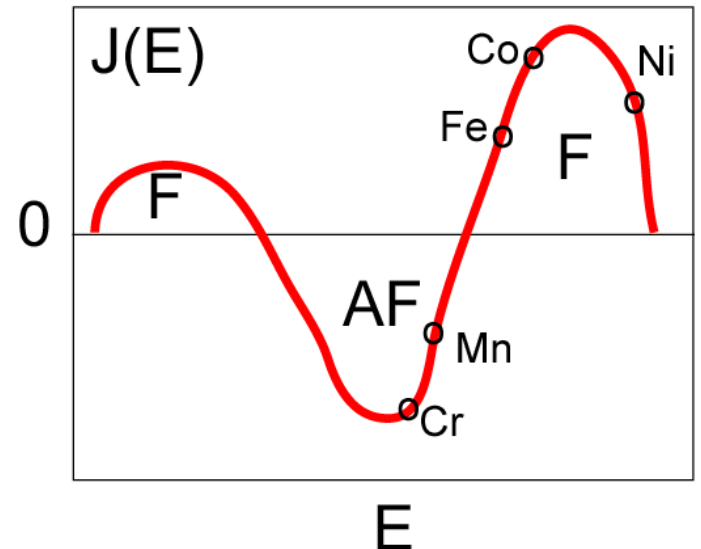
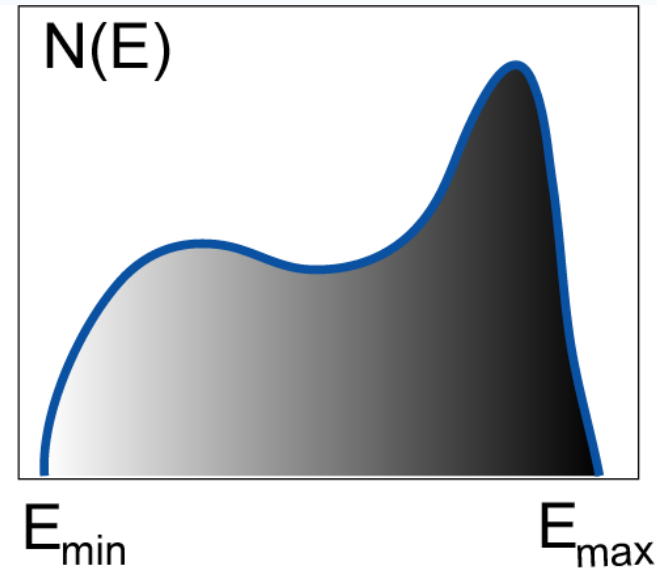
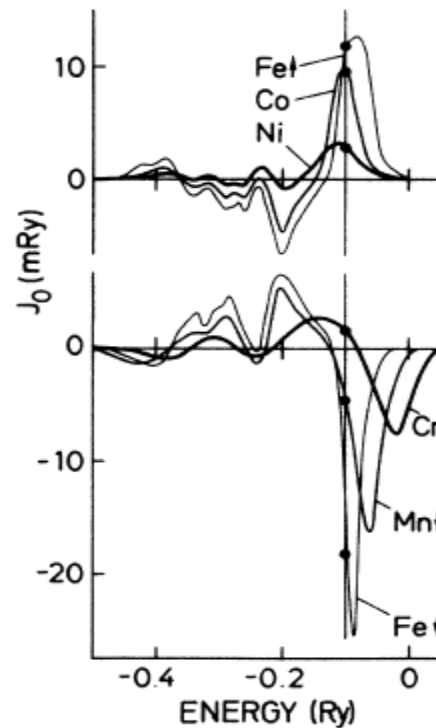
# Exchange interactions and Band structure

$$J_{ij} = \frac{\delta^2 E_{LDA}}{\delta \vec{e}_i \delta \vec{e}_j} = \frac{1}{\pi} \text{Im} \int_{-\infty}^{\epsilon_F} \Delta_i T_{ij}^{\uparrow} \Delta_j T_{ji}^{\downarrow}$$

Fe, Co, Ni



3d in Ni



# LDA+Exchange Interactions

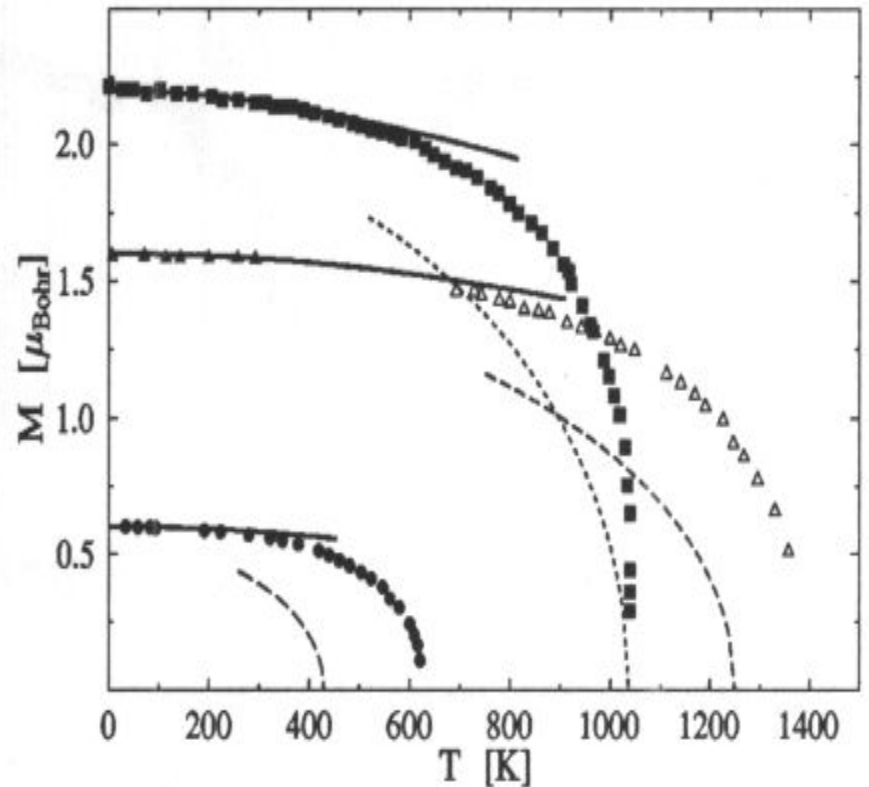
Spin-waves  $T < T_c$

$$J_{ij} = \frac{1}{\pi} \int_{-\infty}^{\varepsilon_F} (V_{\uparrow}^i - V_{\downarrow}^i) G_{\uparrow}^{ij} (V_{\uparrow}^j - V_{\downarrow}^j) G_{\uparrow}^{ji} d\varepsilon$$

**Curie temperature**

$$T_c = \frac{2}{3} \sum_j J_{0j}$$

S. Halilov, et. al.,  
PRB 58, 293 (1998)

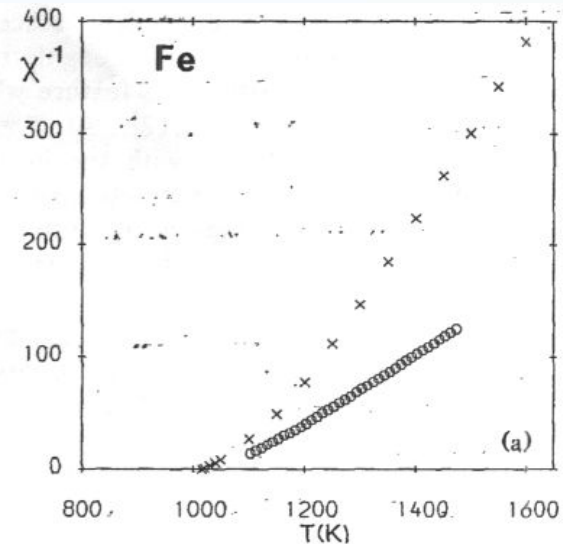


Ni Fe Co

# LDA+Disordered Local Moments

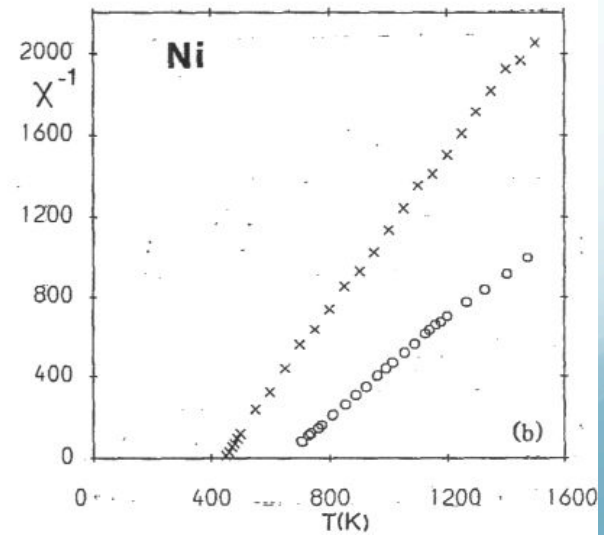
The best first-principle  
Spin-fluctuation model  
with classical moments

J. Staunton and B. Gyorffy  
PRL69, 371 (1992)



DLM

EXP



DLM

EXP



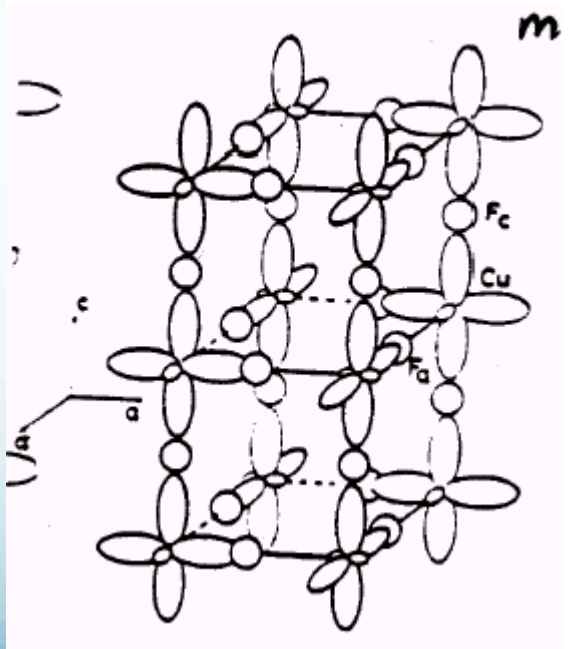
# Orbital order: $\text{KCuF}_3$

In  $\text{KCuF}_3$   $\text{Cu}^{+2}$  ion has  $d^9$  configuration

with a single hole in  $e_g$  doubly degenerate subshell.

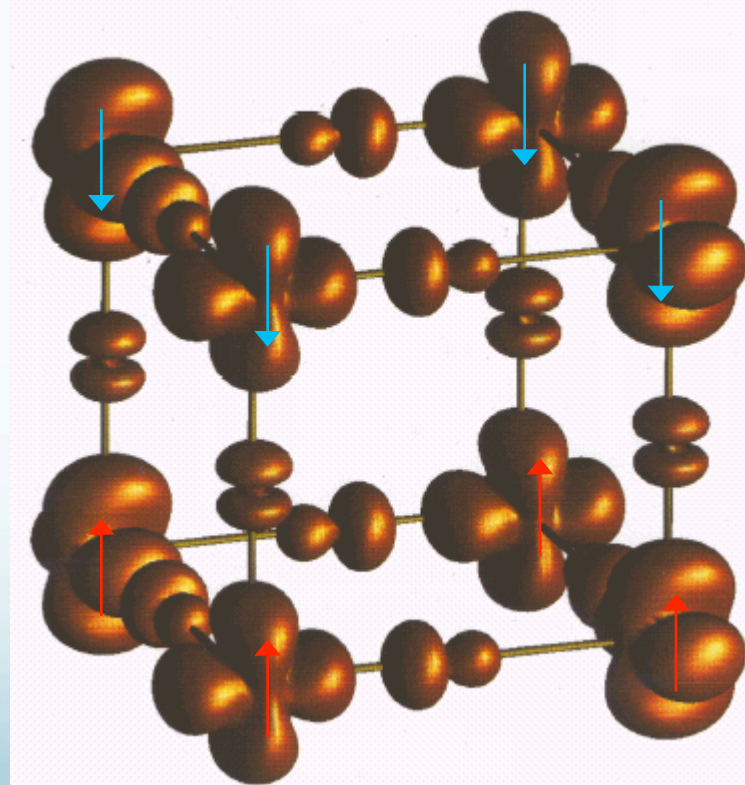
Experimental crystal structure

antiferro-orbital order



LDA+U calculations for undistorted perovskite structure

hole density of the same symmetry



# 1d-AFM in $\text{KCuF}_3$

J(K)	Jc	Jab
Theory	-240	+6
Exp.	-202	+3

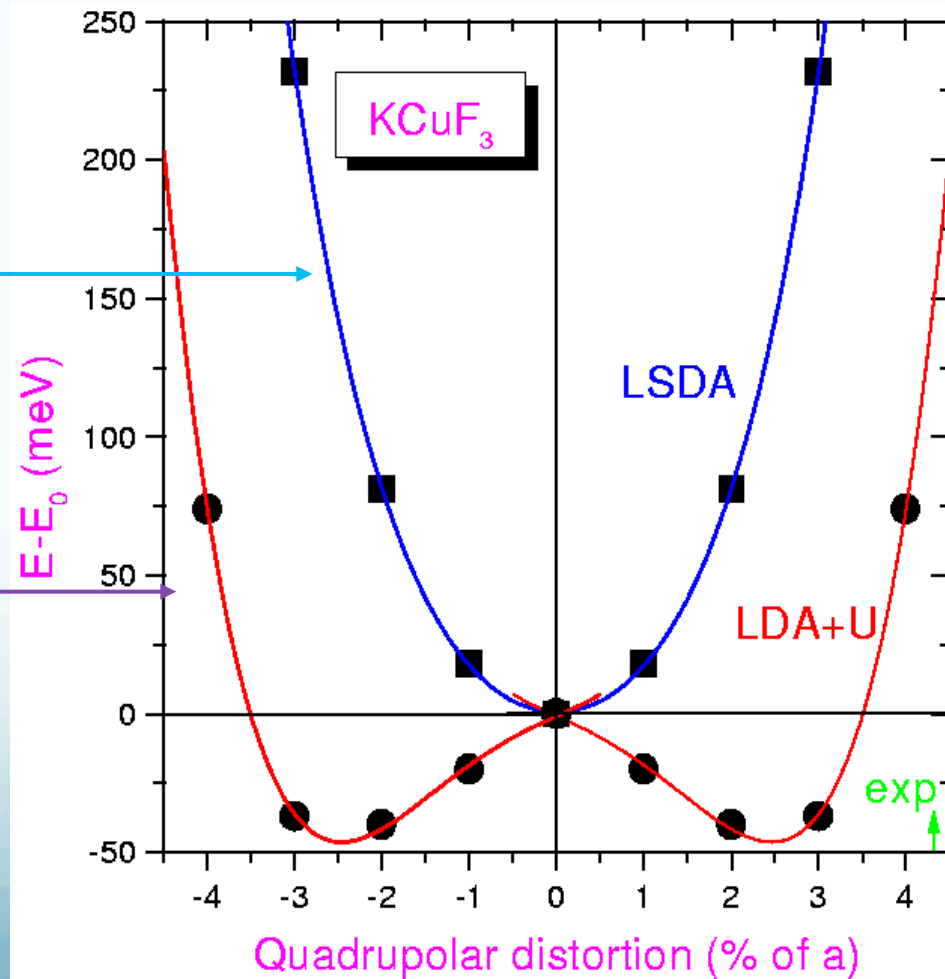
$\text{KCuF}_3$

Quadrupolar distortion in  $\text{KCuF}_3$

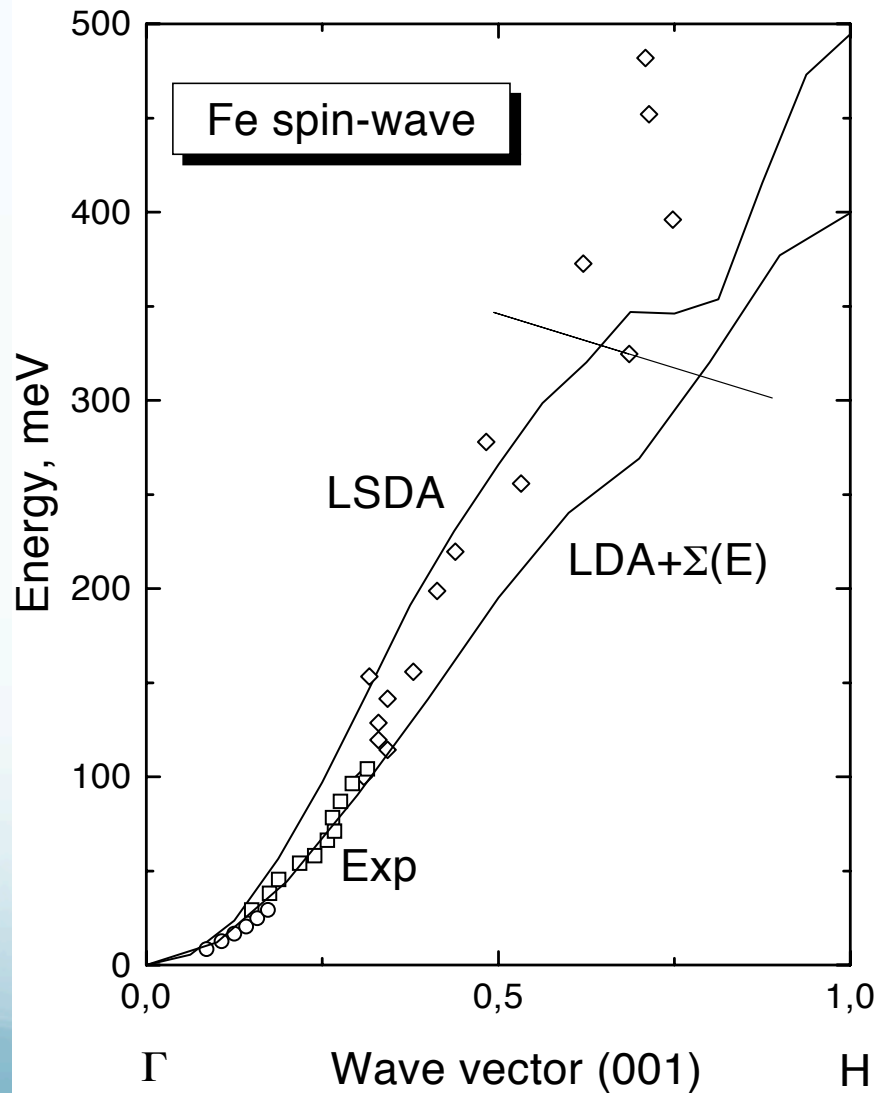
Superexchange interaction

LSDA gave cubic perovskite crystal structure stable in respect to Jahn-Teller distortion of  $\text{CuF}_6$  octahedra

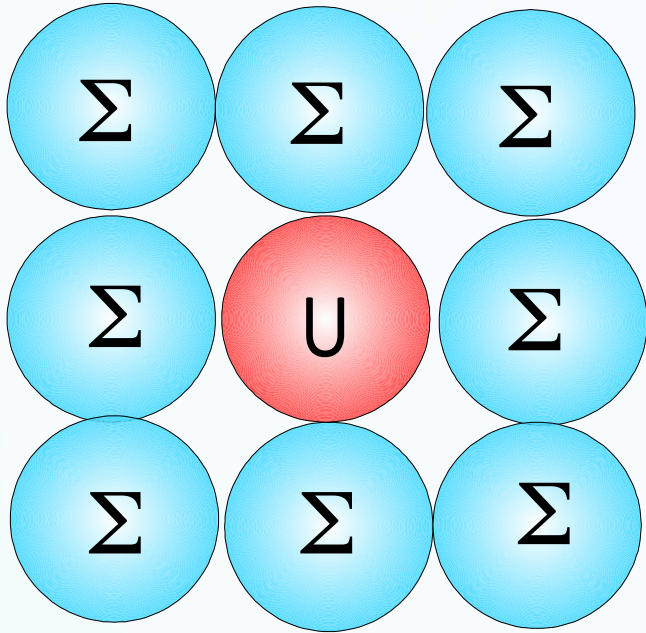
LDA+U produces total energy minimum for distorted structure



# Exchange in Iron: LSDA++

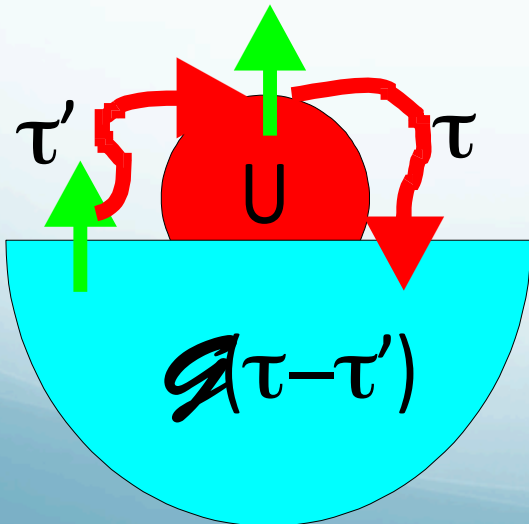


# Quantum Impurity Solver



$$Z = \int \mathcal{D}[c^*, c] e^{-S_{simp}},$$

$$S_{simp} = - \sum_{I, J=0}^N \int_0^\beta d\tau \int_0^\beta d\tau' c_{I\sigma}^*(\tau) [\mathcal{G}_\sigma^{-1}(\tau - \tau')]_{IJ} c_{J\sigma}(\tau') \\ + \sum_{I=1}^N \int_0^\beta d\tau U n_{I,\uparrow}(\tau) n_{I,\downarrow}(\tau),$$



What is a best scheme?  
Quantum Monte Carlo !

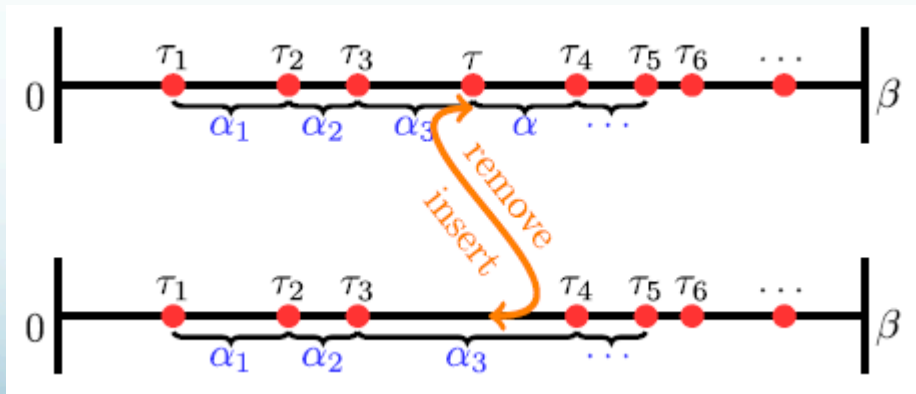
# Continuous Time Quantum Monte Carlo

Partition function:  $H = H_0 + V$

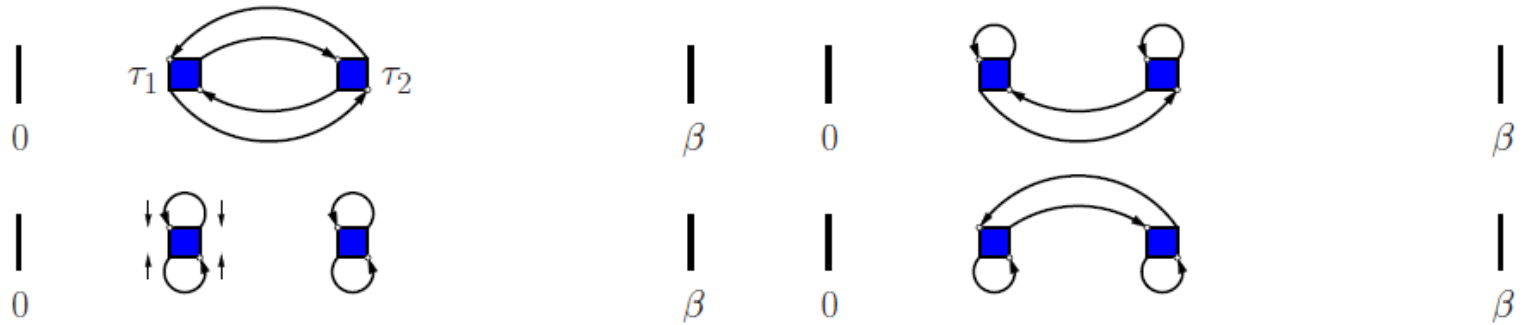
$$Z = \text{Tr} \left[ e^{-\beta H_0} \mathbf{T}_\tau e^{-\int_0^\beta d\tau V(\tau)} \right]$$

Continuous Time Quantum Monte Carlo (CT-QMC)

$$Z = \sum_{k=0}^{\infty} \int_0^\beta d\tau_1 \int_{\tau_1}^\beta d\tau_2 \dots \int_{\tau_{k-1}}^\beta d\tau_k \text{Tr} \left[ e^{-\beta H_0} e^{-\tau_k H_0} (-V) \dots e^{-(\tau_2 - \tau_1) H_0} (-V) e^{-\tau_1 H_0} \right]$$



# Weak coupling QMC: CT-INT

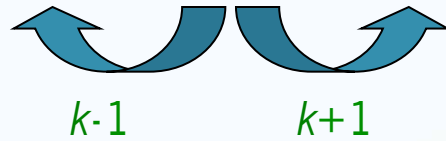


$$\begin{aligned}
 \mathcal{Z}_{\text{imp}} &= \int e^{-S_0[c^*, c] + U \int_0^\beta n_\uparrow(\tau) n_\downarrow(\tau)} \mathcal{D}[c^*, c] \\
 &= \int e^{-S_0[c^*, c]} \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} U^k \int_0^\beta d\tau_1 \dots \int_0^\beta d\tau_k c_\uparrow^*(\tau_1) c_\uparrow(\tau_1) \\
 &\quad \times c_\downarrow^*(\tau_1) c_\downarrow(\tau_1) \dots c_\uparrow^*(\tau_k) c_\uparrow(\tau_k) c_\downarrow^*(\tau_k) c_\downarrow(\tau_k) \mathcal{D}[c^*, c] \\
 &= \sum_{k=0}^{\infty} \frac{(-1)^k}{k!} U^k \int d\tau_1 \dots \int d\tau_k \text{sgn}(\det \hat{G}) \underbrace{|\det \hat{G}|}_{P(k)}
 \end{aligned}$$

$$\hat{G}_{ij} = g_0(\tau_i - \tau_j)$$

# Random walks in the $k$ -space

$$Z = \dots Z_{k-1} + Z_k + Z_{k+1} + \dots$$



*Acceptance ratio*

decrease

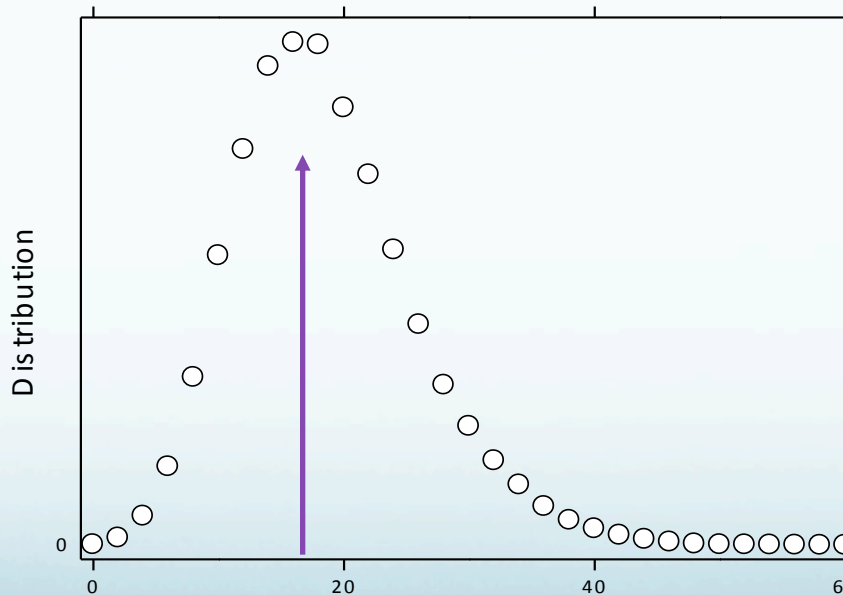
Step  $k-1$

$$\frac{k}{|w|} \frac{D^{k-1}}{D^k}$$

increase

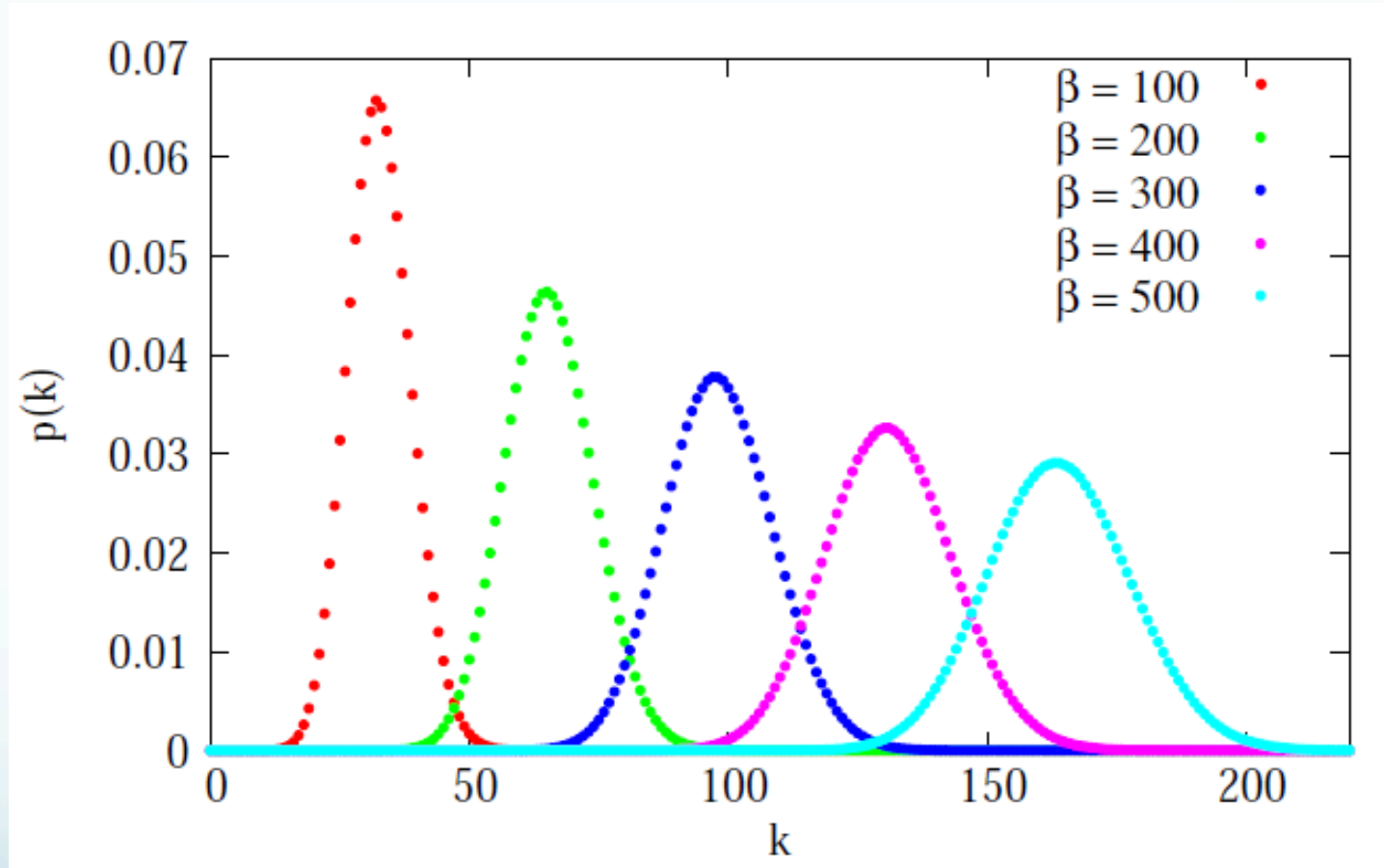
Step  $k+1$

$$\frac{|w|}{k+1} \frac{D^{k+1}}{D^k}$$



Maximum at  $\beta UN^2$

# Convergence with Temperature: CT-INT



Maximum:  $\beta UN^2$



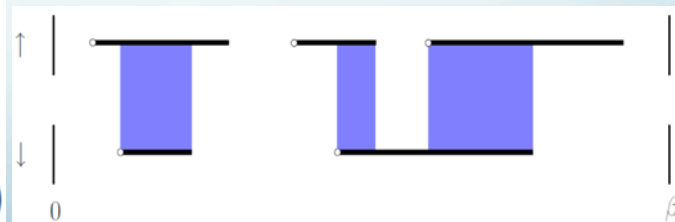
# Strong-Coupling Expansion CT-HYB

$$S_{\text{at}} = \int_0^\beta d\tau \sum_{\sigma} c_{\sigma}^*(\tau) [\partial_{\tau} - \mu] c_{\sigma}(\tau) + U \int_0^\beta d\tau c_{\uparrow}^*(\tau) c_{\uparrow}(\tau) c_{\downarrow}^*(\tau) c_{\downarrow}(\tau)$$

$$S_{\Delta} = - \int_0^\beta d\tau' \int_0^\beta d\tau \sum_{\sigma} c_{\sigma}(\tau) \Delta(\tau - \tau') c_{\sigma}^*(\tau')$$

$$\mathcal{Z} = \int \mathcal{D}[c^*, c] e^{-S_{\text{at}}} \sum_k \frac{1}{k!} \int_0^\beta d\tau'_1 \int_0^\beta d\tau_1 \dots \int_0^\beta d\tau'_k \int_0^\beta d\tau_k \times \\ \times c(\tau_k) c^*(\tau'_k) \dots c(\tau_1) c^*(\tau'_1) \Delta(\tau_1 - \tau'_1) \dots \Delta(\tau_k - \tau'_k)$$

$$\mathcal{Z} = \mathcal{Z}_{\text{at}} \sum_k \int_0^\beta d\tau'_1 \int_{\tau'_1}^\beta d\tau_1 \dots \int_{\tau_{k-1}}^\beta d\tau'_k \int_{\tau'_k}^{\tau_k} d\tau_k \times \\ \times \langle c(\tau_k) c^*(\tau'_k) \dots c(\tau_1) c^*(\tau'_1) \rangle_{\text{at}} \det \hat{\Delta}^{(k)}$$



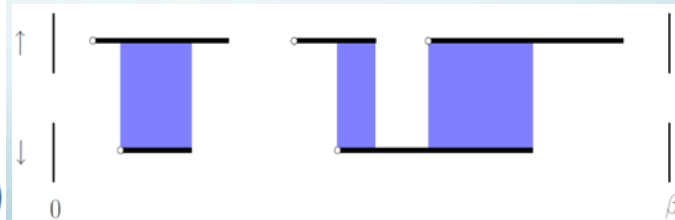
# Strong-Coupling Expansion CT-HYB

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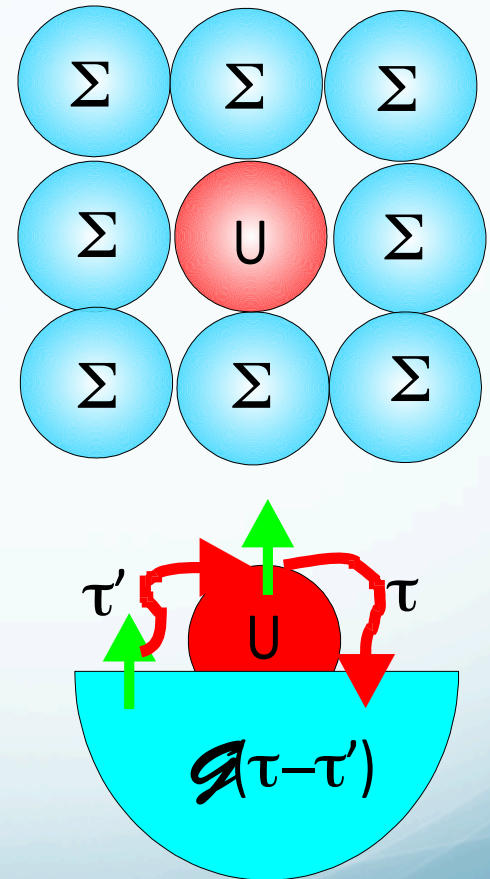
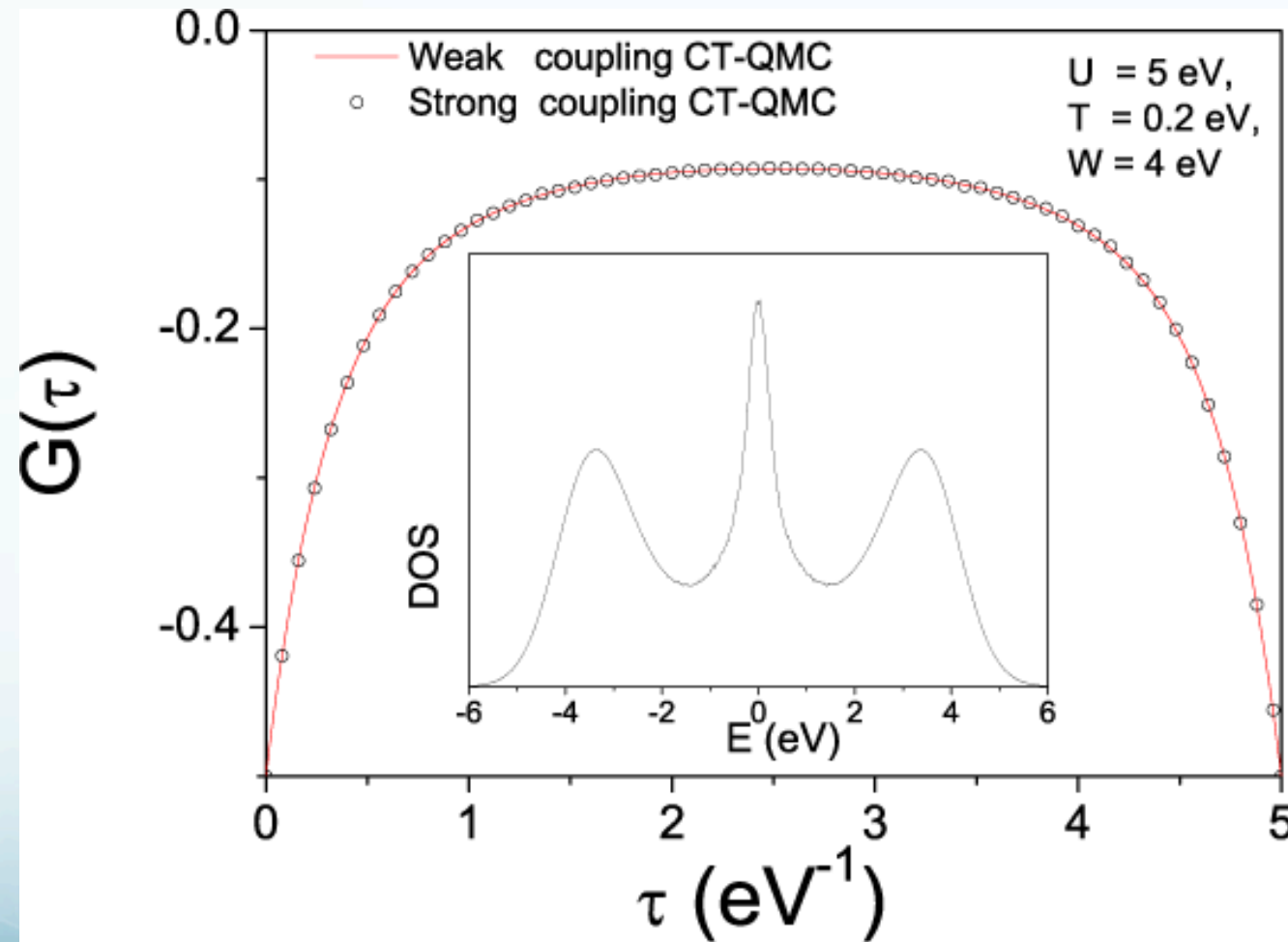
$$S_{\Delta} = - \int_0^\beta d\tau' \int_0^\beta d\tau \sum_{\sigma} c_{\sigma}(\tau) \Delta(\tau - \tau') c_{\sigma}^*(\tau')$$

$$\mathcal{Z} = \int \mathcal{D}[c^*, c] e^{-S_{\text{at}}} \sum_k \frac{1}{k!} \int_0^\beta d\tau'_1 \int_0^\beta d\tau_1 \dots \int_0^\beta d\tau'_k \int_0^\beta d\tau_k \times \\ \times c(\tau_k) c^*(\tau'_k) \dots c(\tau_1) c^*(\tau'_1) \Delta(\tau_1 - \tau'_1) \dots \Delta(\tau_k - \tau'_k)$$

$$\mathcal{Z} = \mathcal{Z}_{\text{at}} \sum_k \int_0^\beta d\tau'_1 \int_{\tau'_1}^\beta d\tau_1 \dots \int_{\tau_{k-1}}^\beta d\tau'_k \int_{\tau'_k}^{\tau_k} d\tau_k \times \\ \times \langle c(\tau_k) c^*(\tau'_k) \dots c(\tau_1) c^*(\tau'_1) \rangle_{\text{at}} \det \hat{\Delta}^{(k)}$$

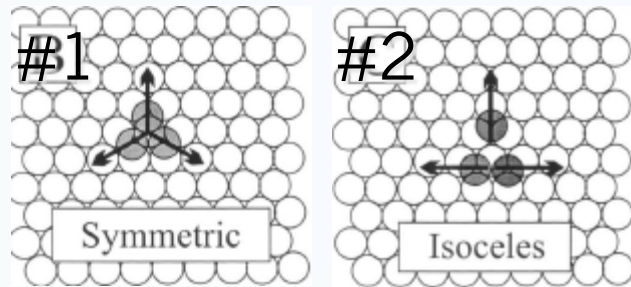


# Comparison of different CT-QMC



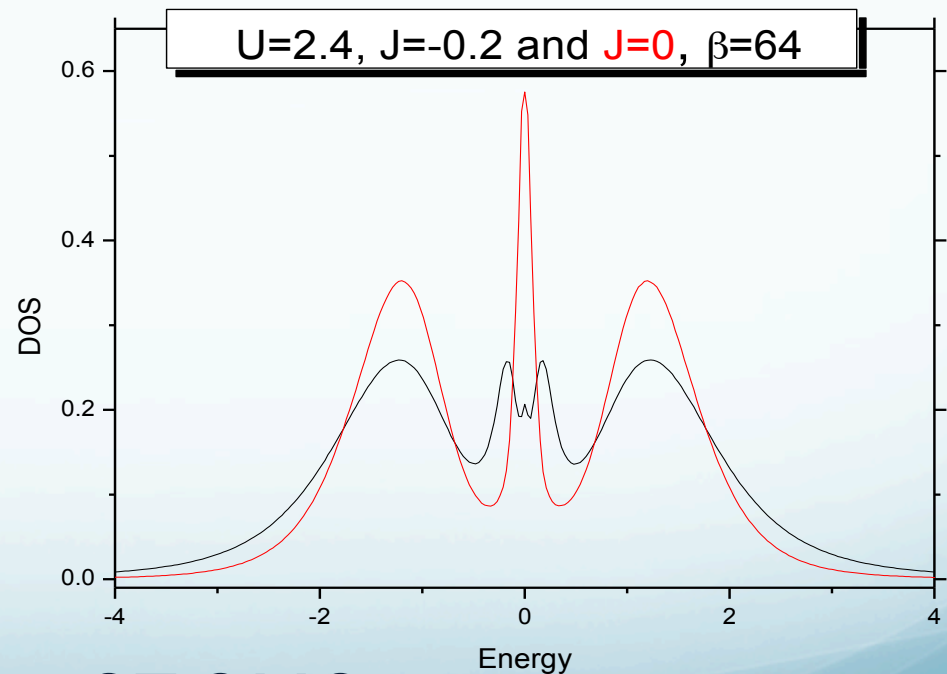
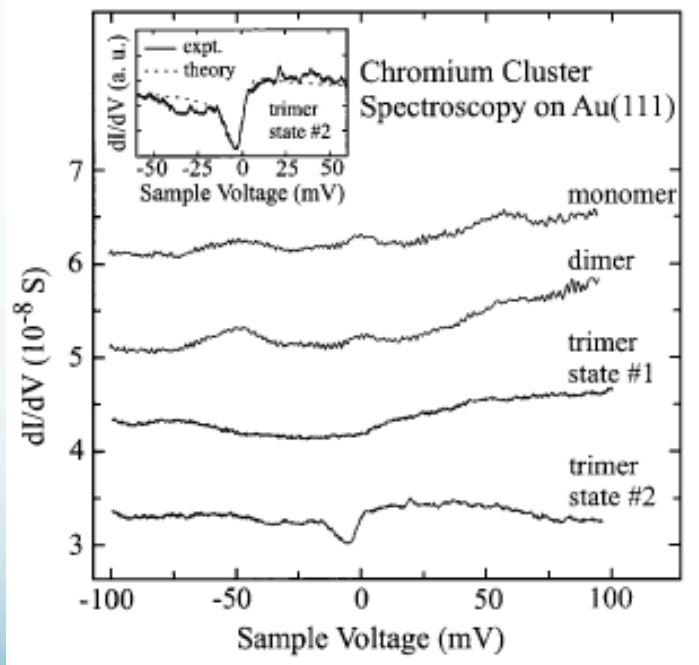
Ch. Jung, unpublished

# Magnetism vs. Kondo resonance



Three impurity atoms with Hubbard repulsion and exchange interaction

$$U n_{i\uparrow} n_{i\downarrow} + J_{ij} \vec{S}_i \vec{S}_j$$



M. Crommie, PRL(2001)

CT-QMC: single vs. trimer

V. Savkin, et al, PRL 94, 026402 (2005)

# Equilateral and Isosceles Trimers

Density of states at geometry modification of the trimer

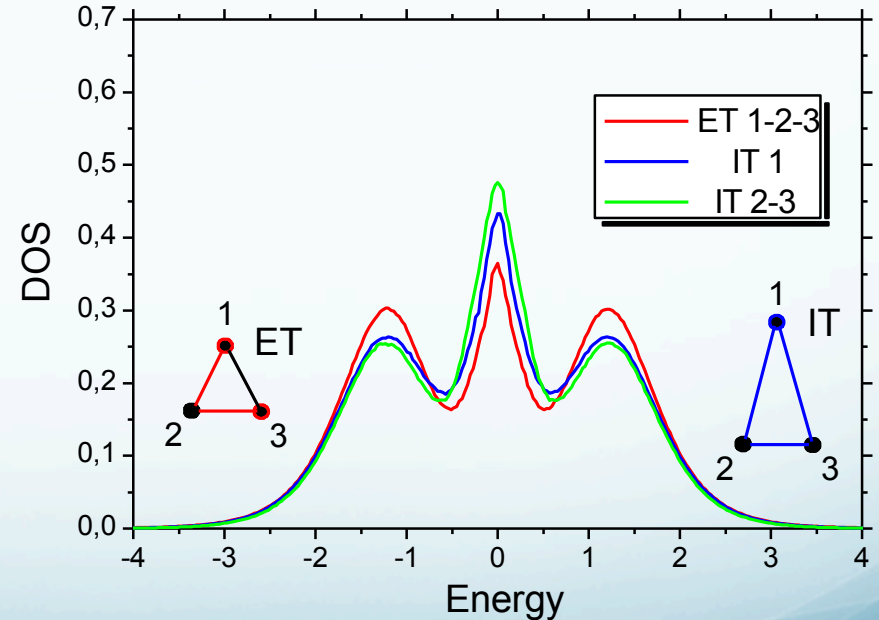
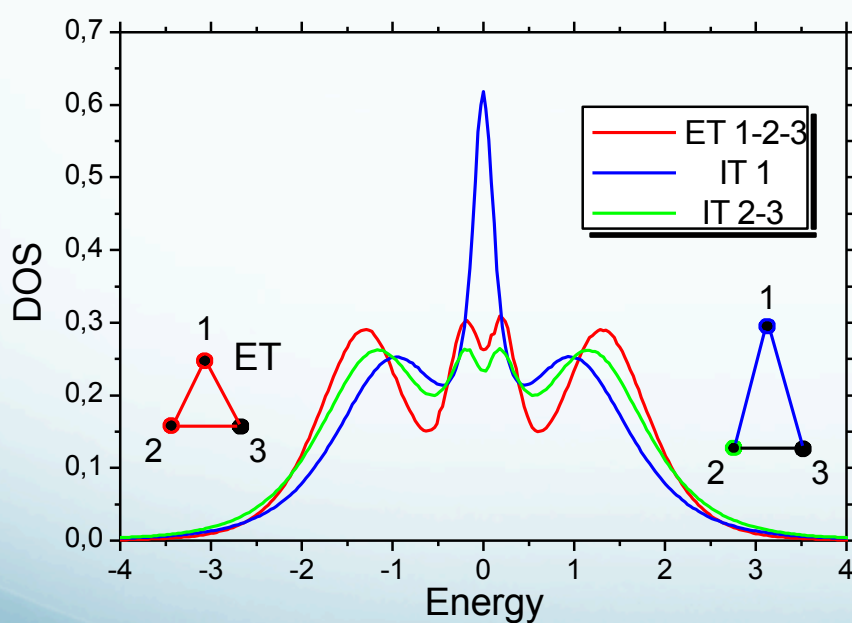
Equilateral (ET) and isosceles (IT) trimers

**AFM**

$$J_{23}=J, J_{12}=J_{13}=J/3$$

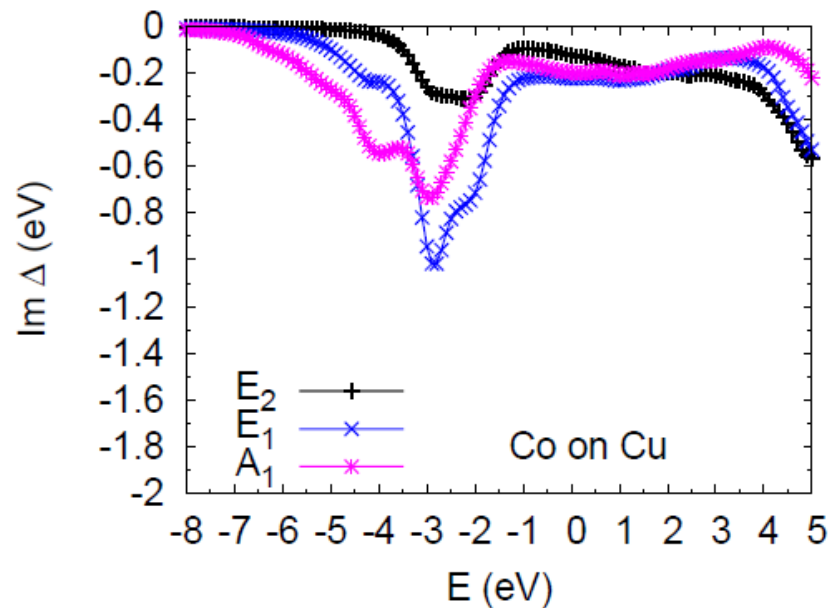
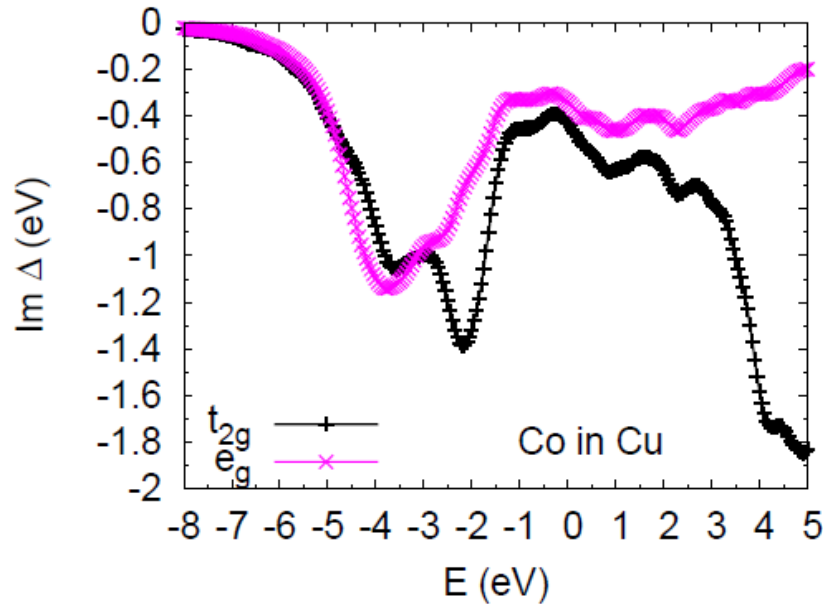
**FM**

V. Savkin et al, PRL **94**, 026402 (2005)



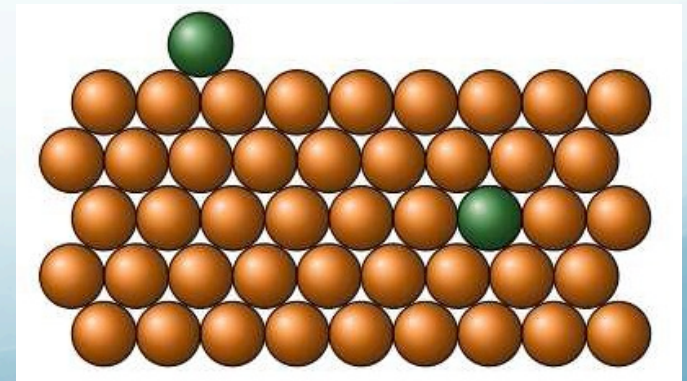
One can see a reconstruction of the Kondo resonance for isosceles trimer at antiferromagnetic exchange interaction

# Hybridization function Co on/in Cu(111)

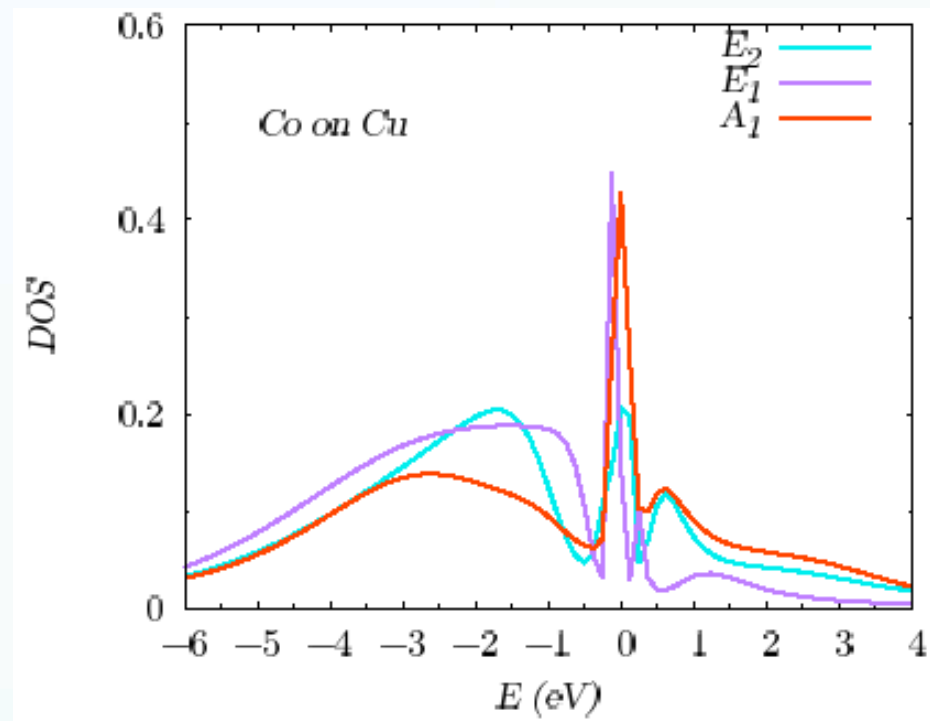
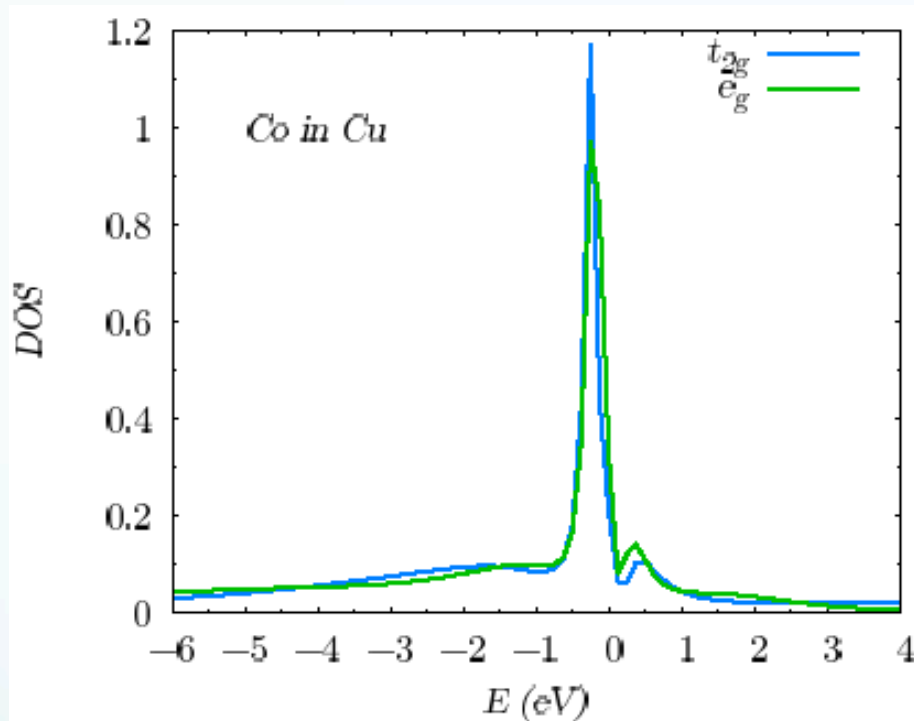


- Hybridization of Co in bulk twice stronger than on surface
- Hybridization in energy range of Cu-d orbitals more anisotropic on surface
- Co-d occupancy:  $n= 7-8$

B. Surer, et al PRB (2012)



# Orbitally resolved Co DOS from QMC



Orbitally resolved DOS of the Co impurities in bulk Cu and on Co (111) obtained from QMC simulations at temperature.  $T = 0.025$  eV and chemical potential  $\mu = 27$  eV and  $\mu = 28$  eV, respectively.

All Co  $d$ -orbitals contribute to LDOS peak near  $E_F=0$

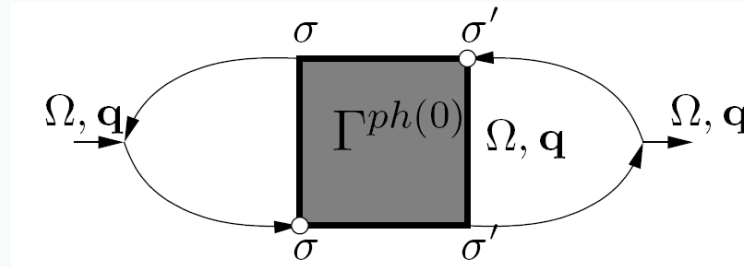
# Magnetic susceptibility: nanosystems

Bethe-Salpeter Equation:

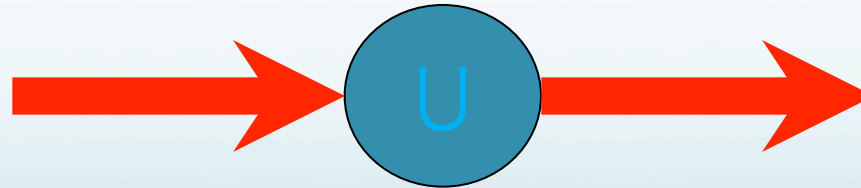
$$\Gamma^{\text{ph}0}(\mathbf{q}) = \gamma + \gamma \Gamma^{\text{ph}0}(\mathbf{q})$$

Susceptibility:

$$\tilde{\chi}^{\sigma\sigma'}(\Omega, \mathbf{q})$$



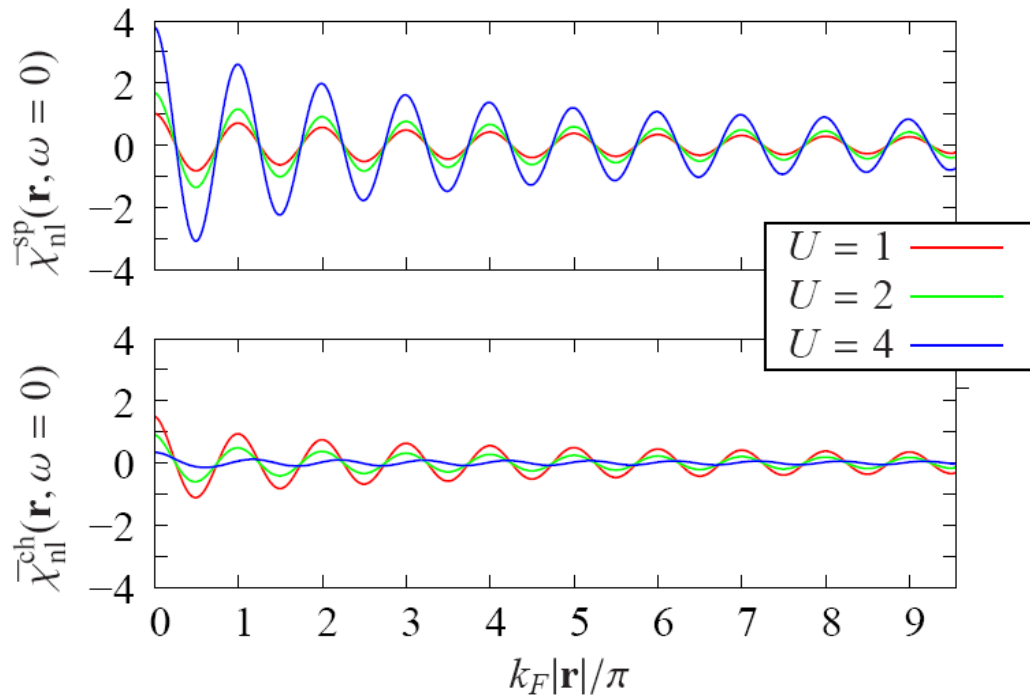
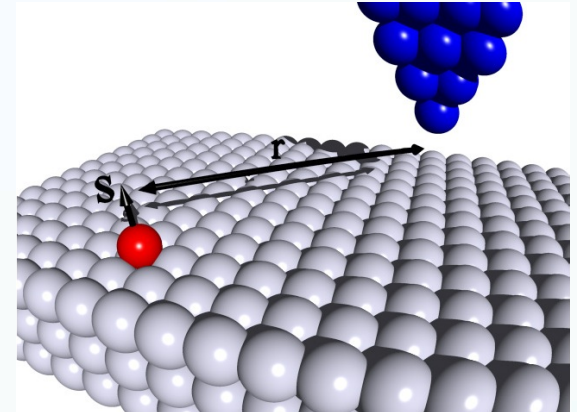
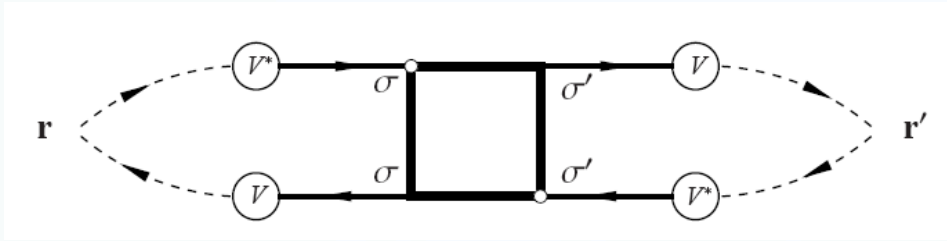
Local correlated nano-system:



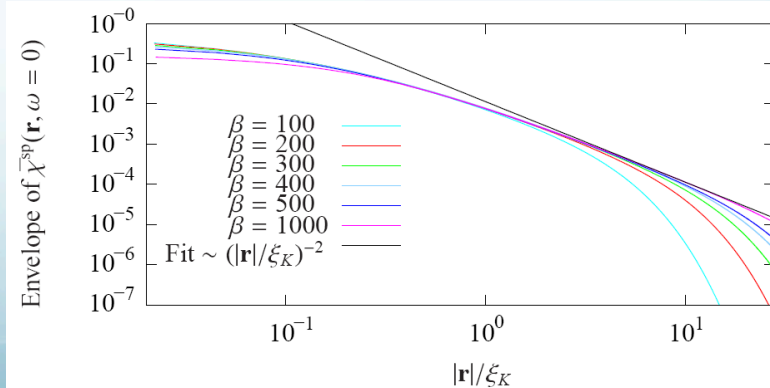
$$\chi_{\nu,\nu'}^{-1}(\vec{q}, \omega) = \chi_{0,\nu,\nu'}^{-1}(\vec{q}, \omega) - \gamma_{\nu,\nu'}(\omega)$$



# Spin and Charge susceptibility near impurity

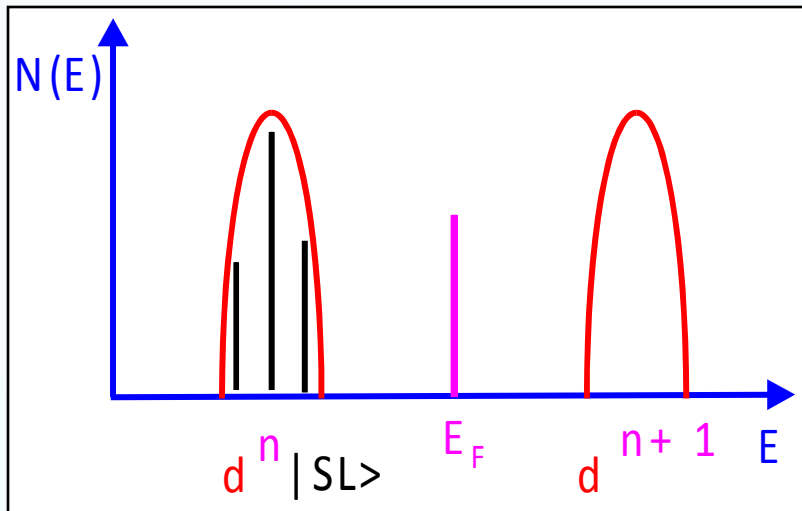


K. Patton, H. Hafermann, et al  
PRB (2009)

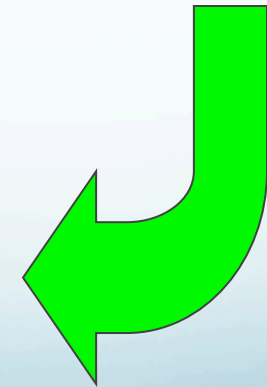
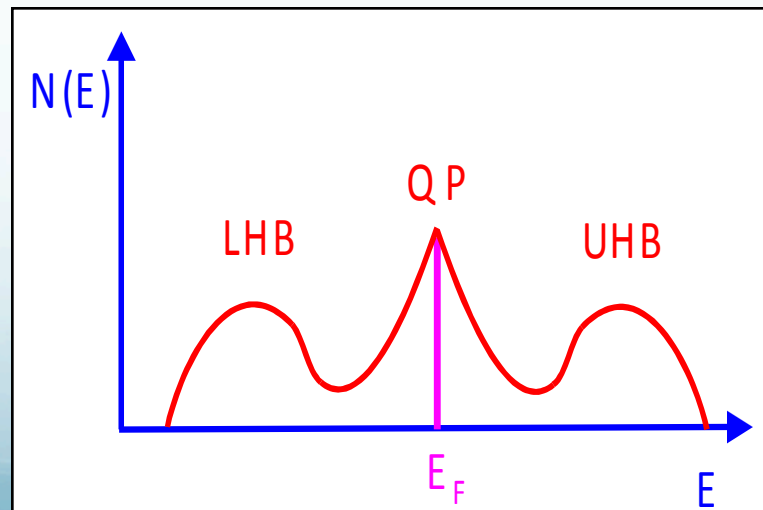
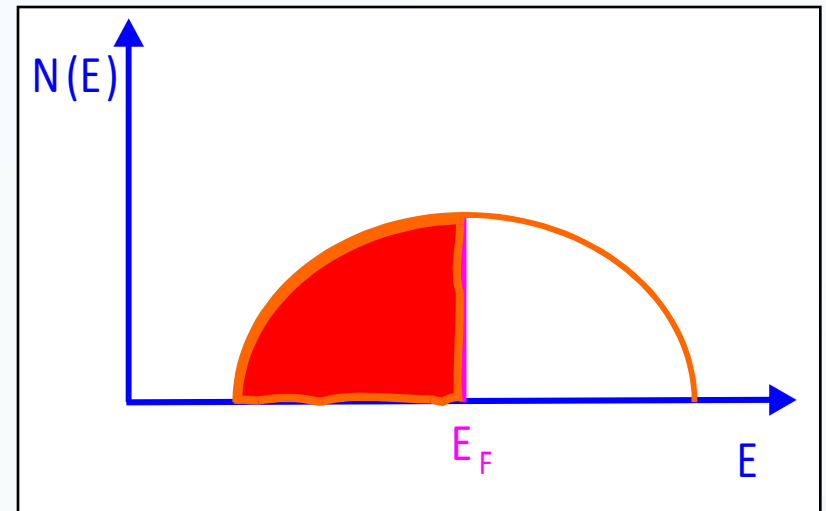


# From Atom to Solid

Atomic physics



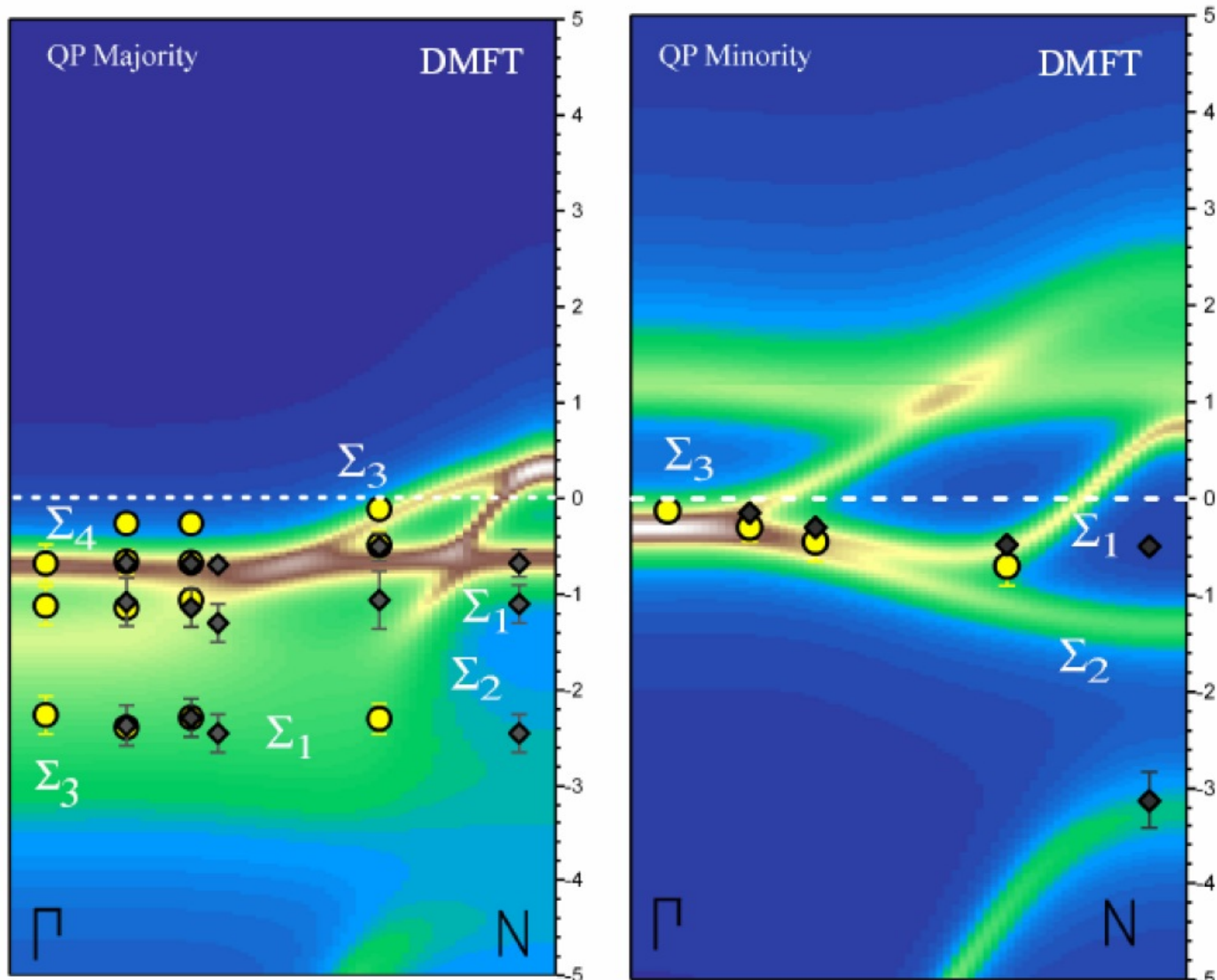
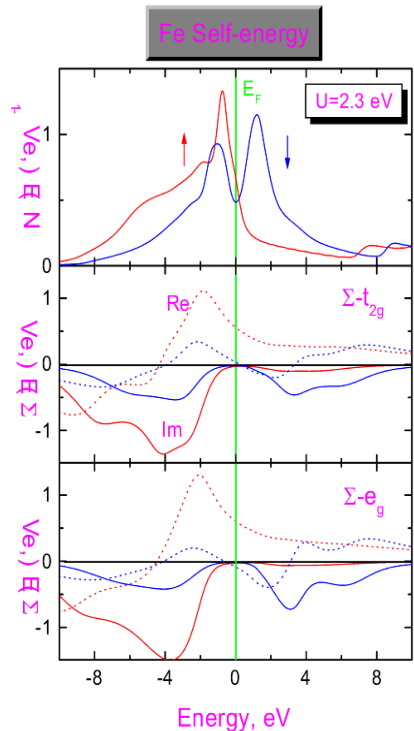
Bands effects (LDA)



LDA+DMFT

# Spectral Function Fe: ARPES vs. DMFT

- Vertical Pol.
- ◆ Horizontal Pol.



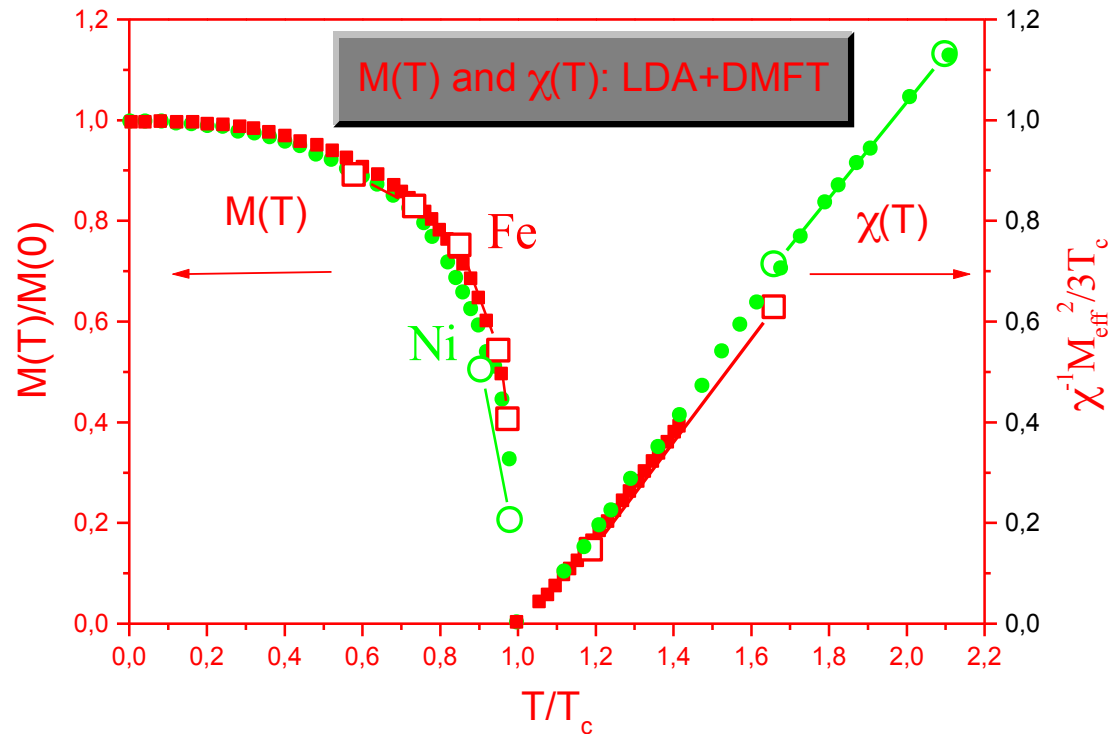
SP-ARPES (BESY)  
*J. Sánchez-Barriga,  
et al, PRL (2010)*

# Magnetism of metals: LDA+DMFT

- Exchange interactions in metals
- Finite temperature 3d-metal magnetism

$$[S_{mm'}^{\sigma\sigma'}] \rightarrow [-S_{mm'}^{-\sigma-\sigma'}]$$

Global spin flip



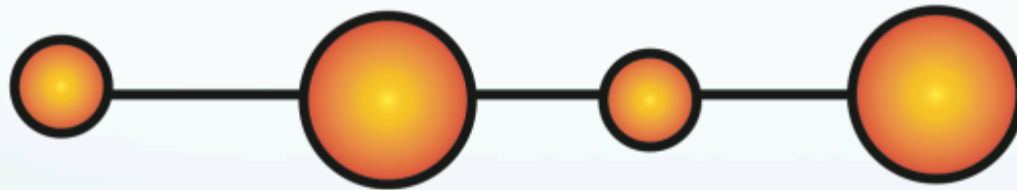
# Interaction of electrons with collective excitations



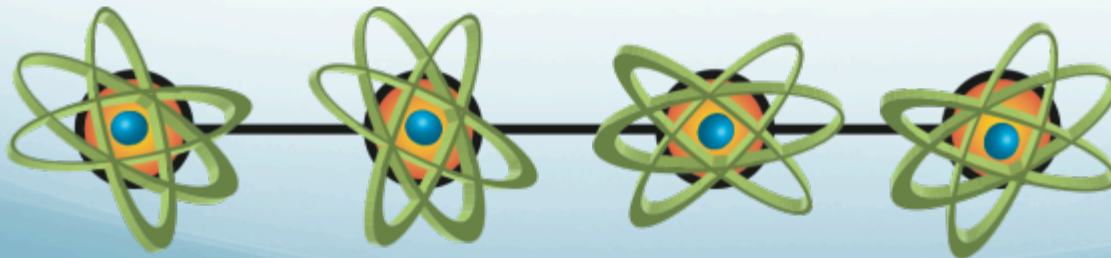
Magnon



Plasmon



Orbiton



# Non-local Coulomb interactions

General non-local action for solids:

$$S = \sum_i S_{at}[c_i^\dagger, c_i] + \sum_{i \neq j, \nu, \sigma} t_{ij} c_{i\nu\sigma}^\dagger c_{j\nu\sigma} + \sum_{i \neq j, \omega} V_{ij} \rho_{i\omega}^* \rho_{j\omega}$$

Atomic action with local Hubbard-like interaction

$$S_{at} = - \sum_{\nu\sigma} (i\nu + \mu) c_{\nu\sigma}^\dagger c_{\nu\sigma} + \int_0^\beta U c_{\uparrow}^\dagger c_{\uparrow} c_{\downarrow}^\dagger c_{\downarrow} d\tau$$

Bosonic charge and spin variables:

$$\rho_j \equiv \sum_{\sigma\sigma'} c_{\sigma}^\dagger s_{\sigma\sigma'}^j c_{\sigma'} - \bar{\rho}_j$$

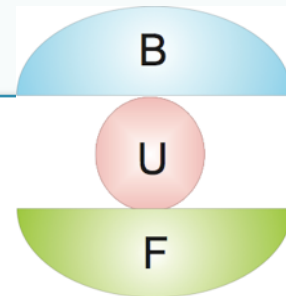
$$s^j = (1, \sigma_x, \sigma_y, \sigma_z) \\ j = \{0, x, y, z\}$$

# Efficient DB-perturbation theory

Separate local and non-local effective actions:

$$S = \sum_i S_{imp}[c_i^\dagger, c_i] + \sum_{k\nu\sigma} (t_k - \Delta_{\nu\sigma}) c_{k\nu\sigma}^\dagger c_{k\nu\sigma} + \sum_{q\omega} (V_q - \Lambda_\omega) \rho_{q\omega}^* \rho_{q\omega}$$

Impurity action with fermionic and bosonic baths (CT-QMC)



$$S_{imp} = S_{at} + \sum_{\nu} \Delta_{\nu} c_{\nu}^{\dagger} c_{\nu} + \sum_{\omega} \Lambda_{\omega} \rho_{\omega}^* \rho_{\omega}$$

Dual boson-fermion transformation:

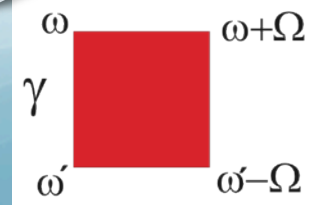
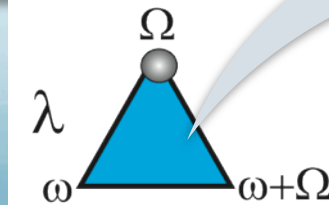
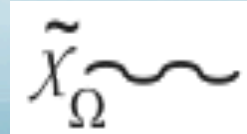
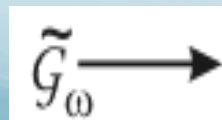
$$c^{\dagger} \Rightarrow f^{\dagger}$$

$$\rho^* \Rightarrow \eta^*$$

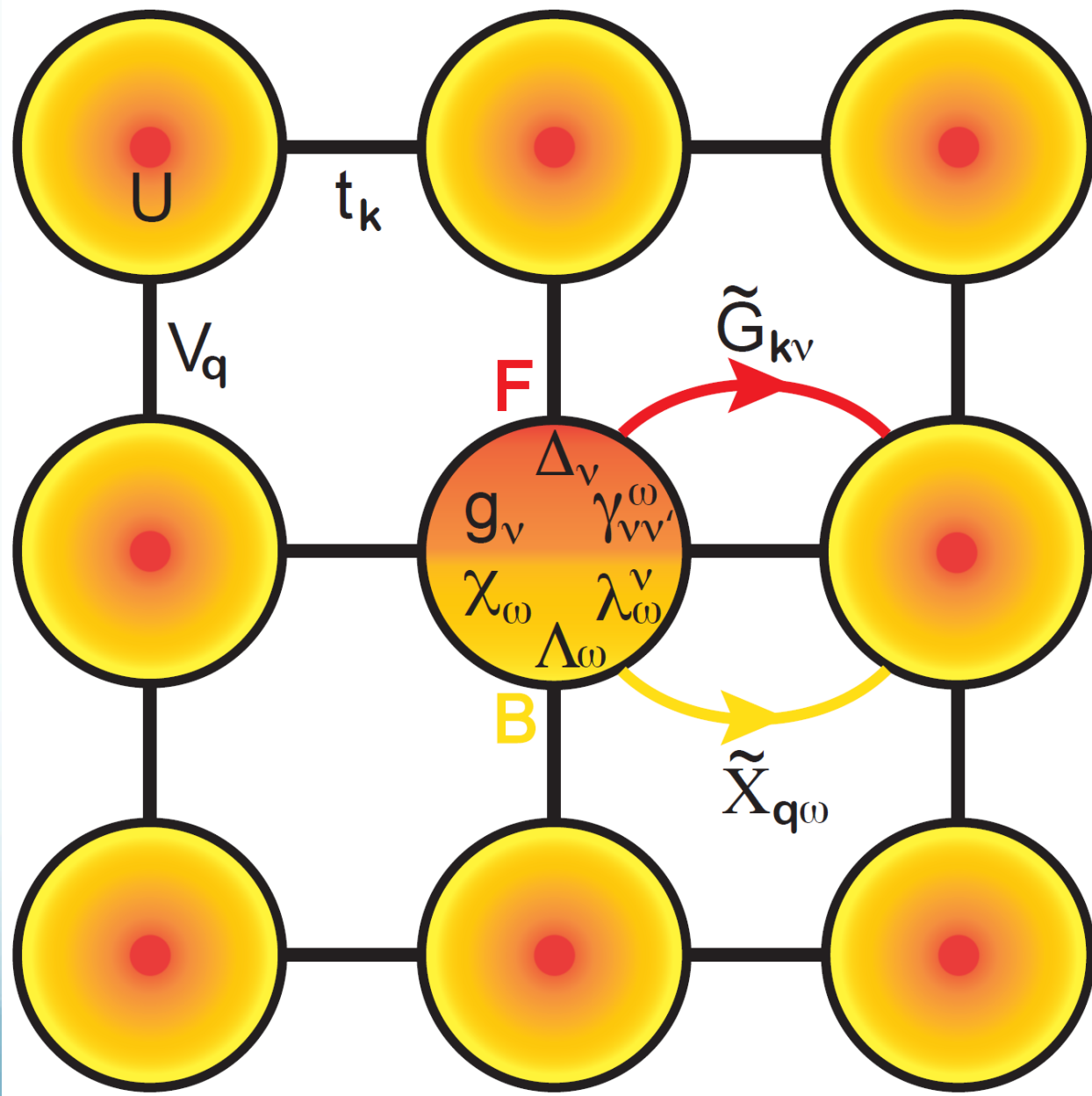
$$\tilde{S} = - \sum_{k\nu} \tilde{G}_{k\nu}^{-1} f_{k\nu}^{\dagger} f_{k\nu} - \sum_{q\omega} \tilde{\chi}_{q\omega}^{-1} \eta_{q\omega}^* \eta_{q\omega} + \sum_i \tilde{U}[\eta_i, f_i]$$

EDMFT

Diagrams:



# Dual Boson: General Idea



HTSC

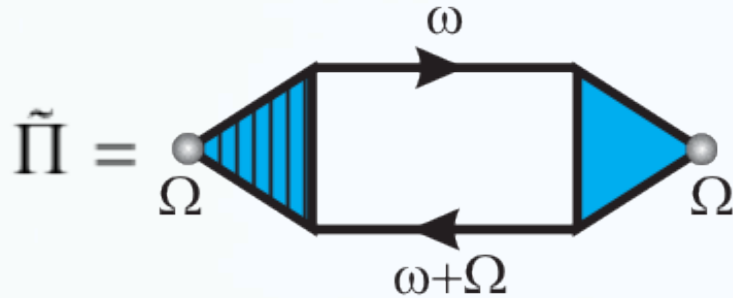
$$\Lambda_\omega \sim$$

$$J_{\tau\tau'} \vec{S}_\tau \cdot \vec{S}_{\tau'}$$

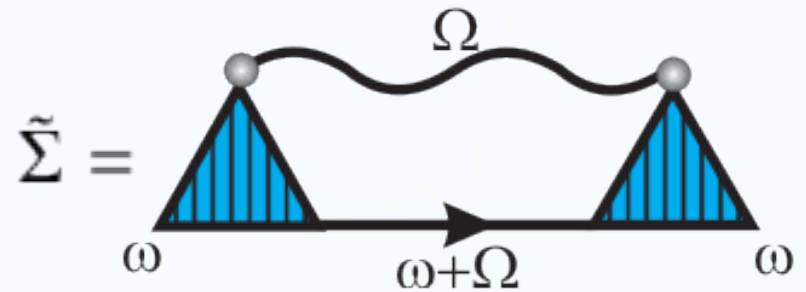


# DB-diagrammatic scheme

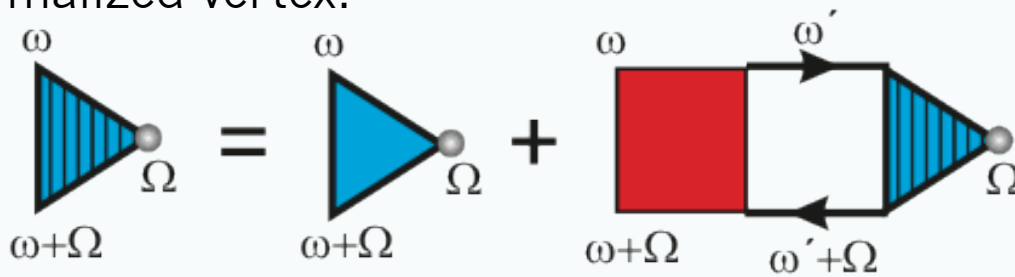
Bosonic Selfenergy



Fermionic Selfenergy



Renormalized vertex:



Fermionic and Bosonic Green Functions

$$G_{k\nu} = [(g_\nu + g_\nu \tilde{\Sigma}_{k\nu} g_\nu)^{-1} + \Delta_\nu - t_k]^{-1}$$

$$X_{q\omega} = [(\chi_\omega + \chi_\omega \tilde{\Pi}_{q\omega} \chi_\omega)^{-1} + \Lambda_\omega - V_k]^{-1}$$

SCF-condition

$$\sum_k G_{k\nu} = g_\nu$$

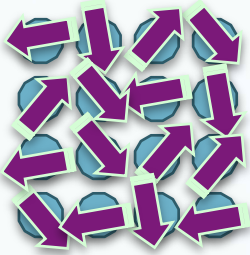
$$\sum_q X_{q\omega} = \chi_\omega$$



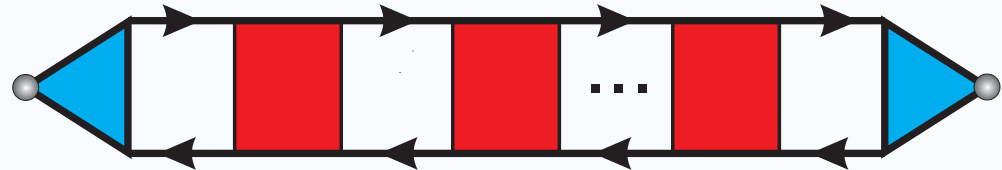
$\Delta_\nu$

$\Lambda_\omega$

# Simple Test: Hubbard lattice



$U \gg t$



$$\lambda_{\Omega\omega} = \chi_{\Omega}^{-1} \left( 1 - \sum_{\omega'} \gamma_{\omega, \omega', \Omega} g_{\omega'} g_{\omega' - \Omega} s_{\sigma\sigma'} \right)$$

Fermionic Selfenergy

$$\Pi'_{\Omega K} = \left[ \left( \lambda \frac{\tilde{X}_{\Omega K}^{(0)}}{1 - \gamma_{\Omega} \tilde{X}_{\Omega K}^{(0)}} \lambda \right)^{-1} + \chi_{\Omega} \right]^{-1} = \lambda_{\Omega} \tilde{X}_{\Omega K}^{(0)} \lambda_{\Omega}$$

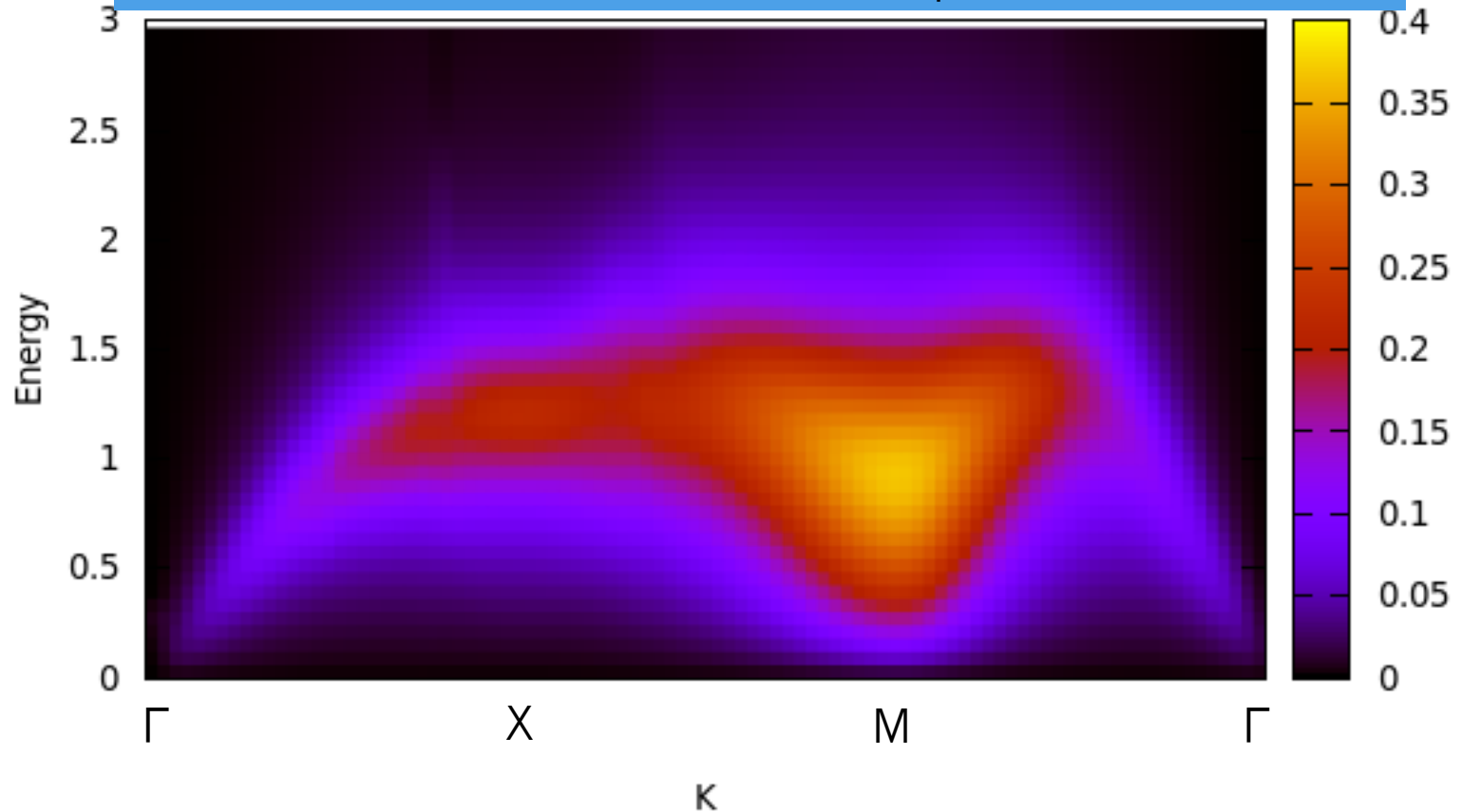
Generalization of Anderson superexchange to frequency-dependent case  $J_{ij} = \frac{t^2}{U}$

A. Rubtsov, et al, Annals Phys. 327, 1320 (2012)

# Plasmon mode in ladder DB

How Mott transition affect plasmon mode?

$U=1.5$   $V=0.4$   $t=0.25$   $\text{Beta}=10$  Square 64x64 lattice



Susceptibility using Dual Fermion:  $\langle \rho \rho \rangle_{K \rightarrow 0} \neq 0$

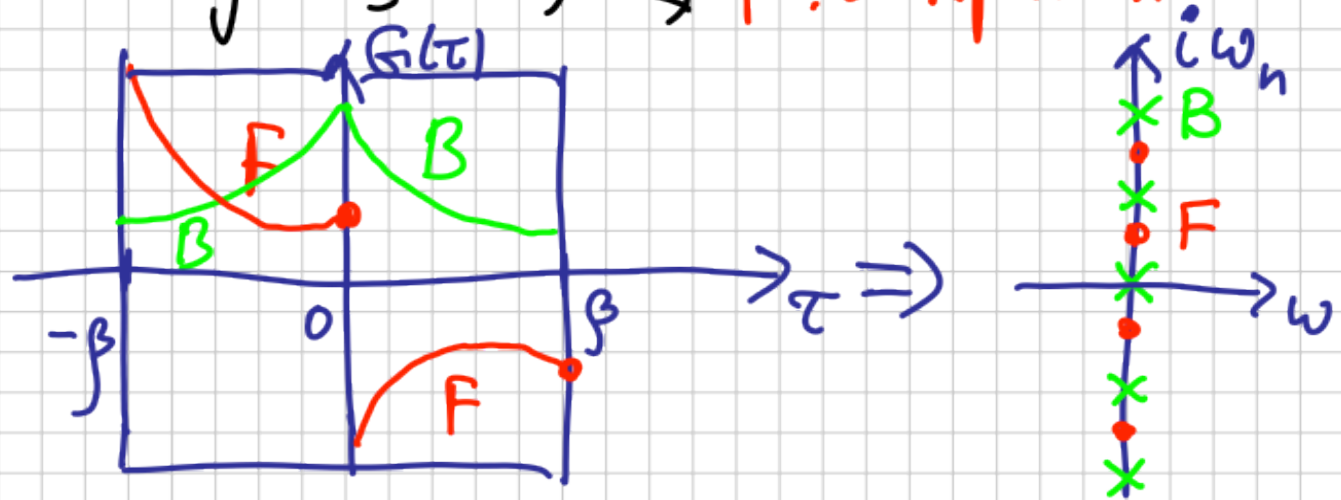
# Summary

- Magnetism of correlation systems can be well described in the LDA+DMFT scheme
- Local correlations efficiently included in CT-QMC impurity solver

# Imaginary Time and Matsubara space

Since:  
 $[0, \beta)$

$$C(\tau + \beta) = \begin{cases} C(\tau) & \text{B: periodic} \\ -C(\tau) & \text{F: antiperiodic} \end{cases}$$



then:  
 Matsubara  
 frequencies:

$$\omega_n = \begin{cases} 2n \frac{\pi}{\beta} & \text{- Boson} \\ (2n+1) \frac{\pi}{\beta} & \text{- Fermion} \end{cases}$$

$n = -\infty \dots -1, 0, 1, \dots$   
 integer numbers

Using:

$$\int_0^\beta d\tau e^{-i(\omega_n - \omega_m)\tau} = \beta \delta_{n,m}$$

# Constrain GW calculations of U

Polarisation

$$P(\mathbf{r}, \mathbf{r}'; \omega) = \sum_i^{\text{occ}} \sum_j^{\text{unocc}} \psi_i(\mathbf{r}) \psi_i^*(\mathbf{r}') \psi_j^*(\mathbf{r}) \psi_j(\mathbf{r}') \\ \times \left\{ \frac{1}{\omega - \varepsilon_j + \varepsilon_i + i0^+} - \frac{1}{\omega + \varepsilon_j - \varepsilon_i - i0^+} \right\}$$

F. Aryasetiawan et al  
PRB(2004)

$$W_r(\omega) = [1 - vP_r(\omega)]^{-1}v$$

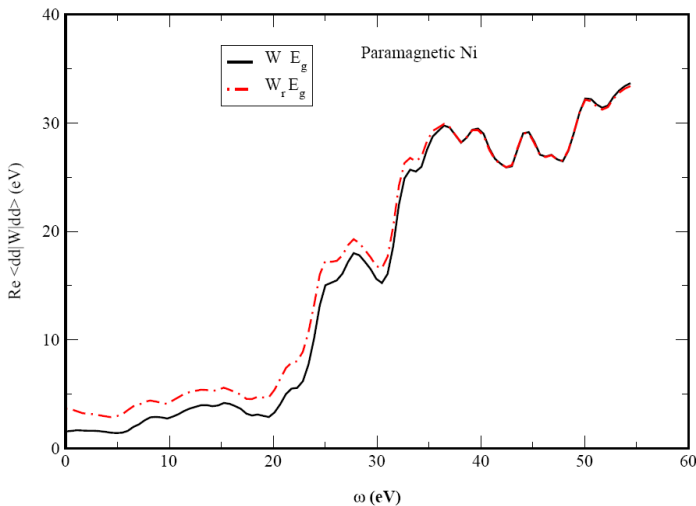
$$W = [1 - vP]^{-1}v$$

$$= [1 - vP_r - vP_d]^{-1}v$$

$$= [(1 - vP_r)\{1 - (1 - vP_r)^{-1}vP_d\}]^{-1}v$$

$$= \{1 - (1 - vP_r)^{-1}vP_d\}^{-1}(1 - vP_r)^{-1}v$$

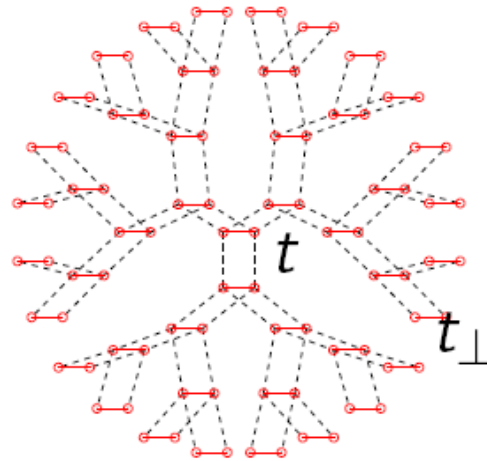
$$= [1 - W_rP_d]^{-1}W_r$$



# Double-Bethe Lattice: exact C-DMFT

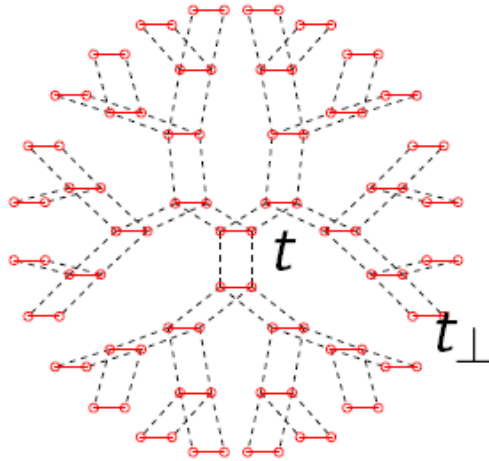
bilayer Hubbard model on the Bethe lattice  
(for coordination  $z = 3$ )

A. Ruckenstein  
*PRB* (1999)



$$H = -t \sum_{\langle ij \rangle, \sigma} (a_{i\sigma}^\dagger a_{j\sigma} + b_{i\sigma}^\dagger b_{j\sigma}) - t_\perp \sum_{i\sigma} (a_{i\sigma}^\dagger b_{i\sigma} + b_{i\sigma}^\dagger a_{i\sigma}) \\ + U \sum_{i\sigma} (n_{ai\uparrow} n_{ai\downarrow} + n_{bi\uparrow} n_{bi\downarrow})$$

# Self-consistent condition: C-DMFT



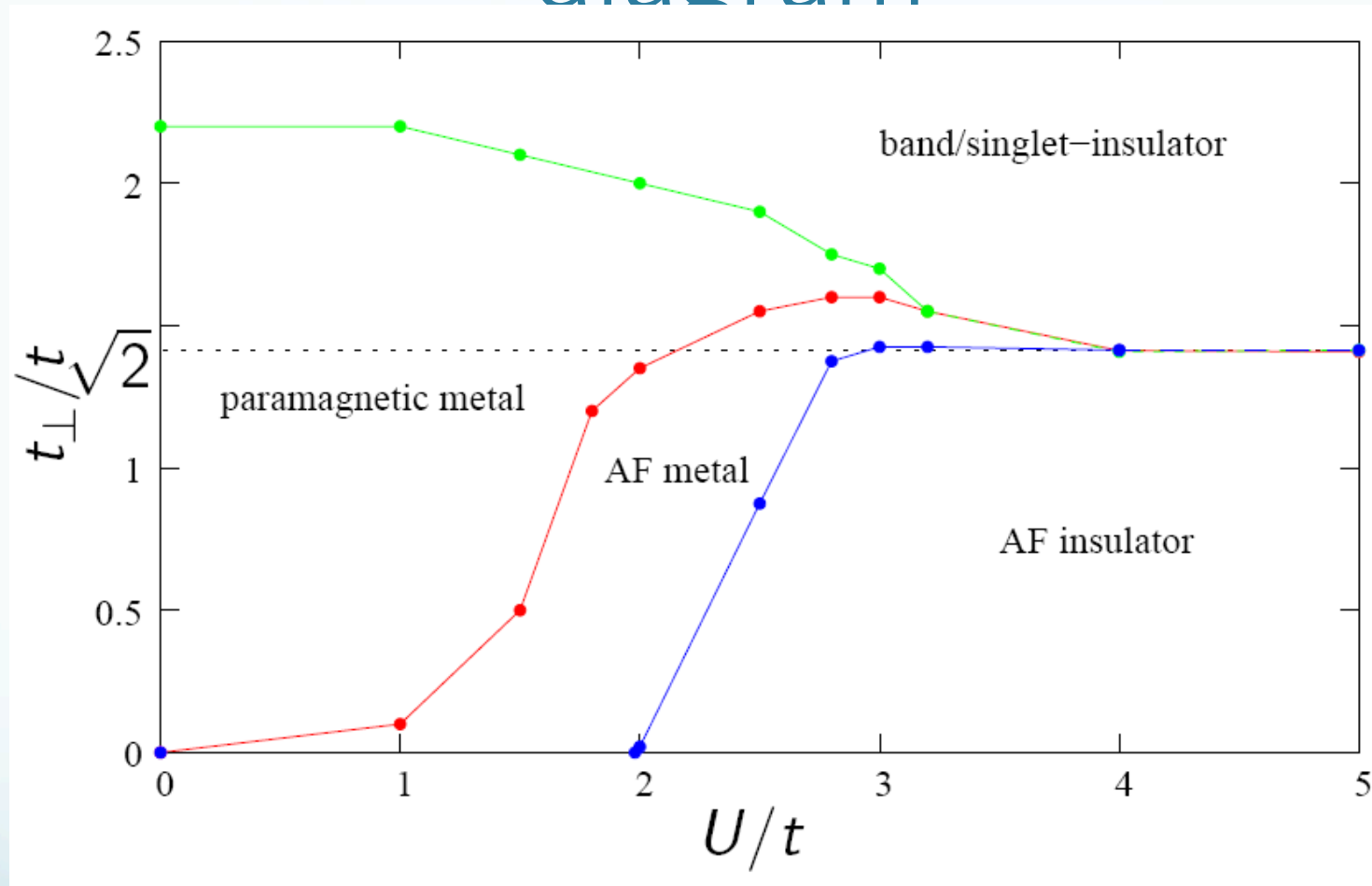
$$\mathcal{G}_{\sigma}^{-1}(i\omega_n) = \begin{pmatrix} i\omega_n + \mu - h\sigma & -t_{\perp} \\ -t_{\perp} & i\omega_n + \mu + h\sigma \end{pmatrix} - t^2 \mathbf{G}_{-\sigma}(i\omega_n),$$

*AF-between plane*

*AF-plane*



# Finite temperature phase diagram



- order-disorder transition at  $t_{\perp}/t = \sqrt{2}$  for large  $U$
- MIT for intermediate  $U$

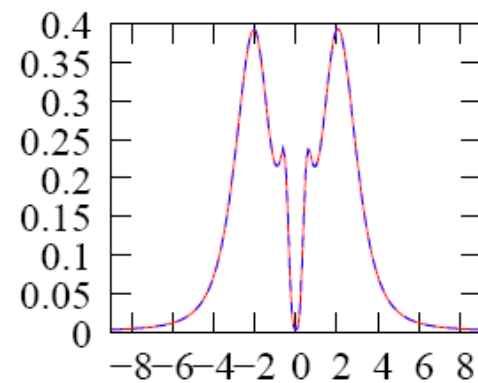
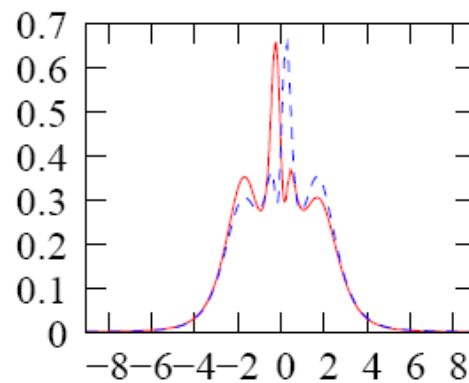
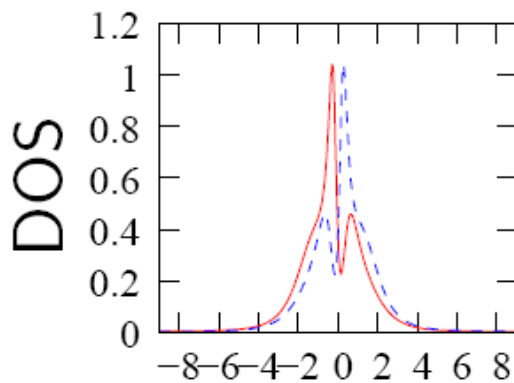
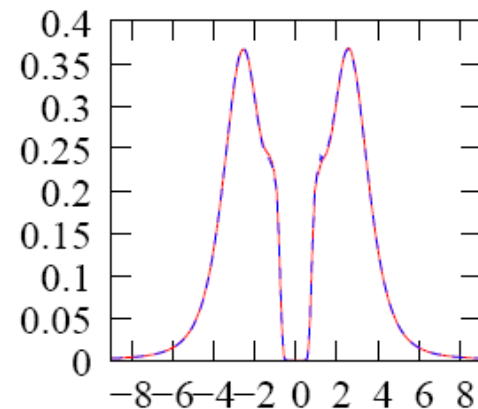
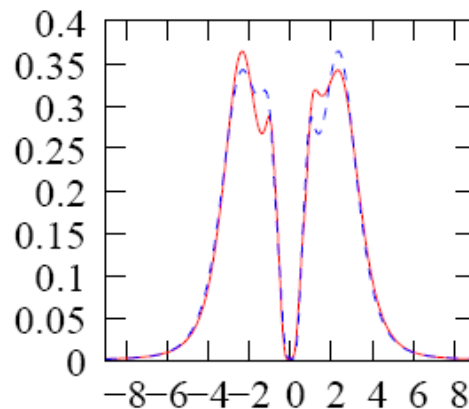
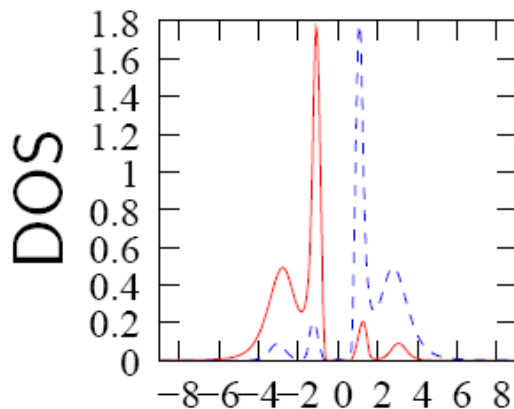
# Density of States: large U

$$t_{\perp}/t = 0.5$$

$$t_{\perp}/t = 1.41$$

$$t_{\perp}/t = 2.0$$

$$U/t = 4$$



$$U/t = 2$$

$$t_{\perp}/t = 0.5$$

$$t_{\perp}/t = 1.2$$

$$t_{\perp}/t = 2.0$$

# Slater parametrization of U

Multipole expansion:

$$\frac{1}{|\mathbf{r} - \mathbf{r}'|} = \sum_{kq} \frac{4\pi}{2k+1} \frac{r_{<}^k}{r_{>}^{k+1}} Y_{kq}^*(\hat{r}) Y_{kq}(\hat{r}')$$

Coulomb matrix elements in  $Y_{lm}$  basis:

$$\langle mm' || m'' m''' \rangle = \sum_k a_k(m, m'', m', m''') F^k$$

Angular part – 3j symbols

$$a_k(m, m', m''', m''') = \sum_{q=-k}^k (2l+1)^2 (-1)^{m+q+m'} \begin{pmatrix} l & k & l \\ 0 & 0 & 0 \end{pmatrix}^2 \begin{pmatrix} l & k & l \\ -m & -q & m' \end{pmatrix} \begin{pmatrix} l & k & l \\ -m'' & q & m''' \end{pmatrix}$$

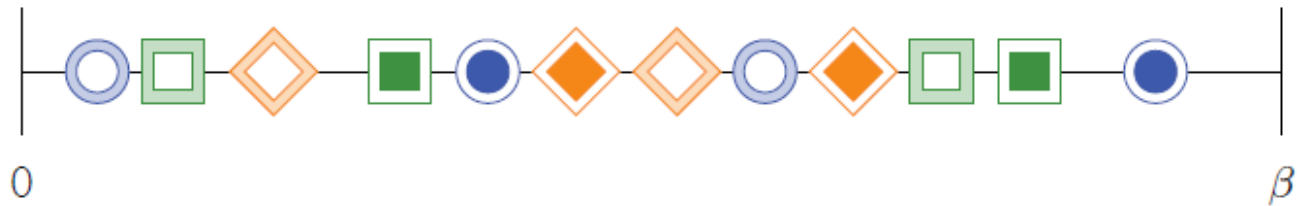
Slater integrals:

$$F^k = e^2 \int_0^\infty r^2 dr |\varphi_d(r)|^2 \int_0^\infty (r')^2 dr' |\varphi_d(r')|^2 \frac{r_{<}^k}{r_{>}^{k+1}}$$

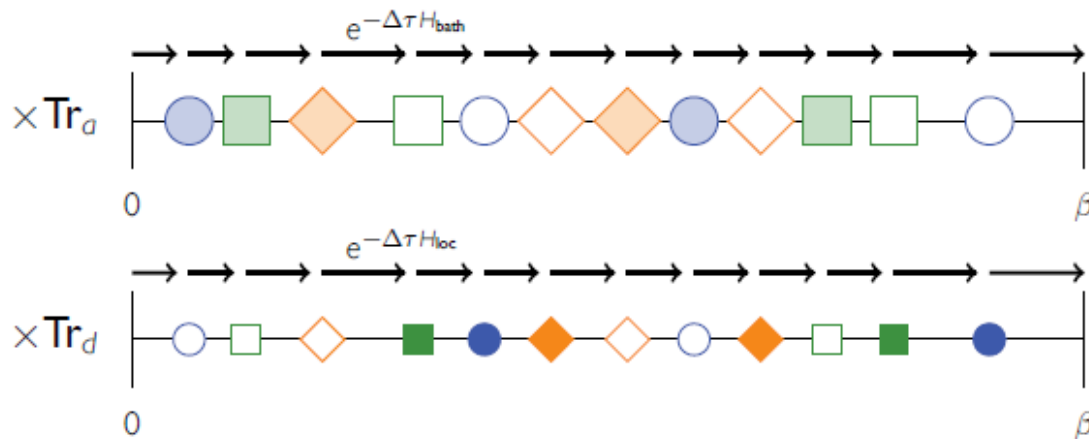
# CT-HYB: General Interaction

P. Werner and A.J. Millis, PRB 74, 155107 (2006)

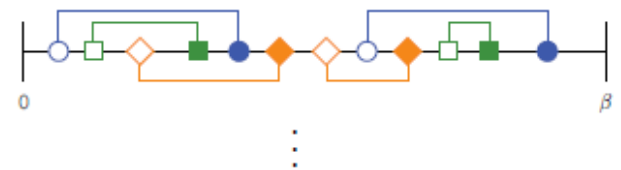
creation and annihilation operators for different orbitals



$$Z = \sum_{k=0}^{\infty} \int_0^{\beta} d\tau_1 \dots \int_{\tau_{k-1}}^{\beta} d\tau_k \int_0^{\beta} d\tau'_1 \dots \int_{\tau'_{k-1}}^{\beta} d\tau'_k \sum_{\substack{j_1, \dots, j_k \\ j'_1, \dots, j'_k}} \sum_{\substack{p_1, \dots, p_k \\ p'_1, \dots, p'_k}} V_{p_1}^{j_1} V_{p'_1}^{j'_1*} \dots V_{p_k}^{j_k} V_{p'_k}^{j'_k*}$$



tracing out bath degrees of freedom gives rise to determinant weight as before



# CT-HYB: Krylov code

A. M. Läuchli and P. Werner, PRB 80, 235117 (2009)

$e^{-\Delta\tau H_{\text{loc}}} O_k(\tau_k)$  sparse in the occupation number basis

Krylov time evolution

$$\text{Tr}_d [\dots] = \sum_{|\psi\rangle} \langle \psi | e^{-(\beta-\tau_k)H_{\text{loc}}} O_k(\tau_k) e^{-(\tau_k-\tau_{k-1})H_{\text{loc}}} \dots O_1(\tau_1) e^{-\tau_1 H_{\text{loc}}} | \psi \rangle$$

Idea: compute  $e^{-\tau H_{\text{loc}}} |\psi\rangle$  using Lanczos recursion

Park and Light, J. Chem. Phys (1986)

$$\begin{pmatrix} * & & * \\ & * & \\ * & & * \end{pmatrix} \begin{pmatrix} \\ \\ \end{pmatrix} \quad \text{sparse matrix-vector multiplication}$$

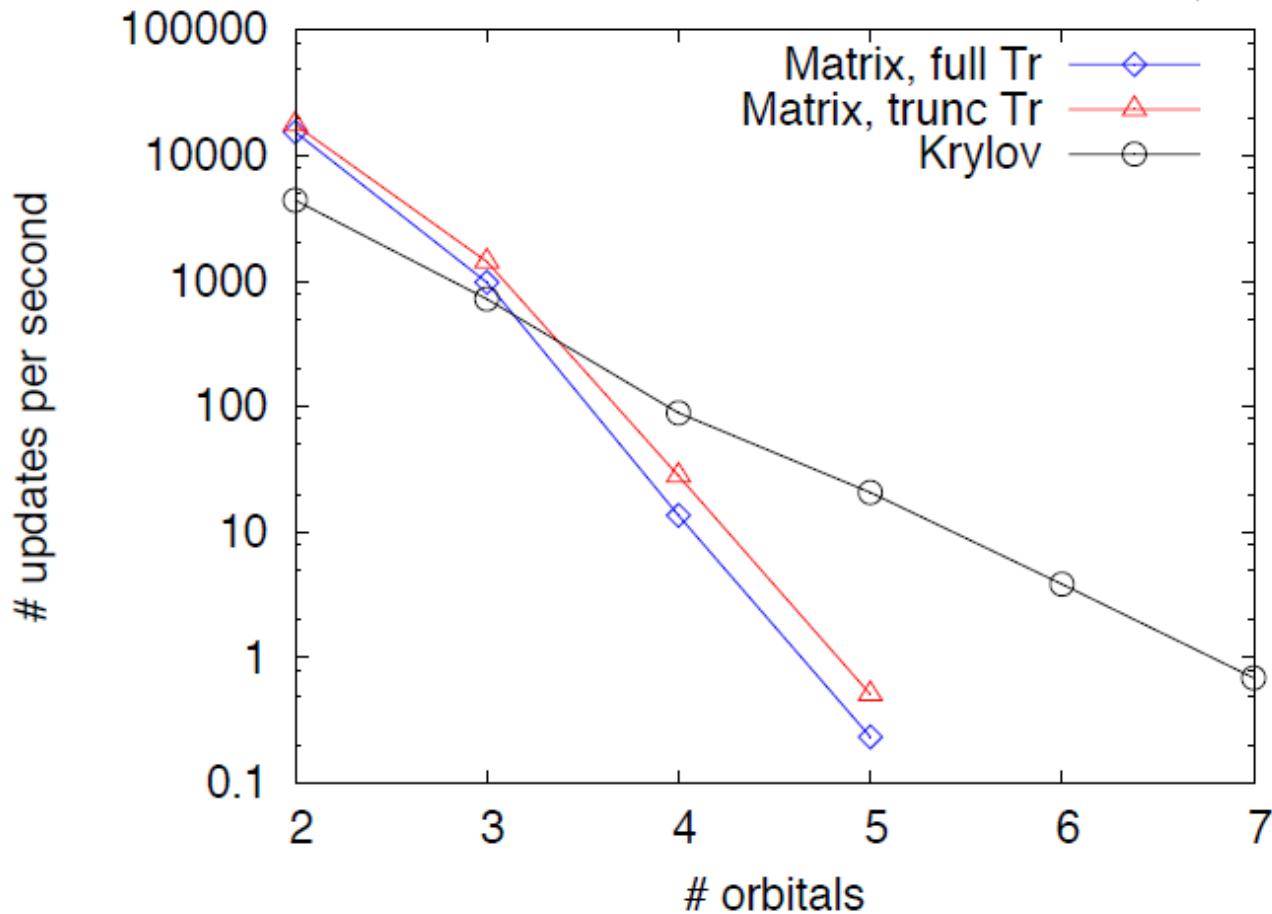
construct Krylov subspace  $\mathcal{K} = \{ |\psi\rangle, H_{\text{loc}}|\psi\rangle, H_{\text{loc}}^2|\psi\rangle, \dots, H_{\text{loc}}^p|\psi\rangle \}$

efficiently represents  $e^{-\tau H_{\text{loc}}} |\psi\rangle$  for a small number  $p$   $\tau$  small  $\rightarrow$   $p$  small

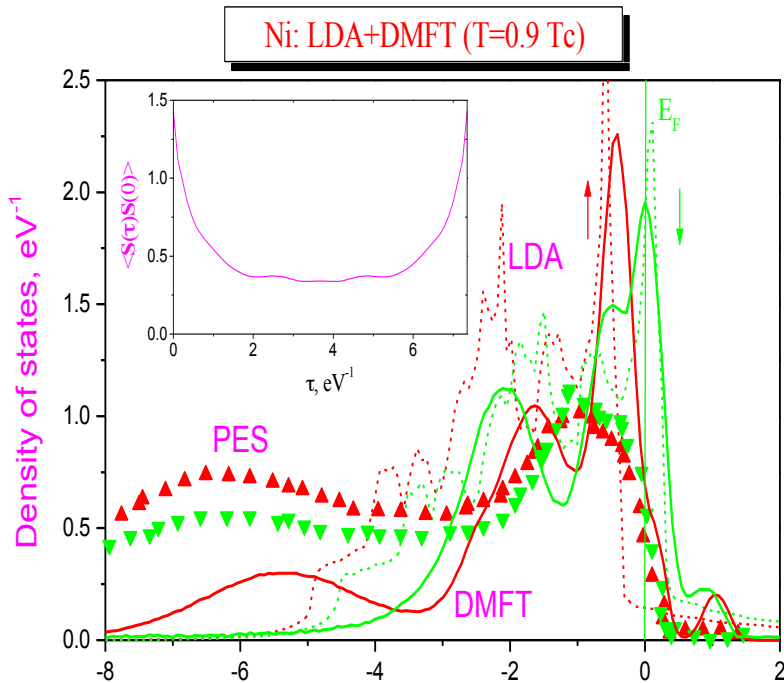
Hochbruck & Lubich, SIAM J. Numer. Anal. (1997)

# CT-QMC-Krylov: performance

A. M. Läuchli and P. Werner, PRB 80, 235117 (2009)



# Satellite structure in Ni



PES (LDA)

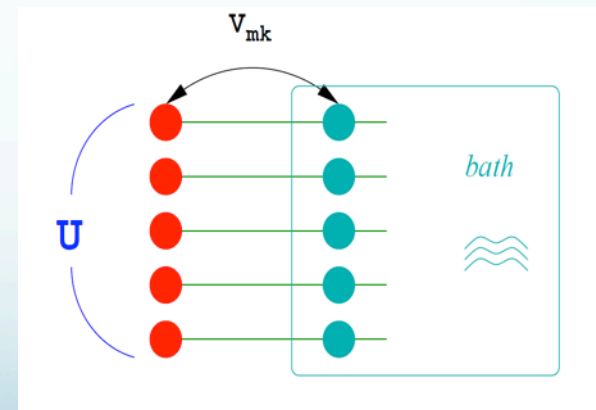
$$W_{band} = 3(4) \text{ eV}$$

$$\Delta E_{ex} = 0.3(0.6) \text{ eV}$$

$$E_{sat} = -6(?) \text{ eV}$$

LDA+DMFT+QMC

A. L., M. Katsnelson and G. Kotliar, PRL (2001)



T-Lanczos (5d+10k)

J. Kolorenc et al PRB (2012)

d-orbital spectral function

