



Jülich School, Sep 2014 DMFT@25: Infinite Dimensions

Materials from an atomic viewpoint beyond the Landau paradigm - An introduction to Dynamical Mean-Field Theory -

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OUTLINE

Some Physical Motivations
The DMFT concept: non-technical introduction
Some success stories for DMFT
Beyond DMFT

The standard model (most solid-state physics textbooks): a solid is a kind of electron gas subject to the periodic potential of ions → Bloch wavefunctions, energy bands

Interactions complicate the matter in a somewhat annoying way



Modern (and most useful) incarnation: DFT-LDA/GGA

In materials with strong correlations LOCAL ATOMIC PHYSICS is crucial Electrons "hesitate" between being localized on short-time-scales and itinerant on long time-scales



We see this from spectroscopy...

Mott insulators :

Their excitation spectra contain atomic-like excitations

Band structure calculations (interpreting Kohn-Sham spectra as excitations) is in serious trouble for correlated materials !





Photoemission: Fujimori et al., PRL 1992



Note: Energetics of the Mott gap requires an accurate description of the many-body eigenstates of single atoms (`multiplets') -> cf. `Hund's metals' in the following (see also lecture by G.Kotliar) **Correlated metals:** atomic-like excitations at high energy, quasiparticles at low energy

Narrowing of quasiparticle bands due to correlations (the Brinkman-Rice phenomenon)
Hubbard satellites (i.e extension to the solid of atomic-like transitions)



Dashed line: Spectrum obtained from Conventional band-structure methods (DFT-LDA)



SrVO₃

Sekiyama et al., PRL 2004

From weak to strong correlations in d¹ oxides [Fujimori et al. PRL 69, 1796 (1992)]

> Puzzle: Why is SrVO₃ a metal and LaTiO₃, YTiO₃ Mott insulators ?





The Mott phenomenon at strong coupling (U >> t) HAS NOTHING TO DO with magnetism It is due to blocking of density/charge

Energy scale for magnetism: superexchange J ~ t²/U Insulating gap: ~ U > t >> J The system is basically an insulator even well above T_{Neel} Ex: MANY oxides, e.g. NiO, YTiO3, cuprates etc... In contrast, LDA+U needs to assume ordering to describe the insulator



Critical boundary calculated for a 3D cubic lattice using: -Quantum Monte Carlo (Staudt et al. Eur. Phys. J. B17 (2000) 411) - Dynamical Mean-Field Theory approximation We need to change our theoretical description and computational tools in order to deal with these « strongly-correlated electron materials »

- <u>Think in terms of atoms</u>, not in terms of an electron gas ! [closer to a chemist point of view]
- Each atom is an interacting (many-body) problem
- Atomic orbitals overlap but motion of electrons is opposed by energy cost for changing the valence of each atom

A theoretical description of the solid-state based on ATOMS rather than on an electron-gas picture: *« Dynamical Mean-Field Theory »*

> Dynamical Mean-Field Theory: A.G. & G.Kotliar, PRB 45, 6479 (1992) Correlated electrons in large dimensions: W.Metzner & D.Vollhardt, PRL 62, 324 (1989)

Important intermediate steps by: Müller-Hartmann, Schweitzer and Czycholl, Brandt and Mielsch, V.Janis

Early review: Georges et al. Rev Mod Phys 68, 13 (1996)



Dresden, 2006 – Europhysics Condensed Matter Prize

Dieter@60 – Augsburg, 2011

Dynamical Mean-Field Theory: viewing a material as an (ensemble of) atoms coupled to a self-consistent medium



Example: DMFT for the Hubbard model (a model of coupled atoms)

$$H = -\sum_{\mathbf{RR}'} t_{\mathbf{RR}'} d^{\dagger}_{\mathbf{R}\sigma} d_{\mathbf{R}'\sigma} + \sum_{\mathbf{R}} H^{\mathbf{R}}_{atom} \\ H_{atom} = \varepsilon_d \sum n_{\sigma} + U n_{\uparrow} n_{\downarrow}$$

Focus on a given lattice site:

"Atom" can be in 4 possible configurations: $|0\rangle$, $|\uparrow\rangle$, $|\downarrow\rangle$, $|\uparrow\downarrow\rangle$

Describe ``history'' of fluctuations between those configurations



 σ

Imaginary-time effective action describing these histories:

$$S = S_{\rm at} + S_{\rm hyb}$$

$$S_{\rm at} = \int_{0}^{\beta} d\tau \sum_{\sigma} d^{\dagger}_{\sigma}(\tau) \left(-\frac{\partial}{\partial \tau} + \varepsilon_{d} \right) d_{\sigma}(\tau) + U \int_{0}^{\beta} d\tau n_{\uparrow} n_{\downarrow}$$

$$S_{\rm hyb} = \int_{0}^{\beta} d\tau \int_{0}^{\beta} d\tau' \sum_{\sigma} d^{\dagger}_{\sigma}(\tau') \Delta(\tau - \tau') d_{\sigma}(\tau)$$

The amplitude $\Delta(\tau)$ for hopping in and out of the selected site is self-consistently determined: it is the quantum-mechanical Generalization of the Weiss effective field.

$$\mathcal{G}_0^{-1}\equiv \omega+\mu-\Delta(i\omega)$$
 Effective `bare propagator'

Hamiltonian formulation: Anderson impurity model

$$H_c = \sum_{l\sigma} E_l a^+_{l\sigma} a_{l\sigma}$$

$$H = H_{\rm c} + H_{\rm at} + H_{\rm hyb}$$

Conduction electron host (``bath", environment)

$$H_{\rm at} = \varepsilon_d \sum_{\sigma} d^{\dagger}_{\sigma} d_{\sigma} + U n^d_{\uparrow} n^d_{\downarrow}$$

Single-level ``atom"

$$H_{hyb} = \sum_{l\sigma} [V_l a_{l\sigma}^+ d_{\sigma} + \text{h.c.}]$$

Transfers electrons between bath and atom – Hybridization, tunneling

Local effective action:

Focus on dynamics of impurity orbital: integrate out conduction electrons \rightarrow Effective action for impurity orbital:

$$S = S_{\text{at}} + S_{\text{hyb}}$$

$$S_{\text{at}} = \int_{0}^{\beta} d\tau \sum_{\sigma} d_{\sigma}^{\dagger}(\tau) \left(-\frac{\partial}{\partial\tau} + \varepsilon_{d}\right) d_{\sigma}(\tau) + U \int_{0}^{\beta} d\tau n_{\uparrow} n_{\downarrow}$$

$$S_{\text{hyb}} = \int_{0}^{\beta} d\tau \int_{0}^{\beta} d\tau' \sum_{\sigma} d_{\sigma}^{\dagger}(\tau') \Delta(\tau - \tau') d_{\sigma}(\tau)$$

$$-\frac{1}{\pi} \text{Im} \Delta(\omega + i0^{+}) = \sum_{l} |V_{l}|^{2} \delta(\omega - E_{l})$$

$$\mathcal{G}_{0}^{-1} \equiv \omega + \mu - \Delta(i\omega)$$
Effective `bare propagat

Focus on <u>energy-dependent</u> local observable :

$$G_{RR}(\omega) \equiv G_{\rm loc}$$

On-site Green's function (or spectral function) of the `solid' Use atom-in-a-bath as <u>a reference system</u> to represent this observable:

 \rightarrow IMPOSE that ε_d and Δ should be chosen such that:

$$G_{\rm imp}[\omega;\varepsilon_d,\Delta(\omega)] = G_{\rm loc}(\omega)$$

At this point, given G_{loc} of the lattice Hubbard model, we have just introduced an exact local representation of it G_{RR} is related to the exact self-energy of the lattice (solid) by:

$$G_{\mathbf{RR}}(\omega) = \sum_{\mathbf{k}} \frac{1}{\omega + \mu - \varepsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega)} = G_{\mathsf{loc}}(\omega)$$

In which ε_k is the tight-binding band (FT of the hopping $t_{RR'}$) High-frequency $\rightarrow \varepsilon_d = -\mu + \sum_k \varepsilon_k (= -\mu)$

Let us now make the **APPROXIMATION** that the lattice self-energy is **k-independent** and coincides with that of the effective atom (impurity problem):

$$\Sigma({
m k},\omega)\simeq \Sigma_{
m imp}(\omega)$$

This leads to the following self-consistency condition:

$$G_{\mathsf{imp}}[i\omega;\Delta] = \sum_{\mathbf{k}} \frac{1}{G_{\mathsf{imp}}[i\omega;\Delta]^{-1} + \Delta(i\omega) - \varepsilon_{\mathbf{k}}}$$

The self-consistency equation and the DMFT loop Approximating the self-energy by that of the local problem : $\sum (\mathbf{k}, \omega) \simeq \sum_{imp} (\omega)$ \rightarrow fully determines both the local G and Δ :

$$G_{\rm imp}[i\omega;\Delta] = \sum_{\mathbf{k}} \frac{1}{G_{\rm imp}[i\omega;\Delta]^{-1} + \Delta(i\omega) - \varepsilon_{\mathbf{k}}}$$



1

$\Delta(\omega)$: generalizing the Weiss field to the quantum world



Pierre Weiss 1865-1940 *« Théorie du Champ Moléculaire »* (1907)

Einstein, Paul Ehrenfest, Paul Langevin, Heike Kammerlingh-Onnes, and Pierre Weiss at Ehrenfest's home, Leyden, the Netherlands. From Einstein, His Life and Times, by Philipp Frank (New York: A.A. Knopf, 1947). Photo courtesy AIP Emilio Segrè Visual Archives.

Weiss mean-field theory Density-functional theory Dynamical mean-field theory

rely on similar conceptual basis

TABLE 2.	Comparison	of theories	based on	functionals	of a loca	l observable
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Theory	MFT	DFT	DMFT
Quantity	Local magnetization m_i	Local density $n(x)$	Local GF $G_{ii}(\omega)$
Equivalent	Spin in	Electrons in effective potential	Quantum
system	effective field		impurity model
Generalised	Effective	Kohn-Sham	Effective
Weiss field	local field	potential	hybridisation

- Exact energy functional of local observable
- Exact representation of local observable:
- Generalized ``Weiss field"
- Self-consistency condition, later approximated

see e.g: A.G arXiv cond-mat 0403123

The DMFT construction is EXACT:

- For the non-interacting system (U =0 $\rightarrow \Sigma = 0$!)
- For the isolated atom
 (etrope coupling limit t=0 ->)
- (strong-coupling limit t=0 $\rightarrow \Delta = 0$) \rightarrow Hence provides an interpolation from weak to strong
 - coupling
- In the formal limit of infinite dimensionality (infinite lattice coordination) [introduced by Metzner and Vollhardt, 1989]

Proofs: LW functional, Cavity construction (more on board)

In simplest cases (e.g. single-orbital), the DMFT construction <u>avoids the</u> <u>fermion minus-sign problem</u> (absent for simplest quantum impurity problems, effectively 1+1-dimensional)



``It therefore becomes desirable that approximate practical methods of applying quantum mechanics should be developed, which can lead to an explanation of the main features of complex atomic systems without too much computation"

Recent algorithmic breakthroughs

entering a new age for DMFT approaches (and extensions) ...

Continuous-time quantum Monte Carlo (CT-QMC)

*Rubtsov 2005 Interaction expansion(CT-INT)

*P. Werner, M.Troyer, A.Millis et al 2006 Hybridization expansion(CT-HYB)

*Gull/Parcollet 2008 Auxiliary field (CT-AUX) See lecture by F.Assaad Recent review: Gull et al. Rev Mod Phys 83, 349 (2011)

Need for efficient development and sharing of code libraries The TRIQS project (O.Parcollet, M.Ferrero et al.)

triqs

Install Documentation

tion Applications

Issues About TRIQS

ipht.cea.fr/triqs

a Toolbox for Research on Interacting Quantum Systems

Welcome

TRIQS (**T**oolbox for **R**esearch on Interacting **Q**uantum **S**ystems) is a scientific project providing a set of C++ and Python libraries to develop new tools for the study of interacting quantum systems.

The goal of this toolkit is to provide condensed matter physicists with some high level, efficient and simple to use libraries in C++ and Python, and to promote the use of modern programming techniques in our field.

TRIQS is free software (GPL).

TRIQS 1.0

This is the homepage of the new TRIQS 1.0. Many things have changed and been improved since the versions 0.x. The format of the archives and names of some python classes have changed too. So go look at our *changelog page* to find out how to upgrade to 1.0.

The ALPS project (Algorithms aiming at providing high-end sin

The ALPS project (Algorithms and Libraries for Physics Simulations) is an open source effort aiming at providing high-end simulation codes for strongly correlated quantum mechanical systems as well as C++ libraries for simplifying the development of such code. ALPS strives to increase software reuse in the physics community.

Welcome to the ALPS project.

alps.comp-phys.org

An early success of DMFT (1992-1999) Complete theory of the Mott transition



Low-frequency behavior of $\Delta(\omega)$ determines nature of the phase

- Δ(ω→0) finite → local moment is screened. `Self-consistent' Kondo effect.
 Gapless metallic state.
- Δ(ω) gapped → no Kondo effect, degenerate ground-state, insulator with local moments



Wave-like

Momentum (k-) space

Atomic-like excitations (Hubbard satellites)

Particle-like (adding/removing charges locally)

Real (R-) space

Spectral weight transfers

Are treated on equal footing within DMFT

"Particle-Wave duality in the solid-state"

Recent insights into an old problem: "How bad metals become good" `Resilient' *quasiparticles beyond Landau Theory*



Deng et al. PRL 110 (2013) 086401



This non-Drude ``foot" is actually the signature of Landau's Fermi liquid in the optical spectrum !

Recent interest in signatures of Fermi Liquid Theory in optical spectroscopy:

- A.Chubukov and D.Maslov, PRB 86 (2012) 155136 & 155137
- U.Nagel et al. (T. Timusk's group) PNAS 109, 19161 (2012)
- M.Dressel and M.Scheffler Ann. Phys. 15, 535 (2006)
- M.Schneider et al. arXiv:1312.3809 [PRL 2014] [CaRuO₃]

PHYSICAL REVIEW B 87, 115109 (2013)

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Non-Drude universal scaling laws for the optical response of local Fermi liquids

Christophe Berthod,¹ Jernej Mravlje,^{2,3,4} Xiaoyu Deng,⁵ Rok Žitko,⁴ Dirk van der Marel,¹ and Antoine Georges^{1,2,3}

PRL 113, 087404 (2014)

PHYSICAL REVIEW LETTERS

22 AUGUST 2014

Optical Response of Sr₂RuO₄ Reveals Universal Fermi-Liquid Scaling and Quasiparticles Beyond Landau Theory

D. Stricker,¹ J. Mravlje,² C. Berthod,¹ R. Fittipaldi,³ A. Vecchione,³ A. Georges,^{4,5,1} and D. van der Marel¹



Optical spectra of Sr_2RuO_4 D.Stricker et al. arXiv:1403.5445

Dashed lines :
universal FL form
→ Beautiful agreement
→ At low T, low ω

Clear deviations from FL for ω above ~ 0.1 eV Very well described by DMFT !

Dots: LDA+DMFT calculation for this material

Sr₂RuO₄: the `Helium 3' of transition-metal oxides !

cf. Andy McKenzie's talk yesterday

- Huge high-quality crystals !
- Has been investigated with basically all techniques in the experimentalist's toolbox
- 4d-row structural analogue of La₂CuO₄
- Beautiful review articles:
- A.Mackenzie and Y.Maeno

RMP 75, 657 (2003)

Bergemann, Adv. Phys. 52, 639 (2003)
 [Focus on dHvA quantum oscillations]



Puzzle:

Why are transition-metal oxides of the 4d series (such as ruthenates) strongly correlated metals, while not being close to a Mott insulating state ?

Example: Sr_2RuO_4 has t_{2g} bandwidth ~ 4eV, And estimated U for t_{2g} shell about 2.5 eV at most. Nevertheless effective mass enhancement (over LDA) of xy-band is ~ 5 !!



The Platters said: « Only U can do make all this world seem right... »





... Take-home message here: « Not only U, also J_H matters » !

Friedrich Hund 1896-1997

Some articles of the `Hund's metals' saga...

- Haule and Kotliar New J. Phys. 11, 025021 (2009)
- Werner, Gull Troyer and Millis, PRL 101, 166405 (2008)
- Mravlje et al.PRL 106, 096401 (2011)
- de'Medici et al. PRL 107, 256401 (2011)

Recent review article:

AG, de'Medici and Mravlje Annual Reviews Cond. Mat. Phys Vol 4 (2013) arXiv:1207.3033 For all filling except $\frac{1}{2}$ -filling and a single electron and hole: 1. Hund's coupling suppresses coherence scale \rightarrow reduces quasiparticle coherence scale, smaller Z



2. But also increases $U_c \rightarrow$ Enhances range of metallic state !

J is « Janus-faced » : it has two ANTAGONISTIC effects

Janus is the latin god of beginnings/ transitions and is often associated with doors and entrances and has two faces. He was first promoted to being a physicist by Pierre-Gilles de Gennes ("Janus grains")

PRL 107, 256401 (2011)

PHYSICAL REVIEW LETTERS

week ending 16 DECEMBER 2011

Janus-Faced Influence of Hund's Rule Coupling in Strongly Correlated Materials

Luca de' Medici,¹ Jernej Mravlje,^{2,3,4} and Antoine Georges^{2,4,5,6}

1

0.8

0.6

0.4

0.2

0

Drawing a map of early transition-metal oxides (both 3d and 4d) with Hund's rule coupling as guidance

and based on dynamical mean-field theory electronic-structure calculations

Color-intensity map of quasiparticle weight Z (~ m/m*)

3d oxides: U/D ~ 4 ; 4d oxides: U/D ~ 2

The happy marriage of DMFT with electronic structure (DFT) An interdisciplinary effort started in 1996 and still continuing today Anisimov, Kotliar et al. J.Phys Cond Mat 9, 7359 (1997) Lichtenstein and Katsnelson Phys Rev B 57, 6884 (1998)

cf. lectures by A.Lichtenstein and by G.Kotliar

From spherical cow models...

... to real materials

 \rightarrow Rich interplay between: structural aspects, orbital degrees of freedom, various intra- and inter-orbital interactions, spin degrees of freedom, etc...

Real materials raise many fascinating puzzles...

Puzzle : Why are 113 Vanadates Metallic while 113 Titanates are insulating ?

Interplay: Structural distortion → Energetics of orbitals → Mott transition

> Pavarini et al. PRL 92, 176403 (2004); NJP 7, 188 (2005) See also recent work by Dang et al. arXiv:1309. 2995

Vanadates and Titanates commonalities:

- 1 electron in the 3d shell
- Very similar values of the Hubbard U
 - Similar electronic structure ...
- SrVO₃ [V⁴⁺, d¹]: A <u>metal</u> with signatures of sizeable but <u>moderate</u> e-e correlations (m*/m~2.5)
- CaVO₃ [V⁴⁺, d¹]: A metal with <u>stronger</u> electronic correlations (m*/m ~ 3.5)
- LaTiO₃ [Ti³⁺, d¹]: A small-gap insulator (~0.2 eV)
- YTiO₃ [Ti³⁺, d¹]: A larger gap insulator (~ 1eV)

WHY ?

From a band-structure (DFT-LDA) point of view, they are all metals with a single electrons in t_{2q} -like bands...

SrVO₃

Pavarini et al. PRL 92 (2004) 176403 New J.Phys 7 (2005) 188 Amadon et al. PRB 77 (2008) 205112

The

answer...

``It's the bandwidth reduction, stupid..."

Key to solving the puzzle: lifting of t₂g degeneracy due to structural distortion
→ lowers considerably critical U

Splitting: up to 200 meV for LaTiO3, up to 330 meV for YTiO3

1 electron in: 3 degenerate orbitals, J/U=0.15 \rightarrow U_c/W ~ 3.5 1 single orbital \rightarrow U_c/W ~ 1.5

Pavarini et al. PRL 92, 176403 (2004); NJP 7, 188 (2005)

Maybe Andy will comment and elaborate on related aspects In his talk on transition-metal oxides ?

Electronic structure + Many-Body (DMFT) calculations: accouting for metallic/insulating nature of vanadates/titanates

E.Pavarini et al., PRL 2004 cf. also Sekiyama et al. (Ca/SrVO3) PRL 2004 - Narrowing of quasiparticle bands due to correlations (the Brinkman-Rice phenomenon)

- **Hubbard satellites** (i.e extension to the solid of atomic-like transitions)

Quantitative comparison with experiments

quasiparticles + lower Hubbard band clearly resolved in <u>bulk-sensitive</u> photoemission experiments

Sekiyama et al, Ca/SrVO3 Beyond single-site DMFT:
Momentum-dependence
How magnetic correlations affect quasiparticles

From a single-site embedding to a cluster: "Molecular" DMFTs

cf. lectures by E.Koch, M.Potthoff

Accounting for <u>short-range correlations</u> and <u>momentum dependence</u>: Cluster extensions of DMF T. For reviews see: \rightarrow Talk by Andre-Marie Tremblay

²⁷T. Maier, M. Jarrell, T. Pruschke, and M. H. Hettler, Rev. Mod. Phys. 77, 1027 (2005).
²⁸G. Kotliar, S. Y. Savrasov, K. Haule, V. S. Oudovenko, O. Parcollet, and C. A. Marianett, Rev. Mod. Phys. 78, 865 (2006).
²⁹A. M. S. Tremblay, B. Kyung, and D. Senechal, Low Temp. Phys. 32, 424 (2006).

Numerous works by several groups in the last ~ 12 years: Cincinatti/Baton Rouge (Jarrell et al.), Rutgers (Kotliar, Haule et al.), Sherbrooke (Tremblay, Senechal et al., Kyung, Sordi), Columbia (Millis et al., Gull)., Oak Ridge (Maier et al.), Tokyo (Imada, Sakai et al.) Hamburg(Lichtenstein et al.), Rome (Capone et al.) Paris/Saclay/Orsay (Parcollet, Ferrero, AG, Civelli et al.), etc... Single-site mean-field → `Molecular' mean-field (cf. Bethe-Peierls, Kikuchi) A cluster of sites coupled to an environment C-DMFT: real-space cluster (cf. "cavity construction").

DCA: Patching momentum-space, cluster used to calculate self-energy at cluster momenta. PBC by construction. *Note: patches can be adapted to best capture the physics*.

Calculated ARPES intensity maps [many cluster studies, e.g. early work by Rutgers group (Civelli, Parcollet et al) and Sherbrooke group]

Shrinking of Fermi arcs as doping is reduced: a very different route to the Mott insulator than Brinkman-Rice As we flow down from high energy to lower energy, range of spatial correlations will grow and 1-site DMFT may become increasingly inaccurate

Starting from:

- High-temperature /
- High-energy /
- High-doping level /
- Large frustration t'/t, etc.

All these can be viewed as control parameters ~ range of spatial correlations

cf. A.G Ann. Phys. 523, 672 (2011) arXiv:1112.5212 cf. W.Metzner's lecture

DMFT is a compass to orient oursleves when flowing down in energy

What's next?

(Much) advance needed on momentum-dependence (i.e. including spatial correlations)

- Cluster extensions of DMFT are now reaching their limits (in my opinion)
- Possibly promising route: using DMFT in the context of lattice diagrammatic Monte-Carlo, i.e. resum all local diagrams using DMFT
- Other routes: dual fermions, dynamical vertex approximation, etc.

Electronic Structure; Materials; New Directions

- Get rid of DFT-LDA ! (and associated doublecounting issues)
- Fully diagrammatic / Green's function based approaches e.g. GW+DMFT
- → Lectures by A.Lichtenstein, G.Kotliar
- Non-equibrium → Lecture by M.Kollar
- Applications to other fields e.g. molecular (bio-)chemistry e.g. transition metal ions in enzymes

Take-home message

- Quantitative theory has come a long way in 2 decades...
- Tremendous progress on materials and on experimental/instrumental techniques
- Theory is coming of age: semi-quantitative calculations become possible, with material-specific realism, with predictive capabilities
- Creative techniques and ideas around for control and materials design

We now have a theoretical and practical framework, which :

- Takes a radically different point of view on the electronic structure of solids than the "standard model" of solid-state physics
- Is not faced with the limitations of the `standard model' when dealing with strongly correlated systems/localized orbitals
- Uses a language much closer to that of the chemist: ATOMS - atomic orbitals, bonding and hybridization (rather than Bloch bands)
- → Hence making realistic understanding, perhaps even design of correlated materials possible

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