

# From Models to Materials: Simulation of Strongly Correlated Electron Systems

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**Institute for Advanced Simulation**

**JARA-HPC**

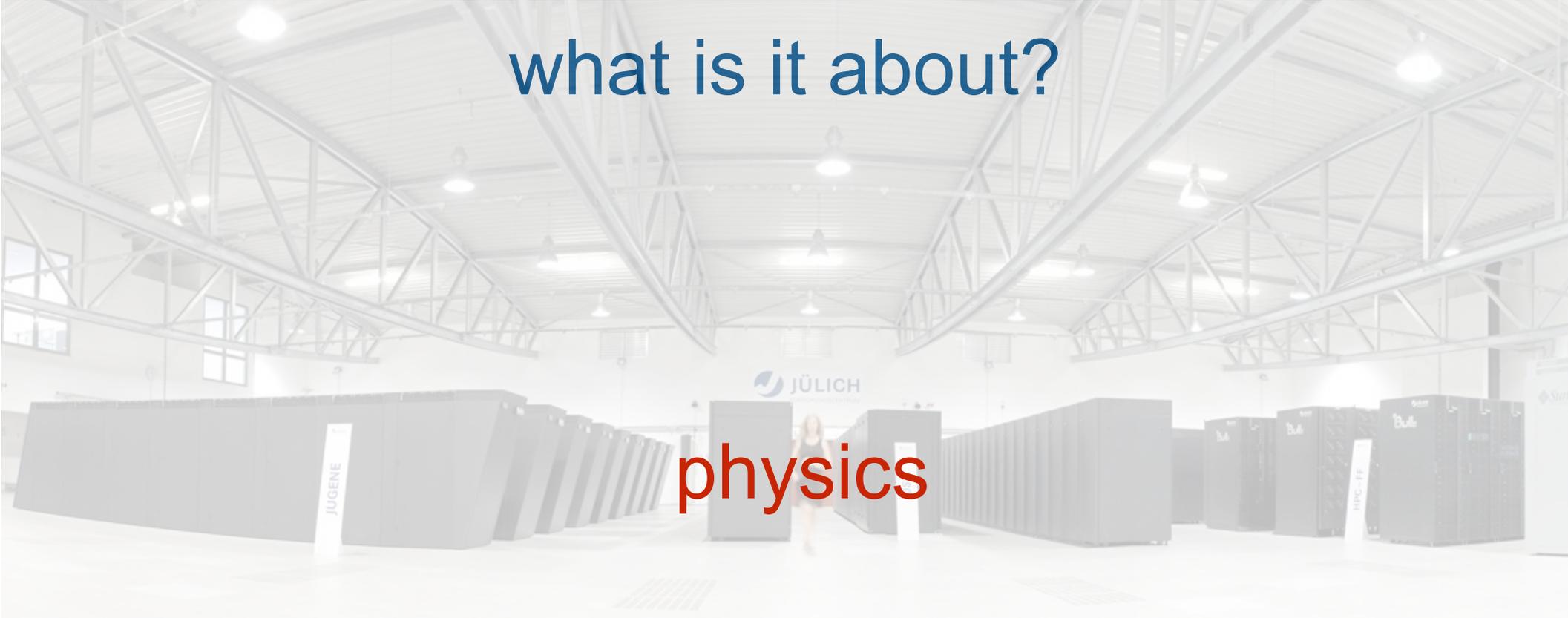
**Peter Grünberg Institut**

**Forschungszentrum Jülich**



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what is it about?

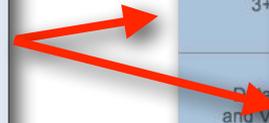


physics

# simulation science master

**+ alternative focus area**

1st Semester (Winter) 30 CP	2nd Semester (Summer) 30 CP	3rd Semester (Winter) 30 CP	4th Semester (Summer) 30 CP
Numerical Methods for Partial Differential Equations 4+2, 8 CP	Fast Iterative Solvers 2+1, 4CP	SiSc Laboratory 0+3, 6 CP	Master's Thesis 27 CP
From Molecular to Continuum Physics I 3+2, 6 CP	Parallel Computing in Simulation Sciences 3+2, 6 CP	Elective Courses 24 CP	
Applied Quantum Mechanics 3+3, 6 CP	Model Based Estimation Methods 2+2, 5 CP		
Data Analysis and Visualization 2+1, 4 CP	Elective Courses 10 CP		
Parallel Programming I 3+2, 6 CP			
			Master's Colloquium 3 CP



Simulations in Engineering				
Numerical Methods for Partial Differential Equations 4+2	Fast Iterative Solvers 2+1, 4CP	SiSc Laboratory 0+3, 6 CP	Master's Thesis 27 CP	
Simulations in Physics				
From Molecular to Continuum Physics I 3+2, 6 CP	Numerical Methods for Partial Differential Equations 4+2, 8 CP	Fast Iterative Solvers 2+1, 4CP		Elective Courses 24 CP
Applied Quantum Mechanics 3+3, 6 CP	Parallel Computing in Simulation Sciences 3+2, 6 CP	materials		
From Molecular to Continuum Physics II 3+2, 6 CP	many-body			
Parallel Programming I 3+2, 6 CP	Applied Quantum Mechanics 3+3, 6 CP	Elective Courses 10 CP		
			Master's Colloquium 3 CP	
				Master's Colloquium 3 CP

SiSc Seminar

# simulation science master

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focus area: physics

*lectures*

WS

quantum mechanics

SS

computational many-body theory

correlated systems

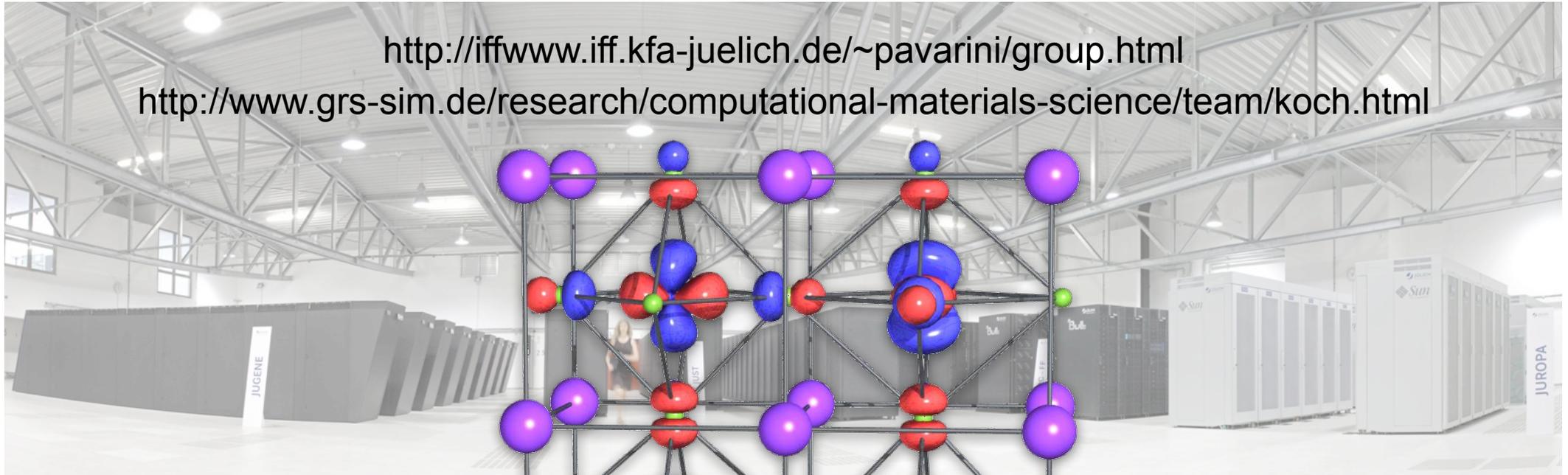
WS

symmetries in many-body problem

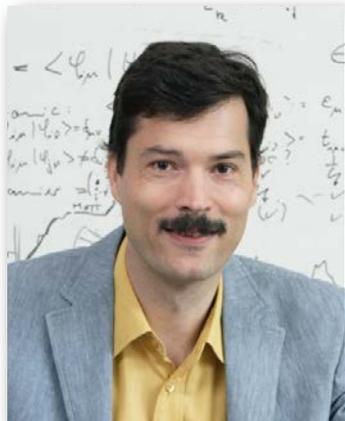
# physics of strongly-correlated systems

<http://iffwww.iff.kfa-juelich.de/~pavarini/group.html>

<http://www.grs-sim.de/research/computational-materials-science/team/koch.html>



**Erik Koch**



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**Eva Pavarini**



[E.Pavarini@fz-juelich.de](mailto:E.Pavarini@fz-juelich.de)

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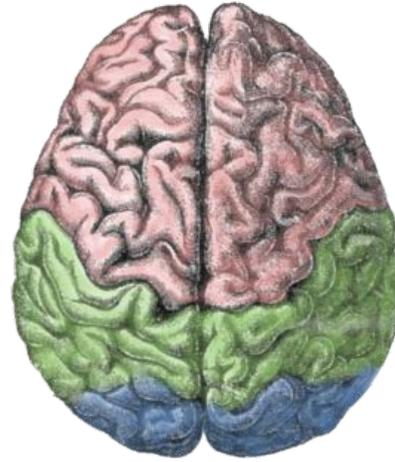
what is the aim?

understand emergent phenomena  
in electronic systems

# emergence around you

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human brain



flocking



sand dunes



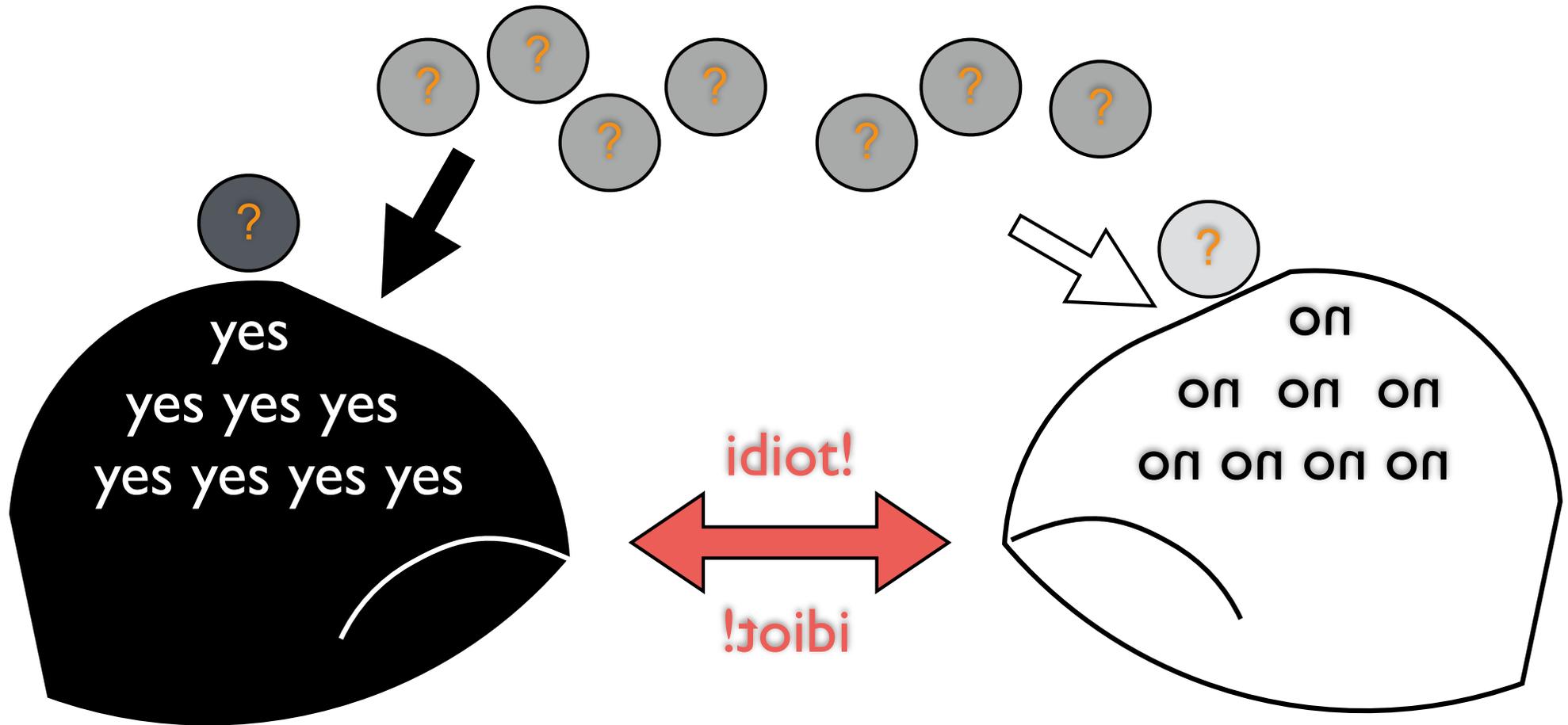
traffic jam



(photos from wikipedia)

# emergence in social media

formation of polarized opinion-bubbles



opinions vs information  
the earth is flat! alternative *facts*

# emergence in physics

## phase transitions

### ferromagnetism



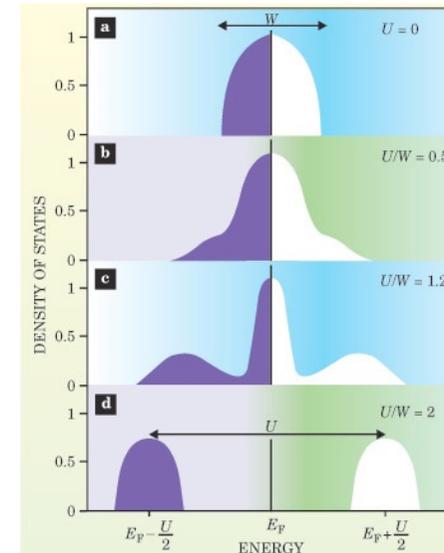
$\text{Fe}_3\text{O}_4$  Magnetite

$T_C = 858 \text{ K}$

1000 BC ?

photo from wikipedia

### Mott metal-insulator transition

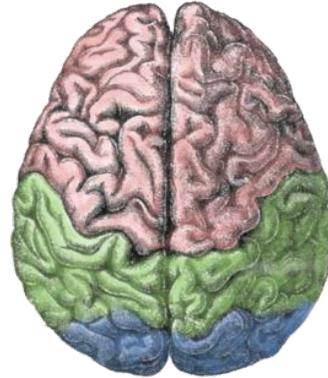


G. Kotliar and D. Vollhardt,  
Physics Today **57**, 53 (2004)

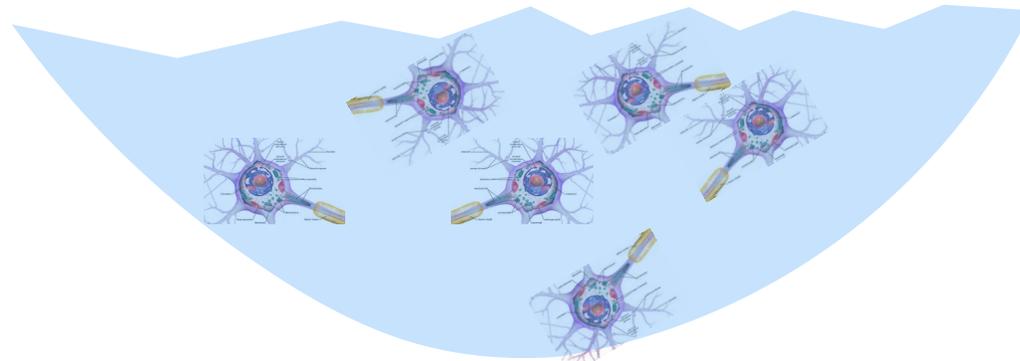
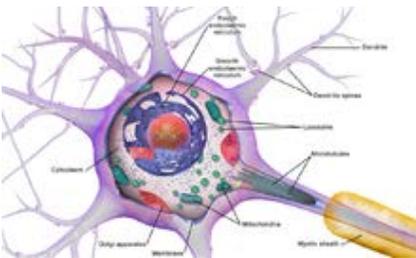
# cannot be described in *independent component* picture

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human brain



is *different from* N non-interacting neurons swimming in an average sea of information



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emergent phenomena arise from  
strong correlations

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# what are strong correlations?

when simple interactions among many particles  
lead to co-operative behavior



*more is different*

The main fallacy in this kind of thinking is that the reductionist hypothesis does not by any means imply a “constructionist” one: The ability to reduce everything to simple fundamental laws does not imply the ability to start from those laws and reconstruct the universe. In fact, the more the ele-

**Philip Warren  
Anderson**

Nobel Prize in Physics 1977

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# the classical N body problem

(physics/engineering bachelor)

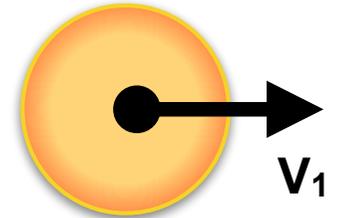
# the classical case

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1-body, no interaction

$$E = \frac{1}{2}m_1\dot{\mathbf{r}}_1^2$$

$$m_1\ddot{\mathbf{r}}_1 = 0$$

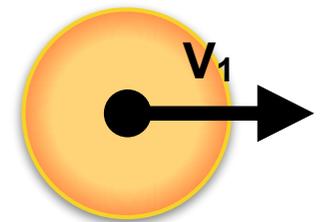
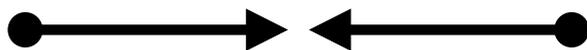


2-bodies, no interaction

$$E = \frac{1}{2}m_1\dot{\mathbf{r}}_1^2 + \frac{1}{2}m_2\dot{\mathbf{r}}_2^2$$

$$m_1\ddot{\mathbf{r}}_1 = 0$$

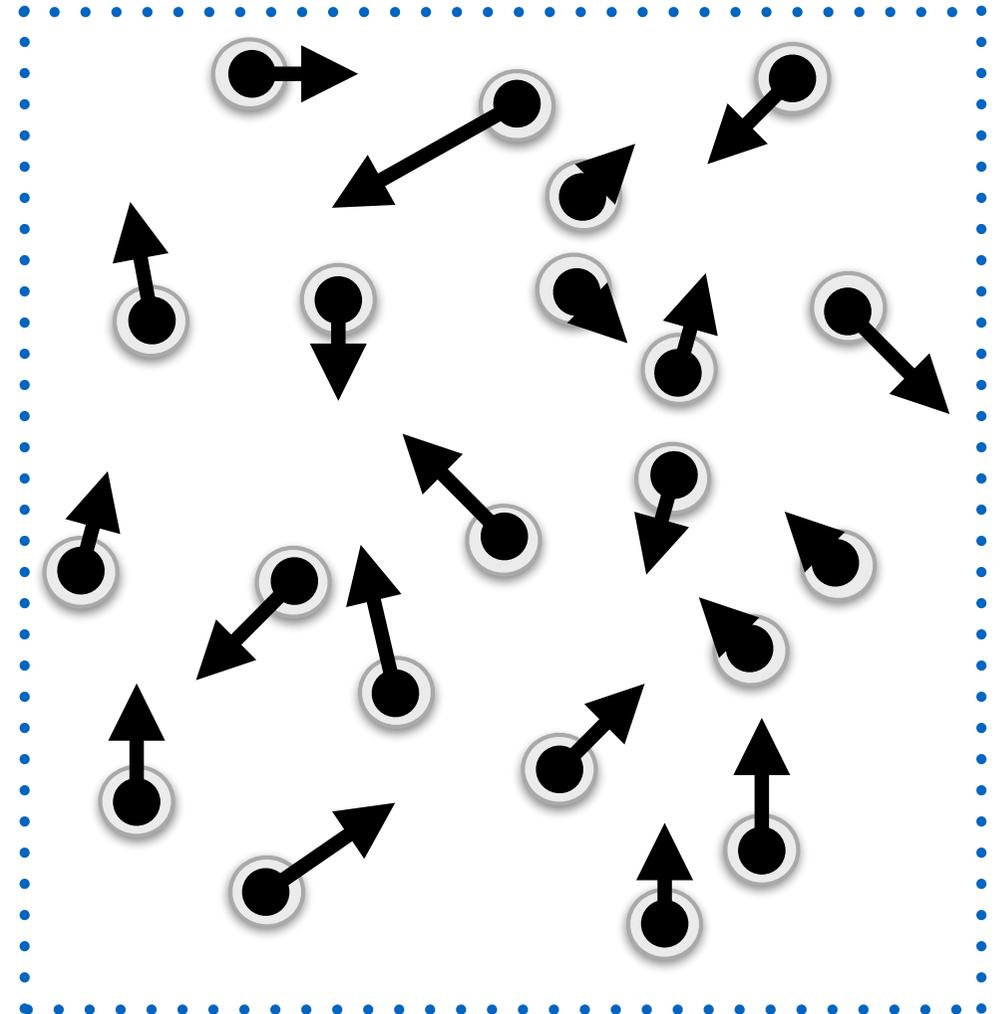
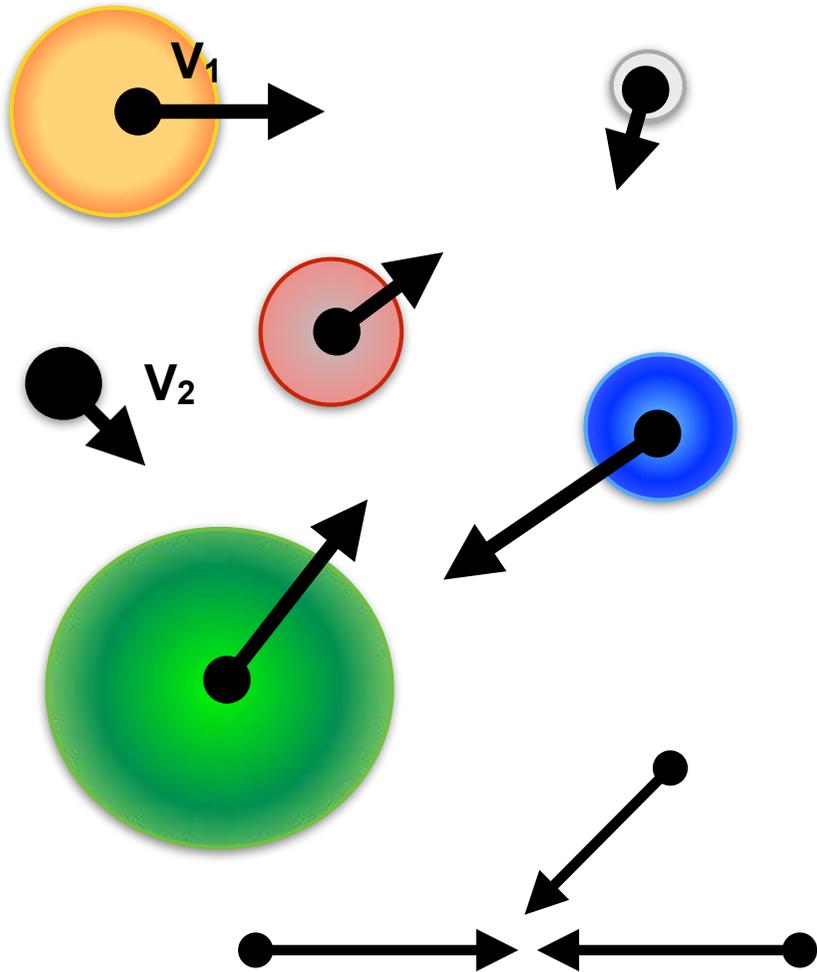
$$m_2\ddot{\mathbf{r}}_2 = 0$$



# N-bodies, no interaction

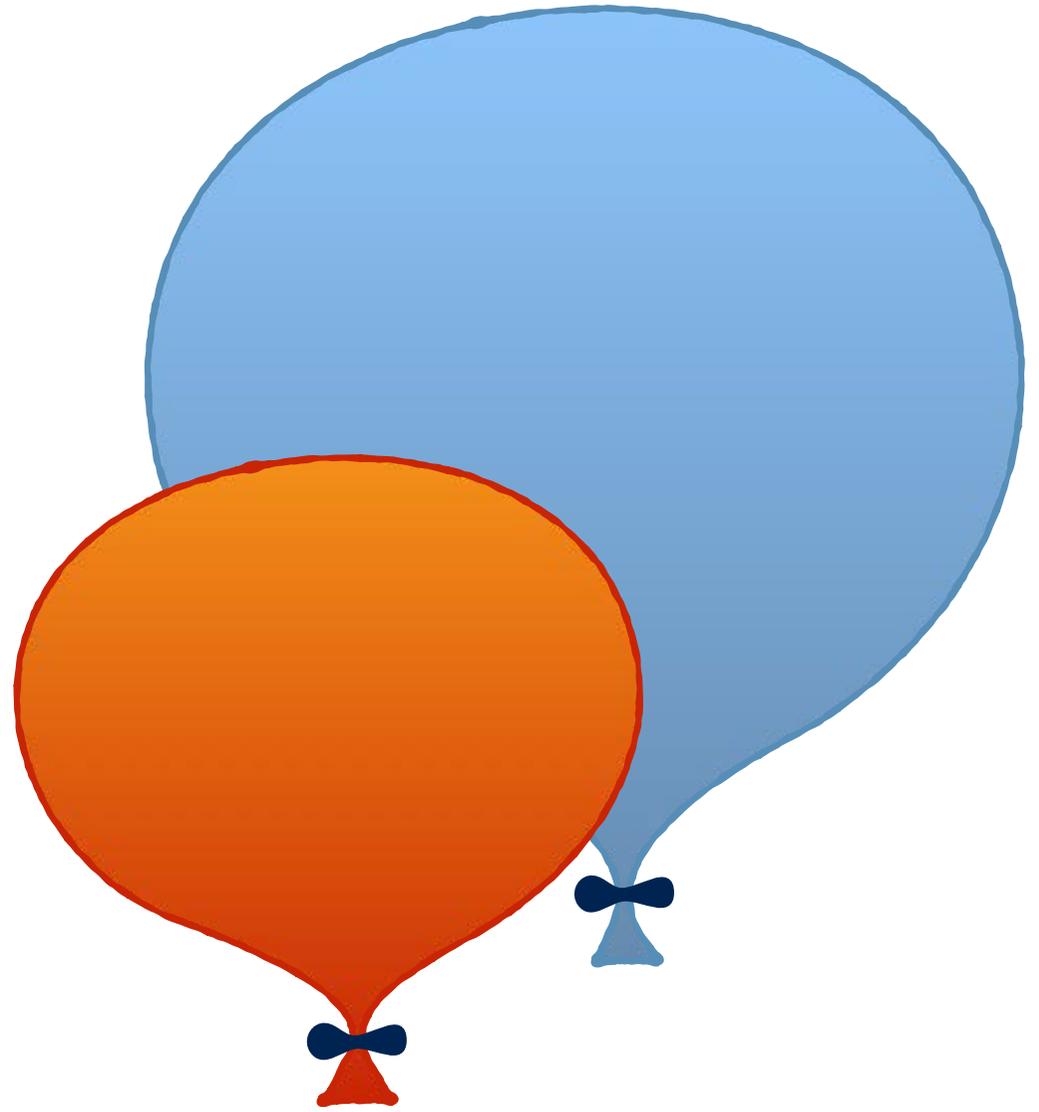
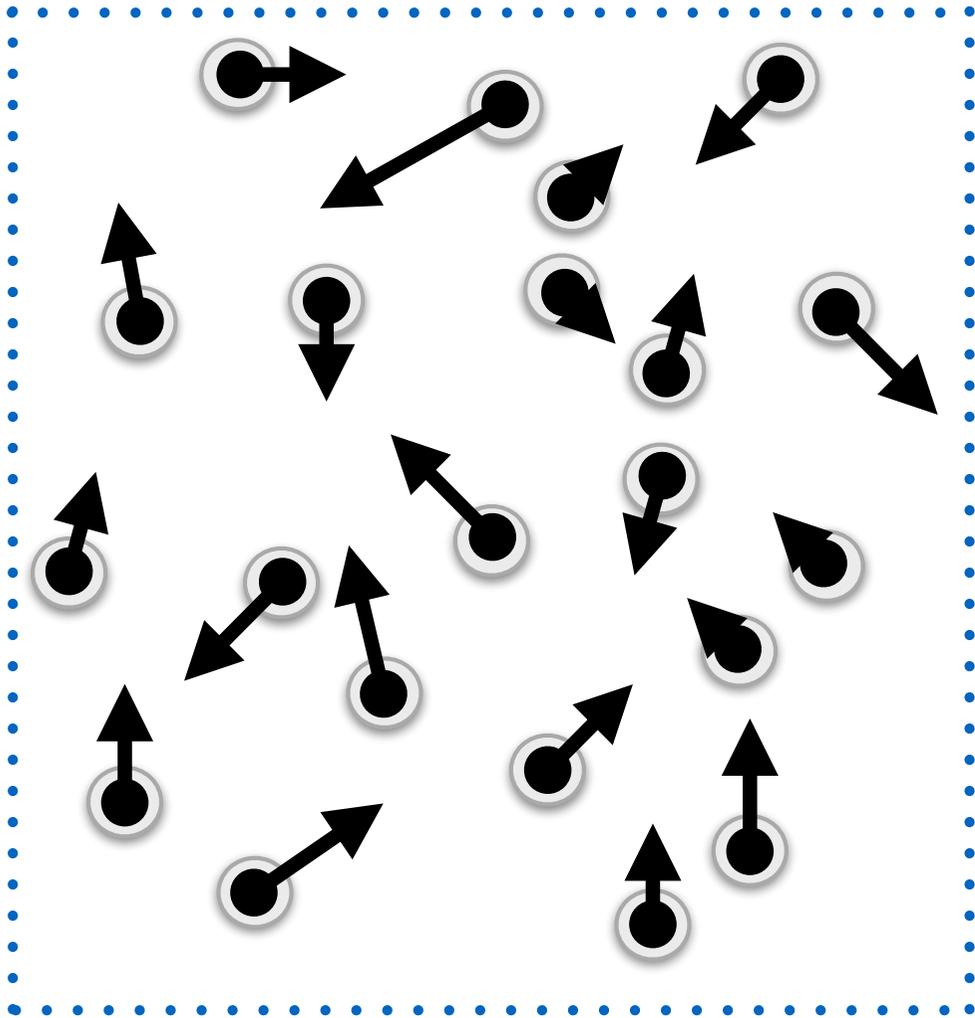
$$E = \sum_i \frac{1}{2} m_i \dot{\mathbf{r}}_i^2$$

$$PV = Nk_B T$$



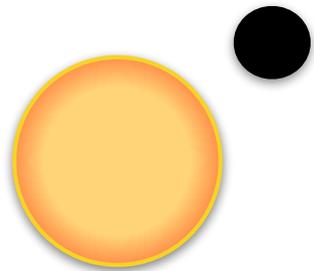
# ideal gas

$$PV=Nk_B T$$

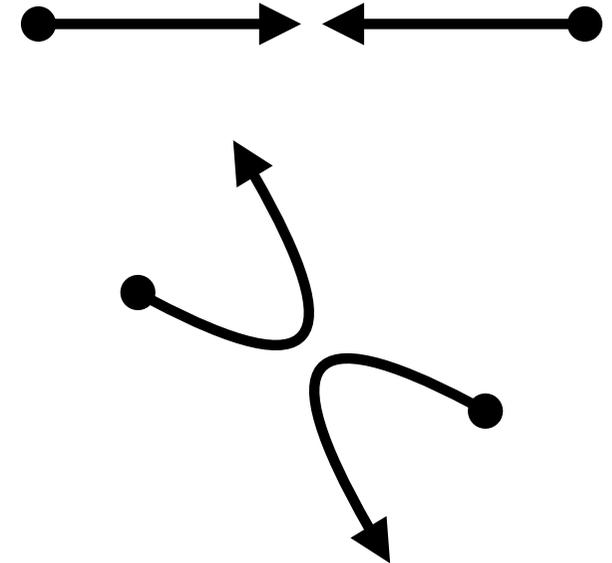


# interacting classical 2-body problem

two bodies: analytically solvable problem



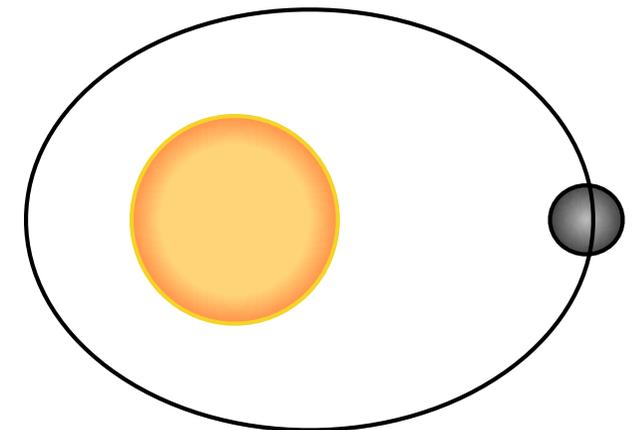
$$\begin{cases} m_1 \ddot{\mathbf{r}}_1 = \mathbf{F}_{12} \\ m_2 \ddot{\mathbf{r}}_2 = \mathbf{F}_{21} \end{cases}$$



center of mass and relative coordinates

$$\mathbf{R} = \frac{\mathbf{r}_1 m_1 + \mathbf{r}_2 m_2}{m_1 + m_2} \quad \mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$$

$$M = m_1 + m_2 \quad \mu = \frac{m_1 m_2}{m_1 + m_2}$$



# classical 3-body problem

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## *Oscar II's Prize Competition and the Error in Poincaré's Memoir on the Three Body Problem*

JUNE BARROW-GREEN

*Communicated by* JESPER LÜTZEN

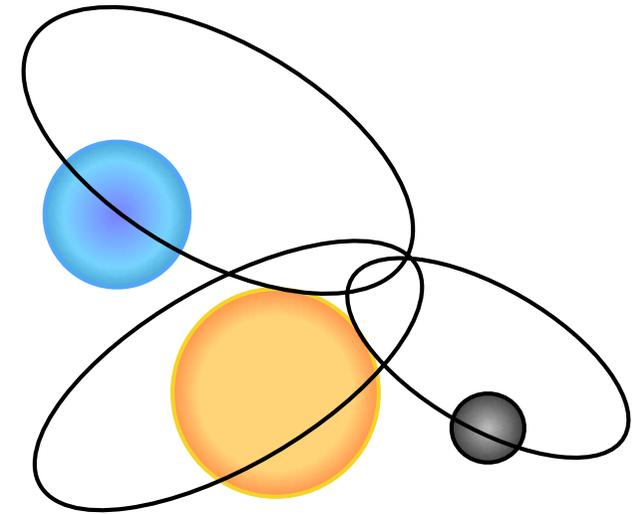
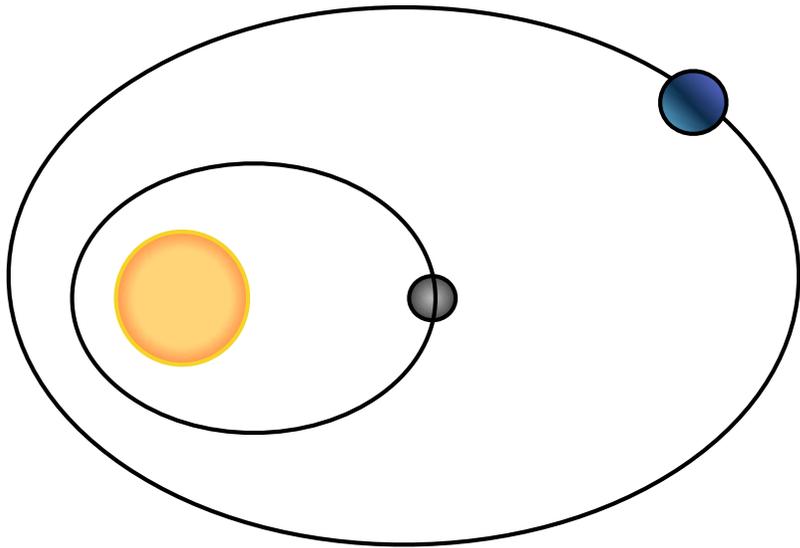
### **Introduction**

In the autumn of 1890 HENRI POINCARÉ's memoir on the three body problem [1] was published in the journal *Acta Mathematica* as the winning entry in the international prize competition sponsored by OSCAR II, King of Sweden and Norway, to mark his 60<sup>th</sup> birthday on January 21, 1889. Today POINCARÉ's published memoir is renowned both for providing the foundations for his celebrated three-volume *Méthodes Nouvelles de la Mécanique Céleste* [2] and for containing the first mathematical description of chaotic behavior in a dynamical system.

# interacting classical 3-body problem

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chaotic behavior is possible



**butterfly effect: behavior highly sensitive to initial conditions**

the present determines the future,  
but the approximate present does not approximately determine the future  
(Edward Lorenz)

# Sundmann series solution (1907-1912)

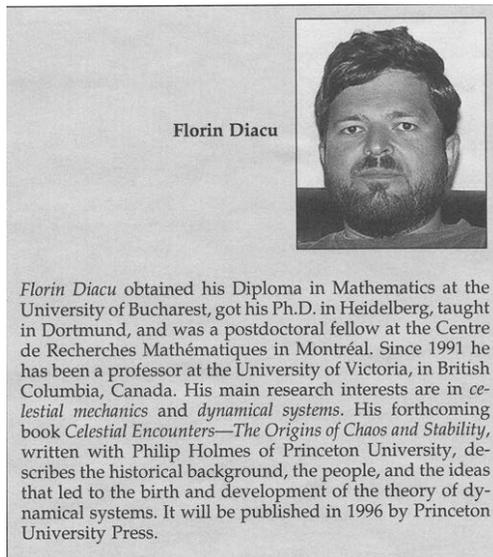
For the 3-body problem there is series solution in powers of  $t^{1/3}$  which converges for any  $t^{(*)}$

(\*) with exception of some initial conditions



Karl Frithiof Sundman

Finnish



Florin Diacu

Florin Diacu obtained his Diploma in Mathematics at the University of Bucharest, got his Ph.D. in Heidelberg, taught in Dortmund, and was a postdoctoral fellow at the Centre de Recherches Mathématiques in Montréal. Since 1991 he has been a professor at the University of Victoria, in British Columbia, Canada. His main research interests are in *celestial mechanics* and *dynamical systems*. His forthcoming book *Celestial Encounters—The Origins of Chaos and Stability*, written with Philip Holmes of Princeton University, describes the historical background, the people, and the ideas that led to the birth and development of the theory of dynamical systems. It will be published in 1996 by Princeton University Press.

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## The Solution of the $n$ -body Problem\*

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Florin Diacu

# what about $N > 3$ ?

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## The Solution of the $n$ -body Problem\*

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Florin Diacu

[...] It took about 7 decades until the general case was solved. In 1991, a Chinese student, Quidong (Don) Wang, published a beautiful paper [Wa], [D1], in which he provided a convergent power series solution of the  $n$ -body problem.

Did this mean the end of the  $n$ -body problem? Was this old question—unsuccessfully attacked by the greatest mathematicians of the last 3 centuries—merely solved by a student in a moment of rare inspiration?

[...] Paradoxically [...] not; in fact we know nothing more than before having this solution.

# exact solution does not help

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## The Solution of the $n$ -body Problem\*

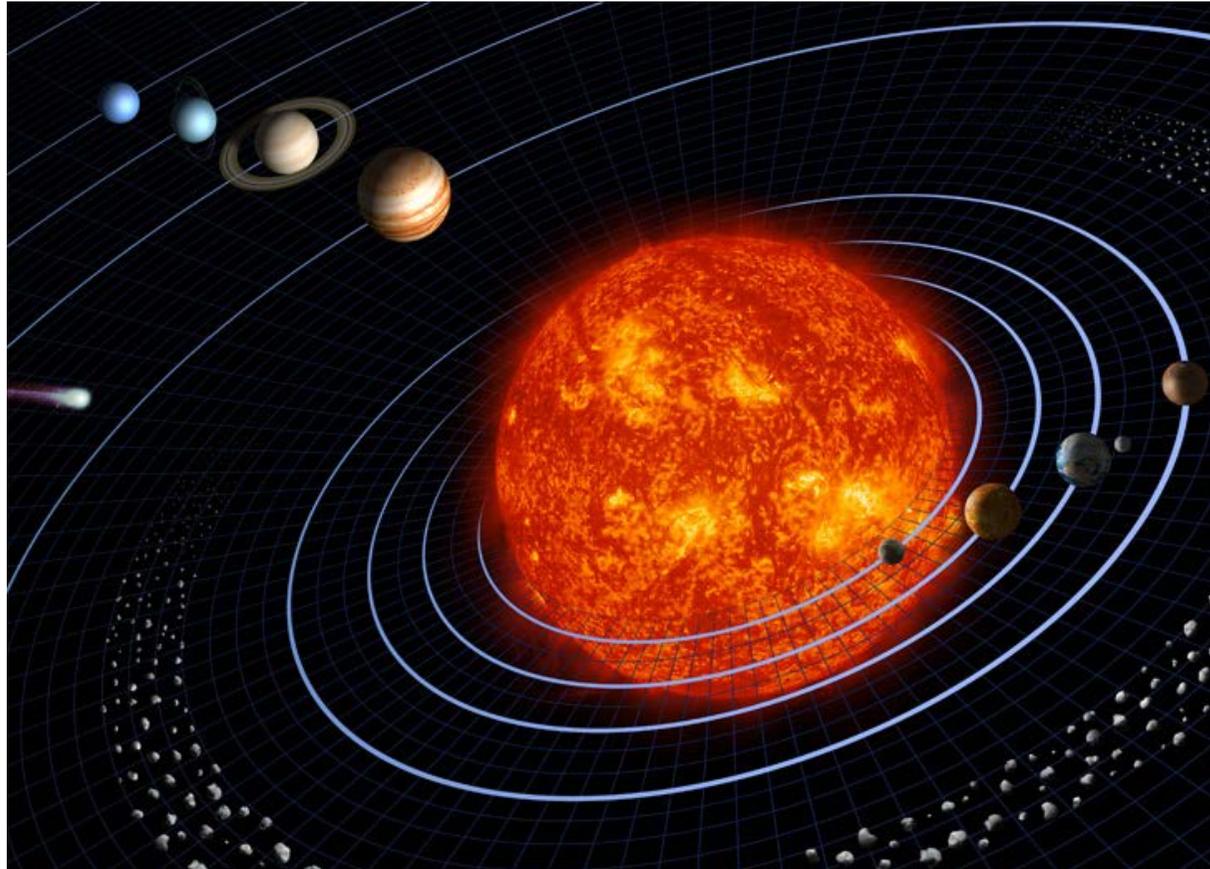
Florin Diacu

### The Foundations of Mathematics

What Sundman and Wang did is in accord with the way solutions of initial value problems are defined; everything is apparently all right; but there is a problem, a big one: these series solutions, though convergent on the whole real axis, have very slow convergence. One would have to sum up millions of terms to determine the motion of the particles for insignificantly short intervals of time. The round-off errors make these series unusable in numerical work. From the theoretical point of view, these solutions add nothing to what was previously known about the  $n$ -body problem.

# emergent behavior: novel approaches

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(from NASA website)

## **Kolmogorov–Arnold–Moser theorem**

If masses, eccentricities, and inclinations of planets are small enough, many initial conditions lead to quasiperiodic planetary trajectories

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and in quantum mechanics?

# the quantum N-body problem



already 1 body is difficult

- uncertainty principle

$$\Delta x \Delta v \geq \frac{1}{2} \frac{\hbar}{m}$$

- described via wavefunction

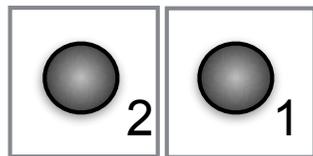
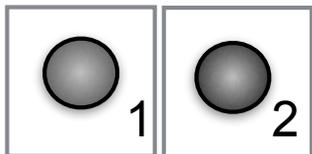
$$\Psi(\mathbf{r}) \quad |\Psi(\mathbf{r})|^2$$

- eigenvalue problem & discrete energies

$$\hat{H}_0 \Psi(\mathbf{r}) = \varepsilon \Psi(\mathbf{r})$$

2-bodies non interacting

- particles are identical **and** indistinguishable



- fermions

$$\psi(r_1)\psi(r_2) - \psi(r_2)\psi(r_1)$$

$$\hat{H}_0 = \sum_i \hat{H}_i^0$$

Slater determinant

# quantum N-body problem, no interaction

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$$\hat{H}_0 = \sum_i \hat{H}_i^0$$

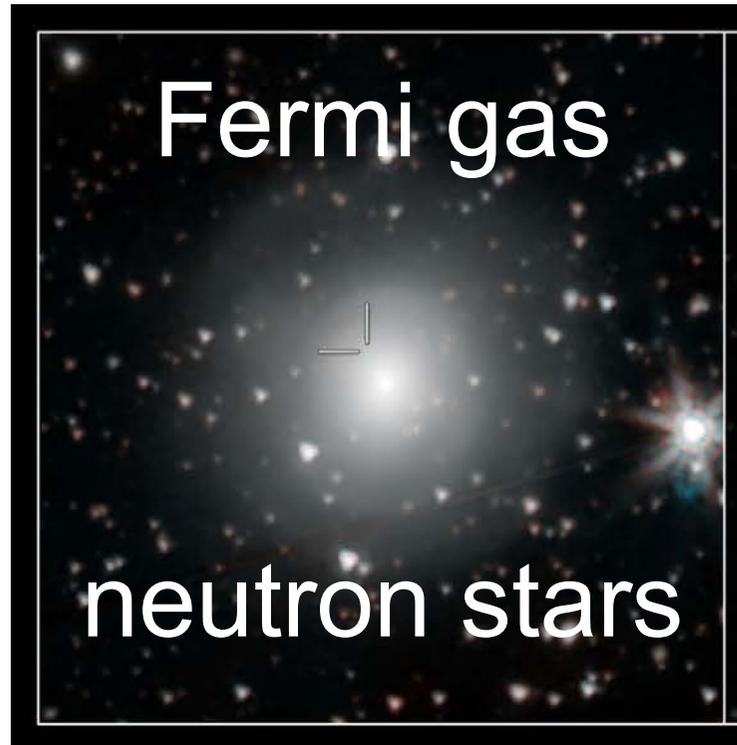
$$\hat{H}_i^0 \Psi(r_i) = \varepsilon_i \Psi(r_i)$$

$$E = \sum_i \varepsilon_i$$

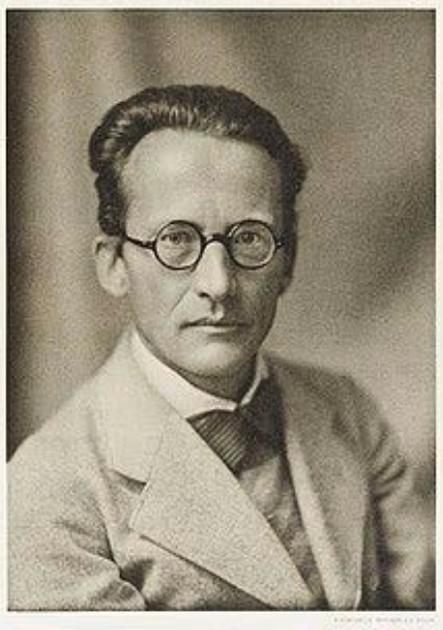
$$\Psi = \Psi(r_1) \Psi(r_2) \dots \Psi(r_N)$$

(classical/mean field)

+ antisymmetrization  
(Slater determinant)



# the Schrödinger equation



Erwin Rudolf Josef Alexander Schrödinger

$$i\hbar \frac{d}{dt} |\Psi(t)\rangle = \hat{H} |\Psi(t)\rangle$$

$$\hat{H} = \sum_i \hat{H}_i^0 + \boxed{\sum_{i \neq i'} \frac{1}{|\mathbf{r}_i - \mathbf{r}_{i'}|}} + \hat{H}_n$$

$$\hat{H}_i^0 = -\frac{1}{2} \nabla_i^2 - \sum_{i, \alpha} \frac{Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|}$$

# the interacting quantum N-body problem

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the theory of almost everything

$$\hat{H} = \boxed{\sum_i \hat{H}_i^0} + \boxed{\sum_{i \neq i'} \frac{1}{|\mathbf{r}_i - \mathbf{r}_{i'}|}} + \hat{H}_n$$

kinetic+potential energy    electron-electron interaction

$$\hat{H}_i^0 = -\frac{1}{2} \nabla_i^2 - \sum_{i,\alpha} \frac{Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|}$$

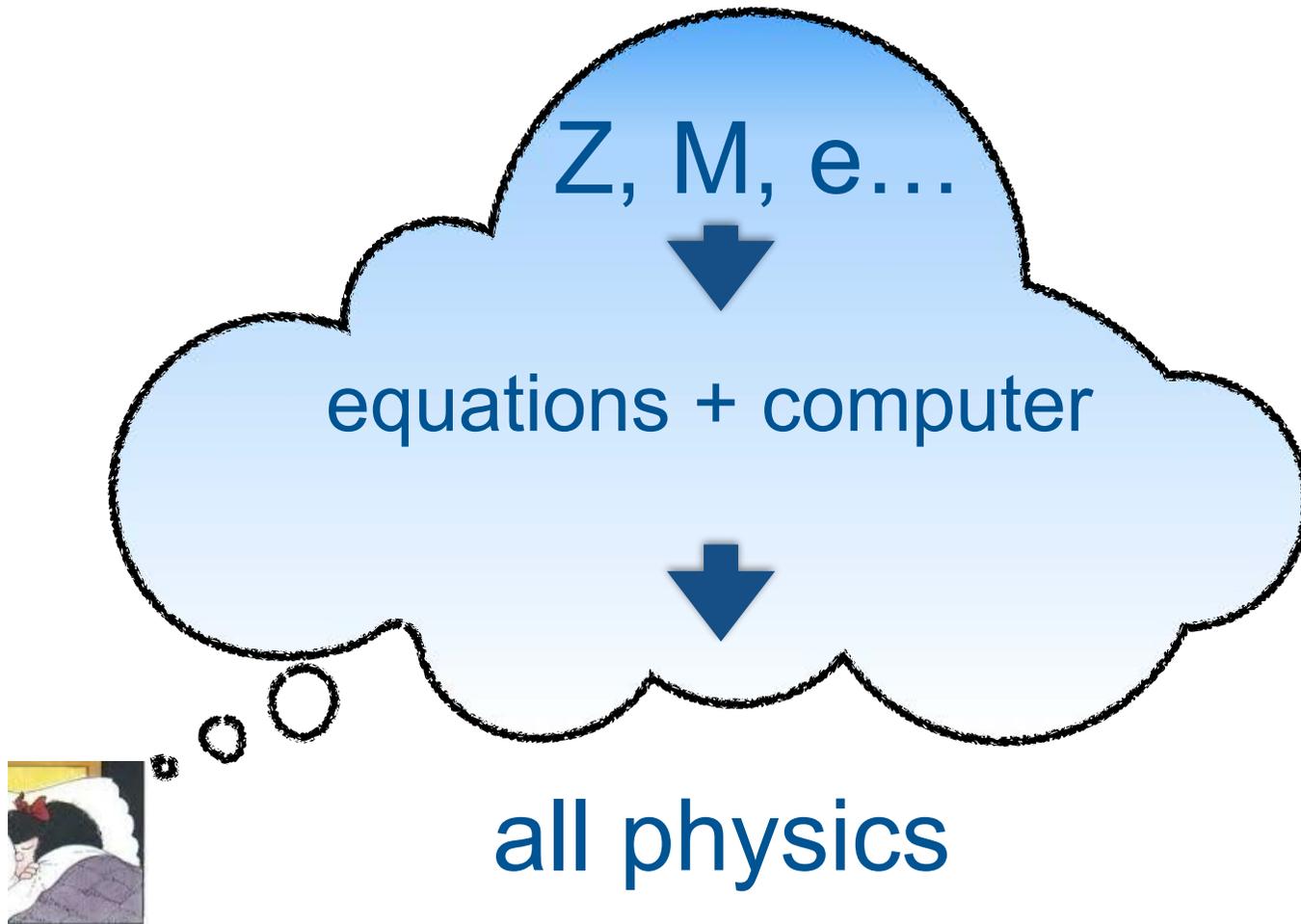
can we solve this problem?

*In so far as quantum mechanics is correct, chemical questions are problems in **applied mathematics***

H. Eyring, J.E. Walter and E. Kimball, *Quantum Chemistry*, 1949

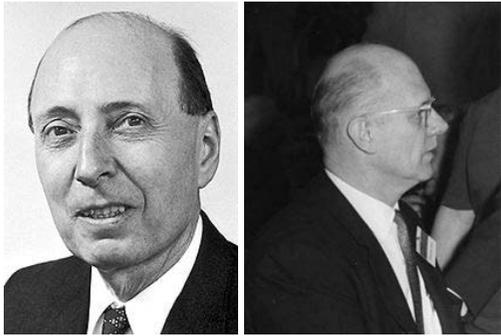
if **yes**, can we make a Great Dream Machine?

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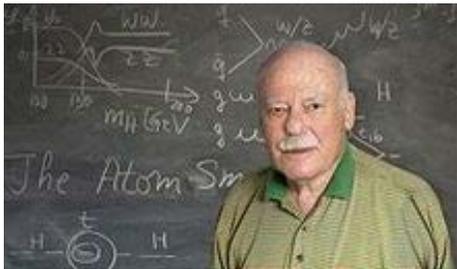
however, *quantum* N-body problem: **no exact solution**

# ... and the exact solution would be **useless**



E. Wigner and F. Seitz

If one had a **great calculating machine**, one might apply it to the problem of solving the Schrödinger equation for each metal [...] It is not clear, however, that a great deal would be gained by this. Presumably the results would agree with the experimentally determined quantities and **nothing vastly new would be learned from the calculation.** [. . .].



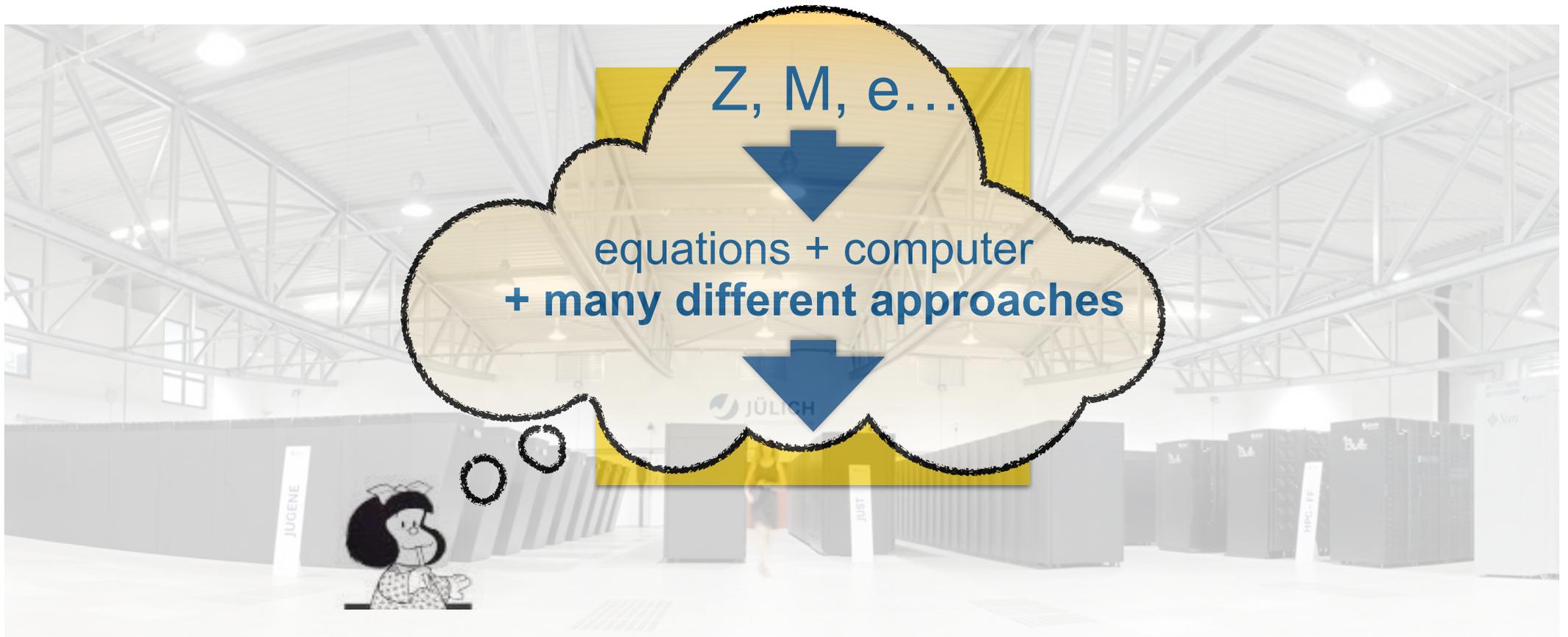
H.J. Lipkin

On the other hand, the exact solution of a many-body problem is **really irrelevant** since it includes a large mass of information about the system which although measurable in principle is never measured in practice.

[..] **An incomplete description of the system is considered to be sufficient if these measurable quantities and their behavior are described correctly.**

# the Practical Great Dream Machine

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answer to **relevant** questions

# the Practical Great Dream Machine

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why do atoms exist? how can we explain the periodic table?

what is the mechanism of high- $T_c$  superconductivity?

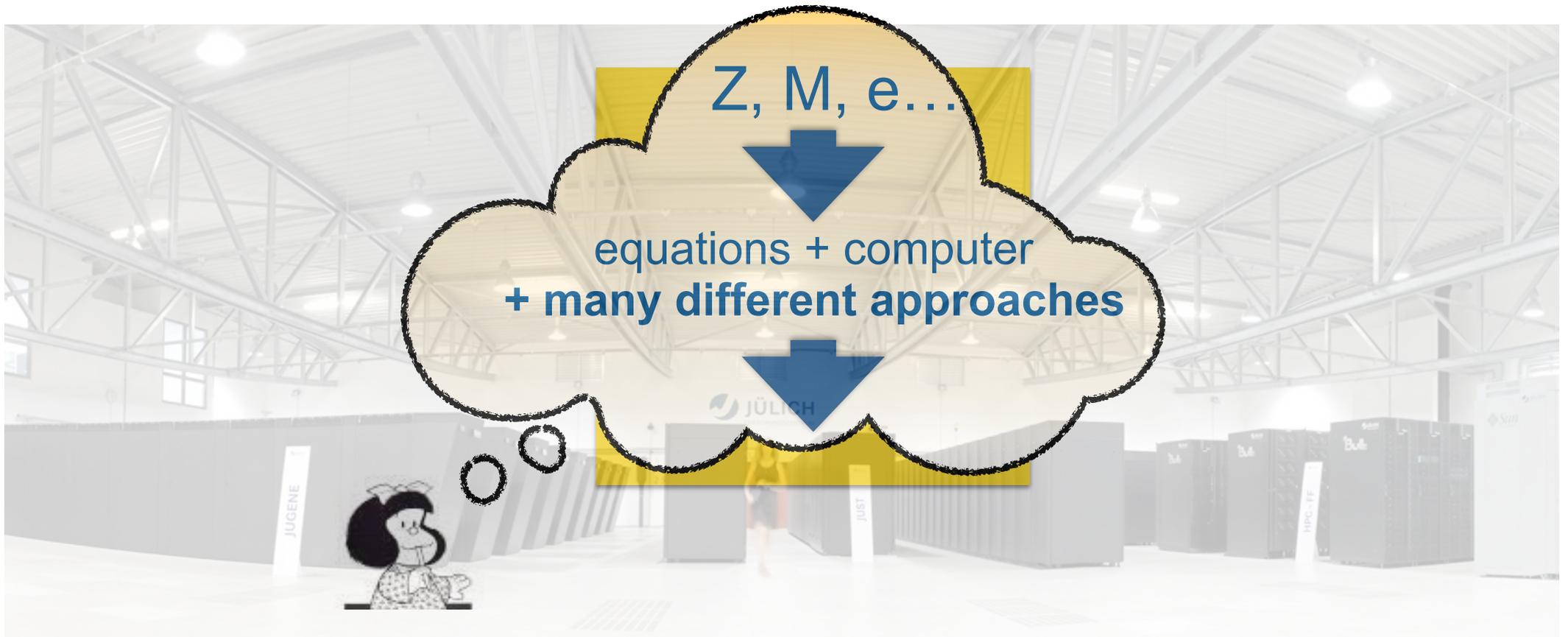
why are some systems metals and others insulators?

what is the mechanism of orbital ordering?

no two samples are identical: **generic** features only

# the Practical Great Dream Machine

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answer to **relevant** questions

# give up exact solutions

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$$\hat{H}_e = -\frac{1}{2} \sum_i \nabla_i^2 + \frac{1}{2} \sum_{i \neq i'} \frac{1}{|\mathbf{r}_i - \mathbf{r}_{i'}|} + \sum_{i,\alpha} \frac{Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|} + \frac{1}{2} \sum_{\alpha \neq \alpha'} \frac{Z_\alpha Z_{\alpha'}}{|\mathbf{R}_\alpha - \mathbf{R}_{\alpha'}|}$$

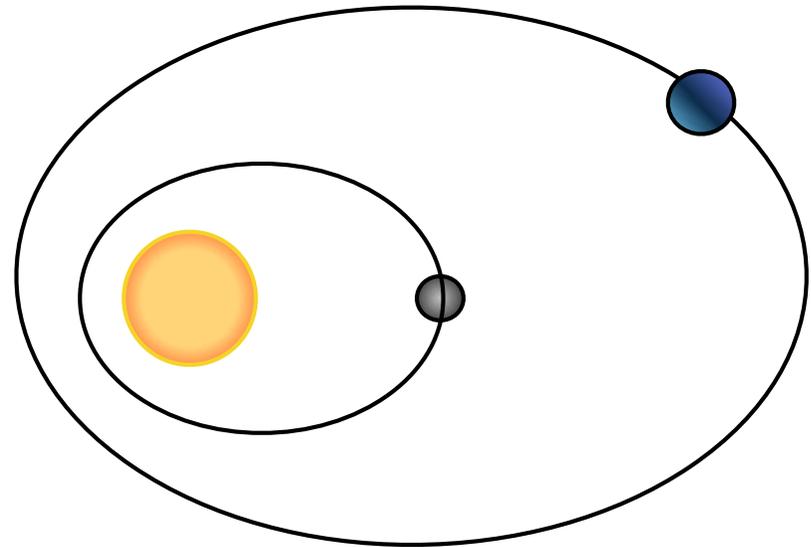
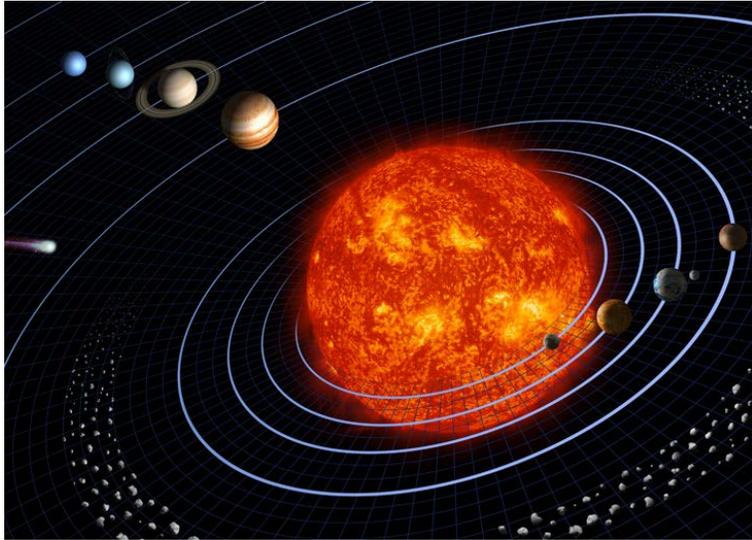
minimal model for a given class of phenomena

as system-specific as possible

& find approximate methods that work

# minimal models that capture the phenomenon

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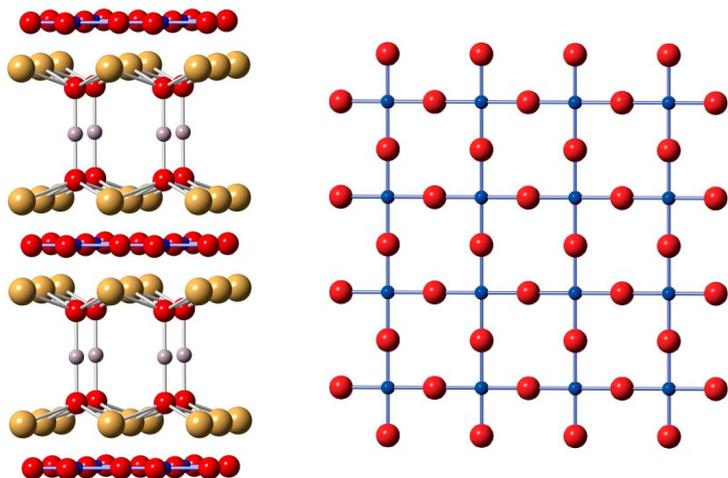


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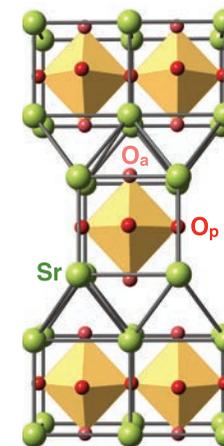
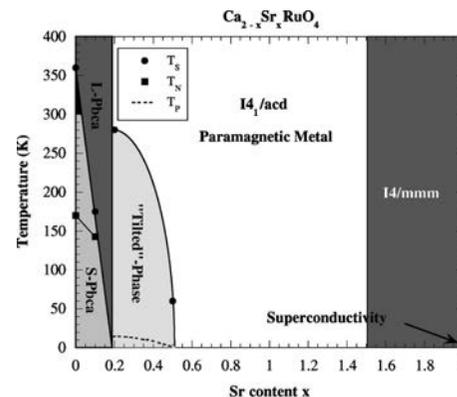
which phenomena?

# open challenges

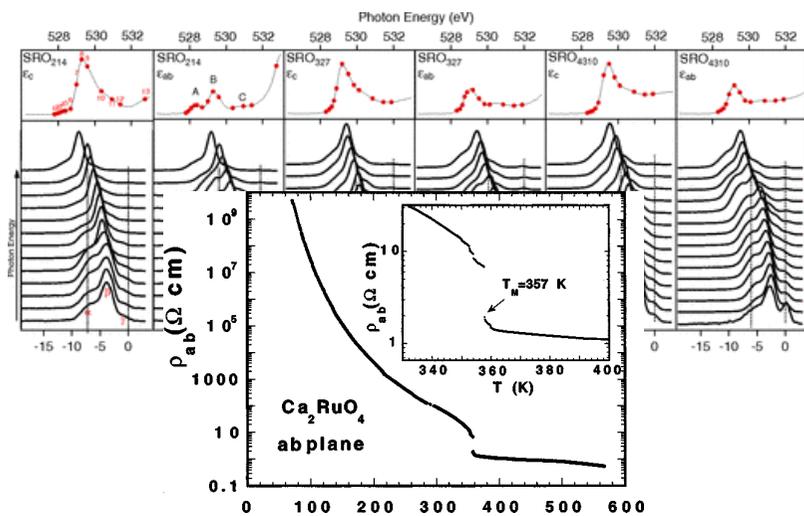
high-temperature superconductivity



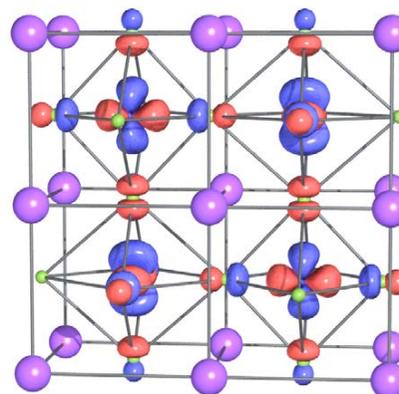
unconventional superconductivity



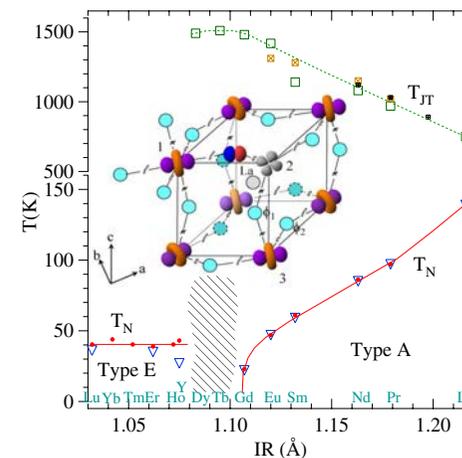
the metal-insulator transition



orbital order



order-to-disorder



# a key problem: metal or insulator?

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diamond



silicon



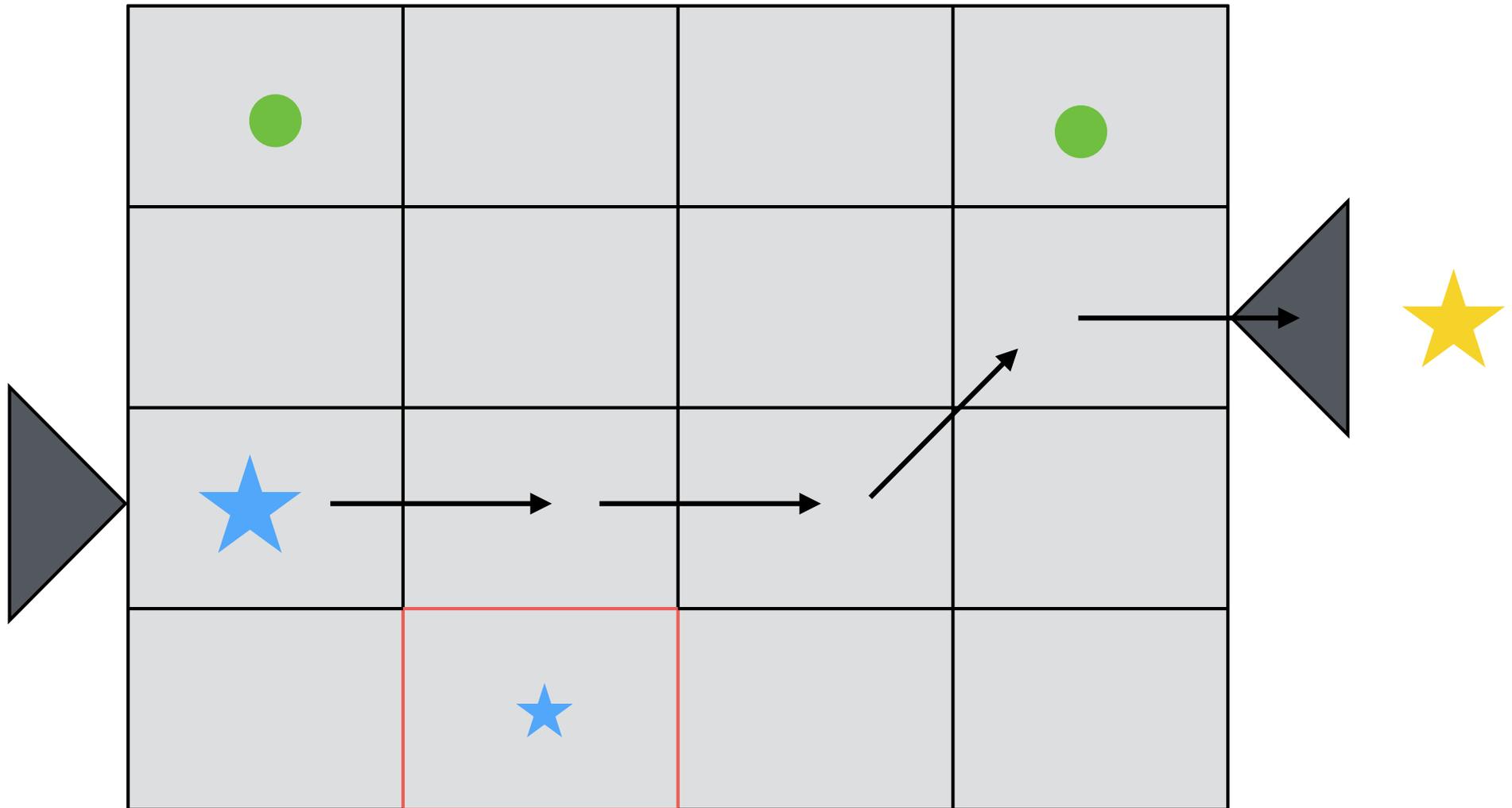
copper

photos from wikipedia

# independent particle picture

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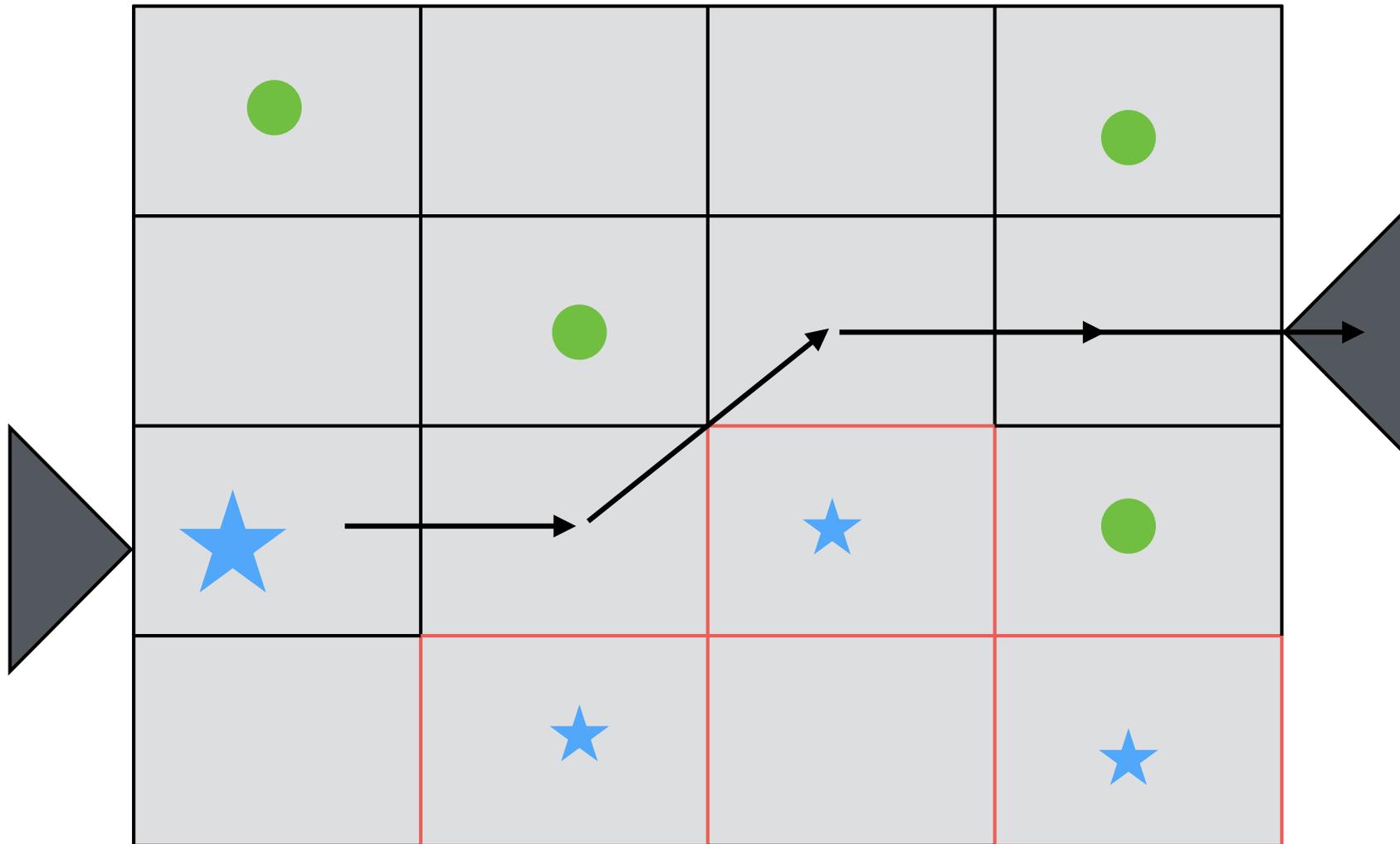
almost empty: 2+2



# independent particle picture

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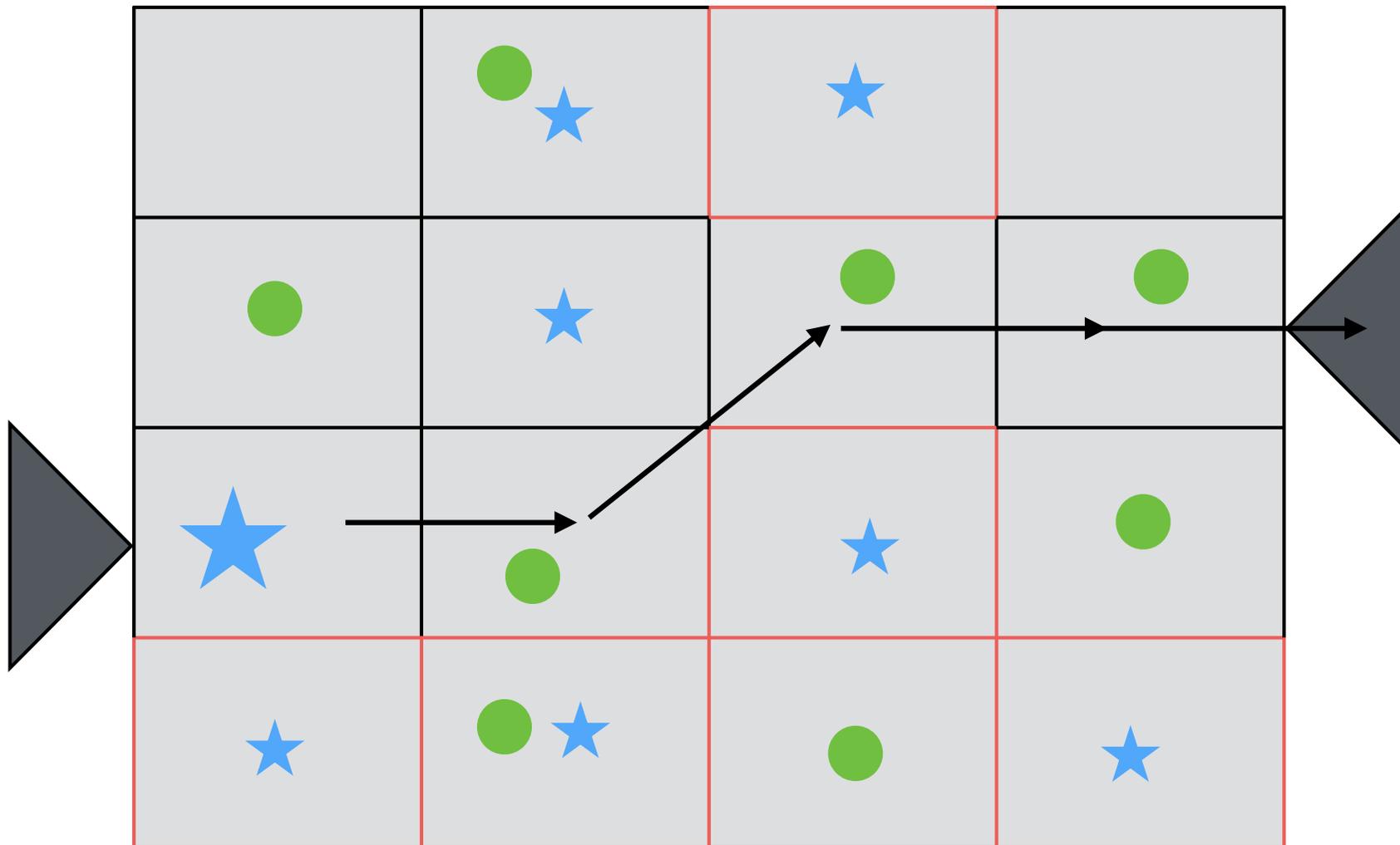
low filling: 4+4



# independent particle picture

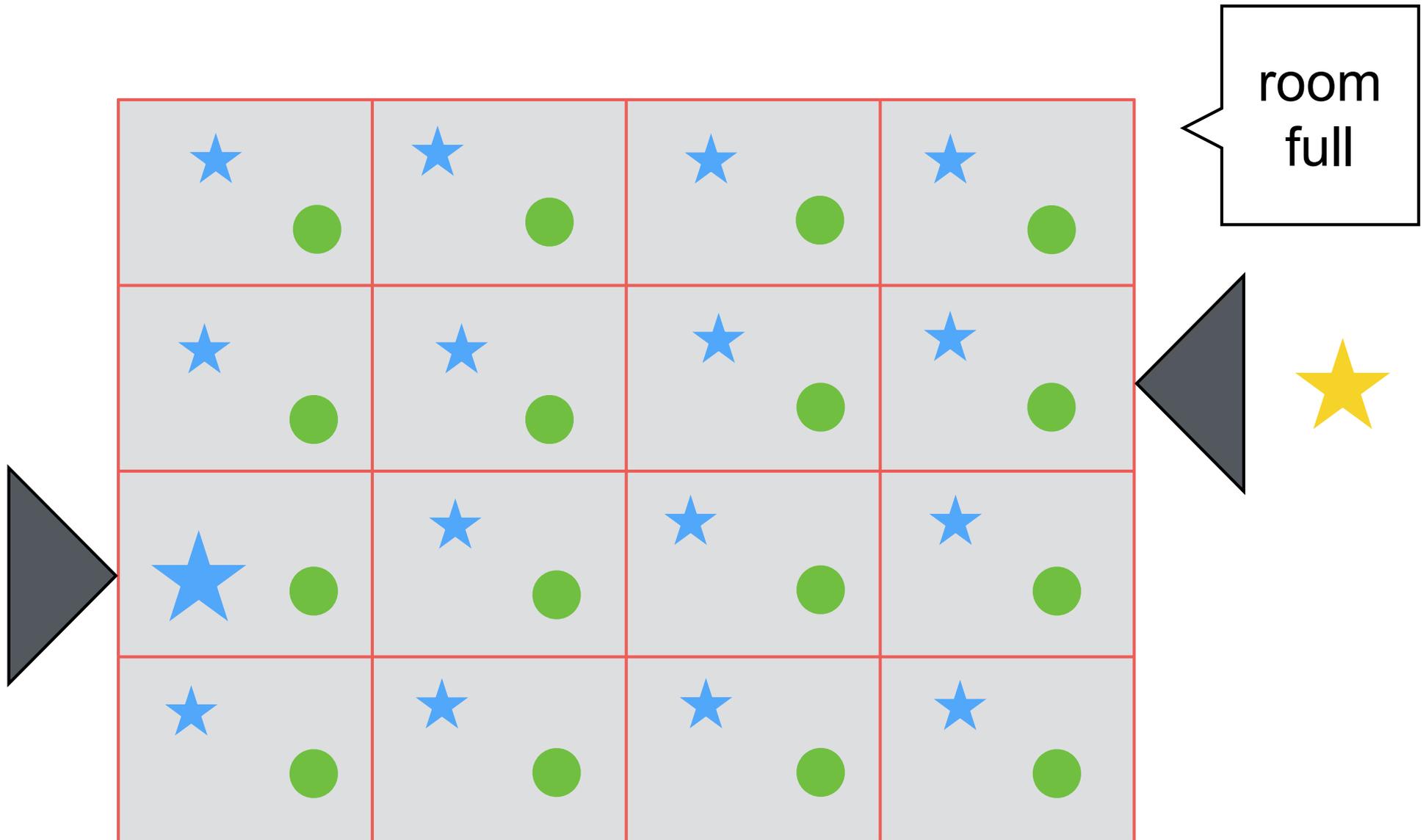
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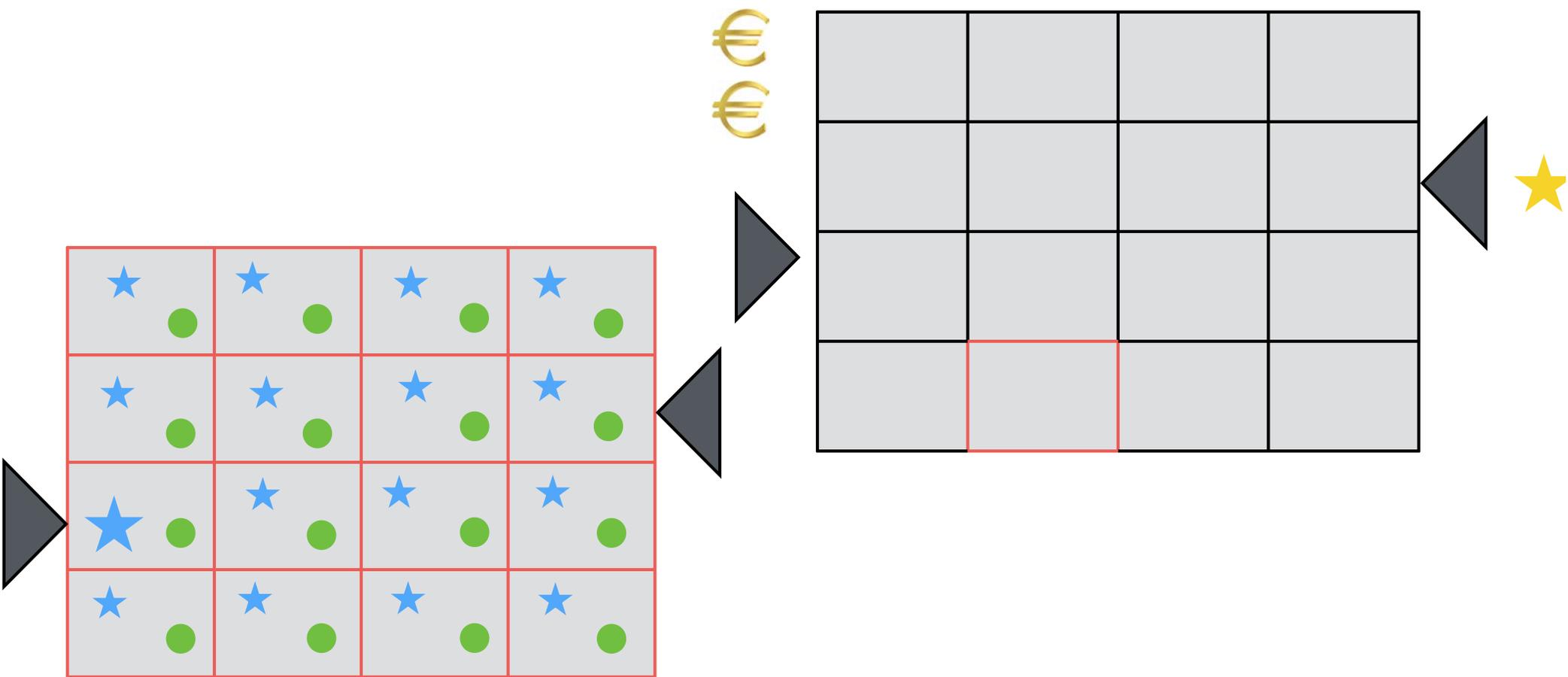
half filling: 8+8



# independent particle picture

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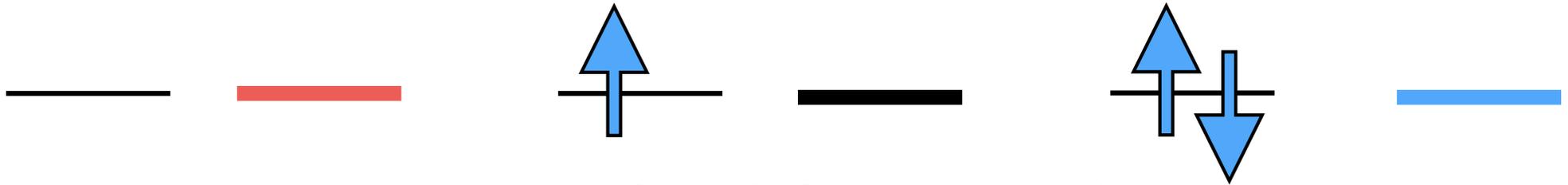




# independent-electron picture

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Pauli principle: each level is filled with max two electrons



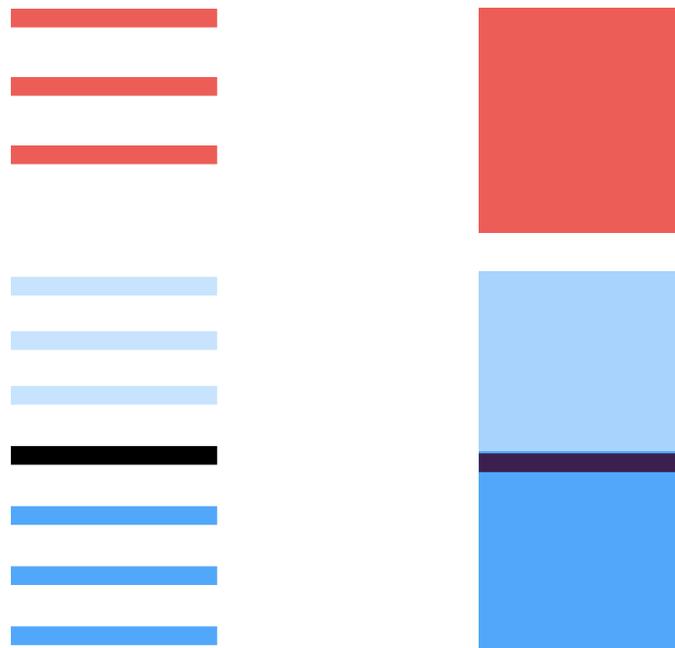
even number of electrons per site  
might result in a gapped system (insulator)



# independent-electron picture

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odd number of electrons per site yield a system with no gap



# independent-electron picture

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insulator



metal



within this picture

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diamond



silicon

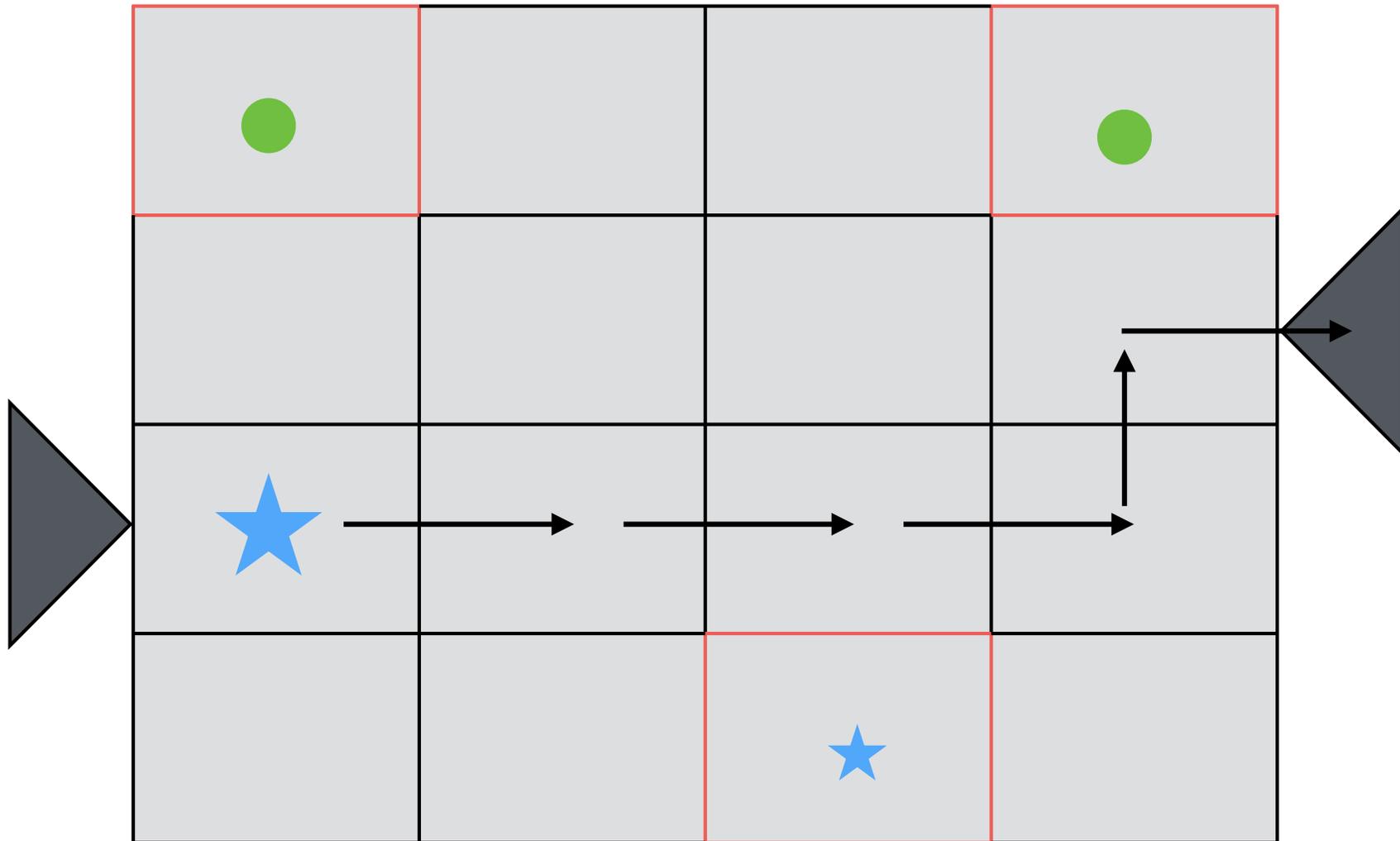


copper

# interacting particle picture

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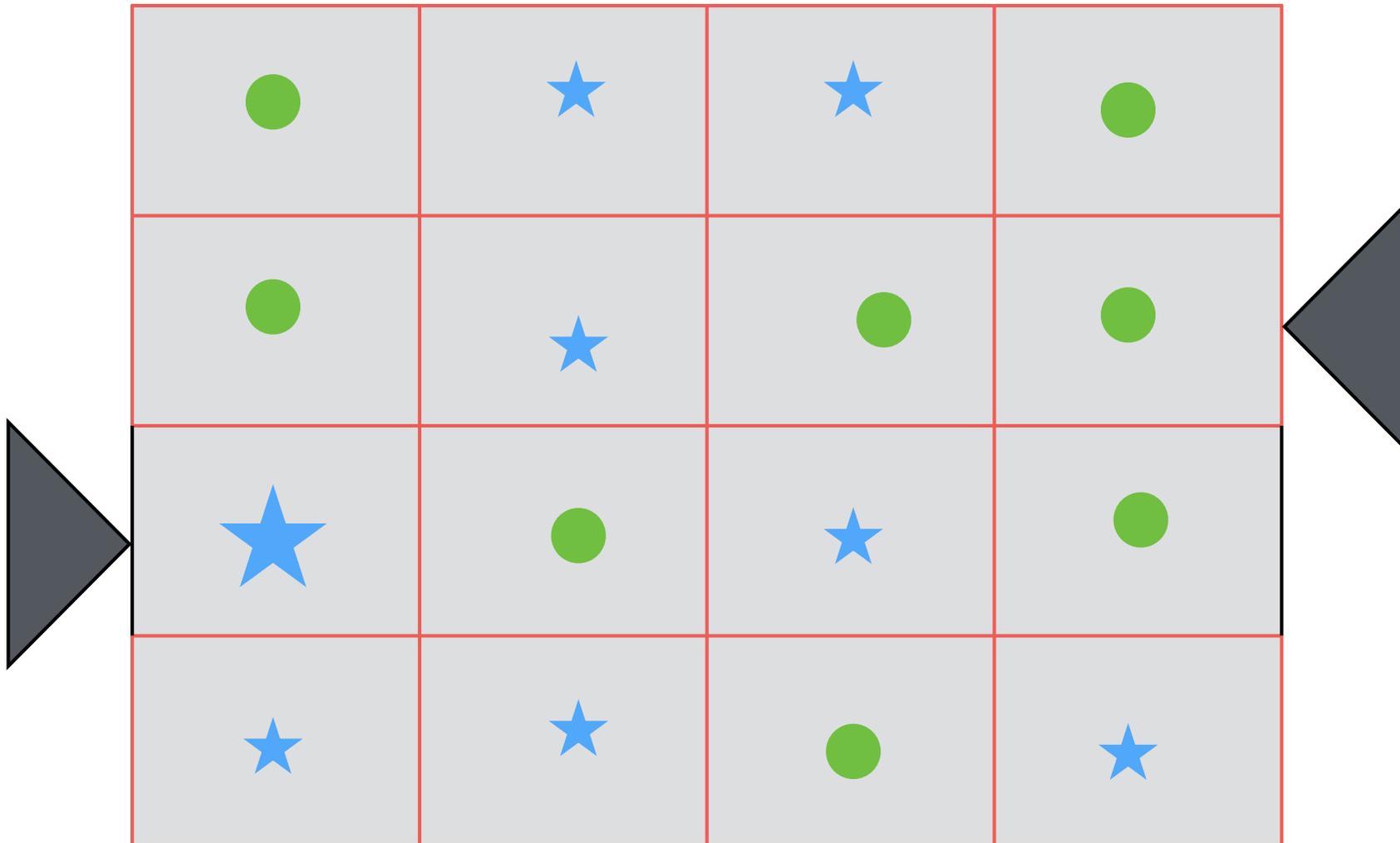
almost empty: 2+2



# independent particle picture

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half filling (1 particle per site): 8+8



# strongly correlated systems

paramagnetic Mott insulators are either metals or magnetically ordered insulators in independent electron picture

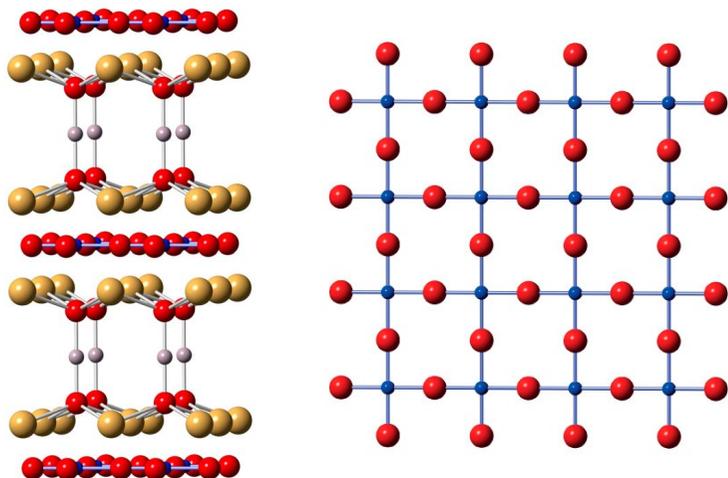
The periodic table is color-coded to highlight strongly correlated systems. The d-block (transition metals) and f-block (lanthanides and actinides) are highlighted in red. The p-block elements from Boron to Xenon are highlighted in blue. Carbon (C) is specifically highlighted with a white dashed border. Hydrogen (H) and Helium (He) are highlighted in yellow.

H																He	
Li	Be										B	C	N	O	F	Ne	
Na	Mg										Al	Si	P	S	Cl	Ar	
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Lr	Rf	Db	Sg	Bh	Hs	Mt									
		La	Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb		
		Ac	Th	Pa	U	Np	Pu	Am	Cm	Bk	Cf	Es	Fm	Md	No		

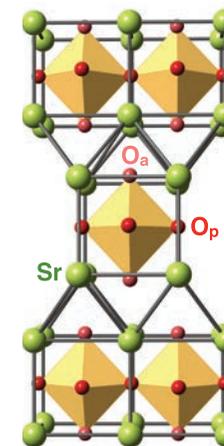
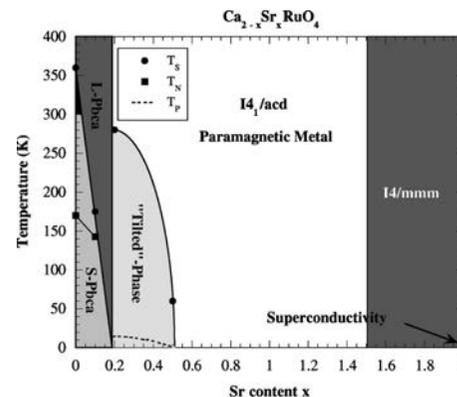
Coulomb-induced metal-insulator transition  
heavy-Fermions  
unconventional superconductivity  
spin-charge separation

# open challenges

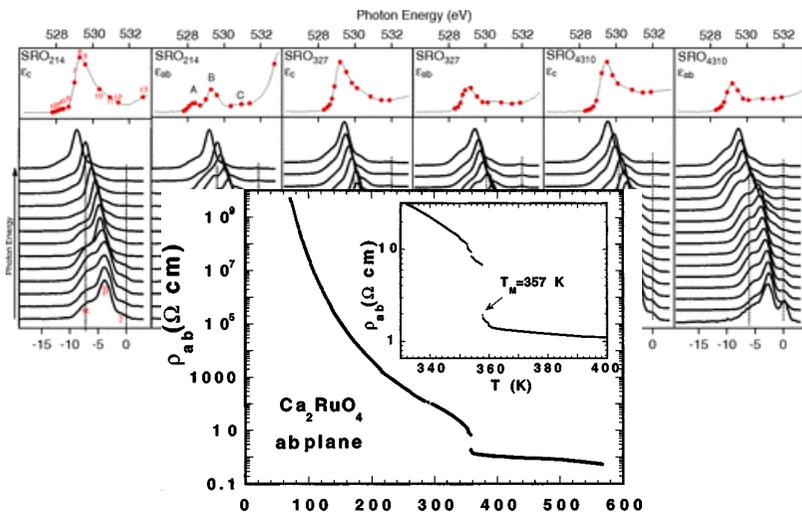
high-temperature superconductivity



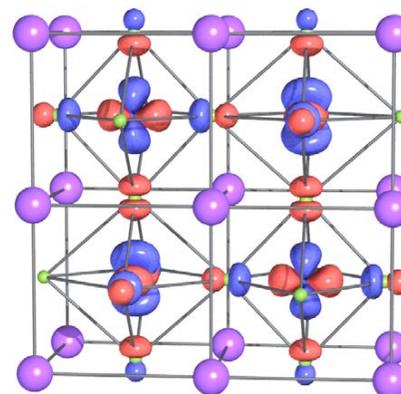
unconventional superconductivity



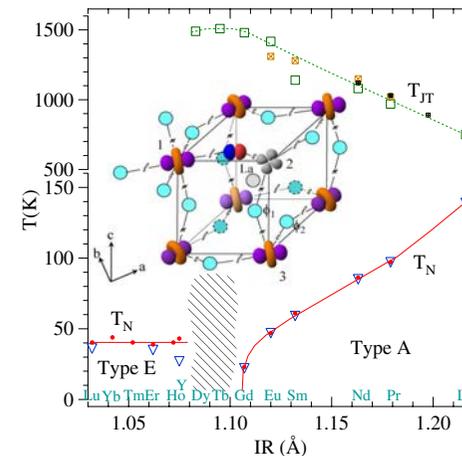
the metal-insulator transition



orbital order



order-to-disorder



---

how do we describe these phenomena?

# 0. electronic Hamiltonian in 2nd quantization

---

$$\hat{H}_e = \boxed{-\frac{1}{2} \sum_i \nabla_i^2} + \boxed{\frac{1}{2} \sum_{i \neq i'} \frac{1}{|\mathbf{r}_i - \mathbf{r}_{i'}|}} - \boxed{\sum_{i, \alpha} \frac{Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|}} + \boxed{\frac{1}{2} \sum_{\alpha \neq \alpha'} \frac{Z_\alpha Z_{\alpha'}}{|\mathbf{R}_\alpha - \mathbf{R}_{\alpha'}|}}$$



$$\hat{H}_e = \boxed{\sum_{ab} t_{ab} c_a^\dagger c_b} + \boxed{\frac{1}{2} \sum_{cdc'd'} U_{cdd'c'} c_c^\dagger c_d^\dagger c_{c'} c_{d'}}$$

complete one-electron basis set!

where to learn this: lectures SS

# 1. build minimal models

---

$$\hat{H}_e = \sum_{ab} t_{ab} c_a^\dagger c_b + \frac{1}{2} \sum_{cdc'd'} U_{cdd'c'} c_c^\dagger c_d^\dagger c_{c'} c_{d'}$$



$$\tilde{\hat{H}}_e = \sum_{ab} \tilde{t}_{ab} c_a^\dagger c_b$$

DFT Kohn-Sham *ab-initio* Hamiltonian

**very good approach for weakly correlated systems**

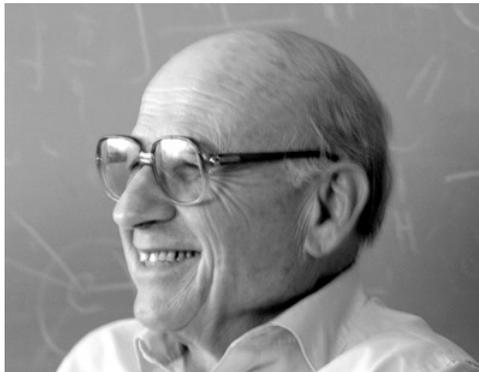
where to learn this: lectures SS

# density-functional theory

$$\hat{H} = -\frac{1}{2} \sum_i \nabla_i^2 + \frac{1}{2} \sum_{i \neq i'} \frac{1}{|\mathbf{r}_i - \mathbf{r}_{i'}|} - \sum_{i,\alpha} \frac{Z_\alpha}{|\mathbf{r}_i - \mathbf{R}_\alpha|} - \sum_\alpha \frac{1}{2M_\alpha} \nabla_\alpha^2 + \frac{1}{2} \sum_{\alpha \neq \alpha'} \frac{Z_\alpha Z_{\alpha'}}{|\mathbf{R}_\alpha - \mathbf{R}_{\alpha'}|}$$

## Kohn-Sham auxiliary Hamiltonian

$$\hat{h}_e = \sum_i \left[ -\frac{1}{2} \nabla_i^2 + v_R(\mathbf{r}_i) \right] = \sum_i \hat{h}_e(\mathbf{r}_i)$$
$$v_R(\mathbf{r}) = - \sum_\alpha \frac{Z_\alpha}{|\mathbf{r} - \mathbf{R}_\alpha|} + \int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\delta E_{xc}[n]}{\delta n} = v_{en}(\mathbf{r}) + v_H(\mathbf{r}) + v_{xc}(\mathbf{r})$$



Walter Kohn

Nobel Prize in Chemistry (1998)

Kohn-Sham equations

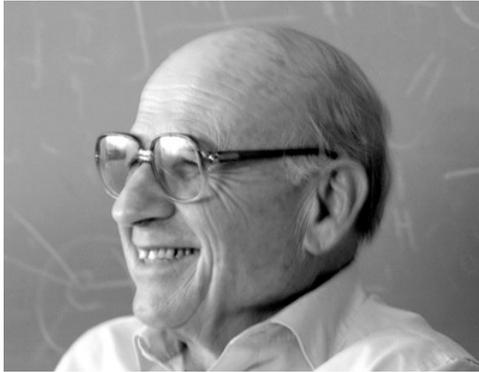
(in practice: LDA, GGA, ...)

understand and predict properties of solids, molecules, biological systems, geological systems...

where to learn this: lectures SS

# density functional theory

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Walter Kohn

Nobel Prize in Chemistry (1998)

$$E_{xc}[n] = \int d\mathbf{r} \epsilon_{xc}^{\text{LDA}}(n(\mathbf{r}))n(\mathbf{r})$$

homogeneous electron gas

**understand** and predict properties of solids, molecules, biological systems, geological systems...

The practical DFT-based Great Dream Machine

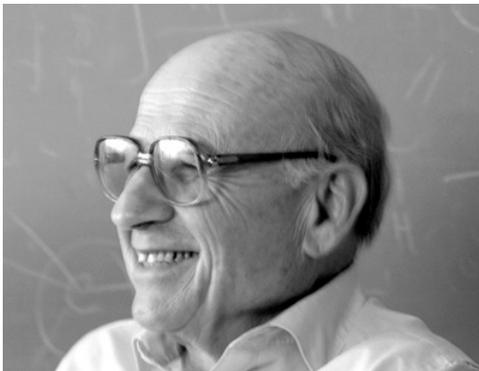
weakly correlated systems

# what do the parameters contain?

$$t_{a,b} = - \int d\mathbf{r} \overline{\psi}_a(\mathbf{r}) \left[ -\frac{1}{2} \nabla^2 + v_R(\mathbf{r}) \right] \psi_b(\mathbf{r}),$$

Hartree

$$v_R(\mathbf{r}) = \underbrace{- \sum_{\alpha} \frac{Z_{\alpha}}{|\mathbf{r} - \mathbf{R}_{\alpha}|}}_{\text{potential}} + \underbrace{\int d\mathbf{r}' \frac{n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \frac{\delta E_{xc}[n]}{\delta n}}_{\text{exchange-correlation}} = v_{en}(\mathbf{r}) + v_H(\mathbf{r}) + v_{xc}(\mathbf{r})$$



Walter Kohn

Nobel Prize in Chemistry (1998)

Kohn-Sham equations

understand and predict properties  
of solids, molecules, biological  
systems, geological systems...

# a big success, new challenges

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“the labours and controversies . . . in understanding the chemical binding in materials had finally come to a resolution in favour of ‘LDA’ and the modern computer” (1998)

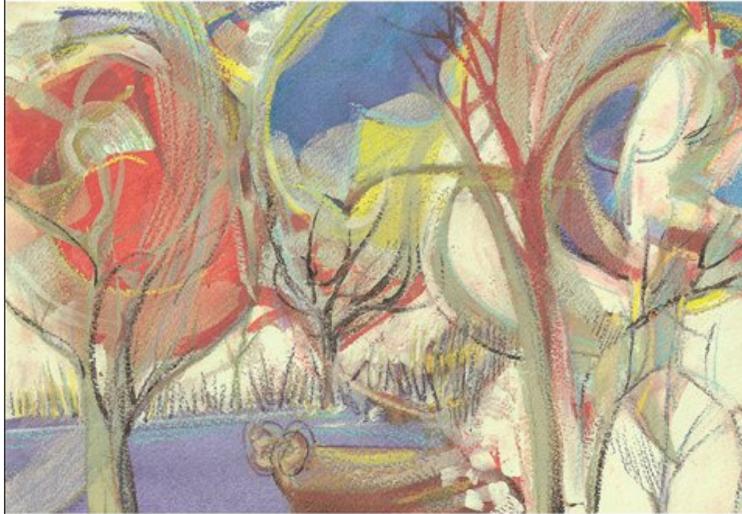
but “**very deep problems**” remain (1998)

## origin of failures: one-electron picture

# more and different

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Philip W. Anderson



More and *Different*

notes from a thoughtful curmudgeon

 World Scientific

# origin of failures: one-electron picture

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insulator



metal

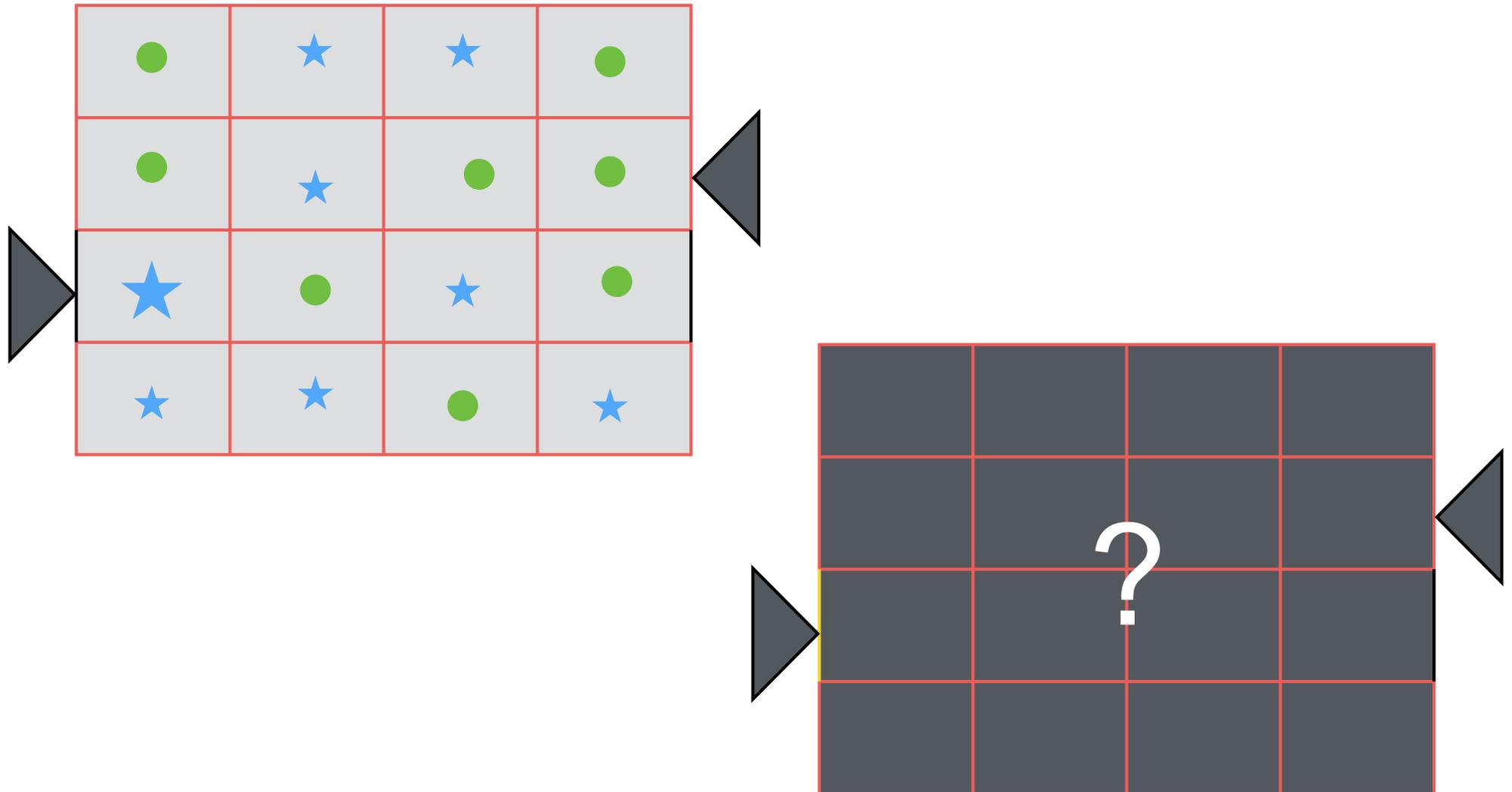


can I *cure* this ? No!

# find alternative one-electron pictures?

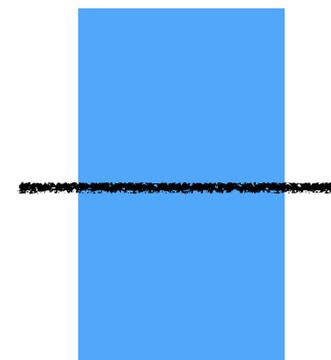
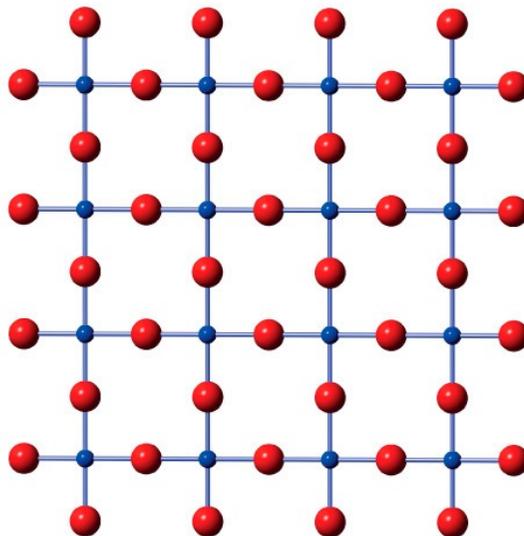
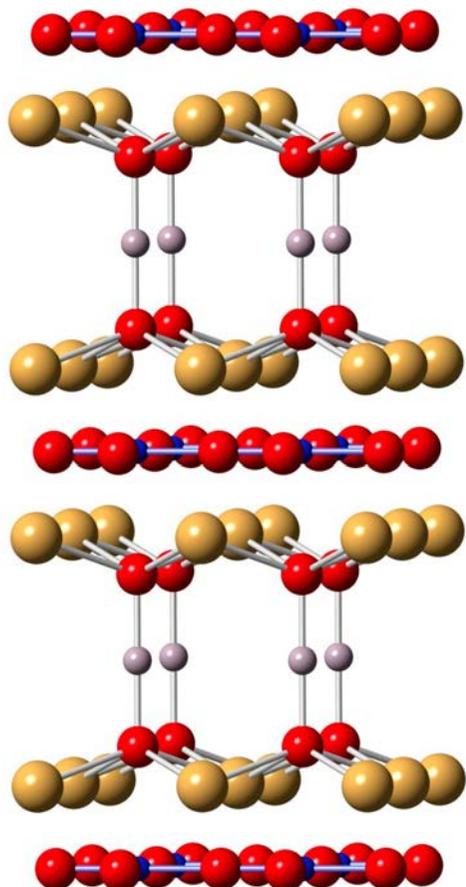
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half filling (1 particle per site): 8+8



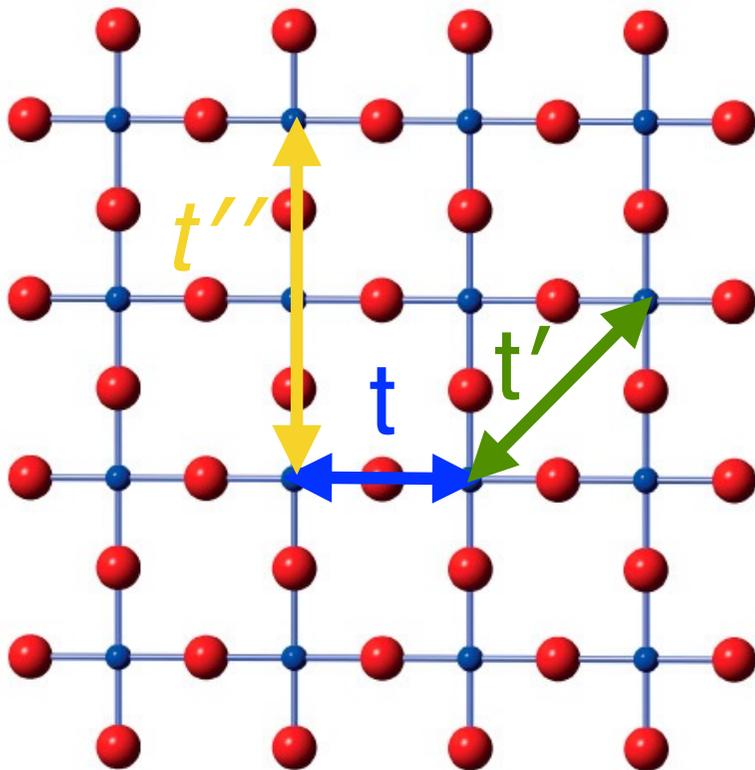


metal

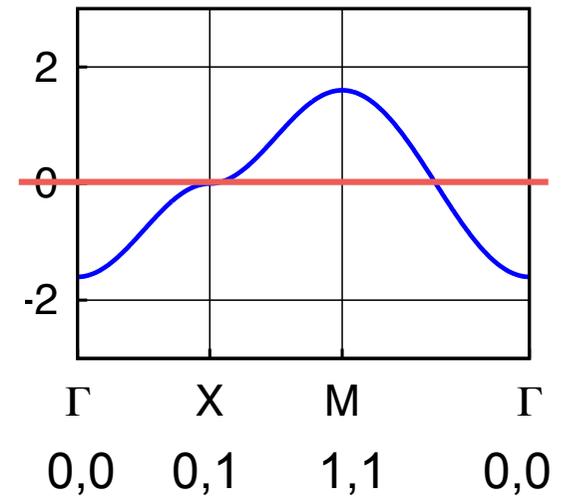
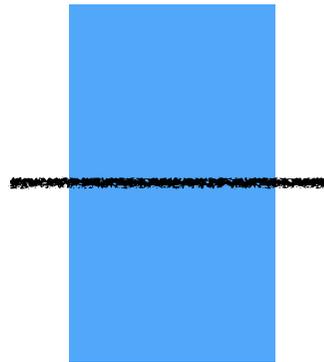


# electron counting argument

one electron per site



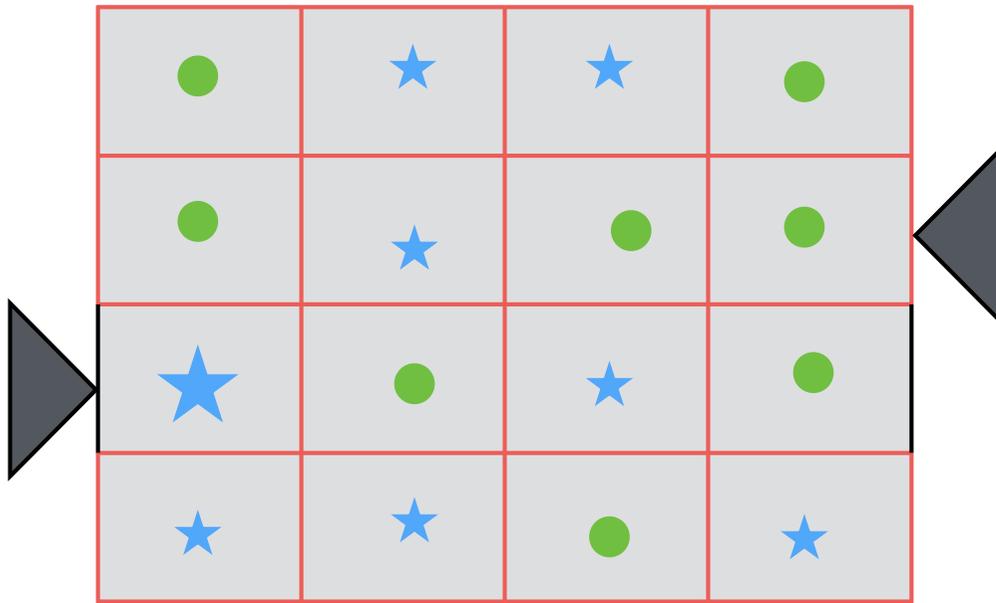
$$\varepsilon_{\mathbf{k}} = -2t[\cos k_x + \cos k_y]$$



# find alternative one-electron pictures?

---

half filling (1 particle per site): 8+8

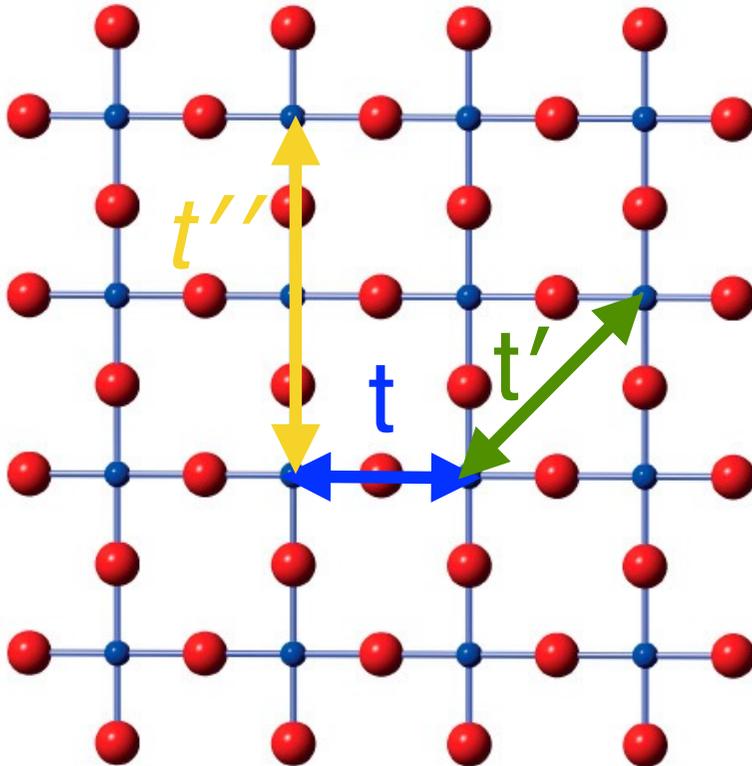


modify shape?

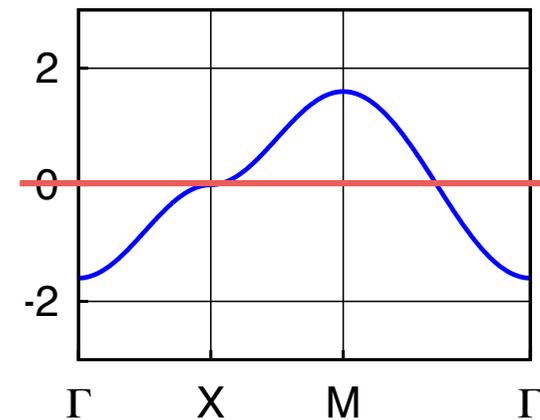


# electron counting argument

one electron per site

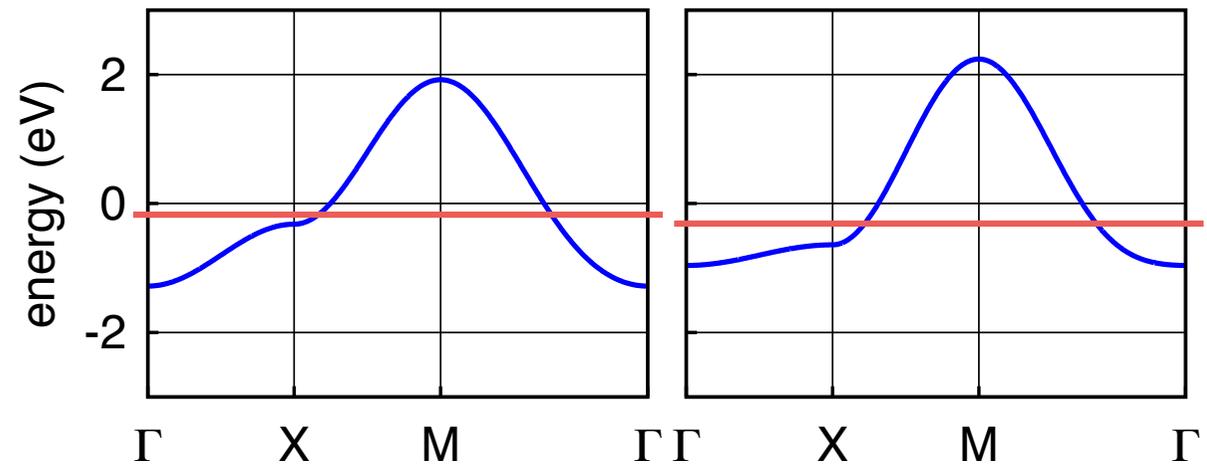


$$\varepsilon_{\mathbf{k}} = -2t[\cos k_x + \cos k_y]$$



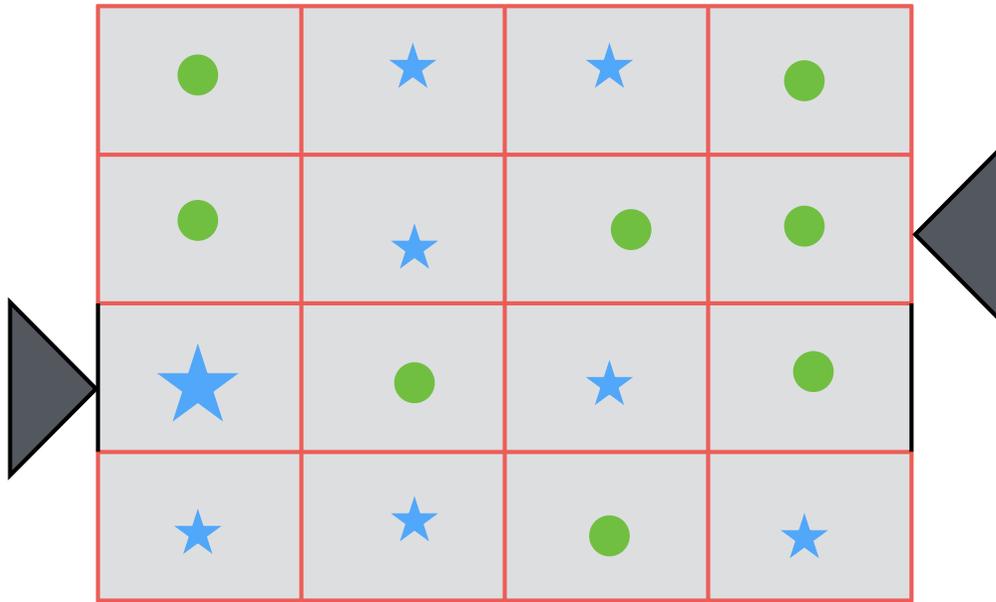
$t'/t = 0.2$

$t'/t = 0.4$

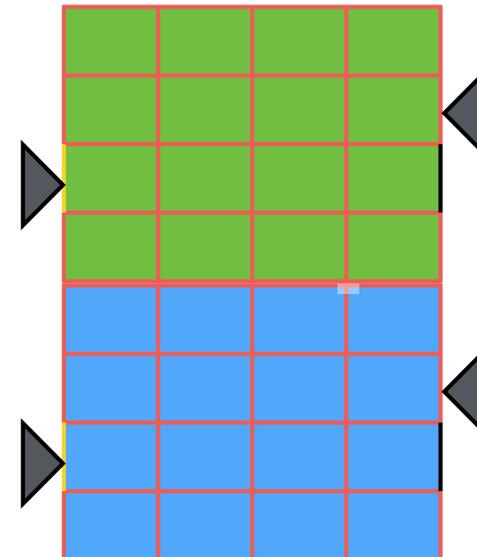


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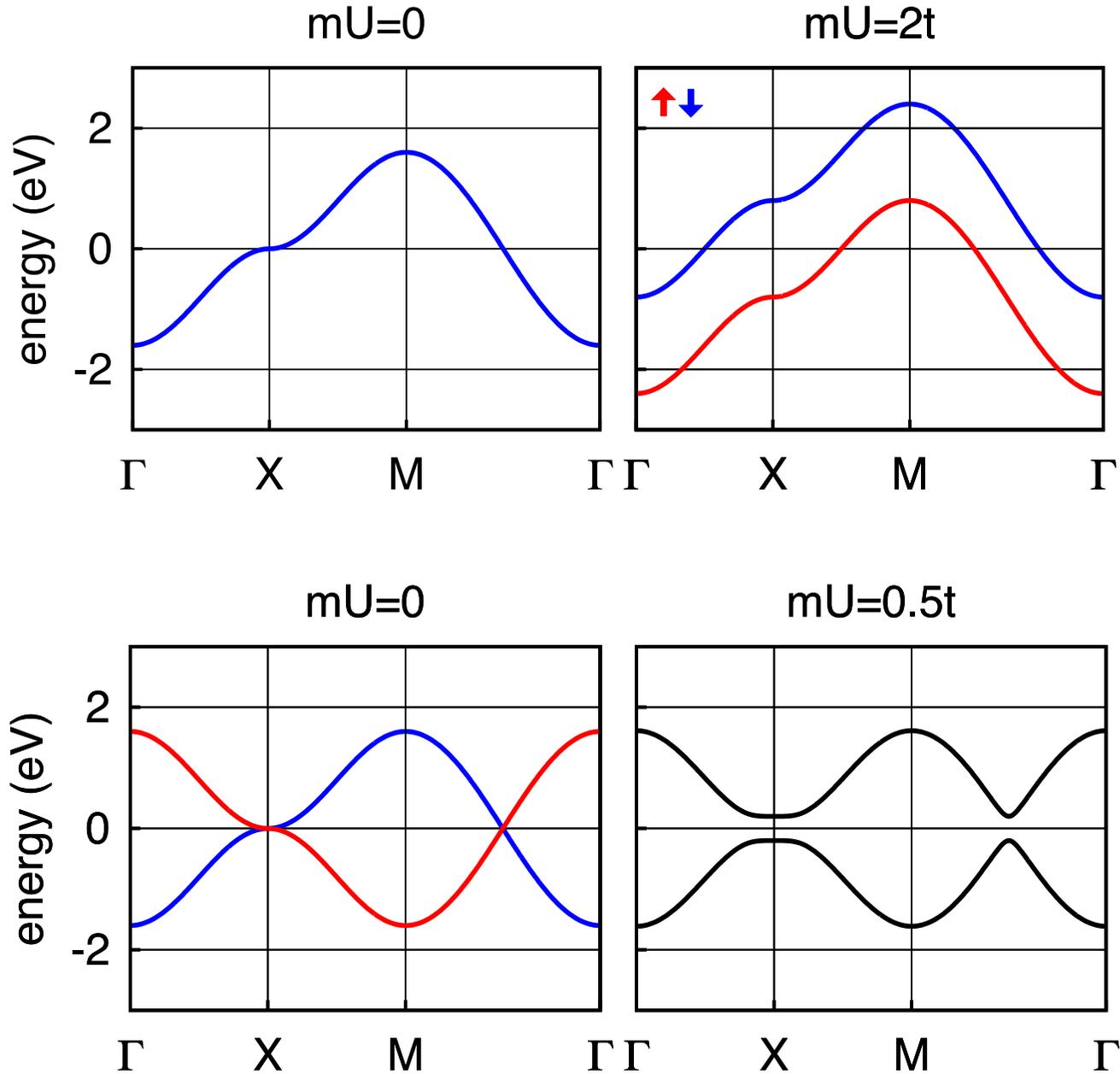
half filling (1 particle per site): 8+8



one box for star,  
one for circles?



# how could I open a gap?



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works but magnetic...

# origin of failures: one-electron picture

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insulator



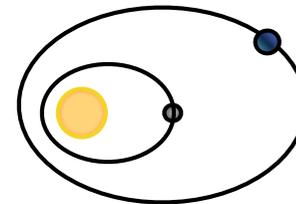
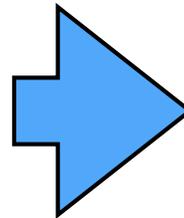
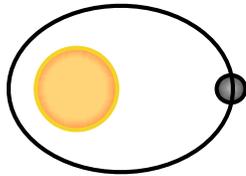
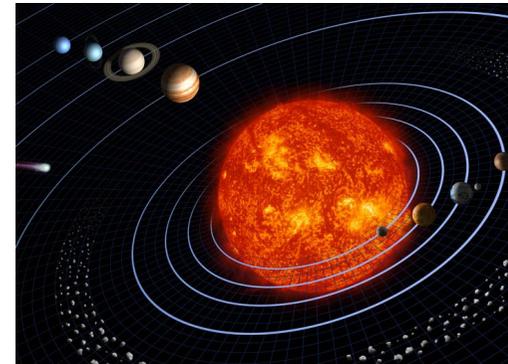
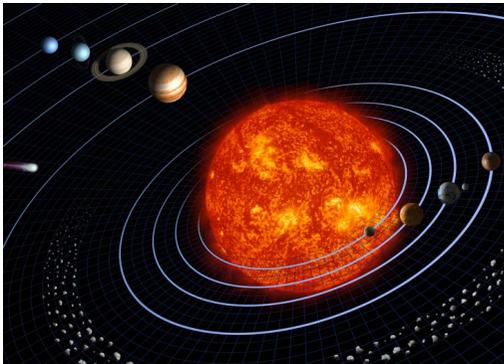
metal



can I *cure* this ? No!

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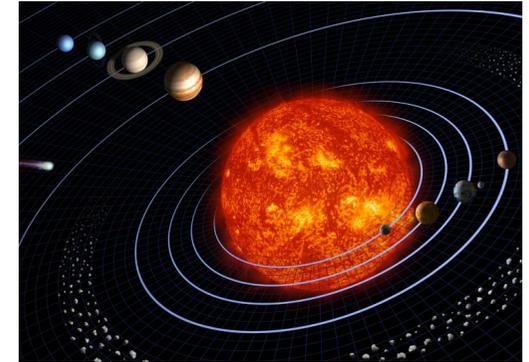
we need a new method



# 1. minimal models that capture the phenomenon

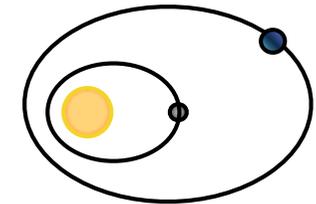
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$$\hat{H}_e = \sum_{ab} t_{ab} c_a^\dagger c_b + \frac{1}{2} \sum_{cdc'd'} U_{cdd'c'} c_c^\dagger c_d^\dagger c_{c'} c_{d'}$$



minimal model for metal-insulator transition

$$H = -t \sum_{\sigma} \sum_{\langle ii' \rangle} c_{i\sigma}^\dagger c_{i'\sigma} + \sum_i U n_{i\uparrow} n_{i\downarrow}$$

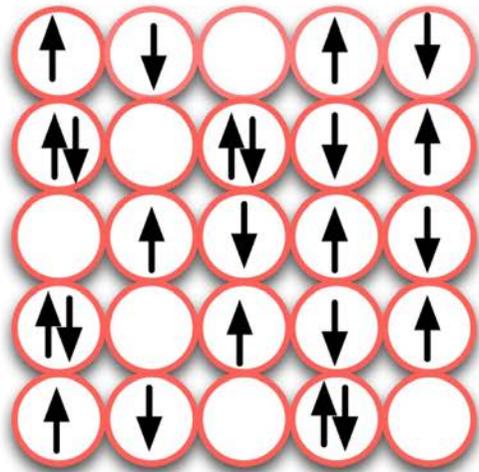


Hubbard model at half filling

local Coulomb produce strong correlation effects

# Hubbard model at half-filling

$$\hat{H} = \underbrace{\varepsilon_d \sum_i \sum_{\sigma} c_{i\sigma}^{\dagger} c_{i\sigma}}_{\text{atomic}} - t \sum_{\langle ii' \rangle} \sum_{\sigma} c_{i\sigma}^{\dagger} c_{i'\sigma} + \underbrace{U \sum_i n_{i\uparrow} n_{i\downarrow}}_{\text{atomic}} = \hat{H}_d + \hat{H}_T + \hat{H}_U$$



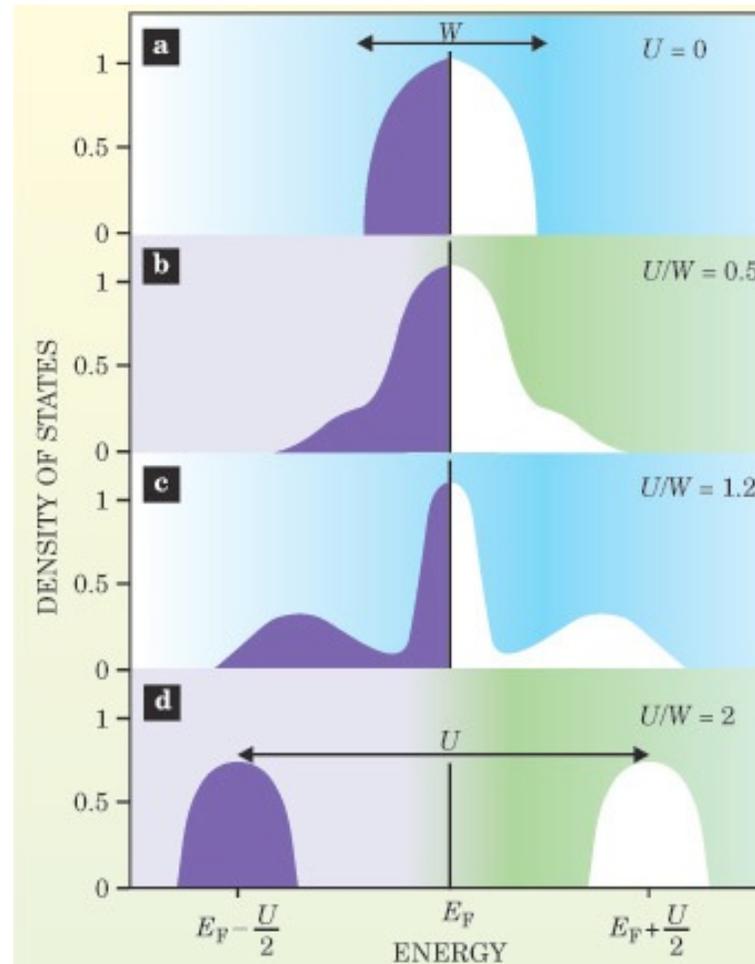
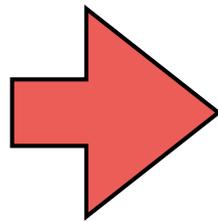
1.  $t=0$ : collection of atoms, **insulator**
2.  $U=0$ : half-filled band, **metal**

## 2. find approximate methods that work

dynamical mean-field theory

metallic phase

transition



insulating phase

# Dynamical Mean-Field Theory (DMFT)

## Korrelierte Gitter-Fermionen in hohen Dimensionen

Von der Mathematisch-Naturwissenschaftlichen Fakultät  
- Fachbereich 1 -  
der Rheinisch-Westfälischen Technischen Hochschule Aachen  
zur Erlangung des akademischen Grades  
eines Doktors der Naturwissenschaften  
genehmigte Dissertation

vorgelegt von

Diplom-Physiker

**Walter Metzner**

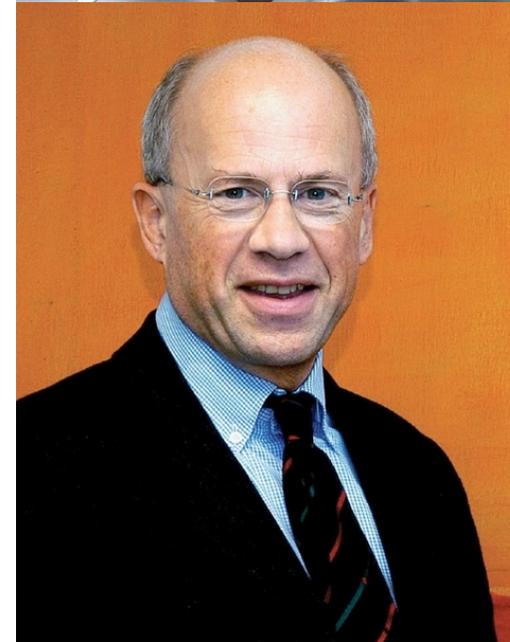
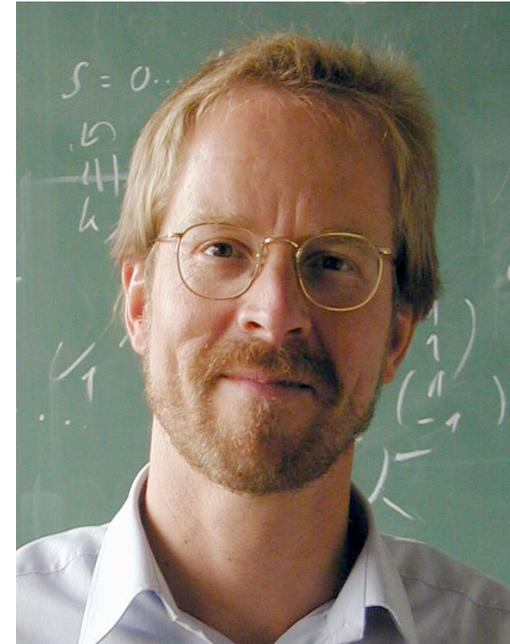
aus

München

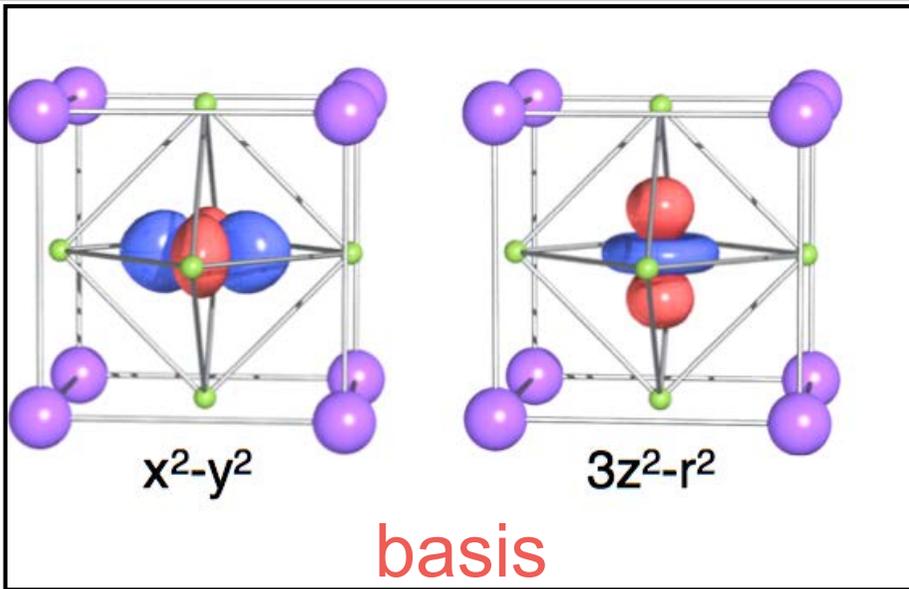
Referent: Universitätsprofessor Dr. D. Vollhardt

Korreferent: Universitätsprofessor Dr. G. Czycholl

Tag der mündlichen Prüfung: 19. Dezember 1989

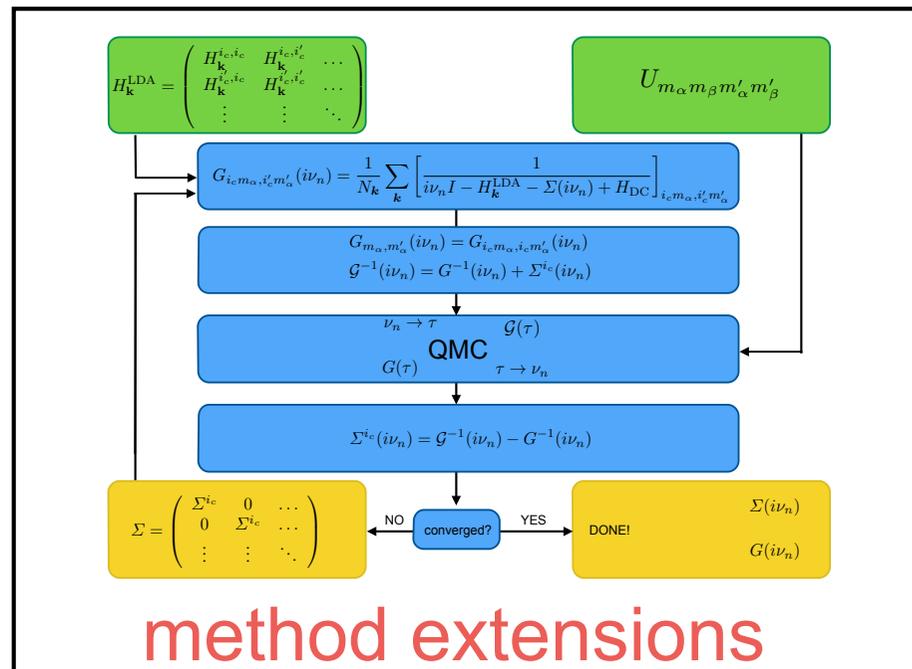


# 3. make it more realistic: LDA+DMFT



$$\begin{aligned}
 H = & - \sum_{ii'} \sum_{mm'} \sum_{\sigma} t_{mm'}^{ii'} c_{im\sigma}^{\dagger} c_{i'm'\sigma} \\
 & + U \sum_{im} n_{im\uparrow} n_{im\downarrow} \\
 & + \frac{1}{2} \sum_{im \neq m' \sigma \sigma'} (U - 2J - J\delta_{\sigma\sigma'}) n_{im\sigma} n_{im'\sigma'} \\
 & - J \sum_{m \neq m'} (c_{m\uparrow}^{\dagger} c_{m'\downarrow}^{\dagger} c_{m'\uparrow} c_{m\downarrow} + c_{m\uparrow}^{\dagger} c_{m\downarrow}^{\dagger} c_{m'\uparrow} c_{m'\downarrow})
 \end{aligned}$$

models



where to learn this: lectures SS+ autumn school

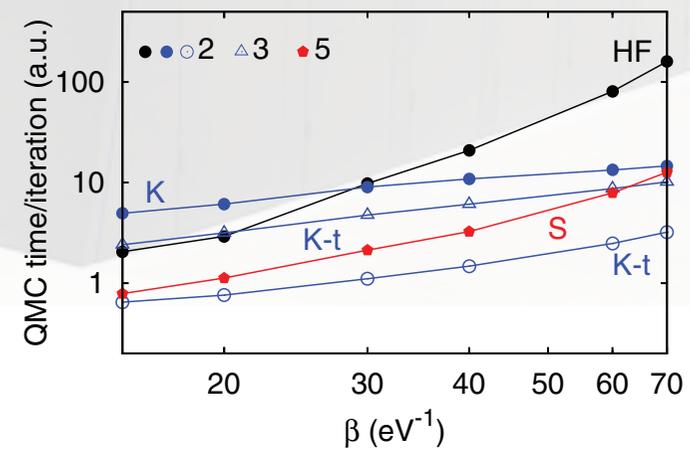
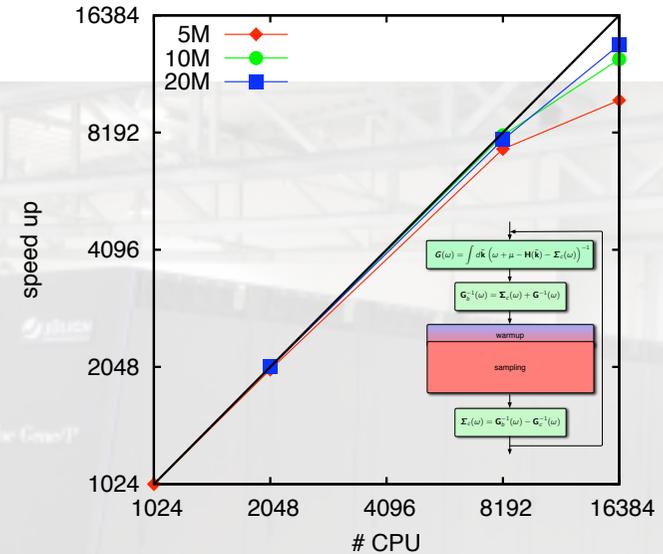
# we need supercomputers!

$$\begin{aligned}
 H = & - \sum_{ii'} \sum_{mm'} \sum_{\sigma} t_{mm'}^{ii'} c_{im\sigma}^{\dagger} c_{i'm'\sigma} \\
 & + U \sum_{im} n_{im\uparrow} n_{im\downarrow} \\
 & + \frac{1}{2} \sum_{im \neq m' \sigma \sigma'} (U - 2J - J\delta_{\sigma\sigma'}) n_{im\sigma} n_{im'\sigma'} \\
 & - J \sum_{m \neq m'} (c_{m\uparrow}^{\dagger} c_{m'\downarrow}^{\dagger} c_{m'\uparrow} c_{m\downarrow} + c_{m\uparrow}^{\dagger} c_{m\downarrow}^{\dagger} c_{m'\uparrow} c_{m'\downarrow})
 \end{aligned}$$

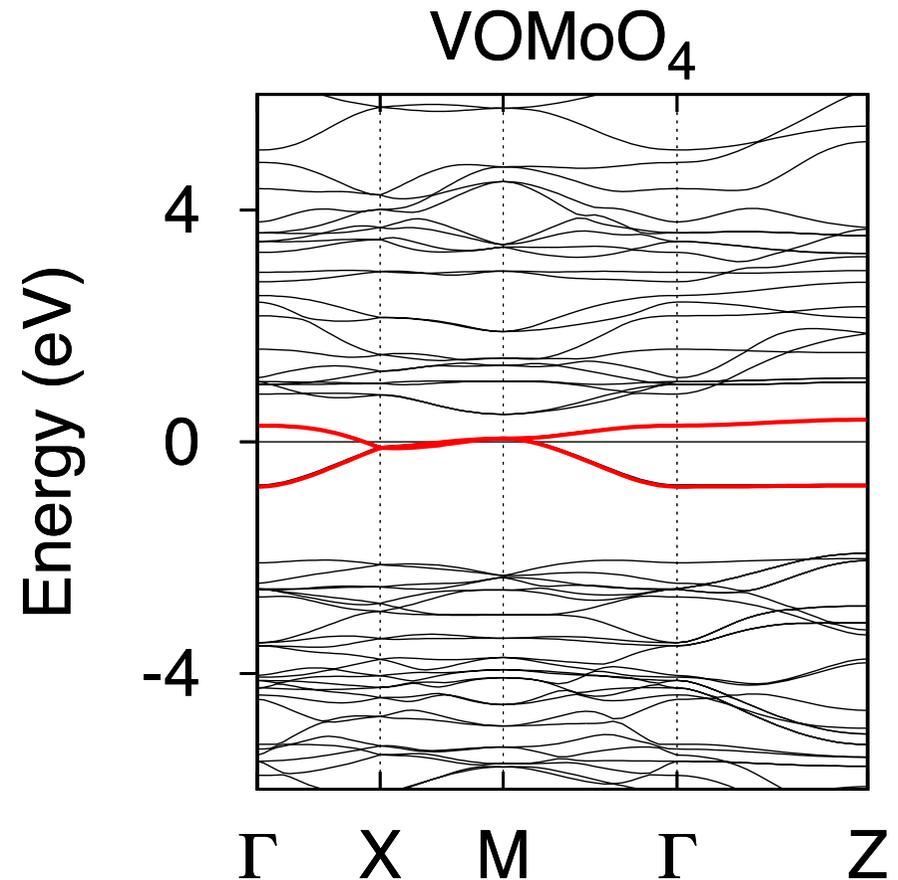
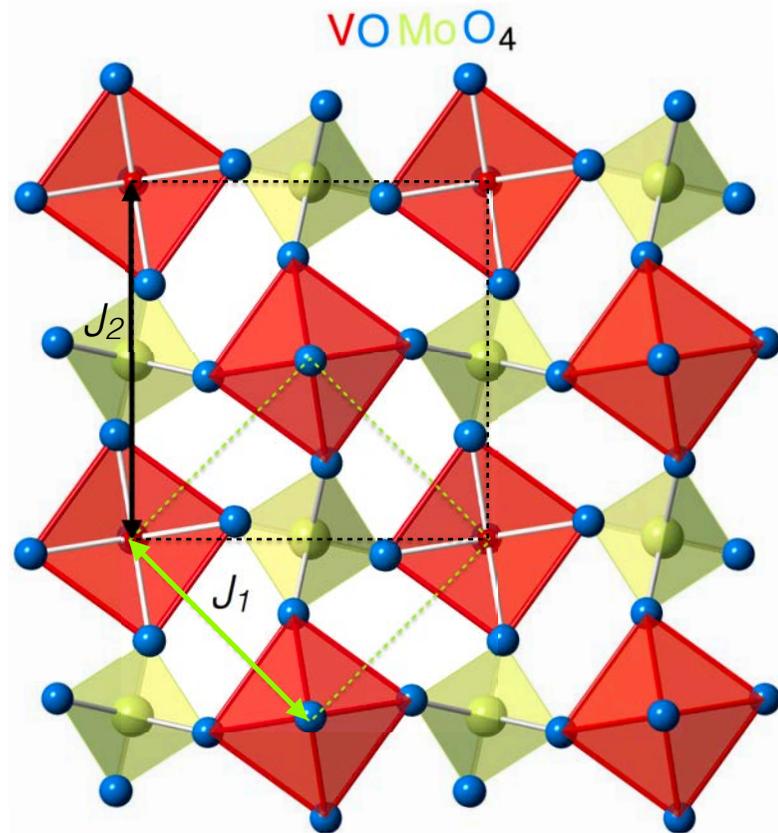
## DMFT and cDMFT

### quantum impurity solvers:

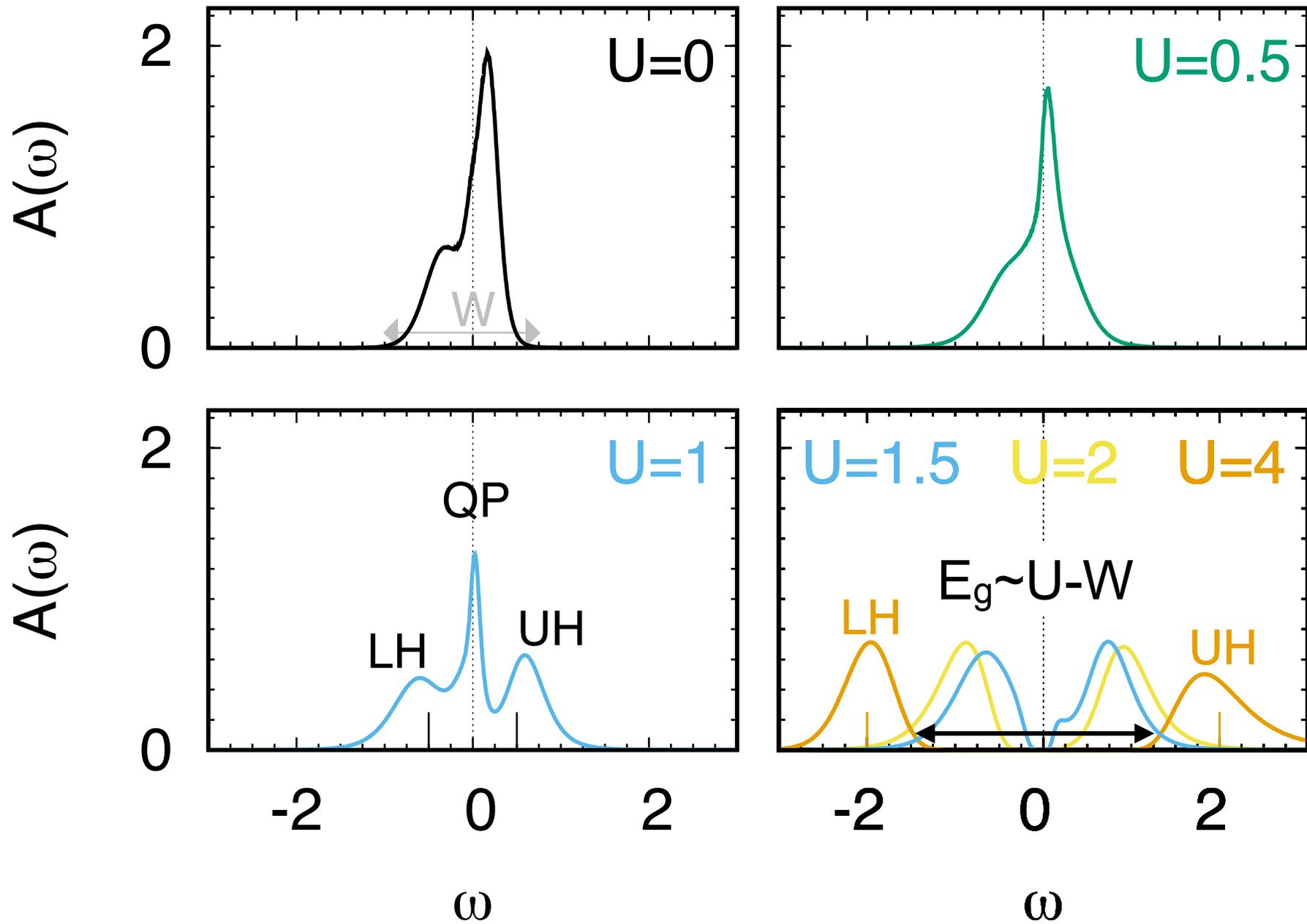
- general HF QMC
- general CT-INT QMC
- general CT-HYB QMC



# a real-system case: $\text{VO MoO}_4$

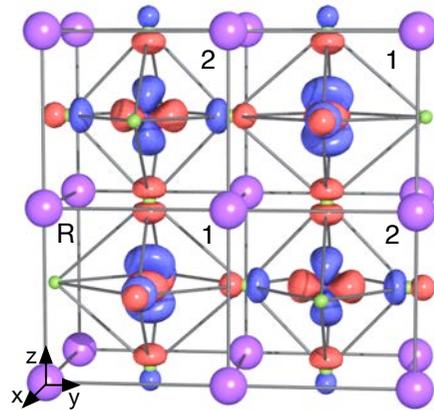


# a real-system: $\text{VO}\text{MoO}_4$

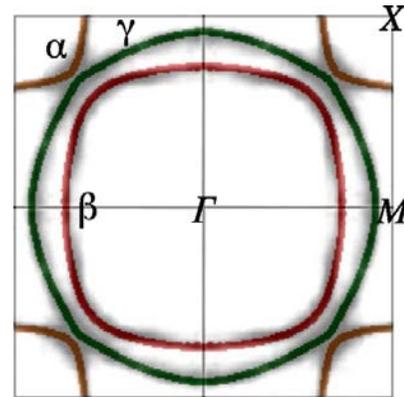


# what can we do?

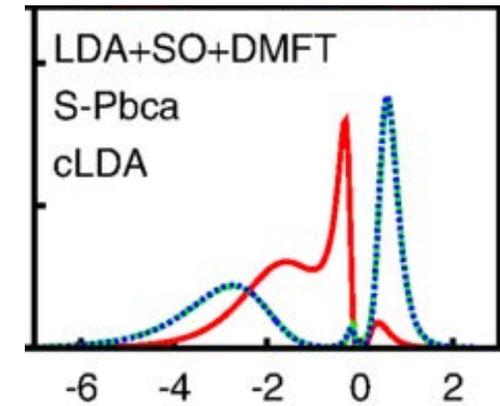
## orbital order



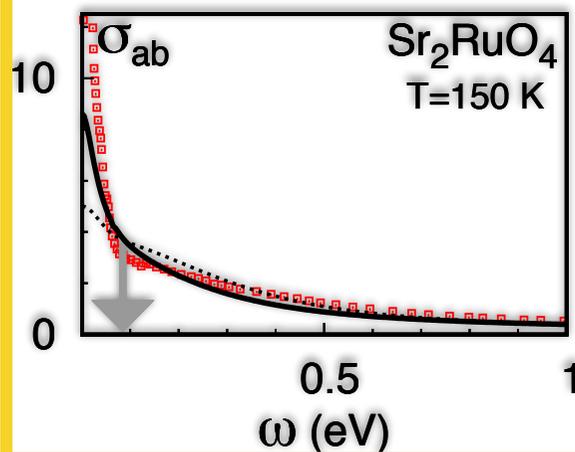
## Fermi surface



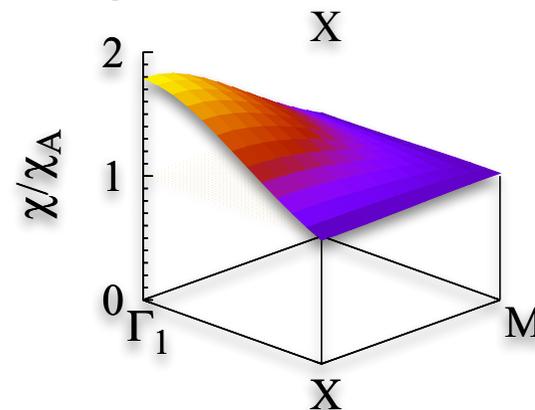
## spin-orbit



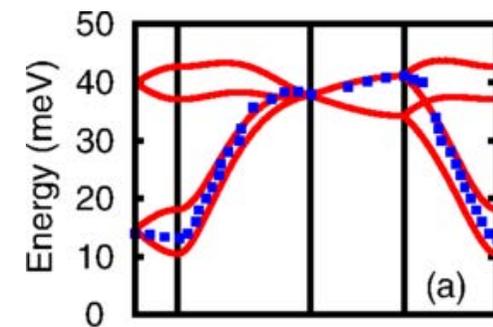
## conductivity



## response functions



## spin waves

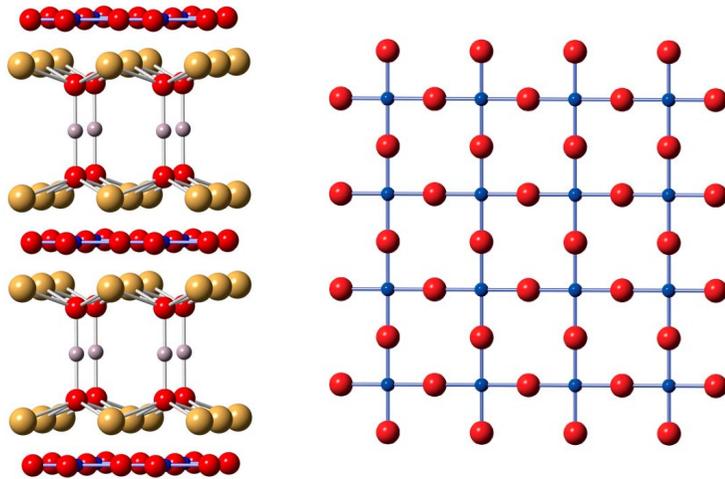


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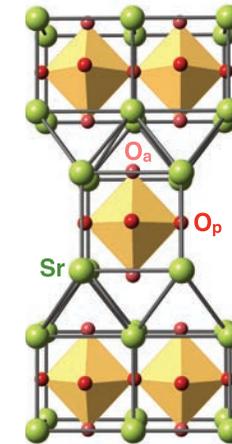
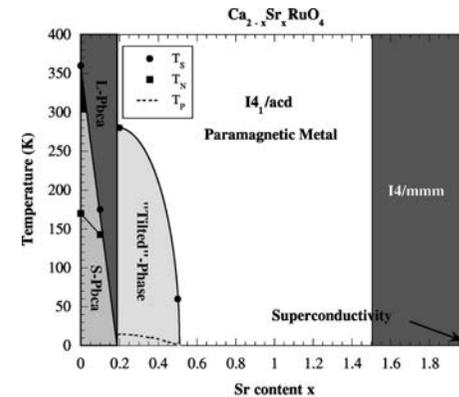
many fundamental problems still open!

# many fundamental problems still open!

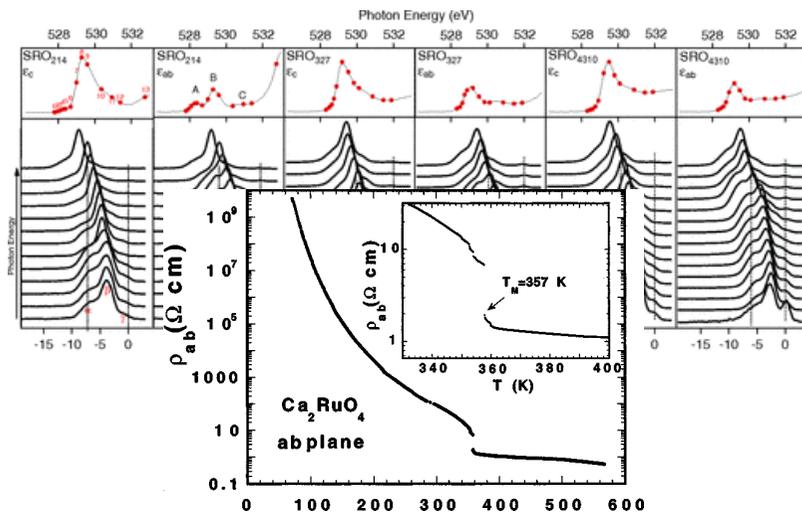
high-temperature superconductivity



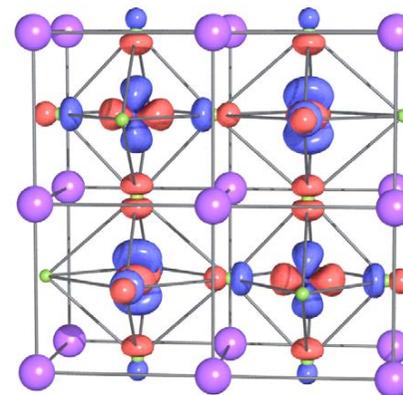
unconventional superconductivity



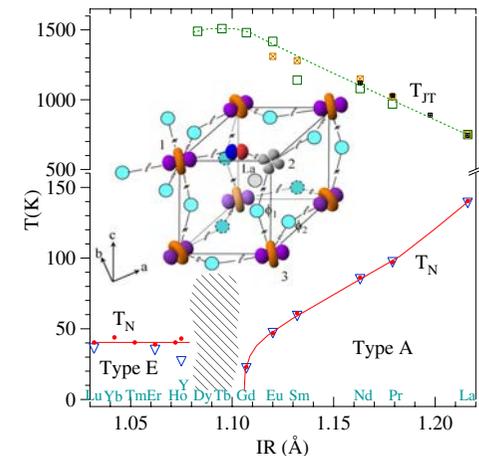
the metal-insulator transition



orbital order



order-to-disorder



---

what can be a seminar topic?

# topics for seminars

---

- theory: the many-body problem
- model building: tight-binding theory
- model building: Wannier functions
- model building: DFT/LDA bands
- model building: Coulomb tensor
- model solving: DMFT self-consistent loop
- model solving: Hartree-Fock approximation
- model solving: Monte Carlo method
- model solving: 2-site problem, DMFT vs exact solution
- physics: the metal-insulator transition

# seminar example

---

- model building: **tight-binding theory**
  - motivation
  - atomic orbitals
  - definition of hopping integrals and overlaps
  - the case of a linear chain
  - the case of a square lattice: **HTSCs**
  - the case of an hexagonal lattice: **graphene**
- 
- outlook: **build model for real material**

# seminar example

---

- model solving: Monte Carlo method
- motivation
- random numbers and random number generators
- statistical errors
- application: integrals
- applications: Ising model
- outlook: quantum Monte Carlo

# what should you bring?

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- motivation

- interest in physics
- interest in quantum mechanics
- interest in computational science
- interest in mathematics

- knowledge (for physics focus)

- functions, analysis
- linear algebra
- basic statistical mechanics
- one programming language
- quantum mechanics

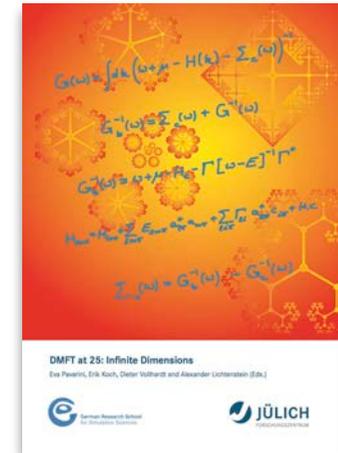
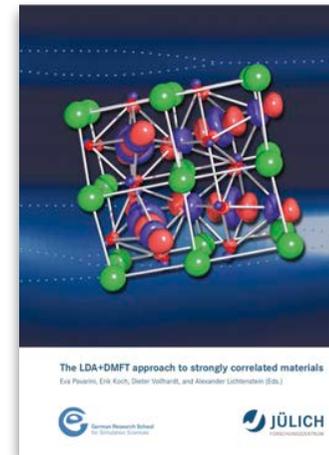
- knowledge (for seminar)

- functions, analysis
- linear algebra
- understand programming
- basics quantum mechanics

# summer term: mandatory (physics)

## Computational Many-Body Physics

- Solid state physics as many-body problem
- Second quantization
- Electron gas
- Hubbard model and  $t$ - $J$  model
- Two-site Hubbard model
- Matsubara formalism and many-body perturbation theory
- Green function and self-energy
- Mean-field/Hartree-Fock method
- Fermi-liquid theory
- Dynamical mean field theory (DMFT)
- Mott transition

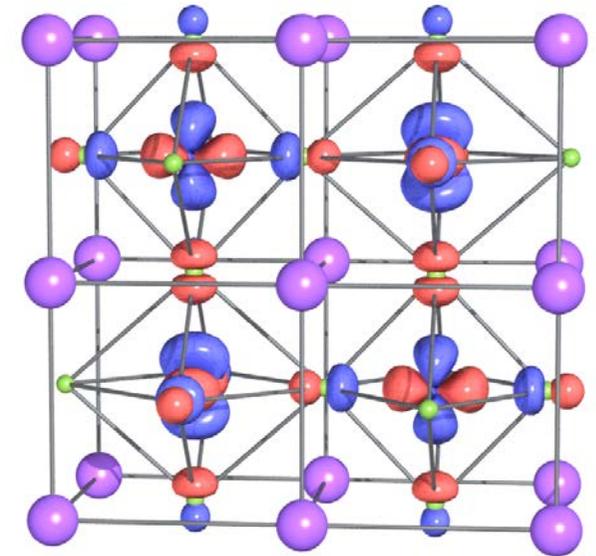


<http://iffwww.iff.kfa-juelich.de/~pavarini/SS/lecture.html>

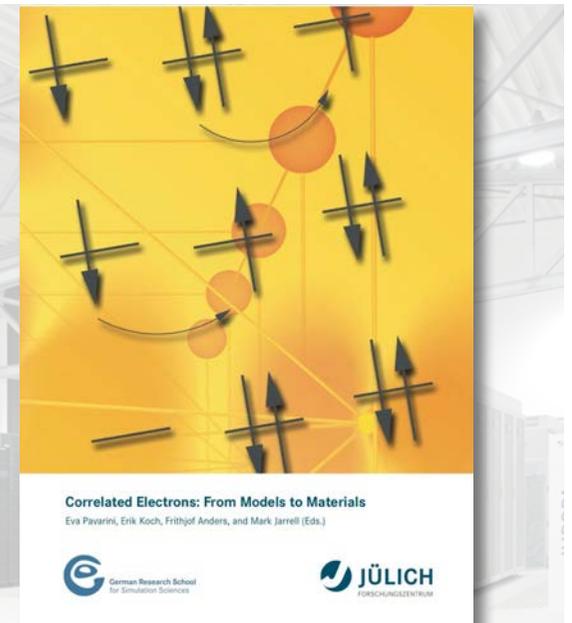
# summer term: elective

## Correlated Electrons

- Many-electrons in atoms, ions, and molecules
  - direct exchange and Hund's rules
  - kinetic exchange and antiferromagnetism
- crystal-field theory
  - Symmetries in solids
  - JahnTeller effect
- Mott transition and the Hubbard model
  - second quantization and configuration representation
  - limiting cases of the Hubbard model
- Mott insulators
  - t-J model and orbital ordering



[www.cond-mat.de/teaching/correl/](http://www.cond-mat.de/teaching/correl/)



# Autumn School on Correlated Electrons

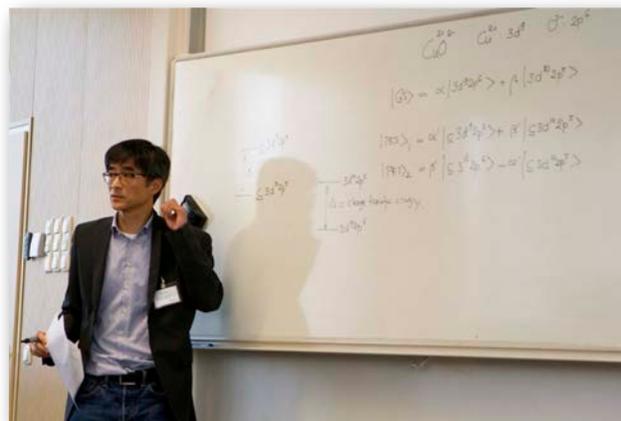
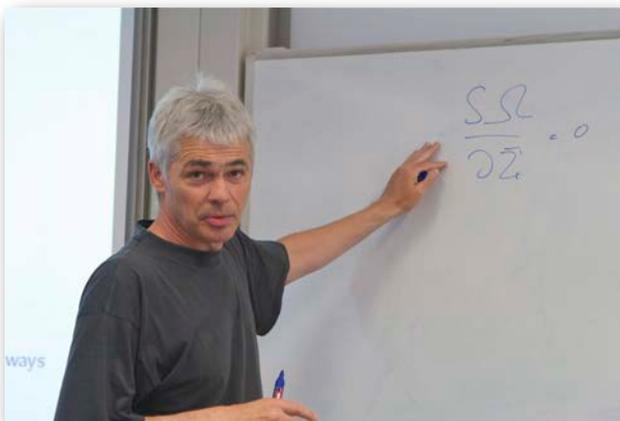
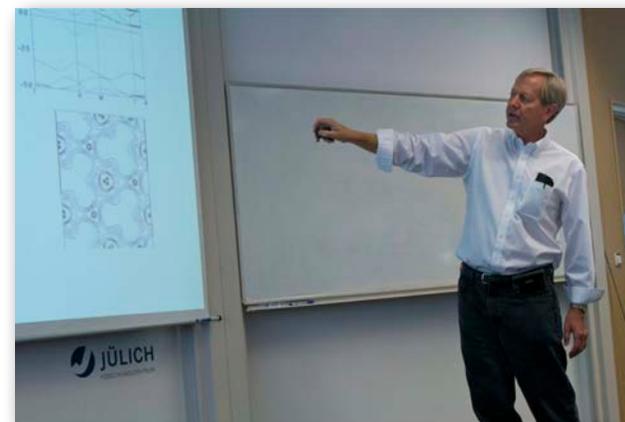
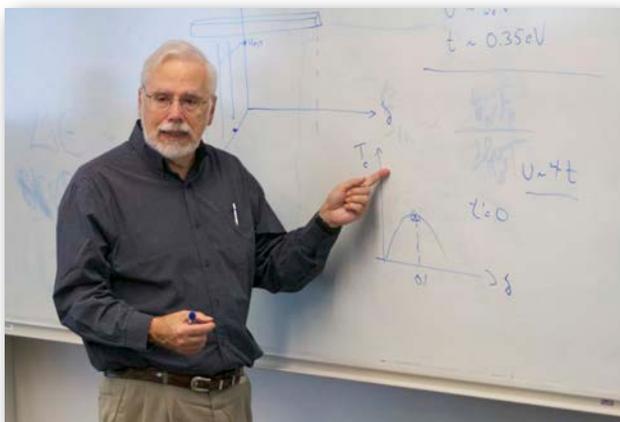
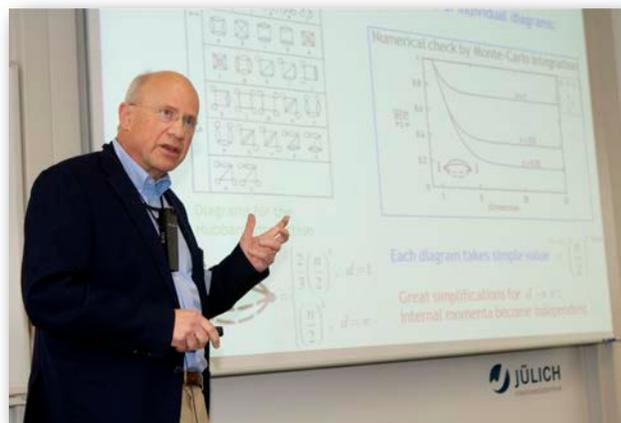
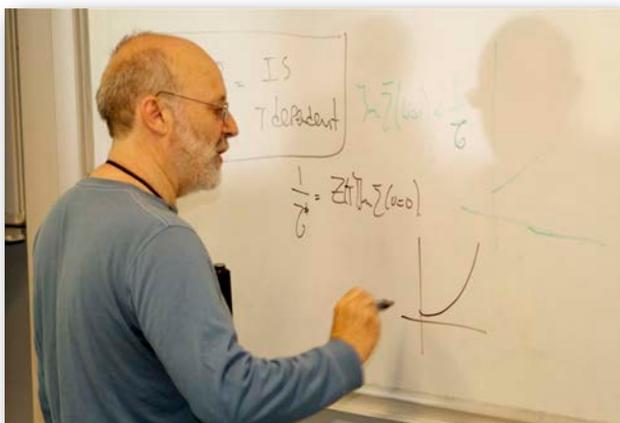
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every year in september



17-21 September 2011, Forschungszentrum Jülich

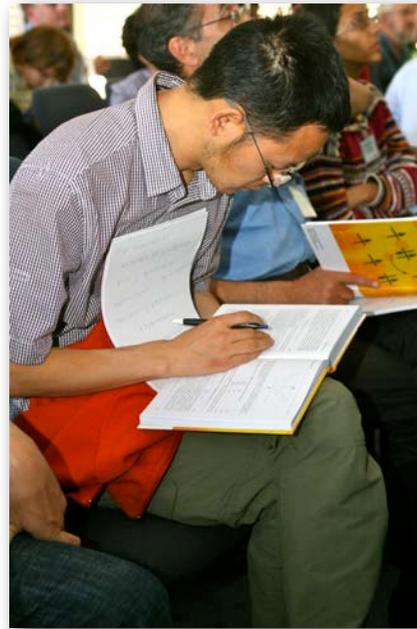
# world leading lecturers



# questions time



# popular lecture notes

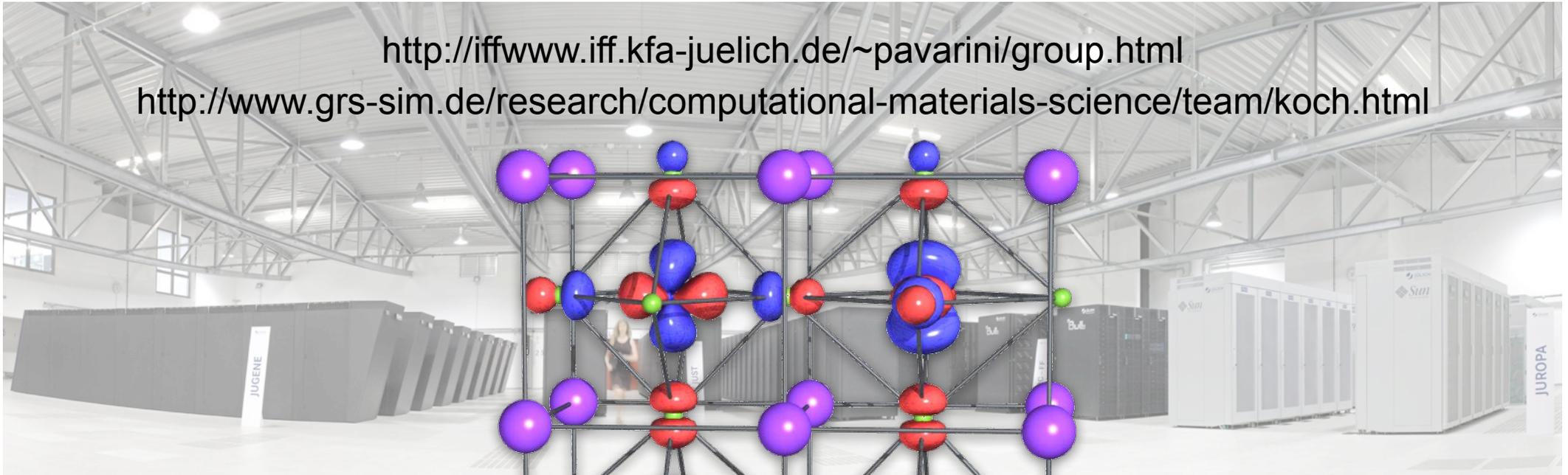




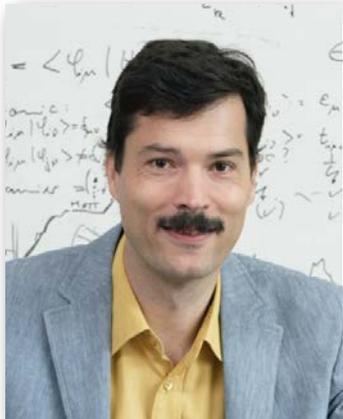
# physics of strongly-correlated systems

<http://iffwww.iff.kfa-juelich.de/~pavarini/group.html>

<http://www.grs-sim.de/research/computational-materials-science/team/koch.html>



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thank you!